

DE LA RECHERCHE À L'INDUSTRIE



www.cea.fr

Numerical simulations of the rf-driven toroidal current in tokamaks

Y. Peysson, J. Decker

CEA, IRFM, F-13108, Saint-Paul-lez-Durance, France

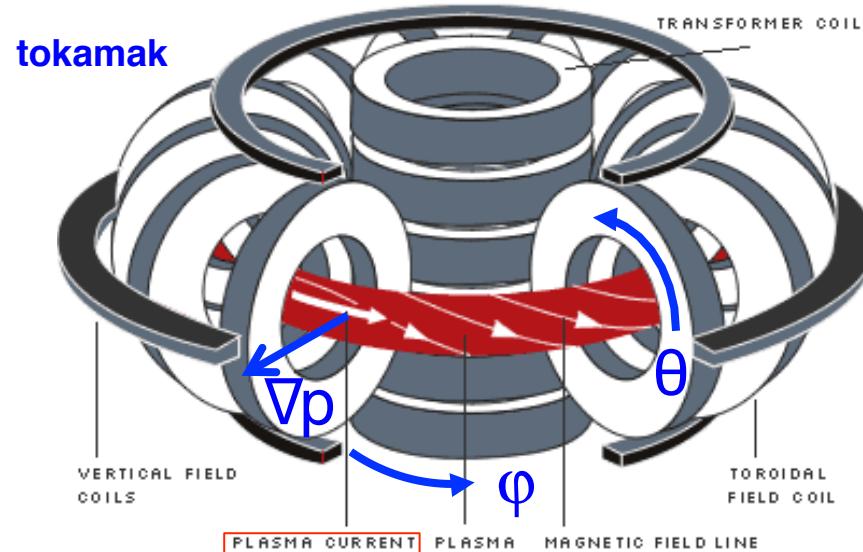
Contact: yves.peysson@cea.fr

Outline

- **The current drive problem in tokamaks**
- **The equations for calculating a rf current source**
- **C3PO/LUKE, a rf current source module for integrated modeling**
- **Numerical simulations for the LH and EC waves** (ITER, Tore Supra, JET, TCV)
- **Advanced studies**
 - *Influence of plasma fluctuations on rf current source* (TCV, ITER)
 - *Integrated modeling for designing stable MHD scenarios* (ITER)
 - *Towards neoclassical current drive simulations*
- **Conclusion and prospects**

The current drive problem in tokamaks

The plasma current: a key parameter for tokamak operation



Toroidal MHD equilibrium

$$\boxed{j_{\text{plasma}}} \times B = \nabla p$$

Energy confinement

$$\tau_E \propto \boxed{I_{\text{plasma}}}/\sqrt{\boxed{P_{\text{ext.}}}}$$

Key role for stability and performances → *winding of the magnetic field lines*

$$d\varphi/d\theta \propto \int \boxed{j_{\text{plasma}}} dS$$

Control by an external source of current:



Continuous operation

$$\eta = \boxed{j_{\text{ext.}}}/\boxed{P_{\text{ext.}}}$$

CD efficiency

Steady-state operation → the self-organized tokamak

$$j_{plasma} = j_{configuration} + j_{ext.}$$

Self-generated (bootstrap) $\xrightarrow{\propto \nabla p}$ forced
 $\nabla \cdot j_{\perp} \neq 0 \rightarrow j_{||} \neq 0$

The current drive efficiency is too low for achieving $j_{plasma} \approx j_{ext.}$ with $P_{ext.} \ll P_{fusion}$

P.-H. Rebut et al., *Plasma Phys. Control. Fusion*, 35 (1993) A3-A14

J. Decker, Y. Peysson, et al., *Nucl. Fusion*, 51 (2011) 073025



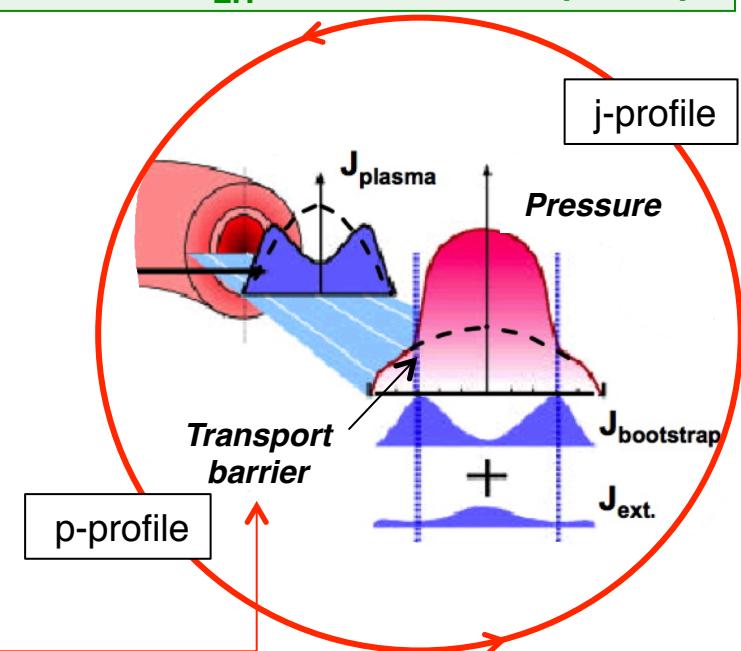
$P_{Lower Hybrid} = 20 \text{ MW} \rightarrow I_{LH} = 0.6-1.0 \text{ MA (ITER)}$

Self-organized (advanced tokamak) scenarios

Steep pressure gradient ∇p
+
low plasma current I_p

$I_{self-generated}/I_p \geq 60\% \text{ (Iter)}$

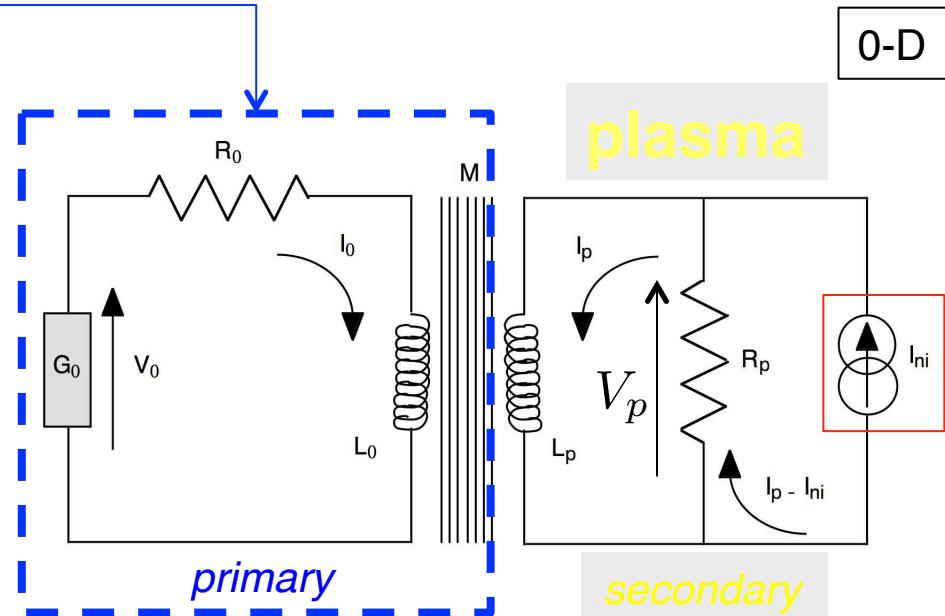
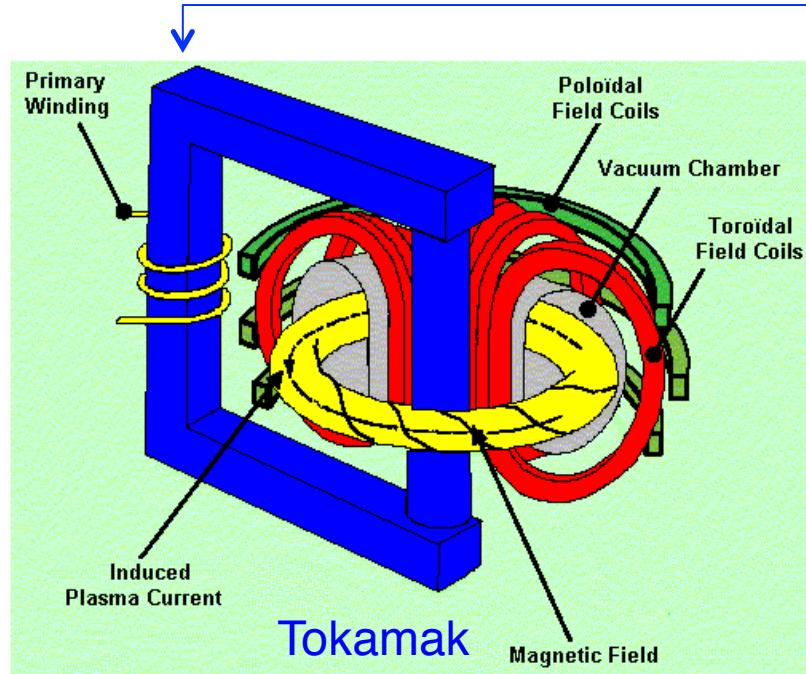
$$\tau_E \propto H \times I_p / \sqrt{P_{ext.}}$$



The self-organized steady-state tokamak and rf-current drive simulations

- Self-organized steady-state tokamak operation requires **integrated tokamak modeling ($j \leftrightarrow p$)**
- It put **high constraints** on the level of accuracy that should be reached by simulations of rf current drive:
 - *interpretation* of the observed phenomenology
 - reliable *prediction* capability
- **physics**: unified multi-wave description (+synergy), consistent momentum/configuration space dynamics, neoclassical corrections (high ∇p regimes), local non-axisymmetric magnetic configuration,...
- **numerics**: modular, fast and robust tools with advanced algorithms, using latest hardware progresses

From pulsed to steady-state operation beyond the transformer

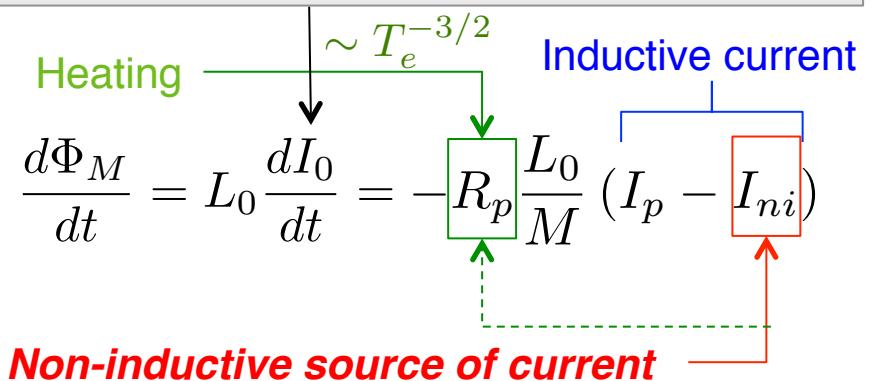


The plasma duration is limited by the consumption of the magnetic flux Φ_M

$$M \frac{dI_p}{dt} + L_0 \frac{dI_0}{dt} + R_0 I_0 = V_0$$

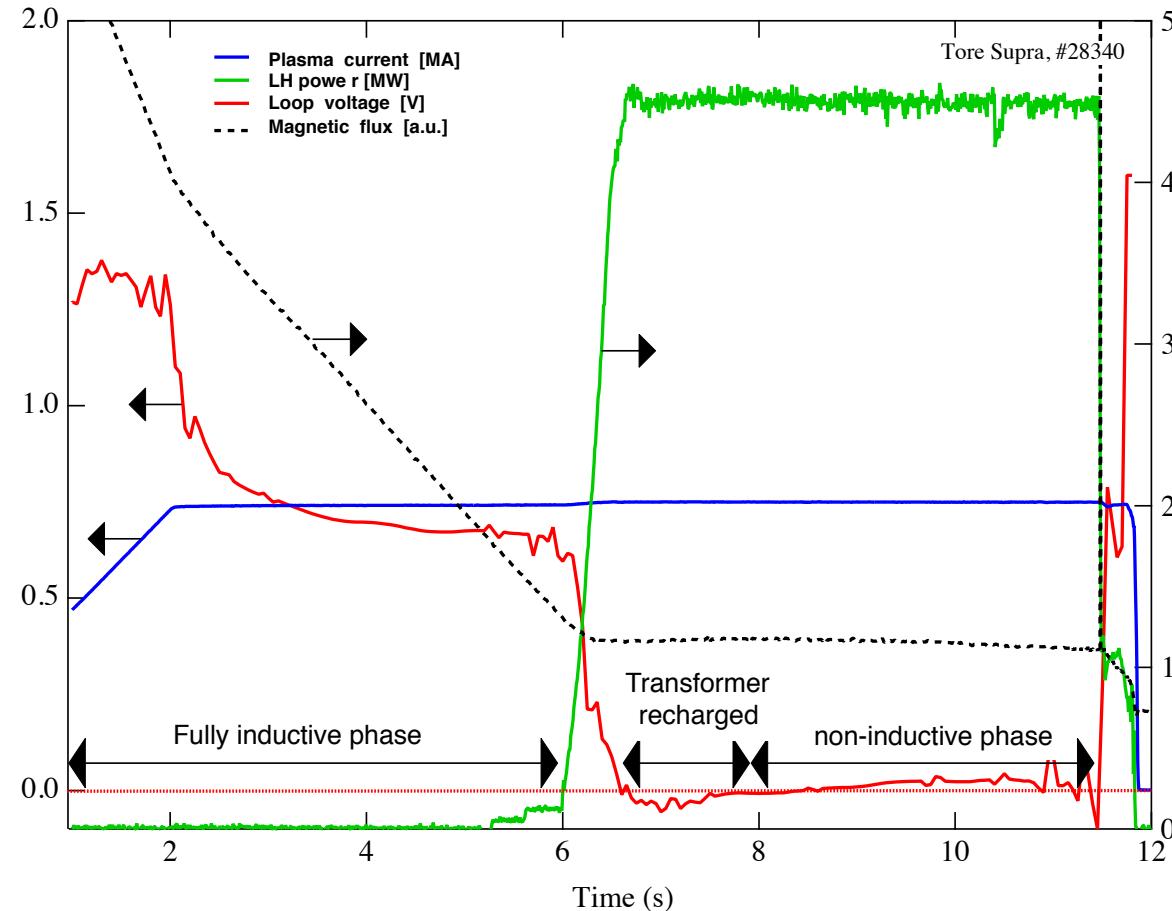
$$M \frac{dI_0}{dt} + L_p \frac{dI_p}{dt} + R_p (I_p - I_{ni}) = 0$$

V_p



Convergence towards stationnary regime with a non-inductive source of current

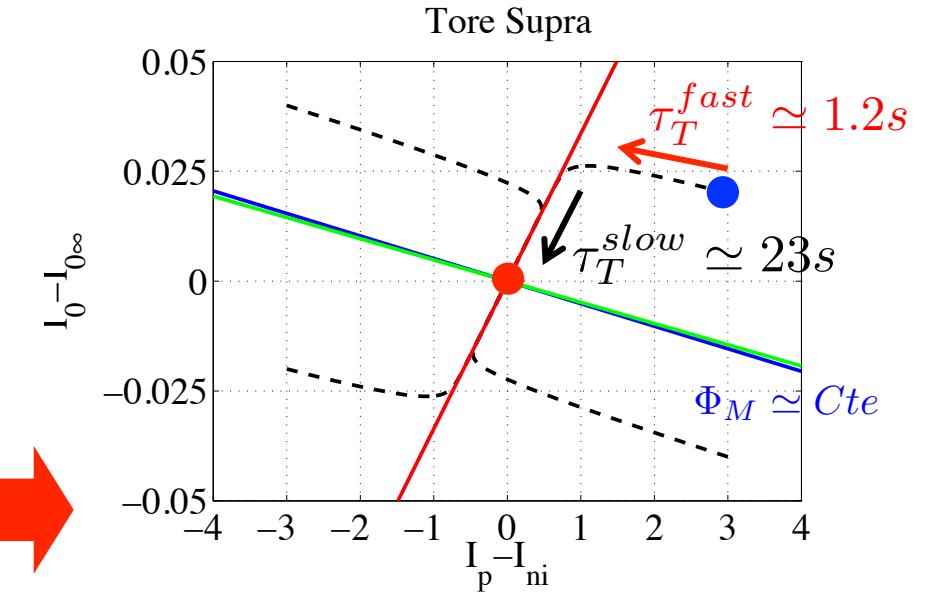
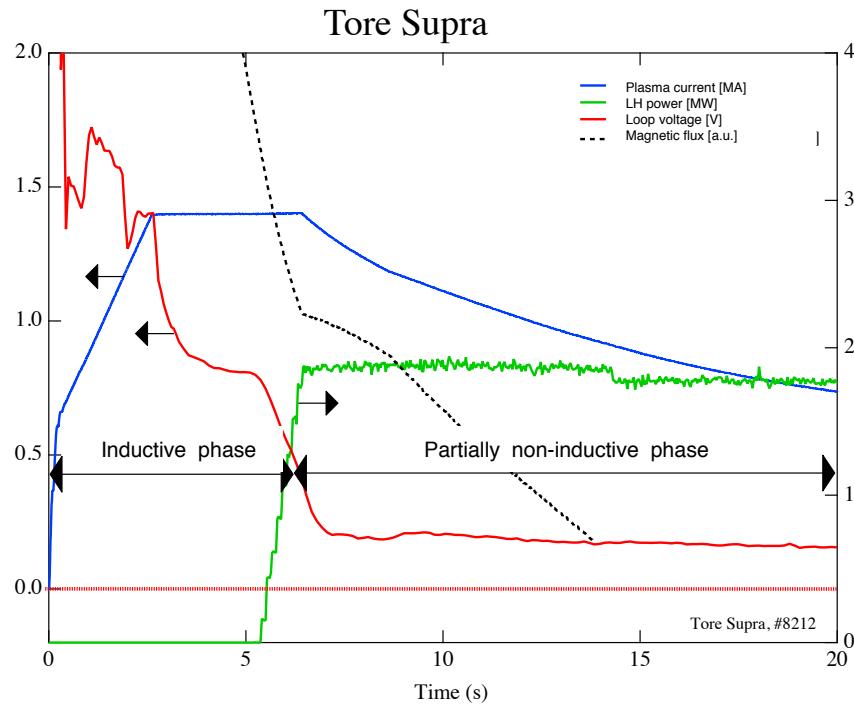
Constant I_p feedback control



Difficult to achieve a reproducible stationnary regime

Convergence towards stationnary regime with a non-inductive source of current

Constant V_0 feedback control



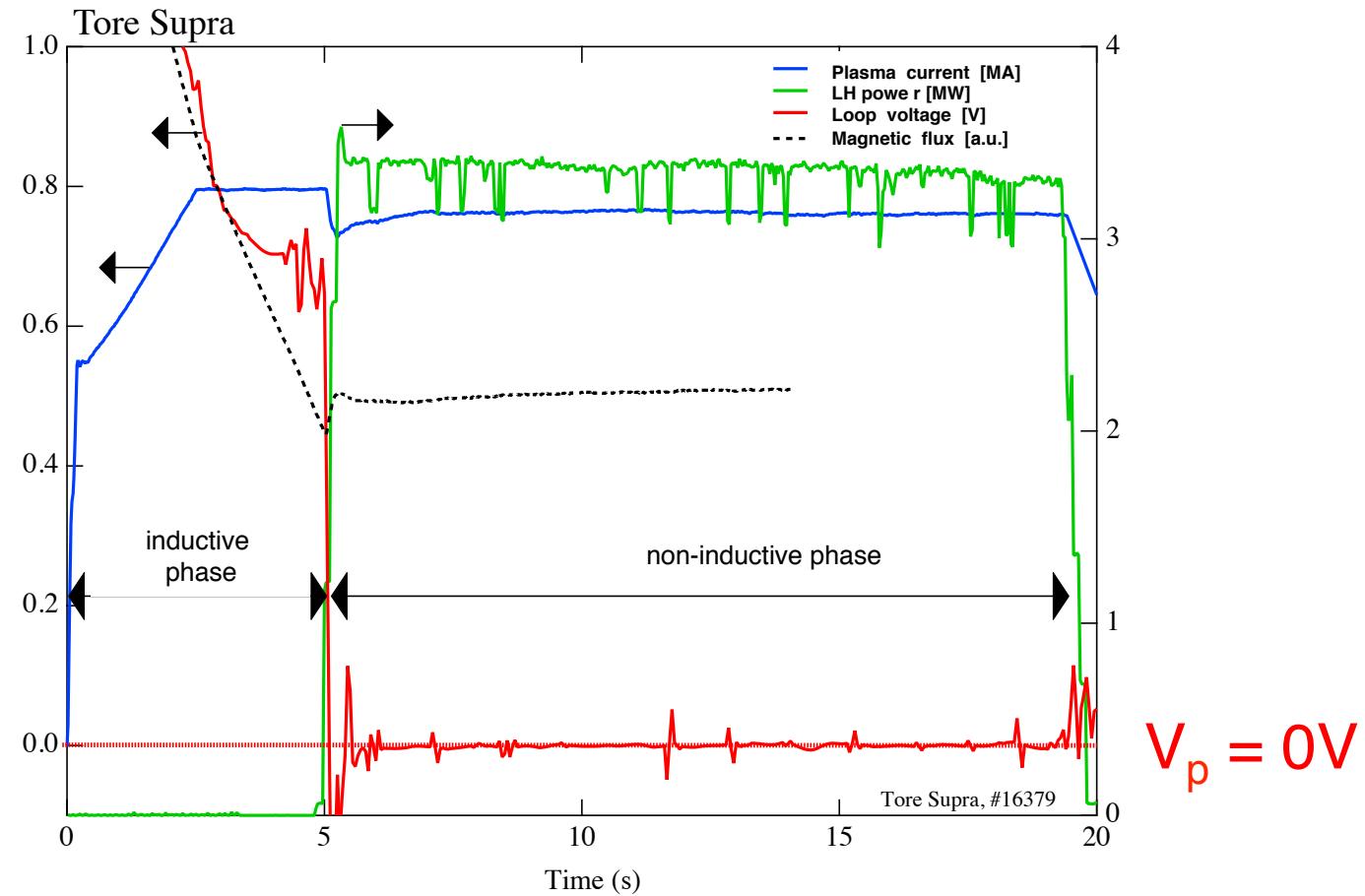
Two time scales associated to
the transformer eigenmodes

Full consumption of the magnetic flux Φ_M
before reaching the stationnary regime

The fast time scale corresponds
to constant magnetic flux Φ_M

Convergence towards stationnary regime with a non-inductive source of current

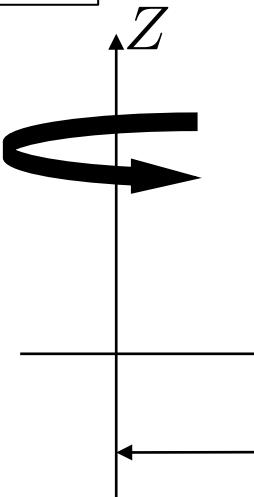
Constant Φ_M feedback control \rightarrow single time scale $\tau_T^{fast} \simeq 1.3s$



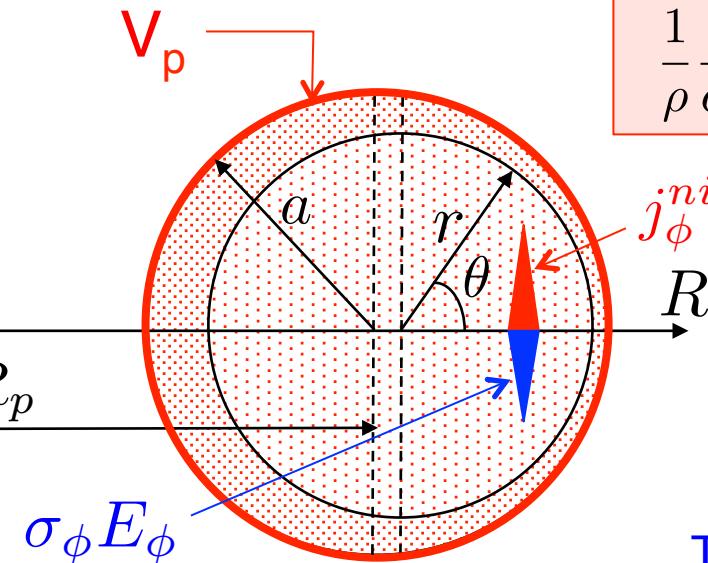
Reproducible stationnary regime, I_p level adjusted with $P_{LH} \rightarrow \eta$

From a stationary to a steady-state regime: current resistive diffusion

1-D



Instantaneous
plasma response



$\sigma_\phi E_\phi$

Ampère's + Faraday's + Ohm's law

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial E_\phi}{\partial \rho} \right) = \frac{\partial}{\partial \tau} \left(E_\phi + j_\phi^{ni} / \sigma_\phi \right)$$

j_ϕ

Resistive time: $\tau_r = \mu_0 \sigma_\phi a^2$

Fundamental eigenmode:

$$\tau_r^* = \tau_r / \lambda_1^2 \quad J_1(\lambda_1) = 0$$

Tore Supra ($a = 0.7\text{m}$) @ $T_e = 5\text{ keV}$

$$\tau_r \simeq 16.8\text{s}$$

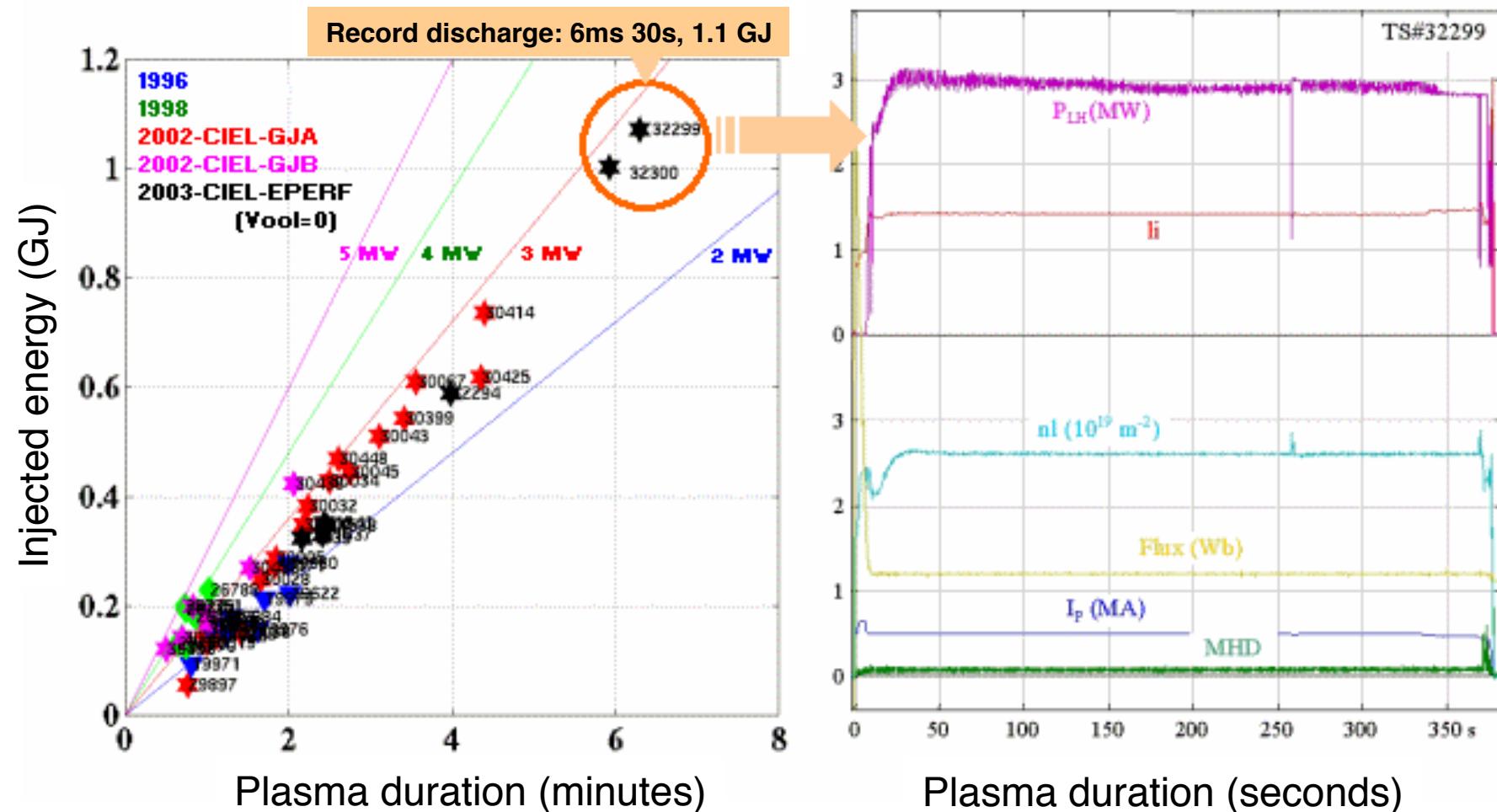
The current density profile evolves on a very long time scale at high temperature → **current profile preshaping when the plasma is cold**

Stationnary regime: $dI_p/dt = 0$

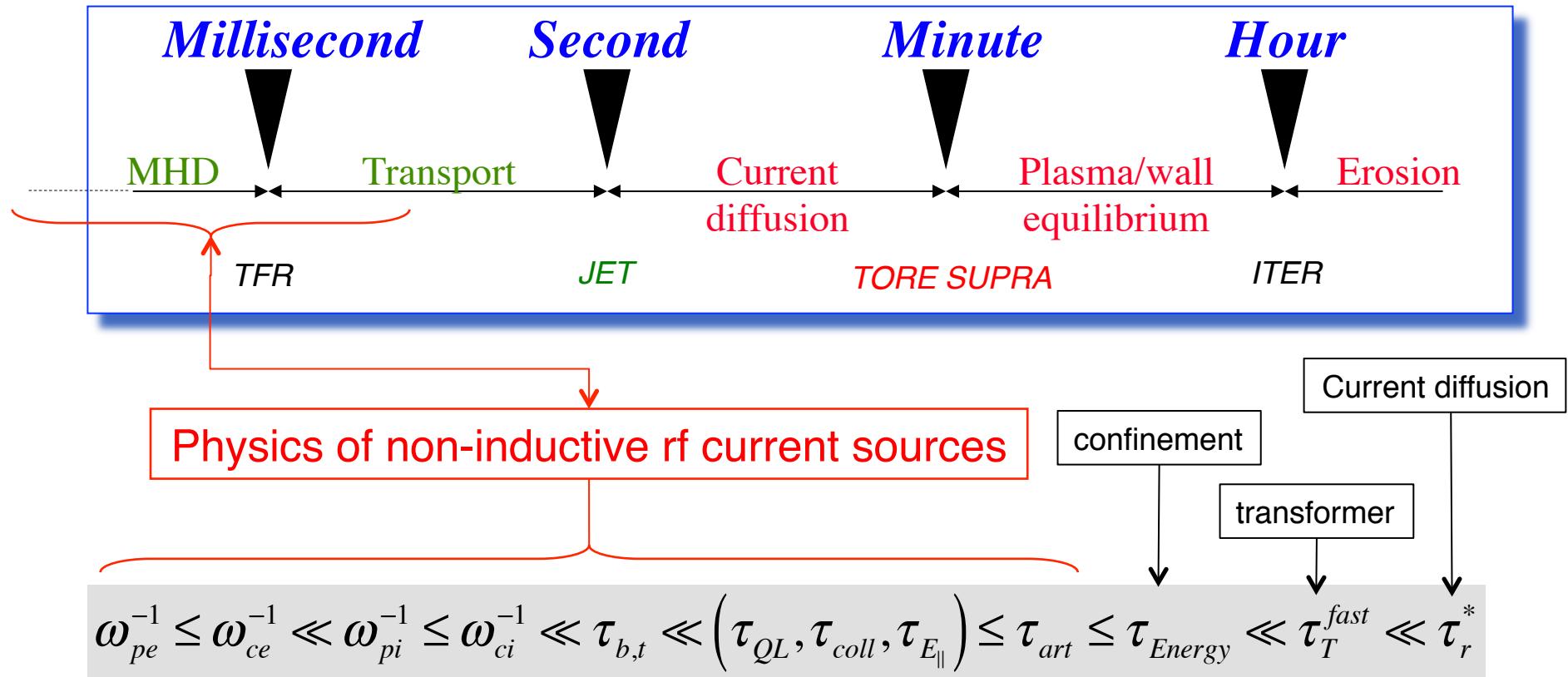
Steady-state regime: $dj_\phi/dt = 0 \rightarrow E_\phi = 0 \text{ everywhere}$

Convergence towards steady-state regime with a non-inductive source of current

Steady-state operation: $t \gg \tau_r^* \gg \tau_{fast}$



Time scales and the physics of current sources



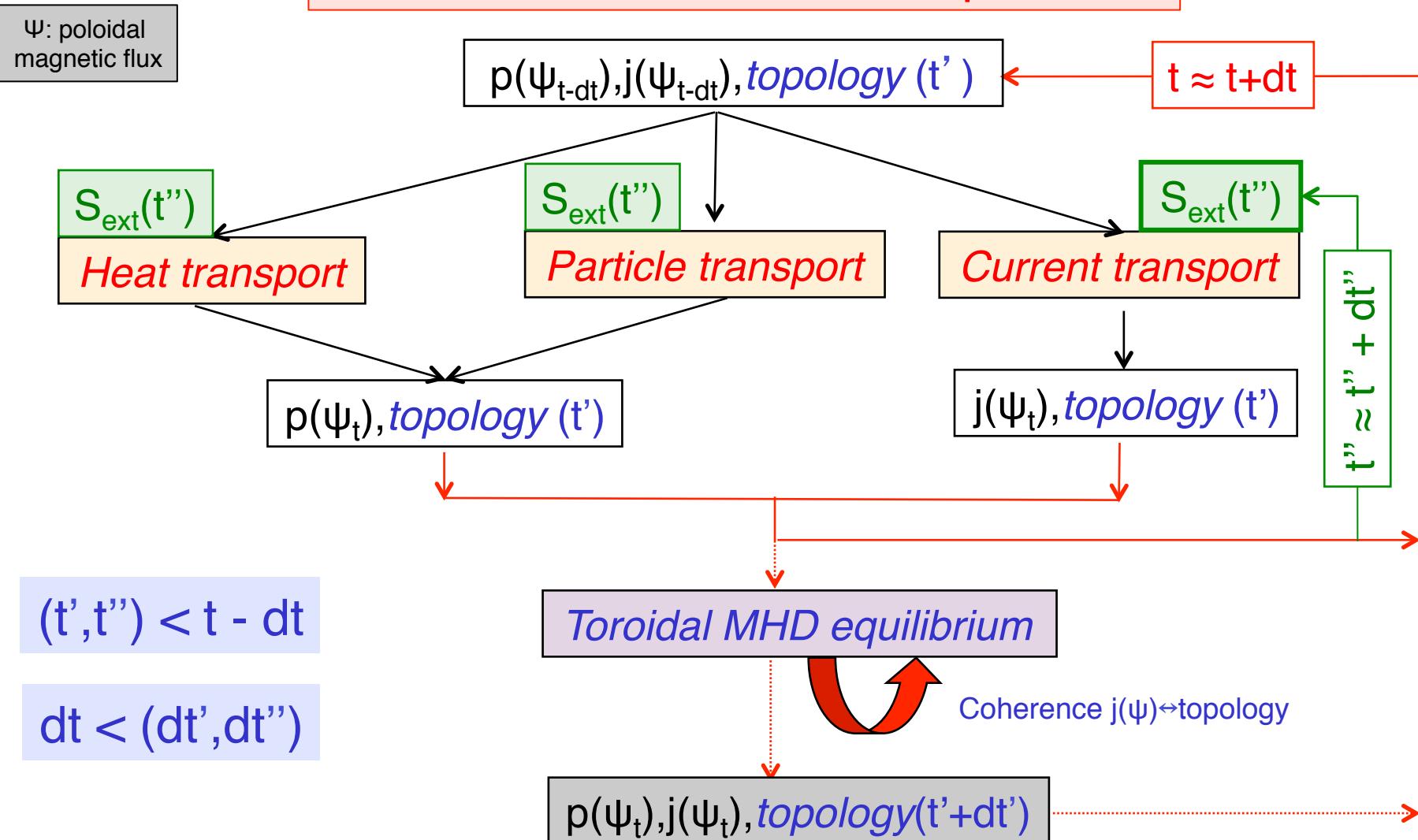
Large time scale separability makes modeling easier !

(hot plasma in large machines)

code modularity

Integrated tokamak modeling and current sources (CRONOS, ITM,...)

Quasi-static toroidal MHD equilibrium



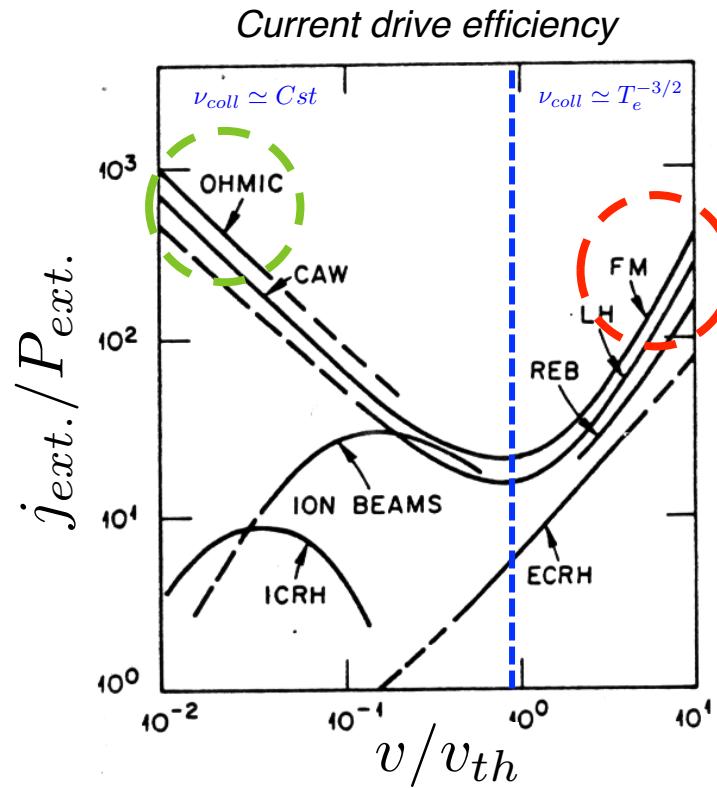
Keywords to characterize an appropriate method for driving a current in tokamaks

- **Steady-state** → *for continuous operation*
- **Localization** → *capability to drive a current from the core to the edge of the plasma with broad or narrow profiles.*
- **Controlability** → *for real-time modification of the current level and spatial localization from parameters at launch*
- **Efficiency** → *the smallest possible fraction of fusion power is used for driving a current in the plasma*



rf waves provide a set of very powerful tools for current drive

Non-inductive current sources



$$\Delta j_{ext.} = n e \boxed{\Delta v}$$



Fast electrons (20 → 200 keV)
(weakly collisional, $v \gg v_{th}$)

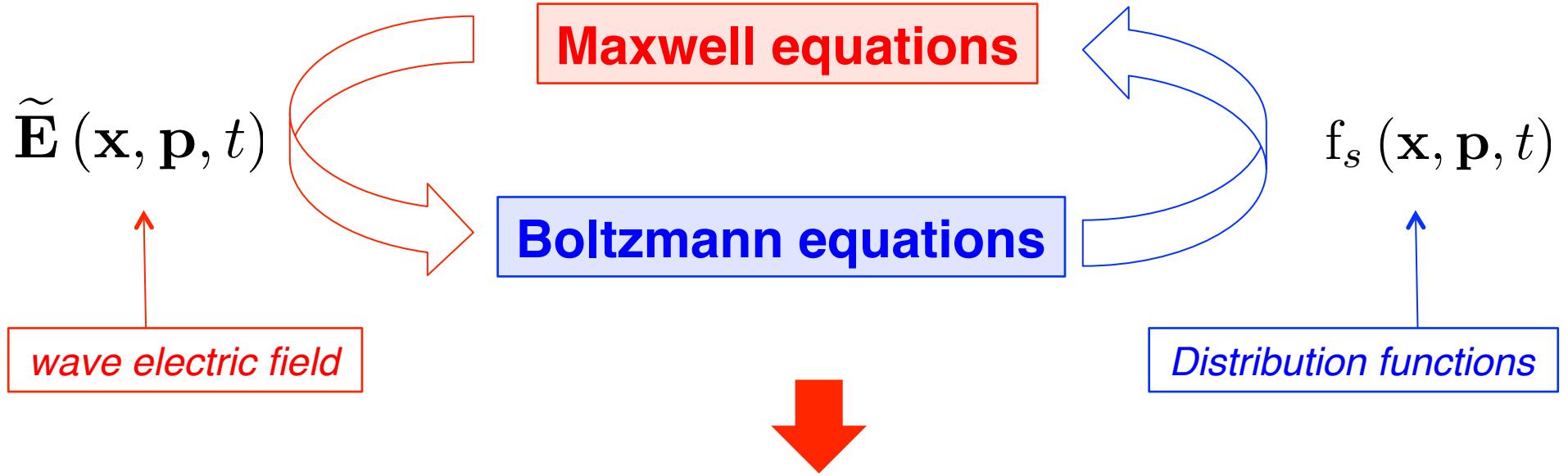
Continuous operation

Resonant acceleration by the oscillating electric field of a propagating rf wave

- $\Delta v \parallel B$: **Landau kinetic resonance**, ~ 1-10 GHz (LH), ~ 100 GHz (EBW)
- $\Delta v \perp B$: **Cyclotronic resonance**, ~ 100 GHz (EC)

The equations for calculating
a rf current source

Theory of rf current source



$$\mathbf{J} (\mathbf{x}, t) = |e| \sum_s Z_s \iiint d^3\mathbf{p} f_s (\mathbf{x}, \mathbf{p}, t) \mathbf{p} / \gamma_s$$

$$\mathbf{P} (\mathbf{x}, t) = \sum_s m_s c^2 \iiint d^3\mathbf{p} (\gamma_s - 1) \partial f_s (\mathbf{x}, \mathbf{p}, t) / \partial t$$

- Boltzmann equation, ion dynamics ignored ($m_e/m_s \ll 1$)

$$\left\{ \begin{array}{l} \frac{df}{dt} = \frac{\partial f}{\partial t} + \dot{\mathbf{x}} \cdot \nabla_{\mathbf{x}} f + \dot{\mathbf{p}} \cdot \nabla_{\mathbf{p}} f = C(f) \\ \dot{\mathbf{p}} = e(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad \text{Coulomb force} \\ \dot{\mathbf{x}} = \mathbf{v} = \mathbf{p}/\gamma \end{array} \right.$$



Fokker-Planck
collision operator

$$\mathcal{O}(1/\log \Lambda)$$

- Maxwell's equations

$$\left\{ \begin{array}{l} \nabla \times \mathbf{E} = -\partial \mathbf{B} / \partial t \\ \nabla \times \mathbf{B} = \mu_0 \mathbf{J} - c^{-2} \partial \mathbf{E} / \partial t \end{array} \right.$$

Space- and time-scale ordering

- Small parameter expansion: $\delta^2 \simeq \rho/R \simeq \boxed{\omega_b}/\Omega$
- In tokamaks, Coulomb collisions $\nu/\Omega \leq \delta^2$

$$\left\{ \begin{array}{l} \frac{\partial}{\partial t} = \boxed{\frac{\partial}{\partial t_{\omega,\Omega}}} + \boxed{\delta^2 \frac{\partial}{\partial t_b}} \\ \nabla_{\mathbf{x}} = \boxed{\nabla_{\mathbf{x}_\rho}} + \boxed{\delta \nabla_{\mathbf{x}_T}} + \boxed{\delta^2 \nabla_{\mathbf{x}_R}} \end{array} \right.$$

← Gyro-motion
↓ Radial transport
→ Orbits

Bounce frequency


Small parameter expansion

- Expansion in power of δ

$$\left\{ \begin{array}{l} f = f_0 + \delta f_1 + \delta^2 f_2 + \dots \\ \mathbf{B} = \mathbf{B}_0 + \delta \mathbf{B}_1 + \delta^2 \mathbf{B}_2 + \dots \\ \mathbf{E} = \mathbf{E}_0 + \delta \mathbf{E}_1 + \delta^2 \mathbf{E}_2 + \dots \\ \mathbf{J} = \mathbf{J}_0 + \delta \mathbf{J}_1 + \delta^2 \mathbf{J}_2 + \dots \\ C = \delta^2 C_2 + \dots \end{array} \right.$$

- Magnetic equilibrium: $\mathbf{E}_0 = 0$

■ to order δ^0

$$\cancel{\partial f_0 / \partial t_{\omega, \Omega}} + \mathbf{v} \cdot \nabla_{\mathbf{x}_\rho} f_0 + \boxed{\Omega \partial f_0 / \partial \varphi} = 0$$

gyro-independent ←

Equilibrium magnetic field and current:



$$\left\{ \begin{array}{l} \nabla_{\mathbf{x}_R} \times \mathbf{B}_0 = \mu_0 \mathbf{J}_0 \\ \mathbf{J}_0 (\mathbf{x}, t) = e \iiint \mathbf{v} f_0 (\mathbf{x}, \mathbf{p}, t) d^3 \mathbf{p} \end{array} \right.$$

First order scale: wave dynamics

- to order δ^1

$$\partial f_1 / \partial t_{\omega, \Omega} + \mathbf{v} \cdot \nabla_{\mathbf{x}_\rho} f_1 + \Omega \partial f_1 / \partial \varphi =$$

$$-e [\mathbf{E}_1 + \mathbf{v} \times \mathbf{B}_1] \cdot \nabla_{\mathbf{p}} f_0 - \mathbf{v} \cdot \nabla_{\mathbf{x}_T} f_0$$



$$\mathbf{J}_1 (\mathbf{x}, t) = e \iiint \mathbf{v} f_1 (\mathbf{x}, \mathbf{p}, t) d^3 \mathbf{p}$$

$$= \boxed{\mathbf{S}}(f_0) \cdot \mathbf{E}_1 \quad \xleftarrow{\text{constitutive relation}}$$

conductivity tensor 

First order scale: wave dynamics

Maxwell equation linear in \mathbf{E}_1 :

$$\nabla_{\mathbf{x}_\rho} \times \nabla_{\mathbf{x}_\rho} \times \mathbf{E}_1 + \mu_0 \mathbb{S}(f_0) \cdot \partial \mathbf{E}_1 / \partial t_{\Omega, \omega} + c^{-2} \partial^2 \mathbf{E}_1 / \partial^2 t_{\Omega, \omega} = 0$$

- to order δ^2

$$\partial f_2 / \partial t_{\omega, \Omega} + \mathbf{v} \cdot \nabla_{\mathbf{x}_\rho} f_2 + \Omega \partial f_2 / \partial \varphi +$$

$$\boxed{\partial f_0 / \partial t_b} + \mathbf{v} \cdot \nabla_{\mathbf{x}_R} f_0 + \mathbf{v} \cdot \nabla_{\mathbf{x}_T} f_1 +$$

$$e [\mathbf{E}_2 + \mathbf{v} \times \mathbf{B}_2] \cdot \nabla_{\mathbf{p}} f_0 +$$

$$e [\mathbf{E}_1 + \mathbf{v} \times \mathbf{B}_1] \cdot \nabla_{\mathbf{p}} f_1 = C(f_0)$$

Using linear relation between f_0 and f_1 (order δ^1) + averaging over fast time scales → slow time scale evolution of f_0 .

Quasilinear kinetic equation

$$\begin{aligned} \partial f_0 / \partial t_b + \mathbf{v}_{cg} \cdot \nabla_{\mathbf{x}_R} f_0 \\ = C(f_0) + Q(f_0) + T(f_0) + E(f_0) \end{aligned}$$

$$\left\{ \begin{array}{l} E(f_0) = -\nabla_{\mathbf{p}} \left(e \langle \mathbf{E}_2 \rangle_{\Omega, \omega} \cdot f_0 \right) \\ Q(f_0) \equiv \nabla_{\mathbf{p}} \cdot (\mathbb{D}_{ql} \cdot \nabla_{\mathbf{p}} f_0) \rightarrow \boxed{\mathbb{D}_{ql} \propto ||\mathbf{E}_1||^2} \\ T(f_0) \equiv \nabla_{\mathbf{x}_T} \cdot (\mathbb{D}_{\mathbf{x}} \cdot \nabla_{\mathbf{x}_T} f_0) \end{array} \right.$$

Linearization of the electron-electron collision operator

$$\mathcal{C}(f) = \sum_s \sum_{s'} C(f, f_{ss'}) + C(f, f)$$

$$C(f, f) \simeq C(f, f_M) + C(f_M, f) \quad \text{for the electrons}$$

$$f \simeq f_M + \delta f$$

First term of the Legendre polynomials expansion of the electron distribution function

$$C(f_M, f_M) = 0$$

$$C(f_M, f) \simeq C\left(f_M, \frac{3}{2}\xi |f^{(m=1)}| (t, \mathbf{X}, p)\right)$$



By construction the linearized electron-electron collision operator *conserves particles, momentum, but not energy*, so there is no need to introduce an **energy loss term** in the Fokker-Planck equation.

Range of validity

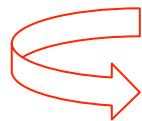
- quasilinear approximation valid for small wave field amplitude
- no electron trapping in the rf wave field (collisions)
- Guiding center approximation

$$\mathbf{v}_{cg} \simeq p_{\parallel} \hat{\mathbf{b}} / \gamma + \boxed{\mathbf{v}_D} \quad (\text{order } \delta^2)$$

$$\mathbf{p} = p_{\parallel} \hat{\mathbf{b}} + \mathbf{p}_{\perp}$$

Further expansion
for bootstrap current

- pitch-angle cosine: $\xi = p_{\parallel} / p$



$f_0(\psi, \theta, \phi, p_{\parallel}, p_{\perp})$ is function of five coordinates

Further reduction of the number of dimensions: bounce-averaging

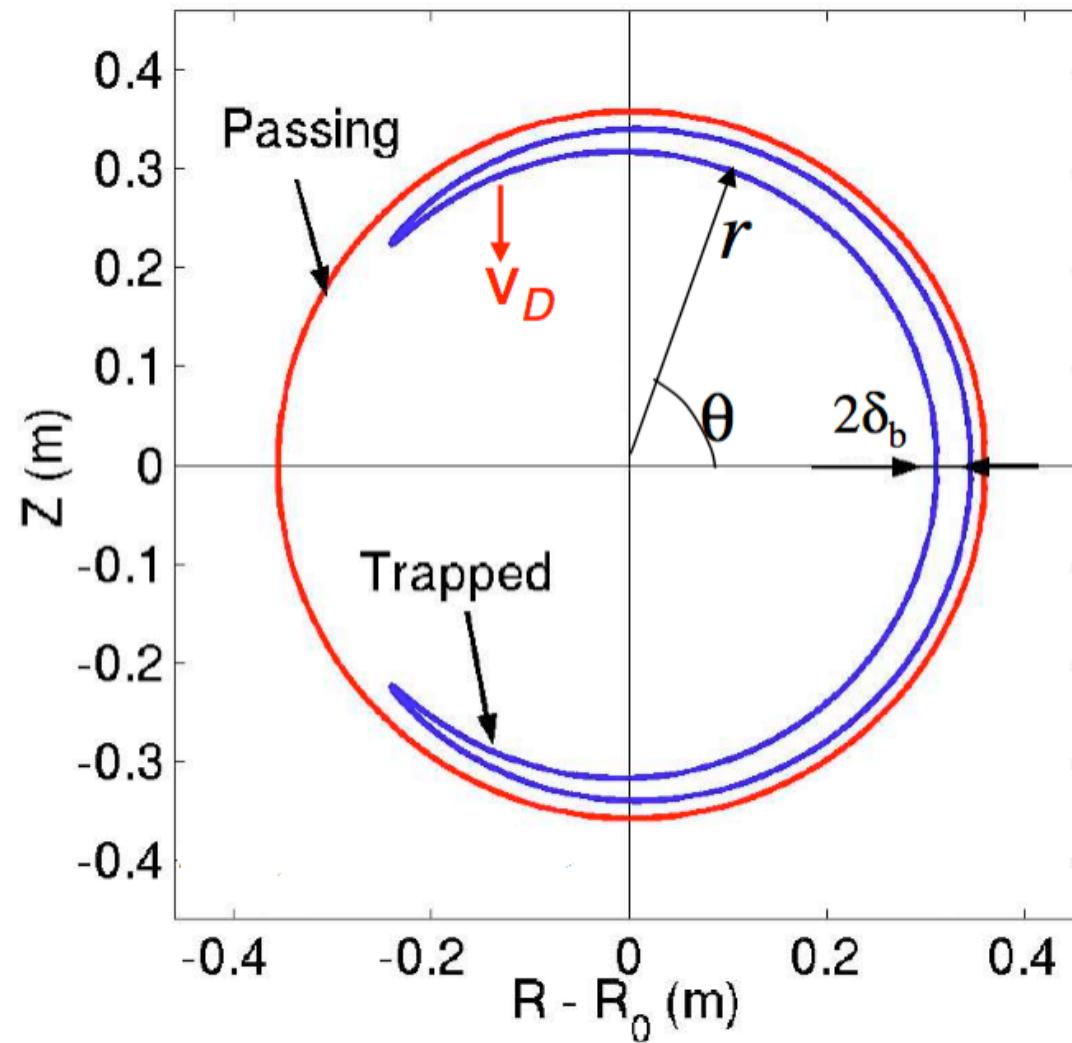
- axisymmetric configuration \longrightarrow averaging over ϕ
- New ordering: low collision or « banana » regime

$$\delta^2 \ll \nu^* = \nu\tau_b \ll 1$$

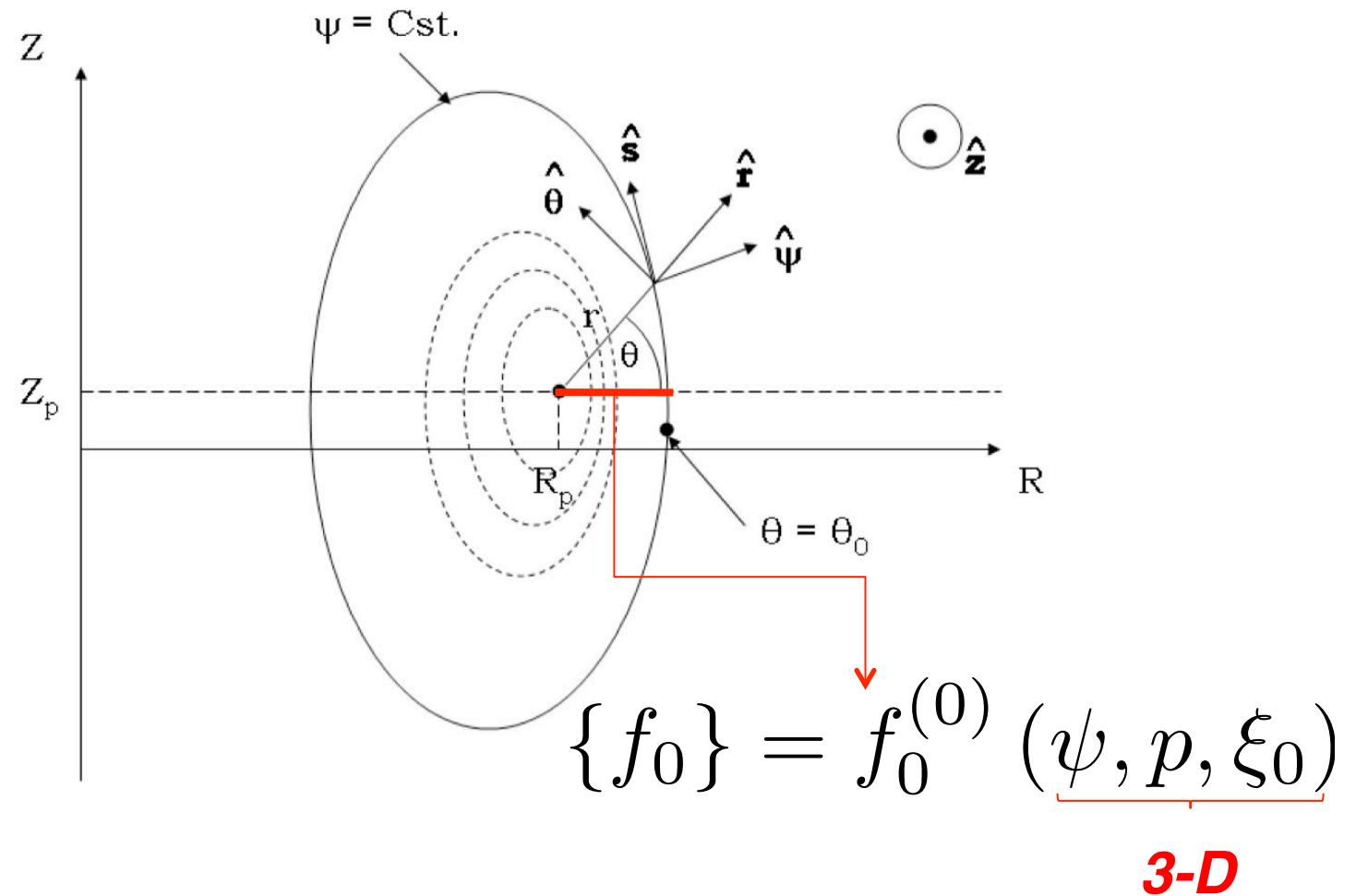
- Thin banana width approximation $\|\mathbf{v}_D\| / \|\mathbf{v}_{cg}\| \ll 1$

$$\left[\begin{array}{l} \partial \{f_0\} / \partial t = \{C(f_0)\} + \{Q(f_0)\} + \{E(f_0)\} \\ \\ \{\mathbf{v}_{cg} \cdot \nabla_{\mathbf{x}_R} f_0\} = 0 \\ \\ \{\mathcal{O}\} \equiv \frac{1}{\lambda \tilde{q}} \underbrace{\left[\frac{1}{2} \sum_{\sigma} \right]}_{\text{trapped electrons}} T \int_{\theta_{\min}}^{\theta_{\max}} \frac{d\theta}{2\pi} \frac{1}{|\hat{\psi} \cdot \hat{\mathbf{r}}|} \frac{r}{a_p} \frac{B}{B_P} \frac{\xi_0}{\xi} \mathcal{O} \end{array} \right]$$

Electron orbits

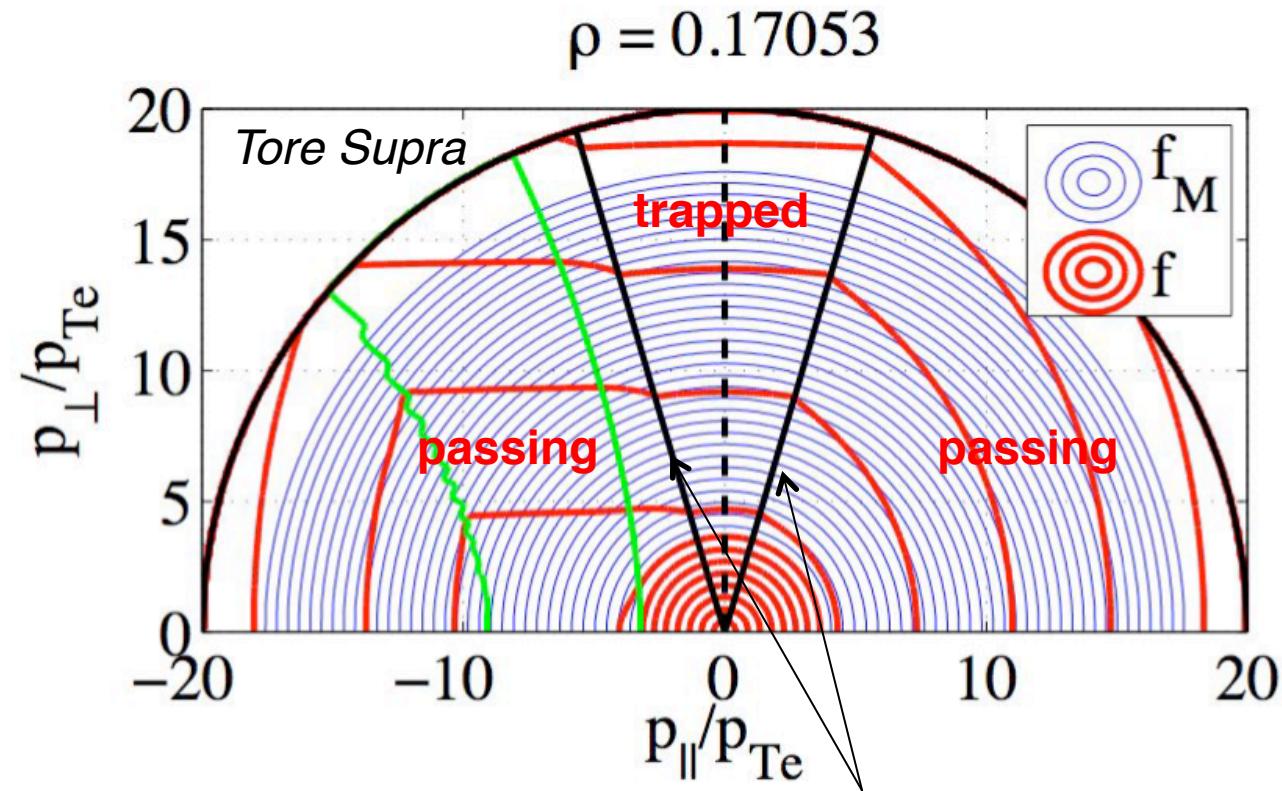


Reduced configuration space: 3-D



All the electron dynamics is projected at $B = B_{\min}$

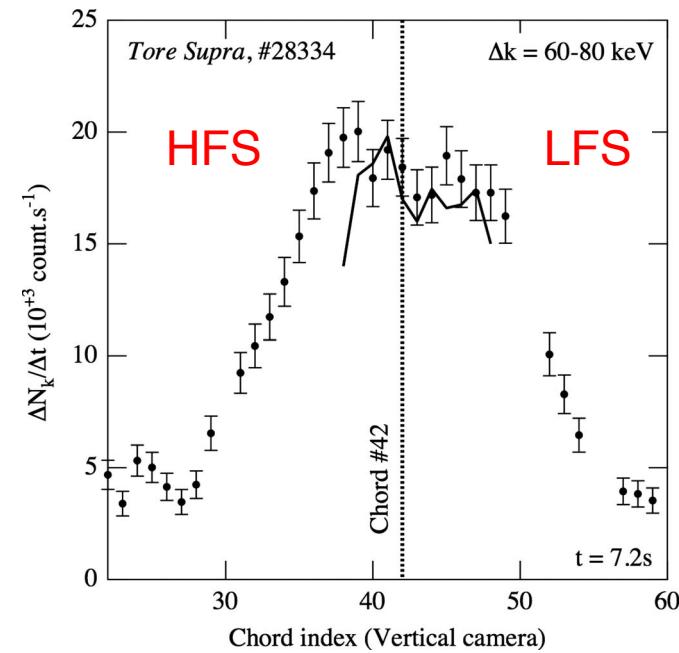
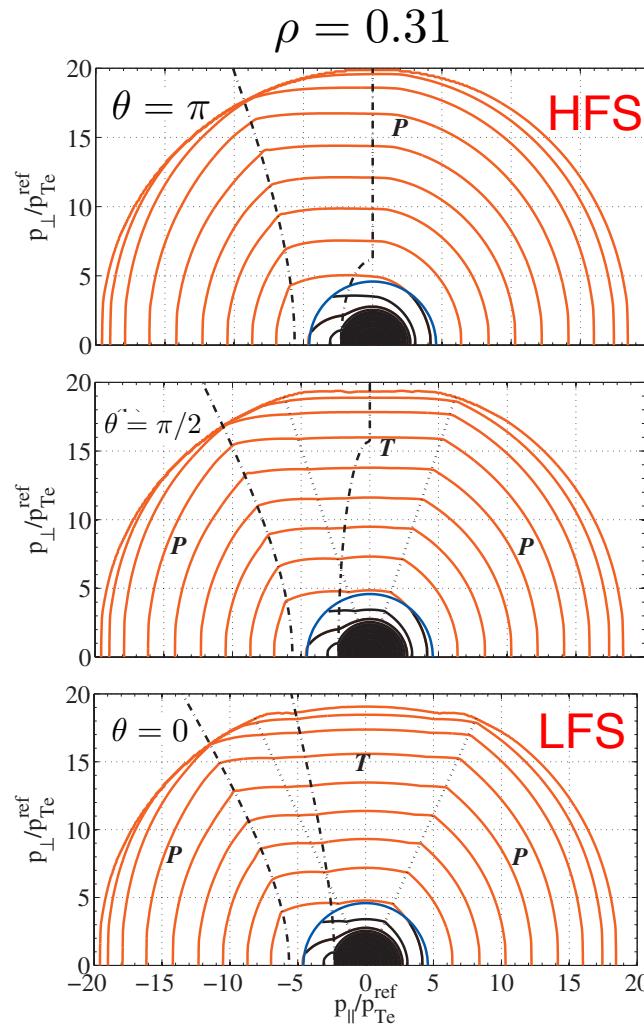
Bounce-averaged electron momentum distribution function



$$\xi_{0T} = \sqrt{1 - \frac{B_{\min}(\psi)}{B_{\max}(\psi)}}$$

Momentum space dynamics on the magnetic flux surface ψ

Local reconstruction of the electron distribution at any poloidal position

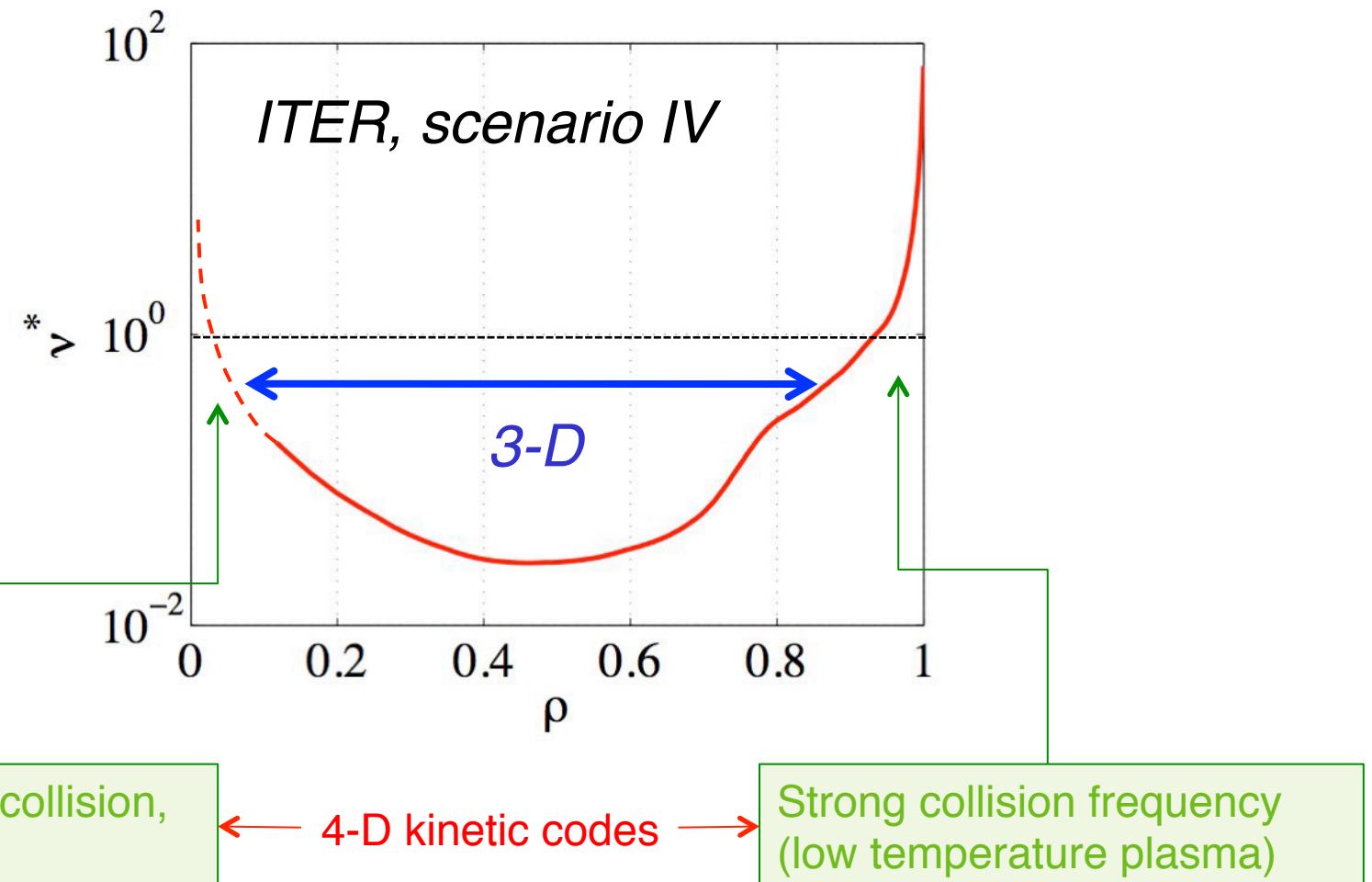


$$f(\psi, \theta, p, \xi) = f^{(0)}(\psi, p, \xi_0)$$

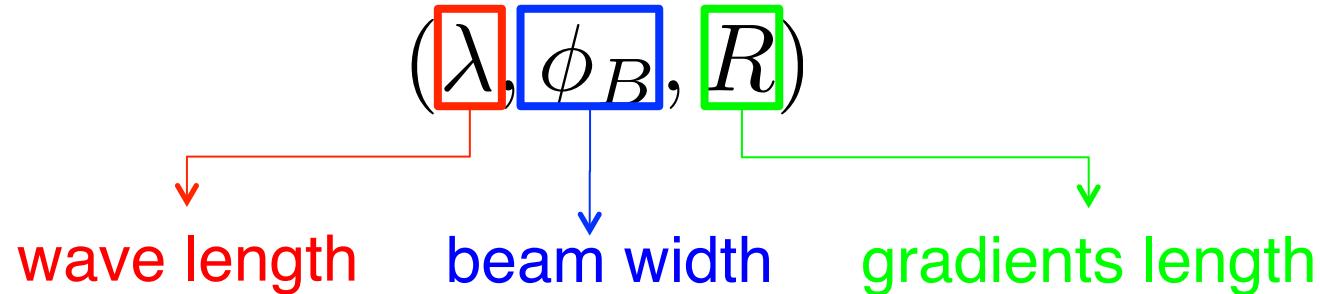
$$\xi = \sigma \sqrt{1 - \Psi(\psi, \theta)(1 - \xi_0^2)}$$

**LUKE 3-D Fokker-Planck solver
R5-X2 bremsstrahlung code**

Bounce-averaging range of validity



rf wave ordering and code complexity



Increasing level of complexity

- | | | |
|------------------------------|--|---------------|
| $\lambda \ll \phi_B \ll R$ | | ray-tracing |
| $\lambda \sim \phi_B \ll R$ | | beam-tracing |
| $\lambda \ll \phi_B \sim R$ | | WKB full-wave |
| $\lambda \sim \phi_B \sim R$ | | full-wave |

Quasi-plane wave + WKB approximations: ray tracing may be applied

$$\mathbf{E}_1(\mathbf{x}, t) = \boxed{\mathbf{E}_{\mathbf{k},\omega}(\mathbf{x}, t)} e^{i[\mathbf{k}_1(\mathbf{x}, t) \cdot \mathbf{x} - \omega(\mathbf{x}, t)t]}$$

Quasi plane wave approximation

Smooth wavefield envelope

$$\lambda \ll \phi_B$$

~ narrow spectral width

$$\|\Delta \mathbf{k}_1 \times \mathbf{v}_G\| / \|\mathbf{k}_1 \times \mathbf{v}_G\| \sim \lambda/\phi_B \ll 1$$

A wave front exists: $\nabla S = k_1$

$$\boxed{\mathbf{k}_1(\mathbf{x}, t) \cdot \mathbf{x}} - \boxed{\omega(\mathbf{x}, t)t}$$

WKB approximation



methods for uniform plasmas can be applied locally:

- Fourier space description
- group velocity
- local conductivity tensor

$$\lambda \ll R$$

Wave equation

$$|\nabla_{\mathbf{x}} \mathbf{E}_{\mathbf{k},\omega} \cdot \mathbf{v}_G| / |\mathbf{E}_{\mathbf{k},\omega} \cdot \mathbf{v}_G| \ll \|\mathbf{k}_1\|$$

$$|\partial \mathbf{E}_{\mathbf{k},\omega} / \partial t \cdot \mathbf{v}_G| / |\mathbf{E}_{\mathbf{k},\omega} \cdot \mathbf{v}_G| \ll \omega$$



Wave equation

$$\mathbb{D}_{\mathbf{k},\omega} \cdot \mathbf{E}_{\mathbf{k},\omega} = i \nabla_{\mathbf{k}} \mathbb{D}_{\mathbf{k},\omega} : \nabla_{\mathbf{x}} \mathbf{E}_{\mathbf{k},\omega}$$

rf physics definitions

- dispersion tensor

$$\mathbb{D}_{\mathbf{k},\omega} = \mathbf{n}\mathbf{n} - n^2\mathbb{I} + \mathbb{K}_{\mathbf{k},\omega}(f_0)$$

- Permitivity and susceptibility tensors

$$\mathbb{K}_{\mathbf{k},\omega}(f_0) = \mathbb{I} + \mathbb{X}_{\mathbf{k},\omega}(f_0)$$

$$\mathbb{X}_{\mathbf{k},\omega}(f_0) = i\mathbb{S}_{\mathbf{k},\omega}(f_0) / (\varepsilon_0\omega)$$

- wave refractive index

$$\mathbf{n} = \frac{c}{\omega}\mathbf{k}_1 n_{\parallel} \hat{\mathbf{b}} + \mathbf{n}_{\perp}$$

- wave polarization vector

$$\mathbf{e}_{\mathbf{k},\omega} = \mathbf{E}_{\mathbf{k},\omega} / \|\mathbf{E}_{\mathbf{k},\omega}\|$$

Weak damping ordering

- Assume ω and n_{\parallel} are real (rf wave propagates)
- weak damping approximation:

$$\delta \sim \left| \mathbb{D}_{\mathbf{k},\omega}^A(i,j) \right| / \left| \mathbb{D}_{\mathbf{k},\omega}^H(i,j) \right| \ll 1$$

where $\mathbb{D}_{\mathbf{k},\omega} = \mathbb{D}_{\mathbf{k},\omega}^H + i\mathbb{D}_{\mathbf{k},\omega}^A$



Expansion in powers of δ → $\begin{cases} n_{\perp} = n_{\perp r} + i n_{\perp i} \\ \mathbf{e}_{\mathbf{k},\omega} \\ \mathbb{D}_{\mathbf{k},\omega} \end{cases}$

Ray tracing equations

- to order δ^0

$$\mathbb{D}_{\mathbf{k},\omega}^H(n_{\perp 0}) \cdot \mathbf{e}_{\mathbf{k},\omega,0} = 0$$



dispersion relation satisfied by propagative eigenmodes

$$\det \left(\mathbb{D}_{\mathbf{k},\omega}^H \right) = \mathcal{D}(n_{\perp 0}, n_{||}, \omega) = 0$$

$$\hookrightarrow n_{\perp 0} = n_{\perp 0}(n_{||}, \omega)$$

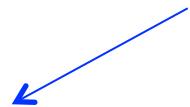
$$n_{\perp i0} = 0 \quad \text{no rf absorption at this order !}$$

Wave-particle interaction

- to order δ^1

Equation of energy
transfer between wave
and electrons

$$\mathbf{v}_G \cdot \nabla_{\mathbf{x}} \|\mathbf{E}_1\| +$$



$$\frac{\mathbf{e}_{\mathbf{k},\omega,0}^* \cdot \mathbb{D}_{\mathbf{k},\omega}^A \cdot \mathbf{e}_{\mathbf{k},\omega,0}}{\partial(\mathbf{e}_{\mathbf{k},\omega,0}^* \cdot \mathbb{D}_{\mathbf{k},\omega}^H \cdot \mathbf{e}_{\mathbf{k},\omega,0})/\partial\omega} \|\mathbf{E}_1\| = 0$$

Weak damping approximation



Separation propagation/absorption

Resonance condition: quasilinear self-consistency

- non-resonant contribution

$\mathbb{D}_{\mathbf{k},\omega}^H \longrightarrow$ principal value of $\mathbb{S}_{\mathbf{k},\omega}$

$$\mathbb{D}_{\mathbf{k},\omega}^H (f_0) \simeq \mathbb{D}_{\mathbf{k},\omega}^H (f_M)$$

- resonant contribution $\gamma - n_{\parallel} p_{\parallel} - n\Omega/\omega = 0$

$\mathbb{D}_{\mathbf{k},\omega}^A \longrightarrow$ resonant part of $\mathbb{S}_{\mathbf{k},\omega}$

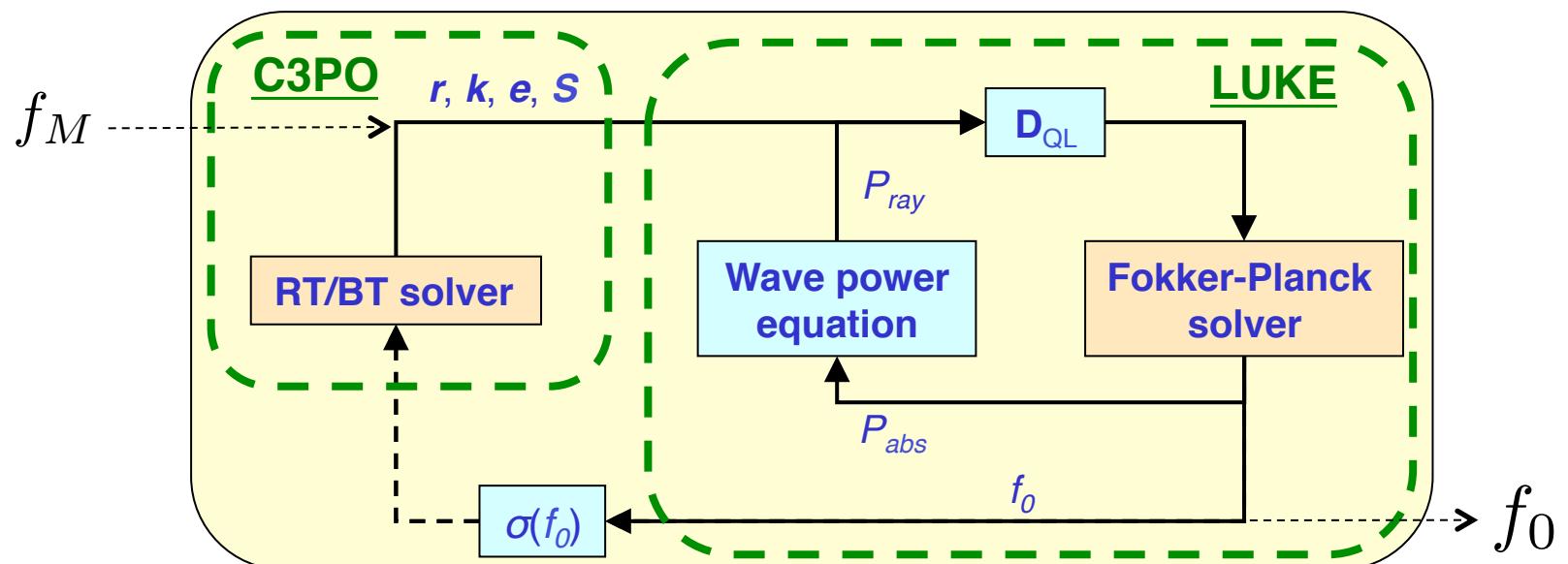
$$\mathbb{D}_{\mathbf{k},\omega}^A (f_0) \neq \mathbb{D}_{\mathbf{k},\omega}^A (f_M)$$

self-consistency needed !

C3PO/LUKE,
a rf current source module
for integrated modeling

rf current source module

- the ray-tracing is function of f_M .
- the wave amplitude, i.e. the quasilinear diffusion coefficient D_{ql} must be calculated self-consistently with the distribution f_0 .
- Global consistency: *power lost by the wave = power gained by electrons from quasilinear operator*



The ray tracing C3PO: multimodel approach based on chain derivatives

- Separation between plasma dispersion models and the metric associated to the magnetic equilibrium

$$\begin{aligned} \frac{\partial \mathbb{X}_{ij}^s}{\partial \mathbf{Y}} = & \frac{\partial \mathbb{X}_{ij}^s}{\partial n_{\perp}} \frac{\partial n_{\perp}}{\partial \mathbf{Y}} + \frac{\partial \mathbb{X}_{ij}^s}{\partial n_{\parallel}} \frac{\partial n_{\parallel}}{\partial \mathbf{Y}} \\ & + \frac{\partial \mathbb{X}_{ij}^s}{\partial \beta_{Ts}} \frac{\partial \beta_{Ts}}{\partial \mathbf{Y}} + \frac{\partial \mathbb{X}_{ij}^s}{\partial \bar{\omega}_{ps}} \frac{\partial \bar{\omega}_{ps}}{\partial \mathbf{Y}} + \frac{\partial \mathbb{X}_{ij}^s}{\partial \bar{\Omega}_s} \frac{\partial \bar{\Omega}_s}{\partial \mathbf{Y}} \end{aligned}$$

$$\mathbf{Y} = (\mathbf{X}, \mathbf{k}, t, \omega)$$

$$\beta_s = \sqrt{kT_s/m_s c^2}$$

$$\bar{\omega}_{ps} = \omega_{ps}/\omega \quad \bar{\Omega}_s = \Omega_s/\omega$$

The 3-D ray-tracing C3PO

- Curvilinear coordinate system: $(\rho(\psi), \theta, \phi)$
- 2-D axisymmetric configuration (cylinder, dipole, torus) + 3-D perturbation (nested magnetic flux surfaces)
- Vectorization of the magnetic equilibrium: Fourier series + piecewise cubic interpolation using Hermite polynomials: no interpolation performed at each time step
- (4,5) order Runge-Kutta
- rays are calculated inside the separatrix. Specular reflexion enforced - if needed - at $\rho=1$.
- ray calculation are almost stopped when the rf power is linearly damped
- cold, warm, hot and relativistic dielectric tensors
- written in C (MatLab mex-file)
- *distributed and remote computing capability* (1ray/core, GPU)

```
[b1,b2,...] = any_Matlab_function(a1,a2,a3...)
```



Computation may be done anywhere !

```
{[b1,b2,...]} = remotecomputing(@any_Matlab_function,{a1,a2,a3...},2,{a2_range},computer_id)
```

The 3-D linearized bounce-averaged relativistic Fokker-Planck solver LUKE

- Fully 3-D conservative formulation

Magnetic ripple losses
Runaway electron avalanches

$$\partial f^{(0)} / \partial t + \nabla \cdot \mathbf{S}^{(0)} = s_+^{(0)} - s_-^{(0)}$$

momentum space

$$\nabla \cdot \mathbf{S}^{(0)} = \frac{B_0}{\tilde{q}\lambda} \frac{\partial}{\partial \psi} \left(\frac{\tilde{q}\lambda}{B_0} \|\nabla \psi\| S_\psi^{(0)} \right) + \frac{1}{p^2} \frac{\partial}{\partial p} \left(p^2 S_p^{(0)} \right) - \frac{1}{\lambda p} \frac{\partial}{\partial \xi_0} \left(\lambda \sqrt{1 - \xi_0^2} S_\xi^{(0)} \right)$$

Orbit effect

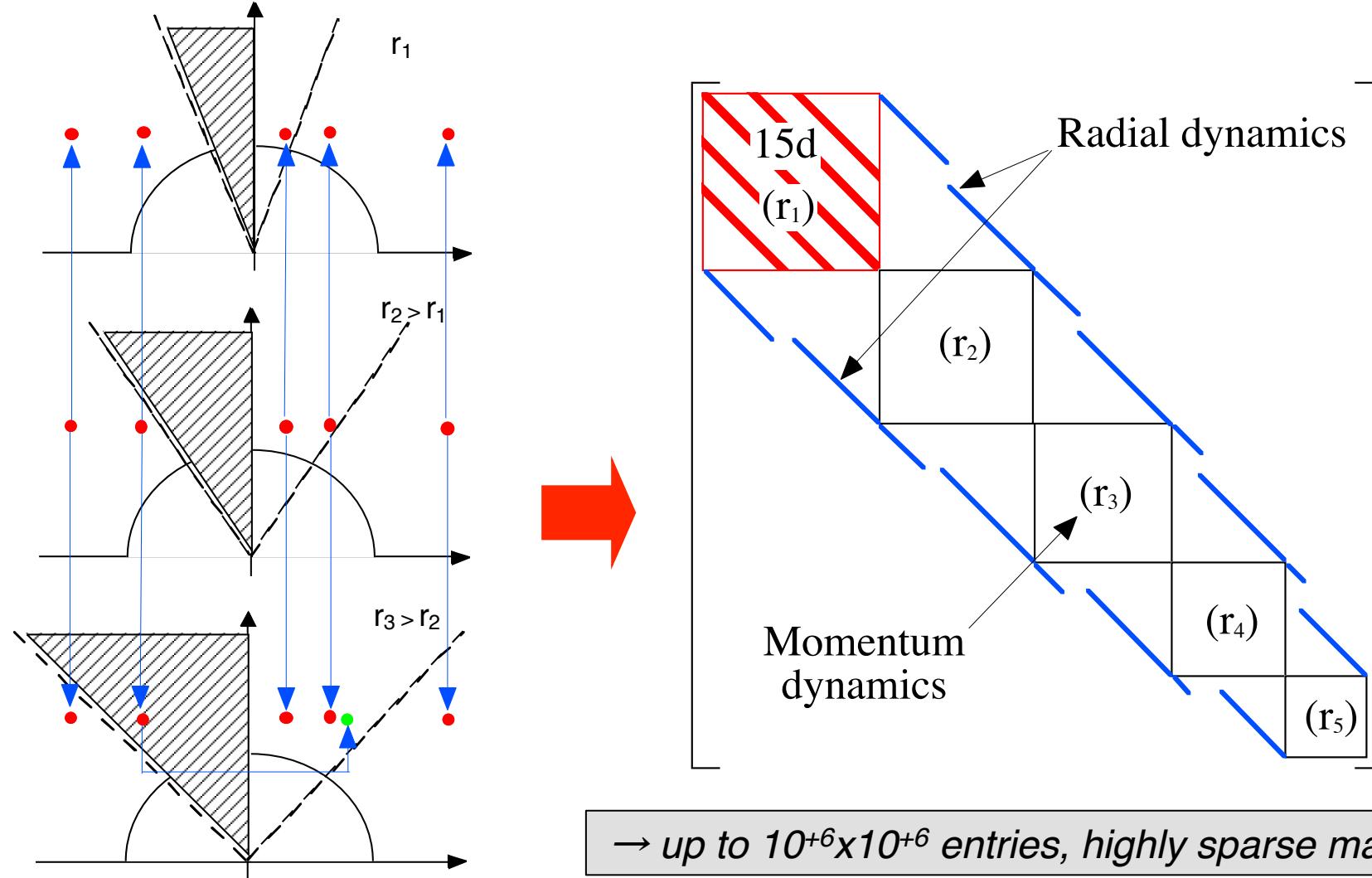
configuration space

$$\mathbf{S}^{(0)} = -\mathbb{D}^{(0)} \cdot \nabla f^{(0)} + \mathbf{F}^{(0)} f^{(0)}$$

The 3-D linearized bounce-averaged relativistic Fokker-Planck solver LUKE

- Linearized relativistic collision operator
- Kennel-Engelman-Lerche relativistic rf diffusion operator
- Curvilinear coordinate system (ψ, θ, ϕ)
- 2-D axisymmetric configuration (cylinder, torus, dipole)
- 3-D perturbation (nested magnetic flux surfaces)
- Non-uniform grids (f and fluxes)
- Fully implicit time scheme: *stable for large time step Δt*
- Usual Chang & Cooper interpolation for p grid (f_M)
- Linear interpolation for radial and pitch-angle grids
- Discrete cross-derivatives consistent with boundary conditions
(stable scheme for $D_{ql} \gg 1$)
- Generalized incomplete LU factorization technique for an arbitrary number of non-zero diagonals *(highly sparse L and U matrices, low memory consumption)*
- written in MatLab
- Iterative inversion method (MatLab build-in or external solvers **MUMPS**, PETSc, SUPERLU, PARDISO)
- *Distributed, parallel and remote computing (GPU for D_{ql} operator)*

Fokker-Planck matrix to be inverted



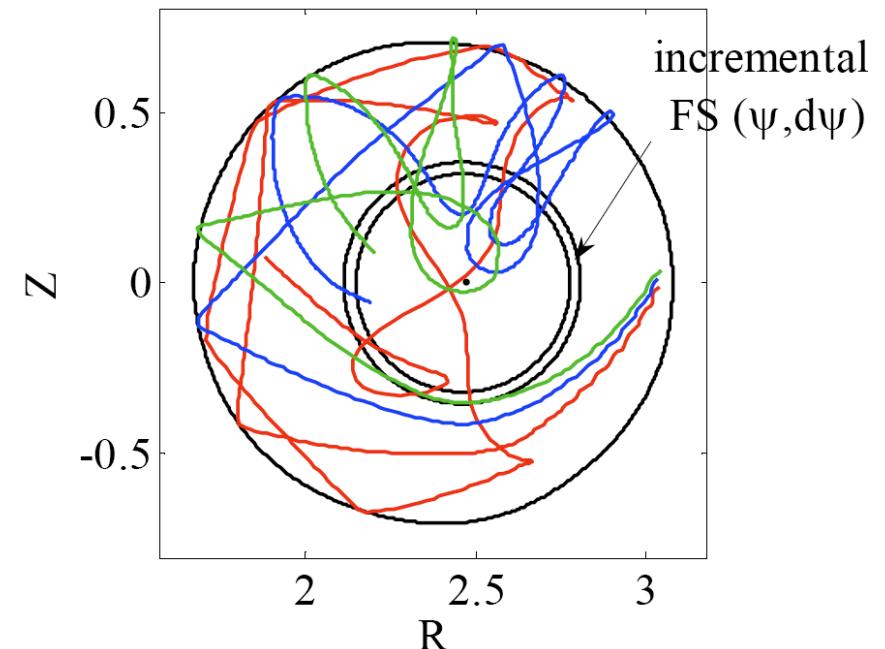
Link between RT and FP codes

- The RF wave is described by a set of rays
- The plasma is divided into incremental flux surfaces
- D_{QL} is calculated on each flux surface (plane wave model):
 - contribution of all rays
 - contribution of all passes of the same ray

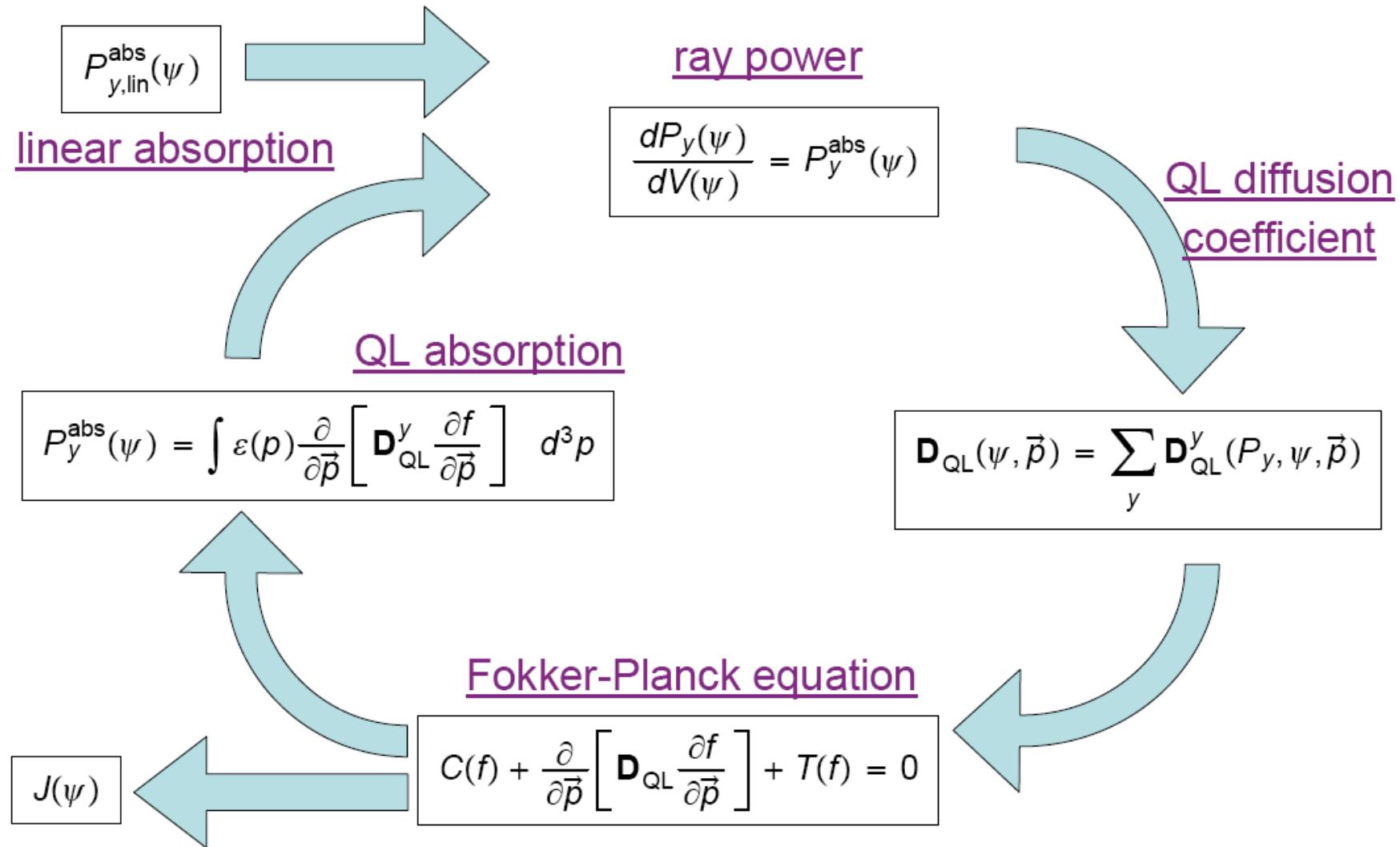
$$D_{QL}(\psi, \vec{p}) = \sum_y D_{QL}^y(P_y, \psi, \vec{p})$$

Ray power flow equation

$$\frac{dP_y(\psi)}{dV(\psi)} = P_y^{\text{abs}}(\psi)$$



Self-consistent quasilinear calculations



“Few ray” simulations

- The quasilinear convergence is carried out using the power flow along each ray (not on the global power absorption profile)
- This technique requires the use of a reduced number of rays otherwise numerical convergence becomes weak: LH wave → one ray per poloidal antenna row and per significant positive and negative lobes. EC antenna → beamlet for Gaussian optics in vacuum (plasma effects neglected).



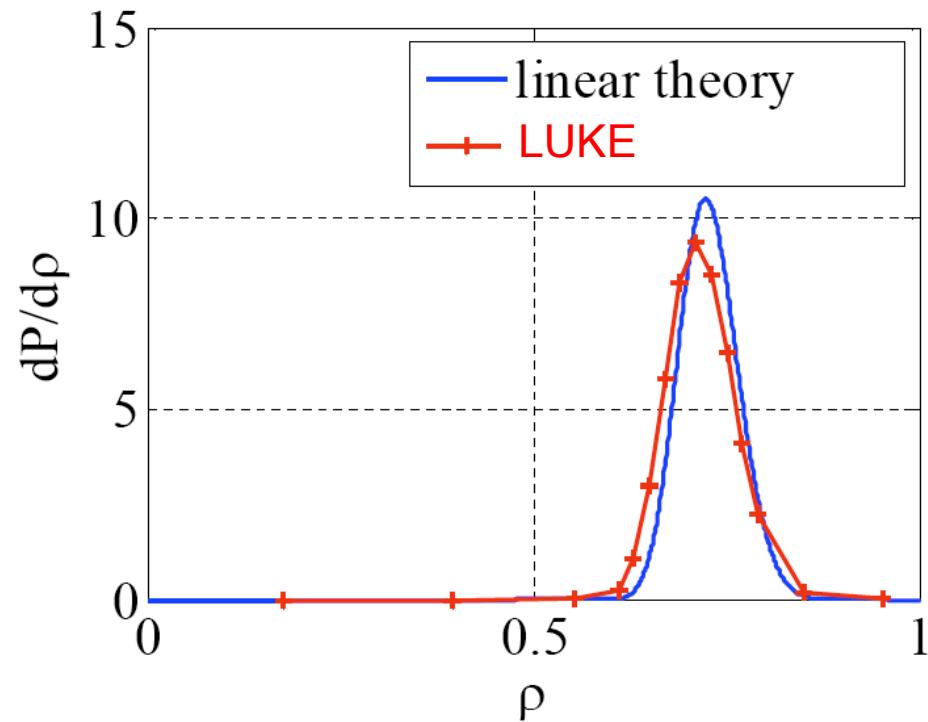
- consistency with Fourier theory (spectral width overlap)
- considerable reduction of the computational effort
- **$\Delta n_{||}$ is a physical spectral width ($\Delta n_{||}$ dependencies)**

Numerical simulations for the LH and EC waves

(ITER, Tore Supra, JET, TCV)

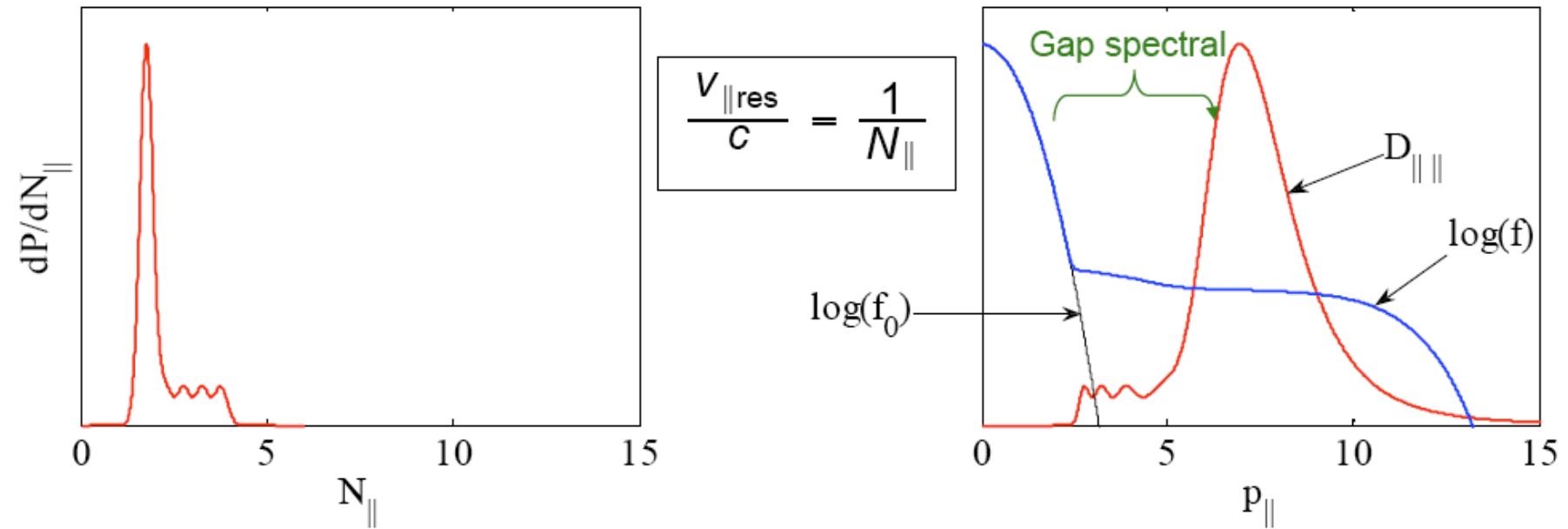
Linear limit validation (LH, EC)

In the limit of low RF power level ($D \approx 0$), the result from the relativistic linear theory is well recovered



Accuracy $\sim 1\text{A}/1\text{W}$ level

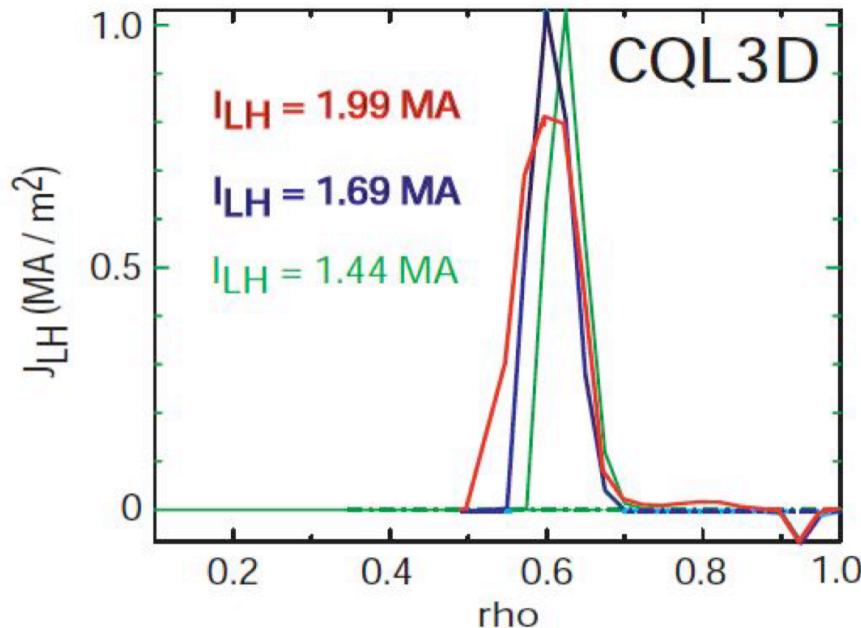
LH current drive simulations: the spectral gap problem



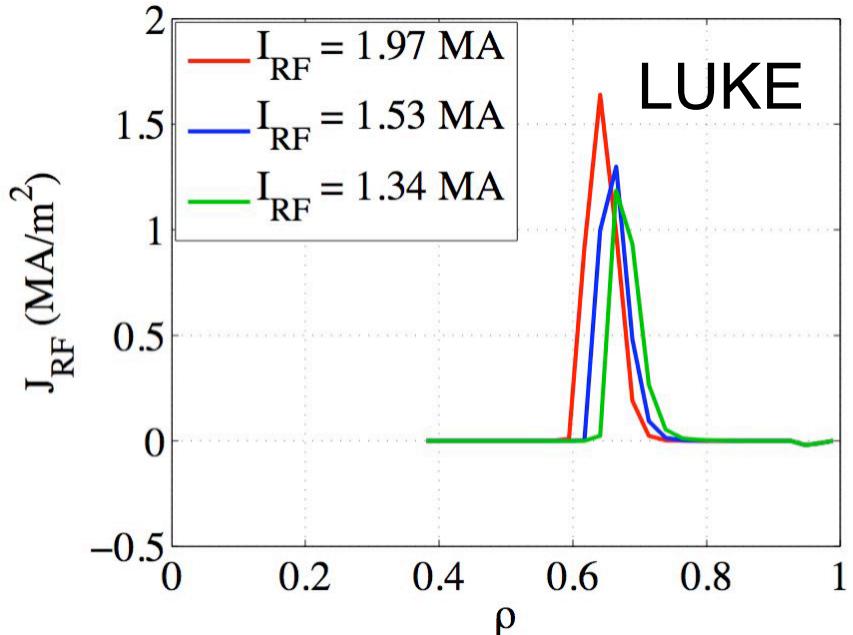
The **spectral gap** is bridged by a small fraction of the LH power at high n_{\parallel} which pulls out a tail of fast electrons from the bulk which itself contributes to absorb the remaining part of the power at low n_{\parallel} (*toroidal mode coupling by refraction*)

Lower Hybrid current drive in ITER: code benchmarking

Scen IV



GENRAY - CQL3D: 80 rays



C3PO - LUKE: 3 rays

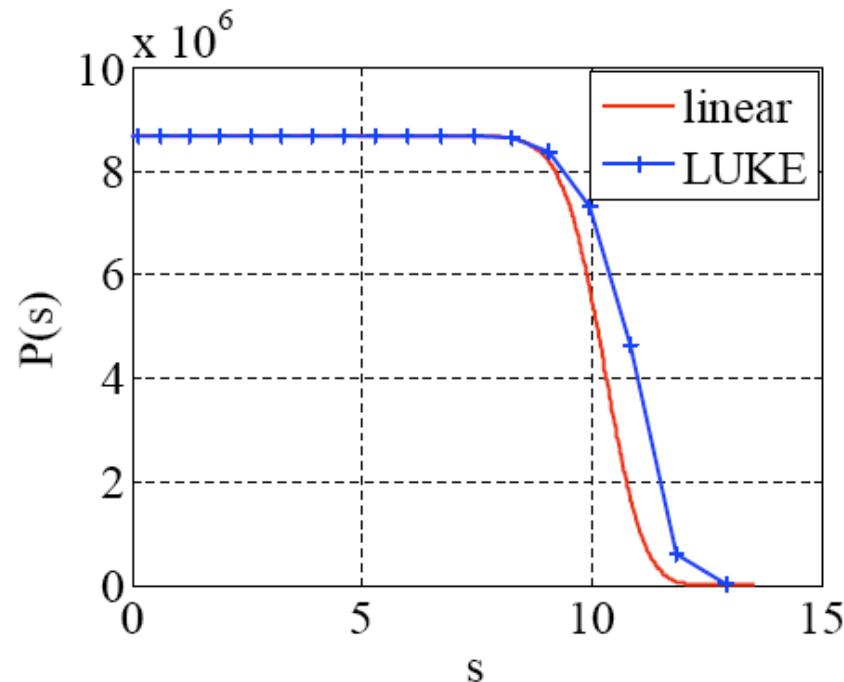
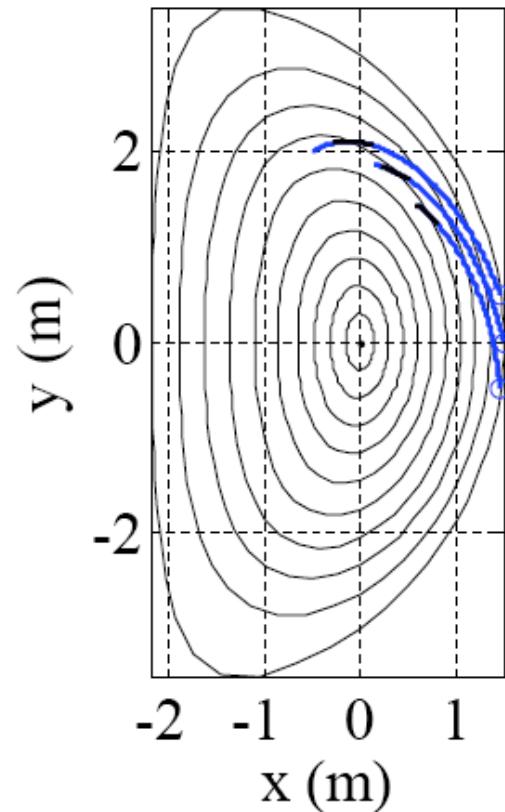
- $n_{||} = 1.9$
- $n_{||} = 2.0$
- $n_{||} = 2.1$

$v_{||}/c \propto 1/n_{||}$

Consistent with Fisch's theory

$P_{LH} = 30$ MW
Directivity = 0.87

Lower Hybrid current drive in ITER



Almost linear single pass absorption gives: results independent of the number of rays !

LHCD in ITER with PAM launcher Current drive efficiency, localization

$P_{LH} = 20 \text{ MW}$
Directivity (PAM) = 0.70

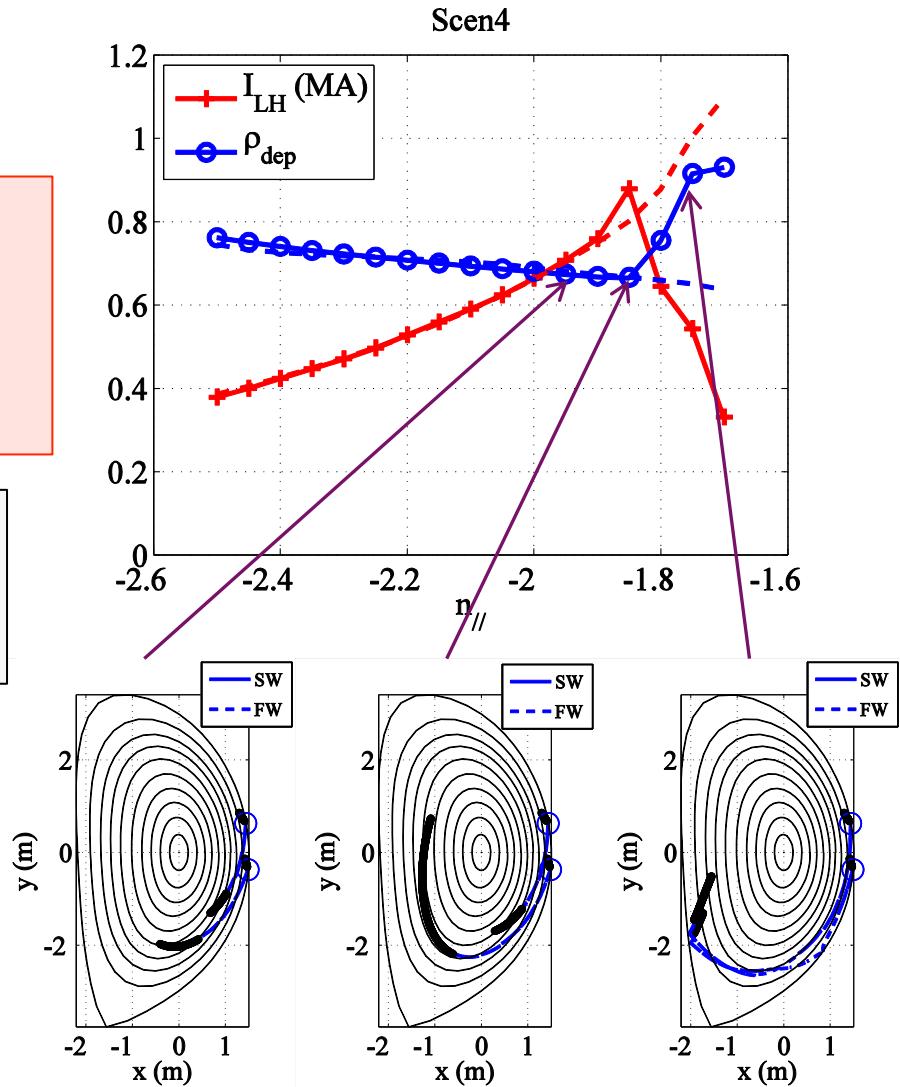
Even in ITER, weak LH absorption damping may occurs at low $N_{\parallel 0}$: ray stochasticity → limit of the ray tracing model

- $N_{\parallel 0(\text{opt})} = -1.85 @ 5 \text{ GHz}$
- Single pass absorption for $|N_{\parallel 0}| > 1.85$
- Narrow deposition profile @ $\rho \geq 0.67$



$$\eta_{opt} = \frac{1.25}{|N_{\parallel}|^{2.5}} \times d \simeq 0.19 \times 10^{20} \text{ A.m}^{-2} \text{ W}^{-1}$$

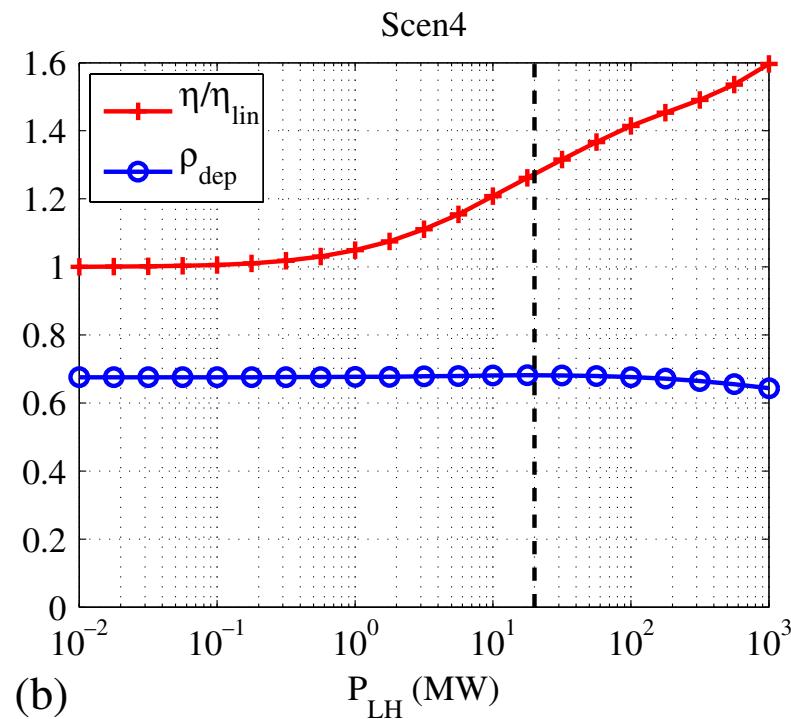
Reduction by ~25% because of trapped particles



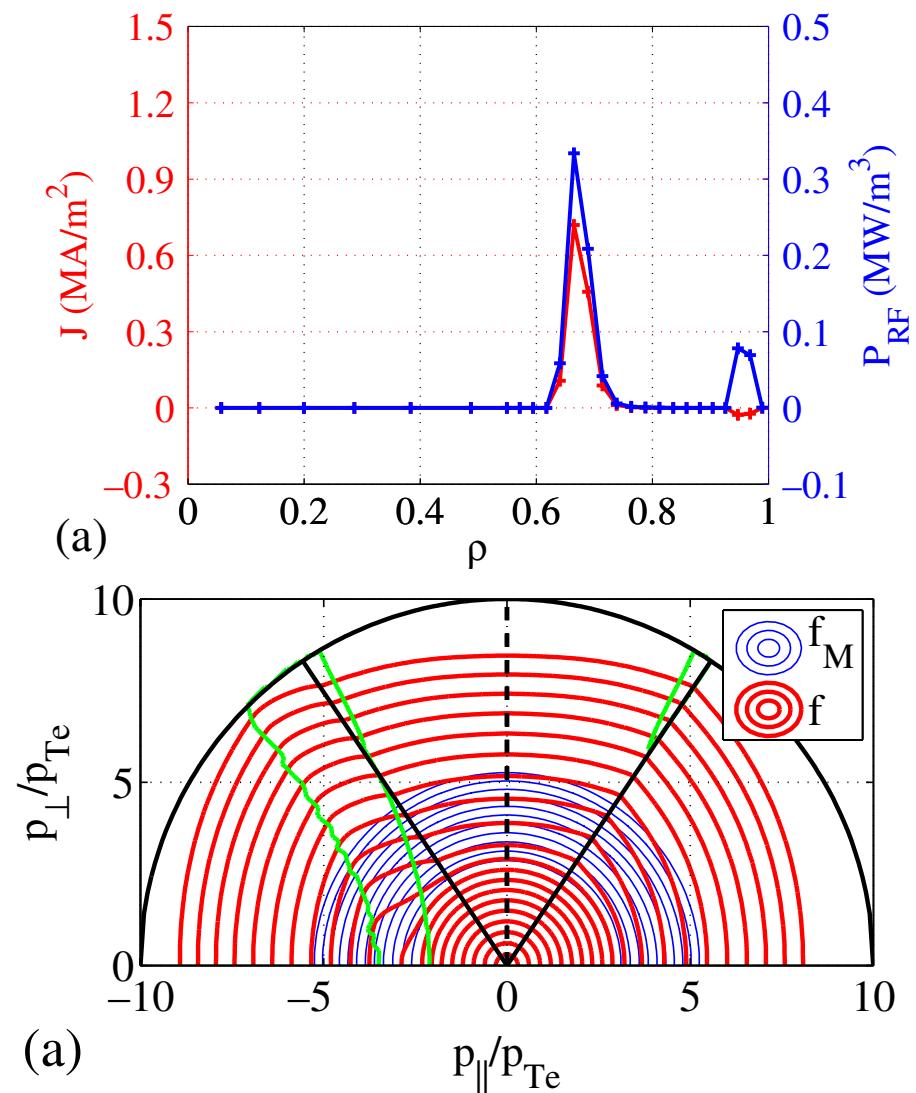
LHCD in ITER

Quasilinear effects with the power level

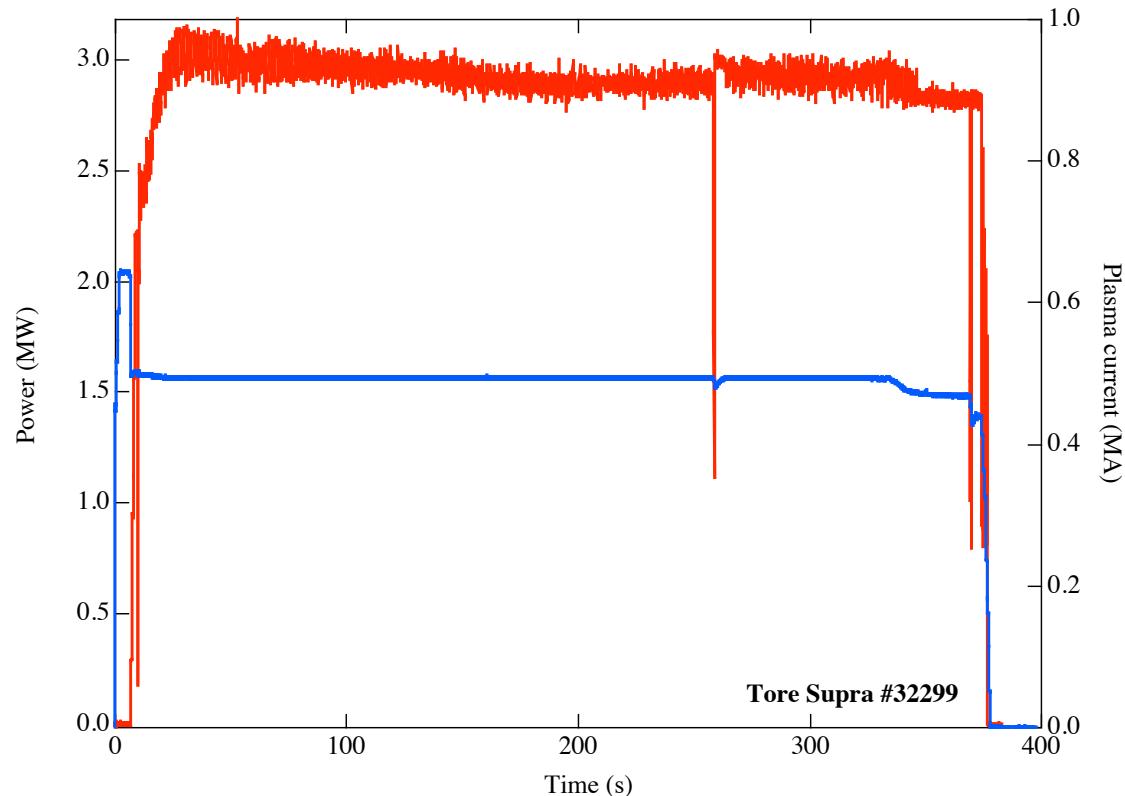
$$N_{||0(\text{opt})} = -1.85 \text{ @ } 5 \text{ GHz}$$



Far from the quasilinear saturation
(flat plateau in the distribution)



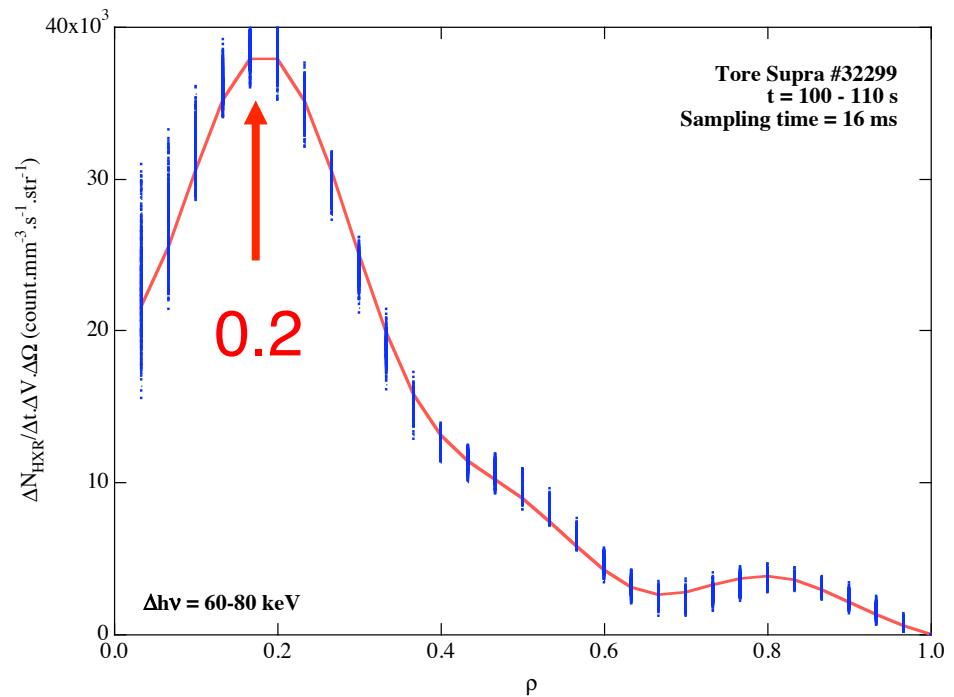
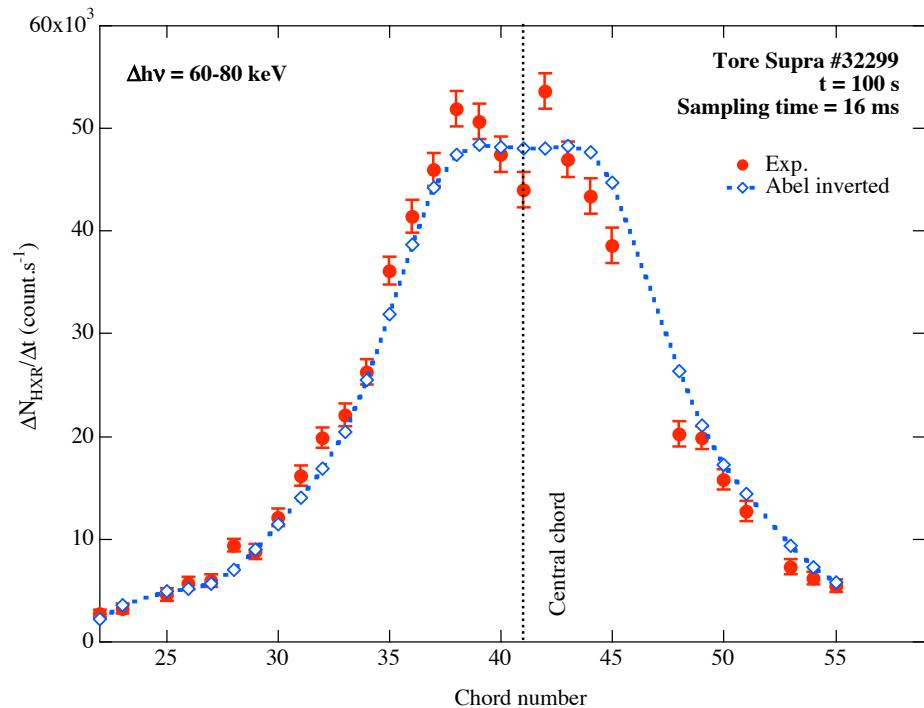
Lower Hybrid current drive in Tore Supra Record steady-state operation



- Full LHCD (6 min.)
- 2 antennas
- $n_{\parallel 0} = 1.7 \pm 0.2$
- $P_{Lh} = 3 \text{ MW}$
- directivity: 0.6 & 0.7

Lower Hybrid current drive in Tore Supra Fast electron bremsstrahlung

$\Delta k = 60-80 \text{ keV}$



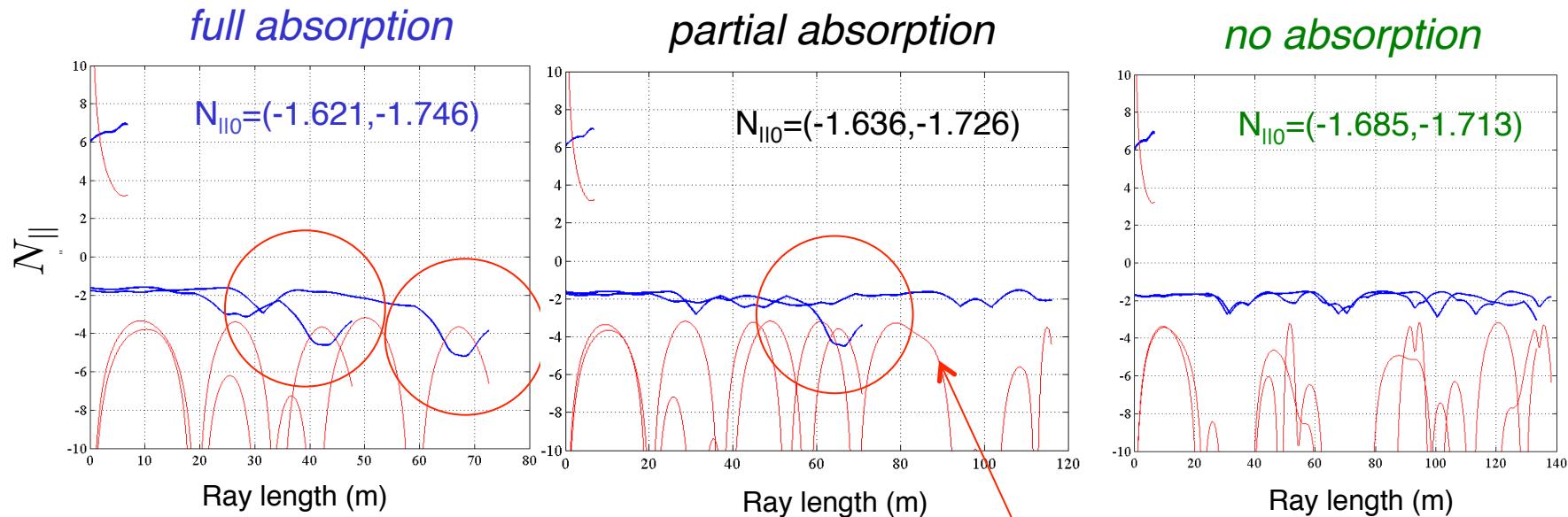
Line-integrated profile

Abel inverted profile

Very quiescent HXR profiles

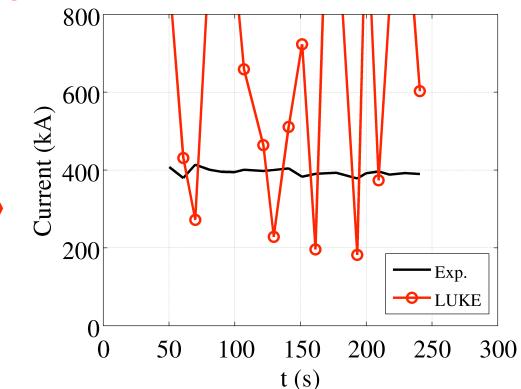
Lower Hybrid current drive in Tore Supra: weak absorption regime

Tore Supra #32299

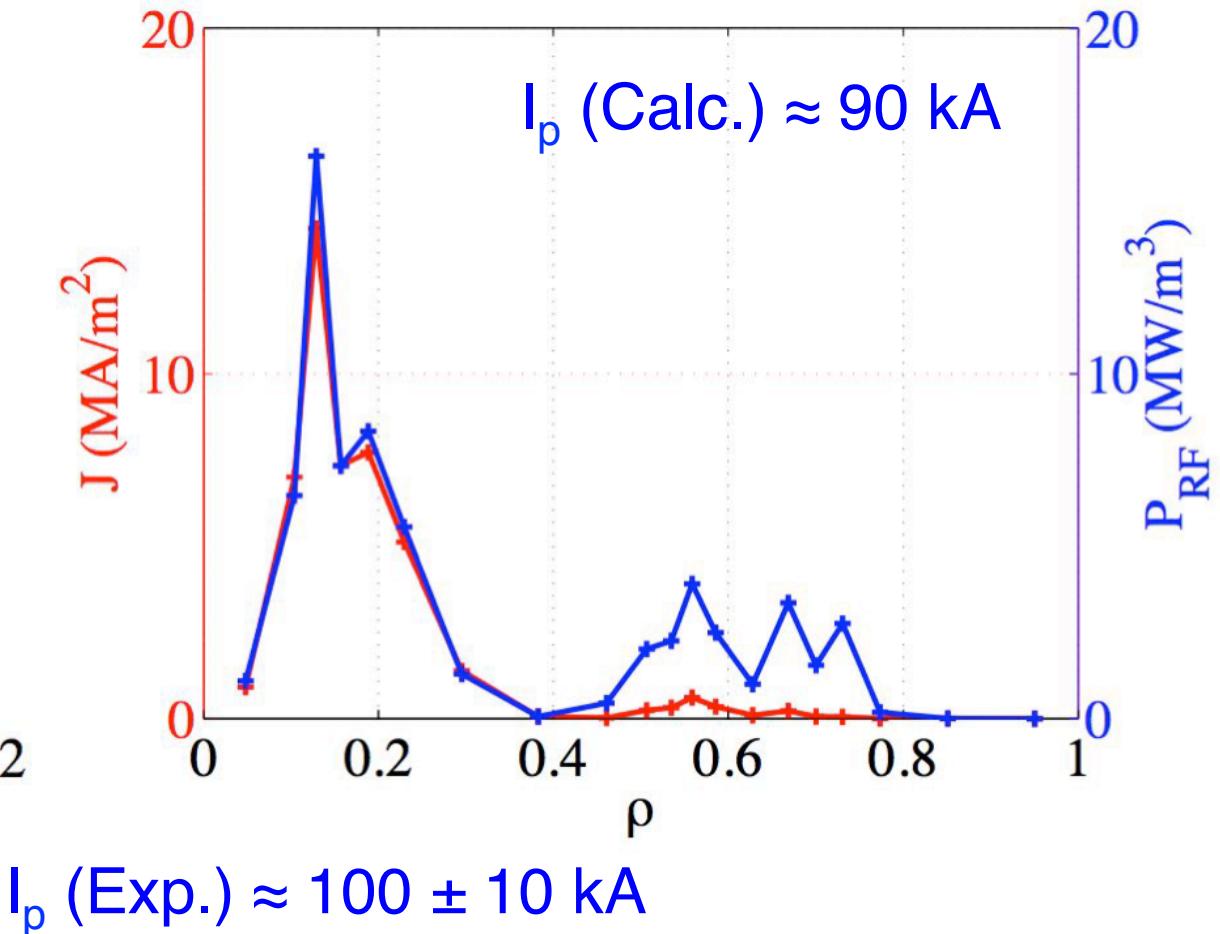
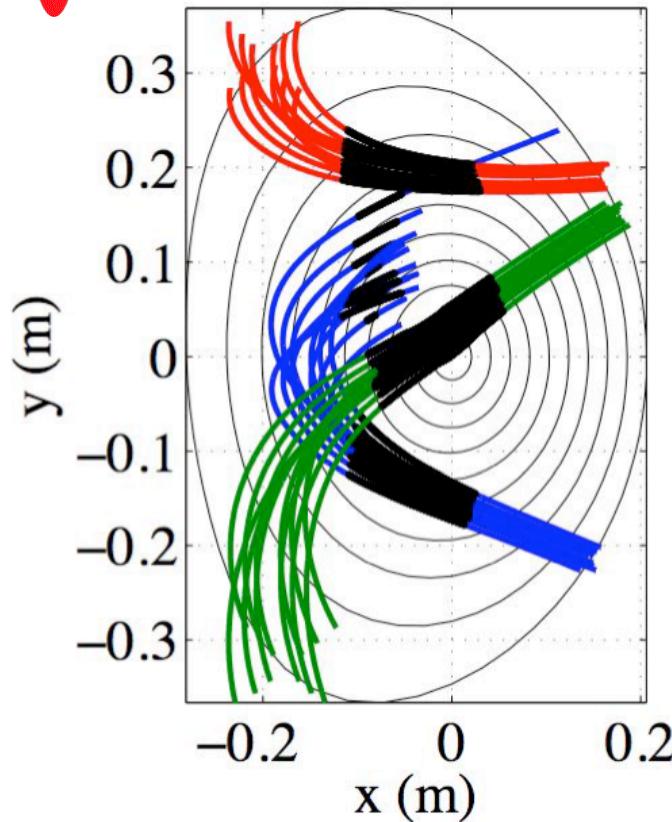


Lack of robustness of LH current drive simulations in the multipass absorption regime (most present day tokamaks) when the spectral gap is bridged by toroidal N_{\parallel} upshift → Simulations often done well beyond code validity: *ray stochasticity develops before power absorption.*

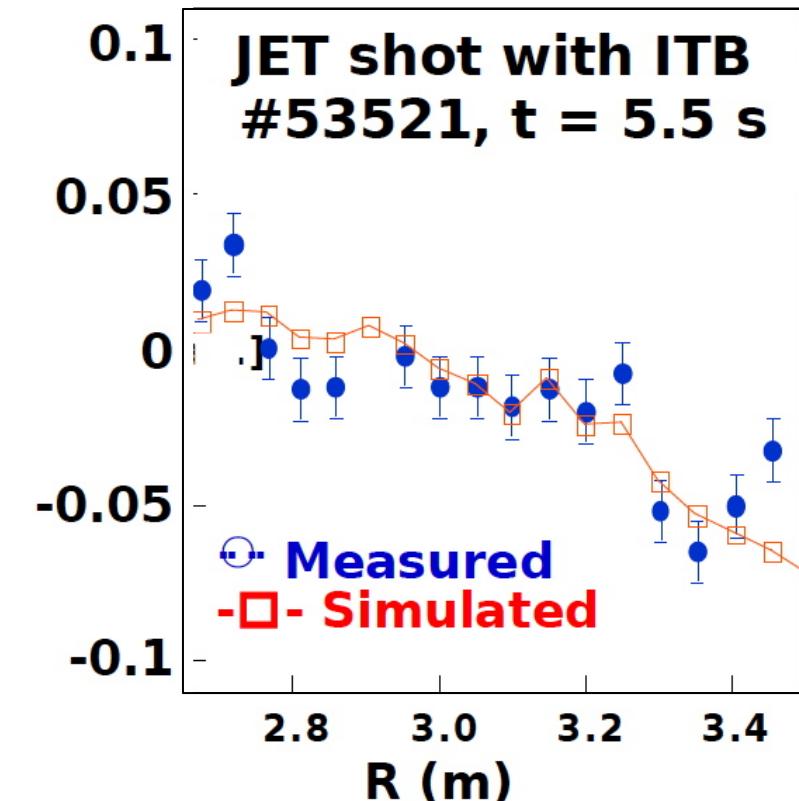
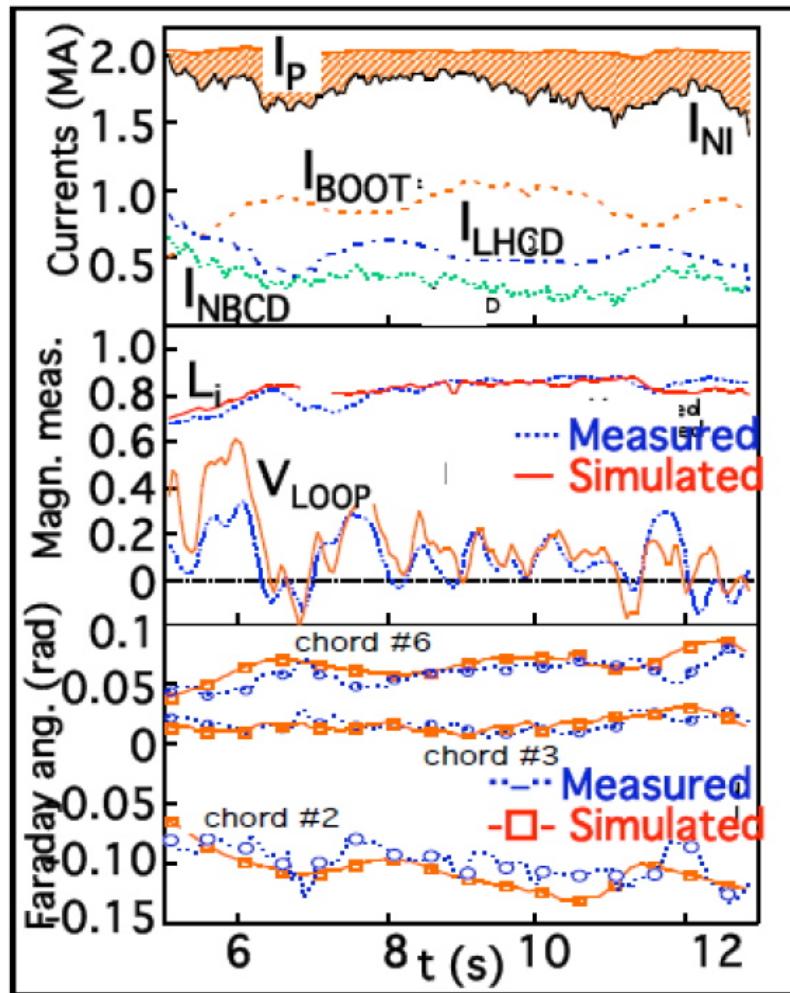
$$\frac{6.5}{\sqrt{T_e}} \propto v_\phi = 3 - 4 \times v_{th}$$



Full electron cyclotron current drive in TCV



Integrated modeling of an ITB discharge with high bootstrap fraction



Advanced studies
*Influence of plasma fluctuations
on rf current source*
(TCV, ITER)

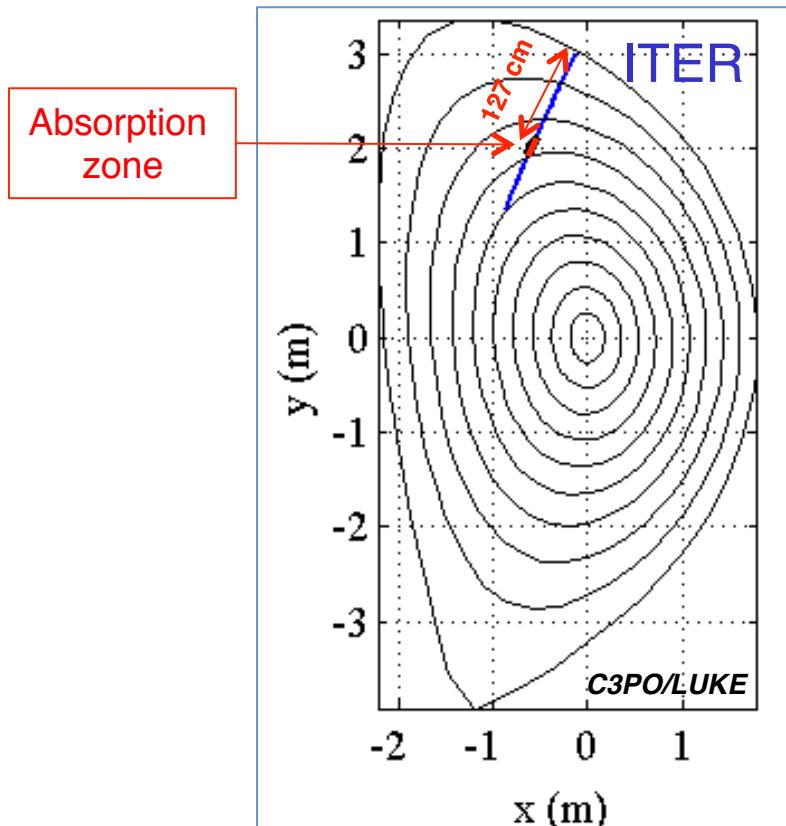
EC wave and edge density fluctuations

- Control of Neoclassical Tearing Modes (NTM) requires very localized EC current drive
- Edge density fluctuations → broadening of the EC current density profile

(C. Tsironis et al., *Phys. Plasmas* **16** (2009) 112510)

(K. Hizanidis et al., *Phys. Plasmas* **17** (2010) 022505)

(N. Bertelli et al., *Journal of Physics: Conference Series* **260** (2010) 012002)



ELMy H-mode ITER scenario
EC wave: O-mode @ 170 GHz

$$W_{3/2} \approx 7 \text{ cm} + \text{ray length} \approx 127 \text{ cm}$$



A small deviation of the rays at the plasma edge → misalignment of the RF power absorption with the island.

(R. Prater et al., *Nucl. Fusion*, 2008, 48, pp. 035006)

Density fluctuations and wave refraction

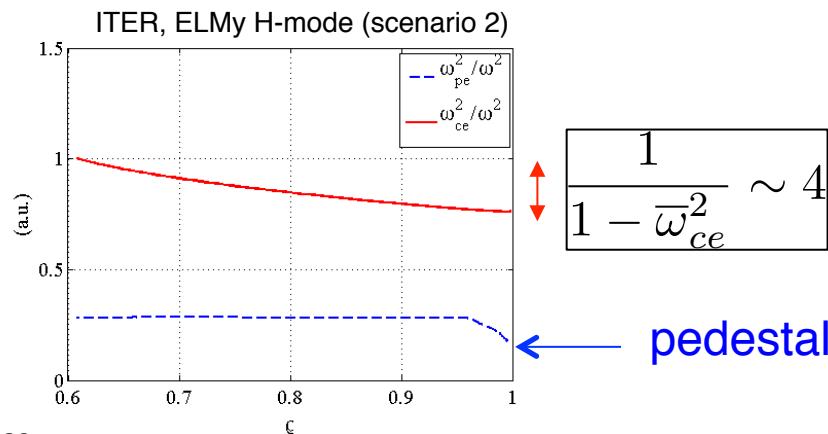
$$\begin{aligned}
 X_{\perp}^{sC} &\equiv X_{xx}^{sC} = X_{yy}^{sC} = -\frac{\bar{\omega}_{ps}^2}{1 - \bar{\omega}_{cs}^2} \quad \vec{n}_e \\
 X_{\parallel}^{sC} &\equiv X_{zz}^{sC} = -\frac{\bar{\omega}_{ps}^2}{\bar{\omega}_{cs}^2} \quad \vec{B} \\
 X_{\times}^{sC} &\equiv iX_{xy}^{sC} = \frac{\bar{\omega}_{ps}^2 \bar{\omega}_{cs}}{1 - \bar{\omega}_{cs}^2}
 \end{aligned}$$

$$\begin{aligned}
 \bar{\omega}_{ps} &= \omega_{ps}/\omega & \omega_{ps} &= \sqrt{q_s^2 n_s / \varepsilon_0 m_s} \\
 \bar{\omega}_{cs} &= \omega_{cs}/\omega & \omega_{cs} &= q_s B_0 / m_s
 \end{aligned}$$

$(\bar{\omega}_{pe}^2, \bar{\omega}_{ce}^2) \gg 1$ → refraction effects are large (LH)

$1 - \bar{\omega}_{ce}^2 \ll 1$ → amplification by magnetic field (LH,EC)

O-mode
170 GHz

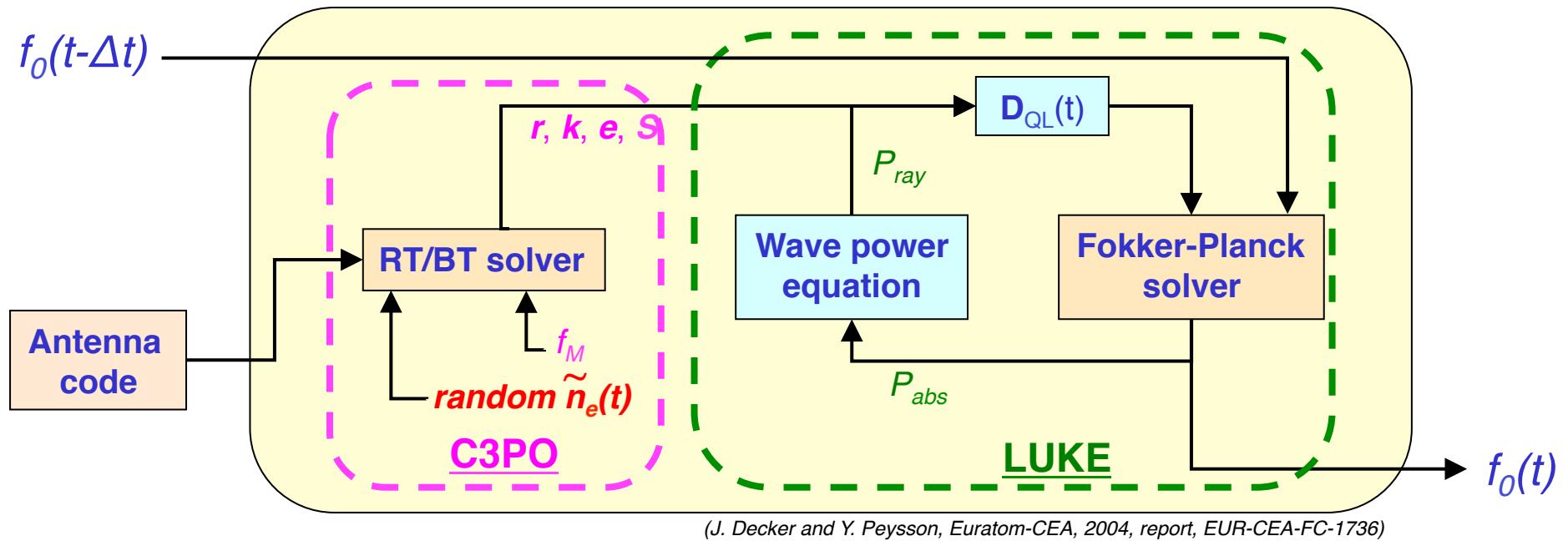


$$\frac{\delta \mathbb{X}/\mathbb{X}}{\nabla_{\perp,\parallel} \delta \bar{\omega}_{pe} / \bar{\omega}_{pe}}$$

Electron density fluctuation model

- *Fluctuations* → time-dependent perturbation of the toroidal MHD equilibrium with a characteristic correlation time τ_f
- The spatial structure of the perturbation is described by a linear superposition of independent modes → *gyrokinetic calculations, MHD*
- Conditions for a statistical description of the fluctuations are considered → $\tau_f \ll \Delta t$
- The spatial perturbation is deduced either from a prescribed autocorrelation function or from the Wiener-Kintchine theorem knowing the power spectrum → *experiments*

Calculation of the rf current source in presence of density fluctuations



(J. Decker and Y. Peysson, Euratom-CEA, 2004, report, EUR-CEA-FC-1736)

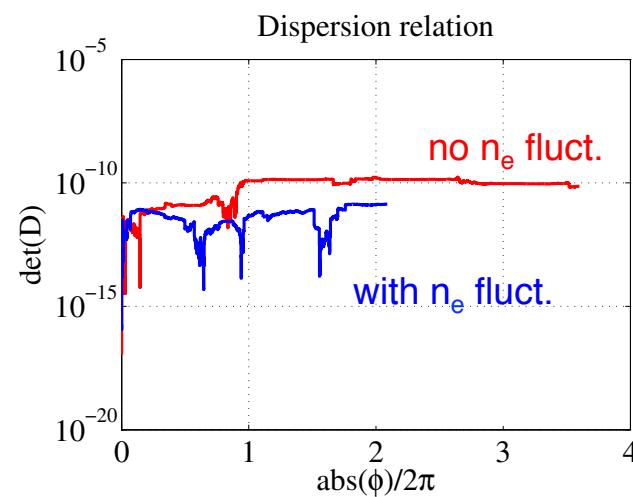
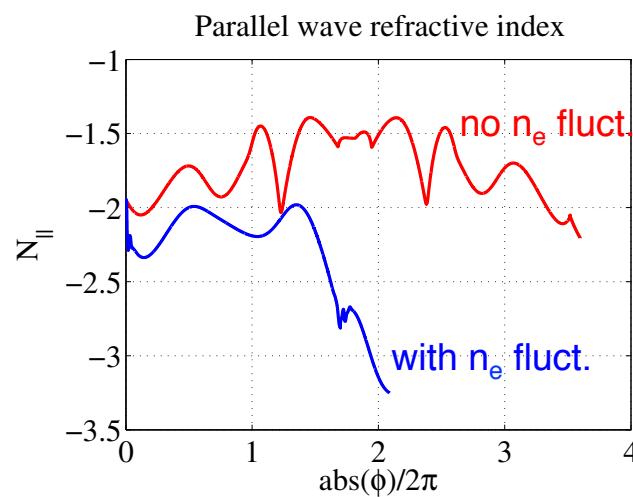
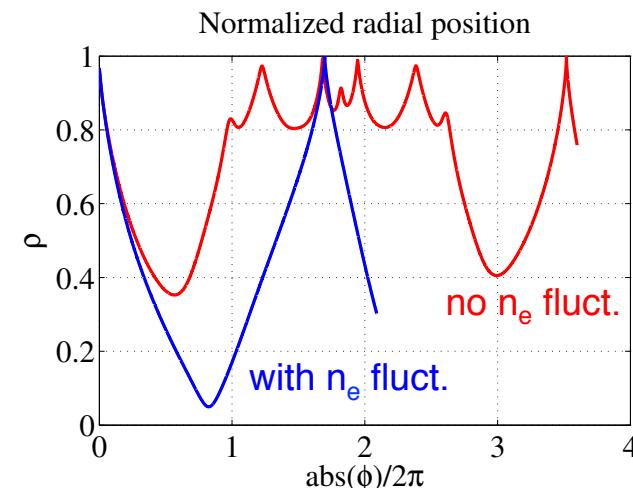
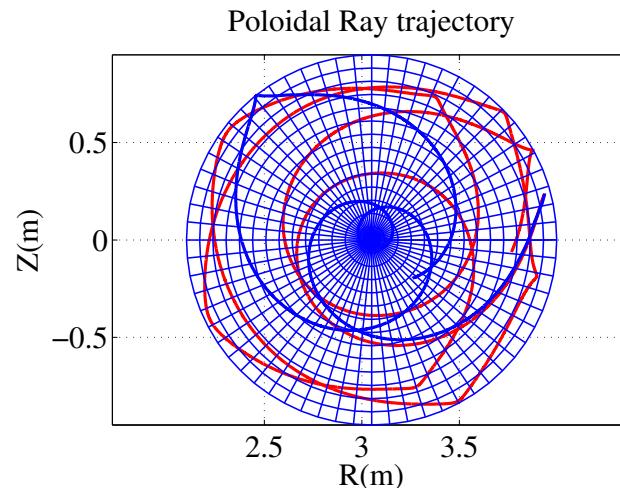
Fluctuations far from the place where RF waves are absorbed



- time-dependent density perturbation → propagation code
- kinetic self-consistency → time evolution of f_0

3-D ray tracing for the LH wave with electron density fluctuations (C3PO)

LH wave, 3.7 GHz



vectorized magnetic equilibrium
+
analytic description of density fluctuations



Fast and accurate
calculations even with
density fluctuations

+

WKB approx.

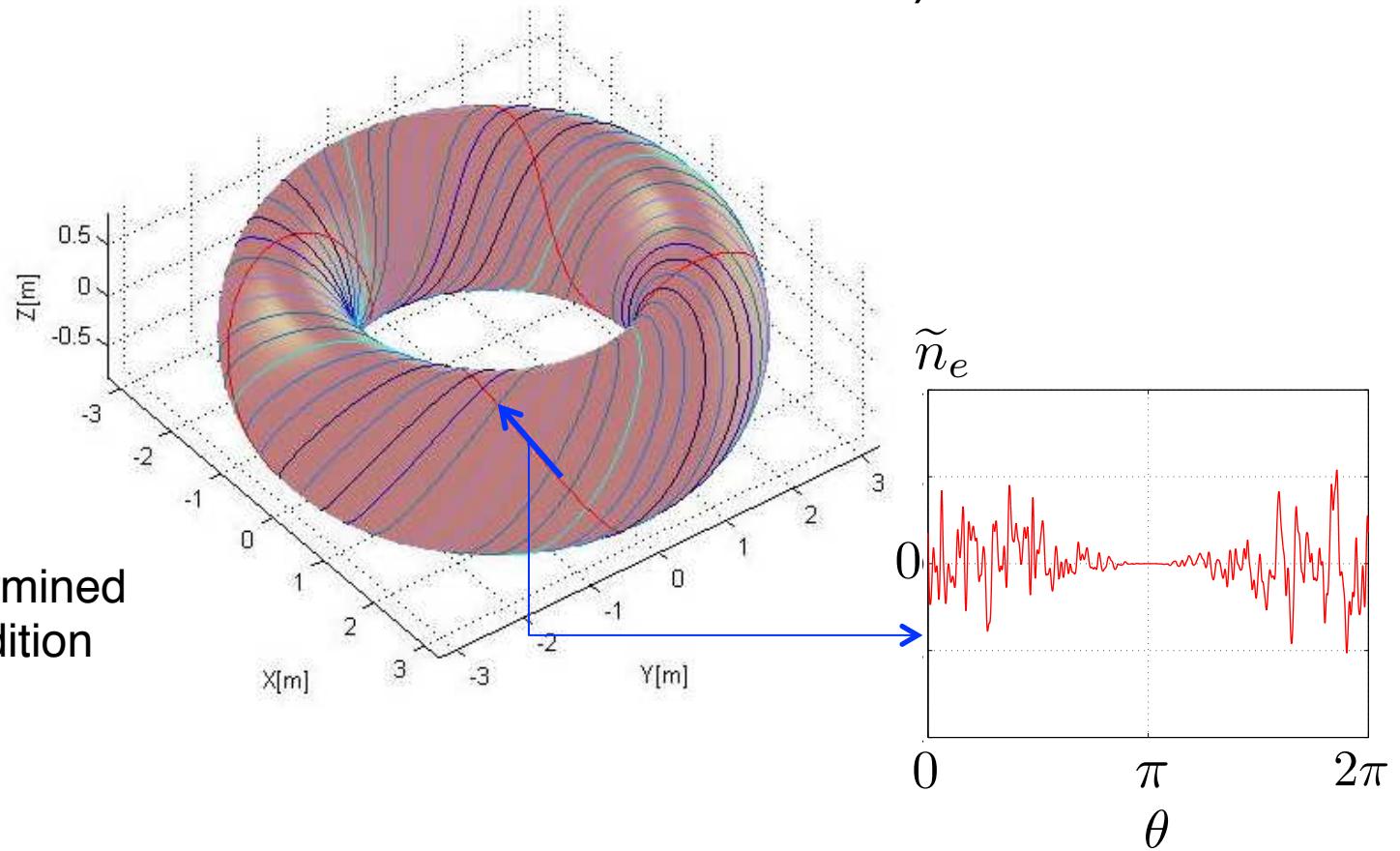
$$\nabla \tilde{\Phi}_{l,m,n} \leq \mathbf{k}$$

Thin fluctuating layer model (electron drift wave)

- density fluctuations driven by electron drift wave
- localization at the plasma edge
- ballooning effect (LFS/HFS amplitude)

The phase is determined from the local condition

$$\tilde{k}_{\parallel} = 0$$



Electron Cyclotron Current Drive

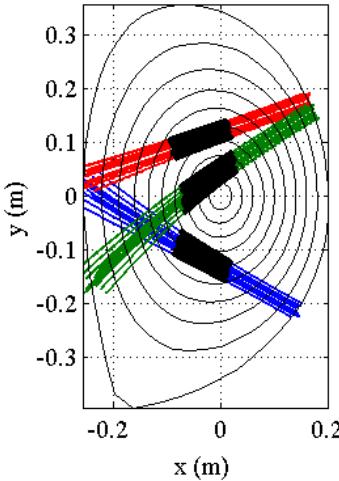


- TCV, fully non-inductive discharge ECCD, $I_p = 150$ kA, $P_{EC} \approx 1.3$ MW, X2-mode, three launchers, $\lambda = 0.1$, $\xi_f = 1$ cm, 1000 modes, $\sigma_f \equiv \tilde{n}_e/n_e = 1.0$ et $\Delta = 0.01a_p$ (size of the fluctuating region). $\tau_f \nu_{coll} \sim 0.14$
- ITER, ELM My H-mode scenario: $P_{EC} = 20$ MW, $I_{EC} = 180$ kA, O-mode @ 170 Ghz, $\lambda = 0.1$, $\xi_f = 1$ cm, 1000 modes, scan $\sigma_f \equiv \tilde{n}_e/n_e$ and Δ (size of the fluctuating region). $\tau_f \nu_{coll} \sim 0.44$

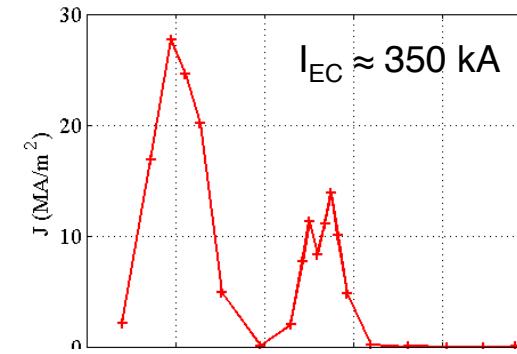
Density fluctuations broaden the EC driven current



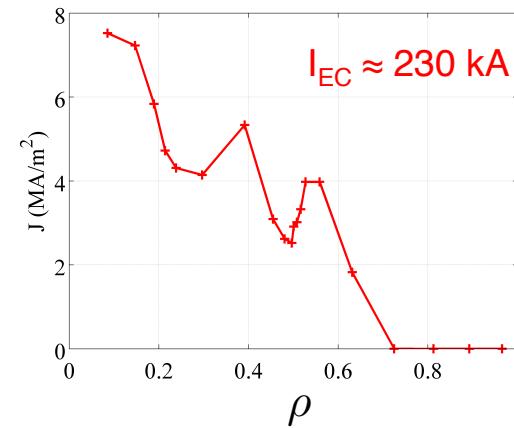
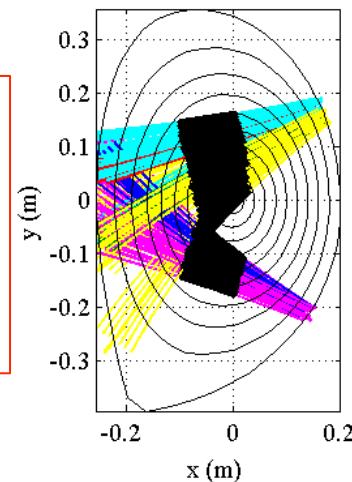
no fluctuation



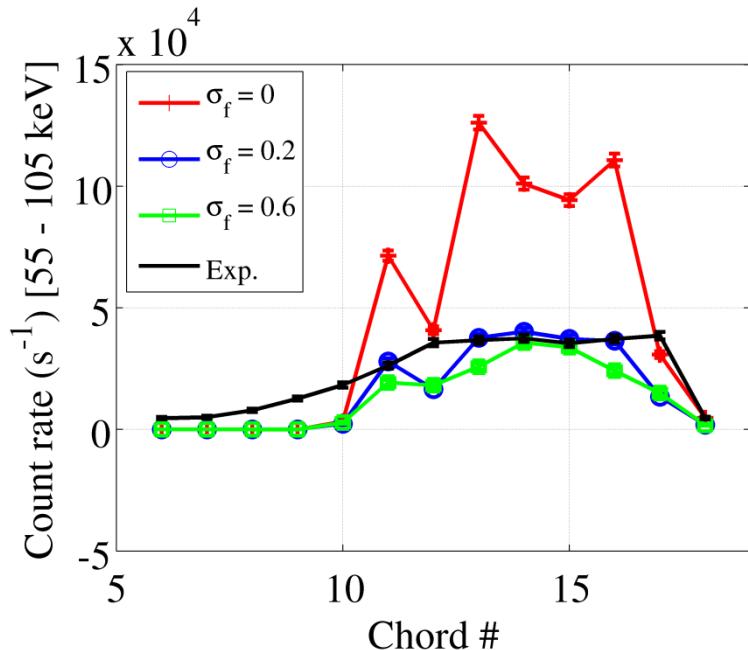
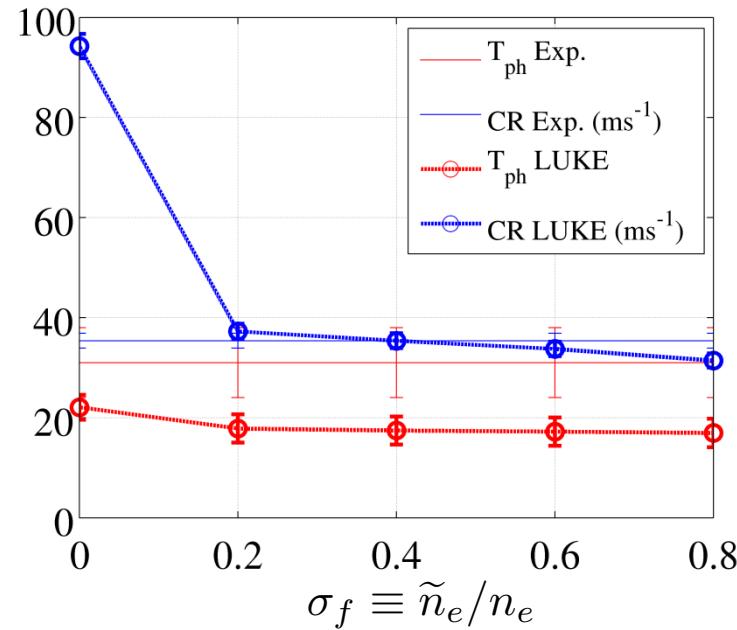
TCV, #18532, $I_{\text{exp}} \approx 150$ kA, full ECCD



with fluctuations
 $\tilde{n}_e/n_e \approx \sigma_f = 1.0$
 $\Delta = 0.01a_p$
 $\lambda = 0.1$
 $\xi_f = 1$ cm
1000 modes

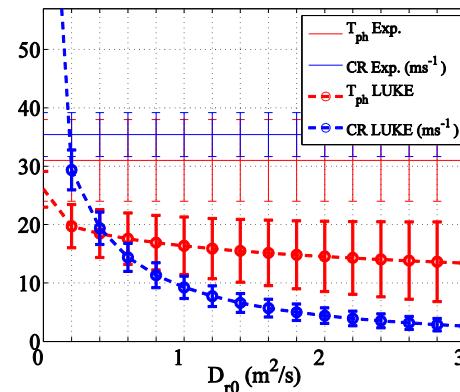
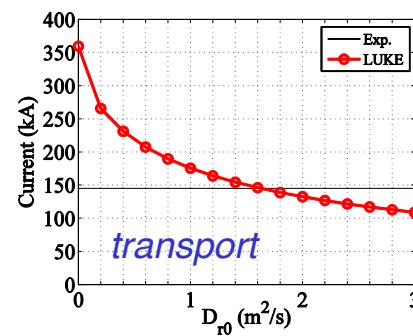
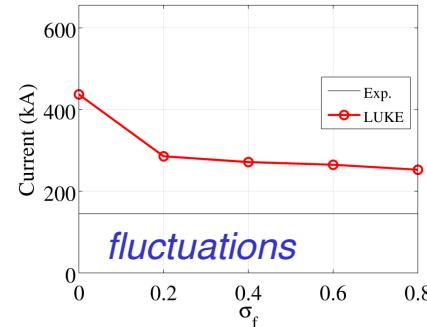


Non-thermal bremsstrahlung during ECCD in presence of fluctuations

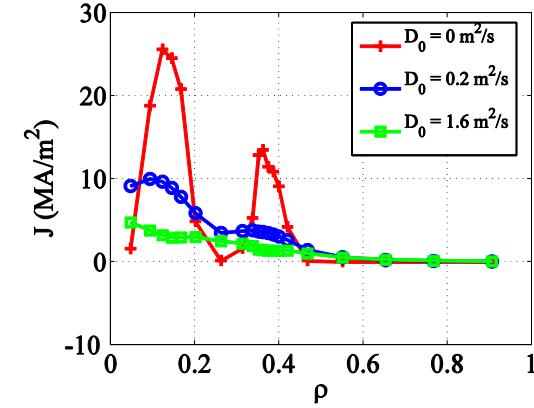
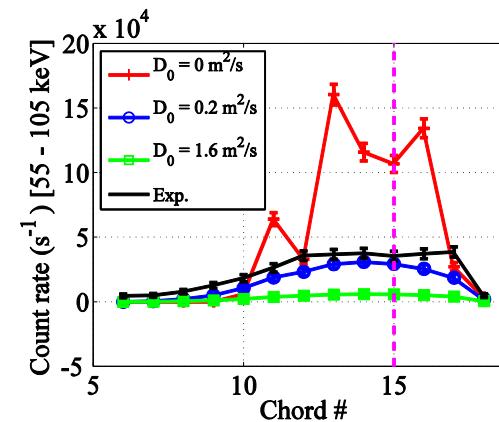


- The reconstructed non-thermal bremsstrahlung count rate (central chord) is very close to the experimental HXR signal in the presence of fluctuations → good match of CR versus chord number for $\sigma_f = 0.2$
- The photon temperature is fairly independent of fluctuations

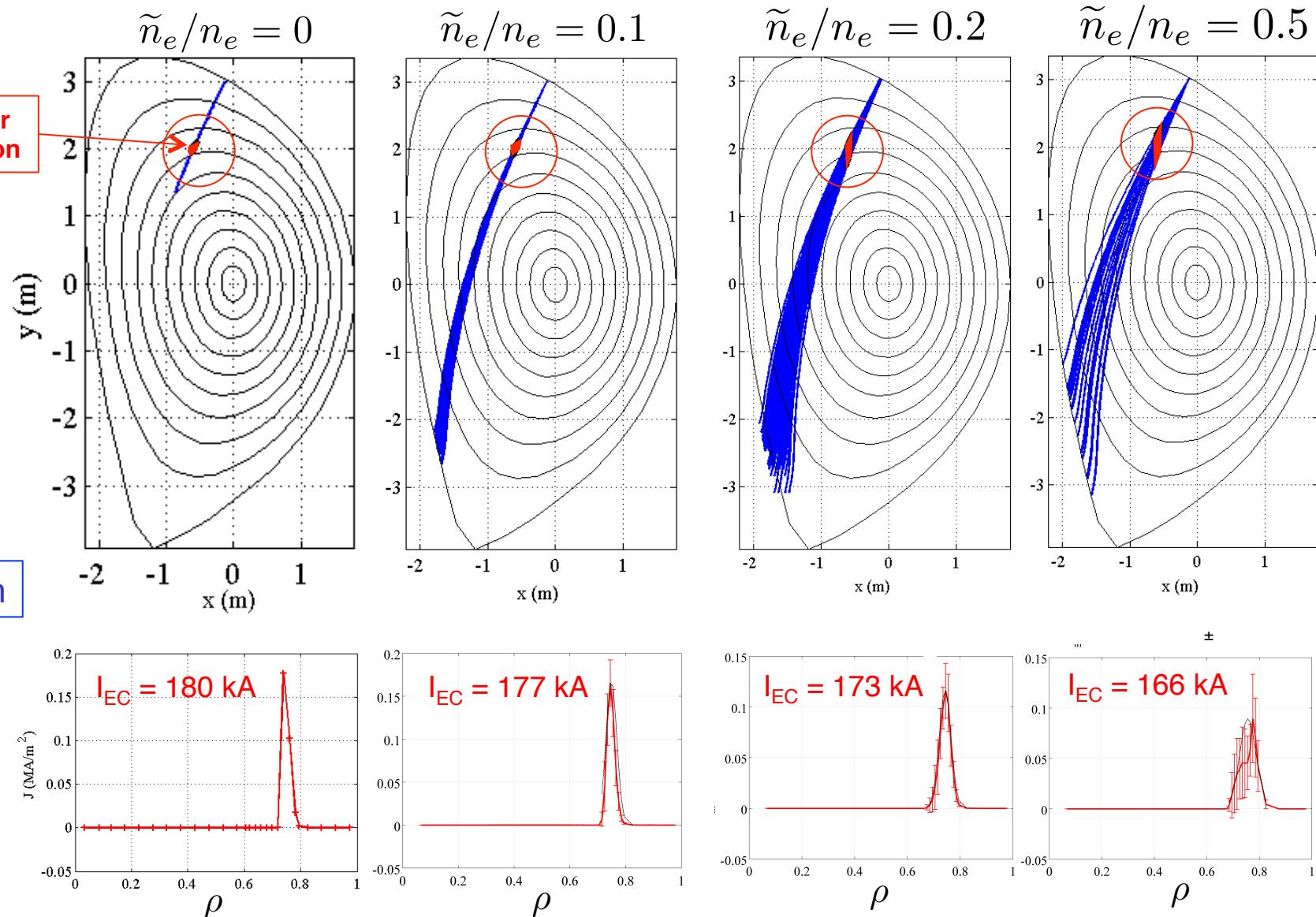
Fast electron anomalous radial transport vs density fluctuations for ECCD in TCV



- To reduce I_{EC} by a factor 1.5 :
 - With $D_{r0} = 0.4 \text{ m}^2/\text{s}$, CR decreases by a factor 8
 - With $\sigma_f = 0.2$, CR decreases by a factor 2.5
- The underlying mechanism is different
 - Radial transport : fast electrons are generated locally then diffuse radially while slowing down at the same time (*R.W. Harvey, et al., PRL 88 (2002) 205001; P. Nikkola, et al., NF 43 (2003) 1343*)
 - Fluctuations : the location where fast electrons are generated fluctuates

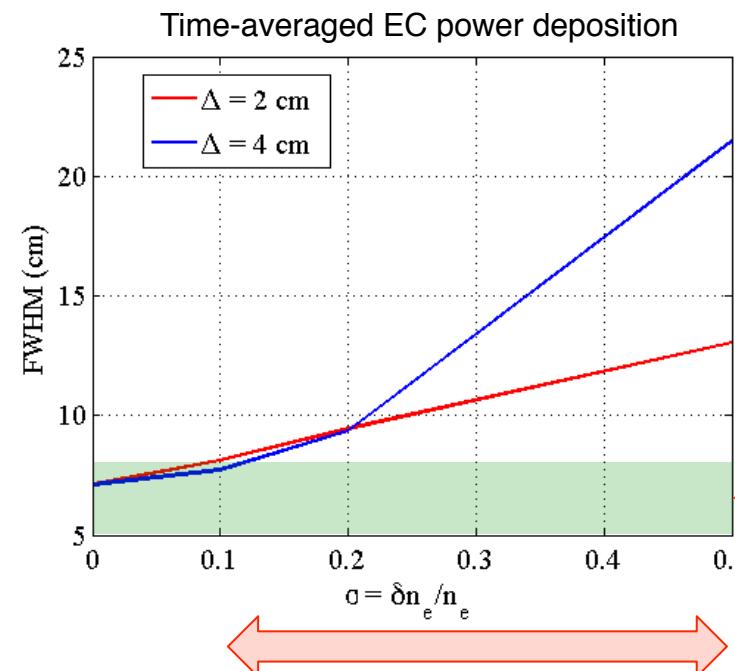
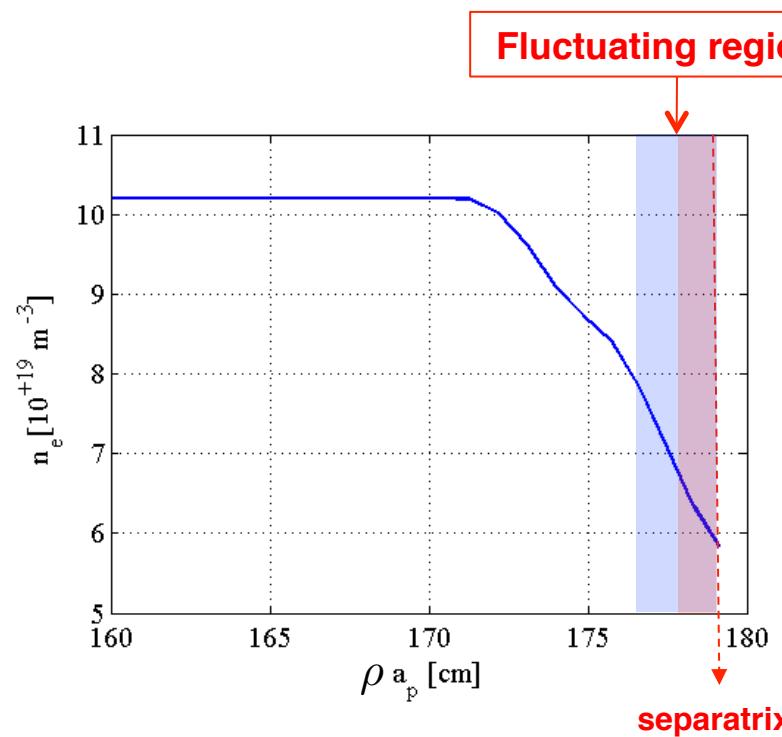


Fluctuations broaden EC current profile in ITER



Edge fluctuations could impact NTM stabilization in ITER

ITER, ELM My H-mode scenario, ECCD, O-mode @ 170 GHz



For $\tilde{n}_e/n_e \gg 10\%$, NTM stabilization in ITER by ECCD may be challenging !

NTM island width $W_{3/2}$

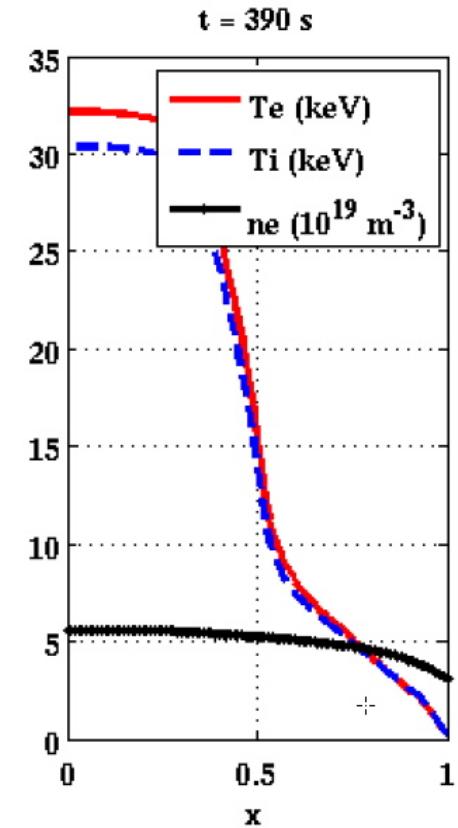
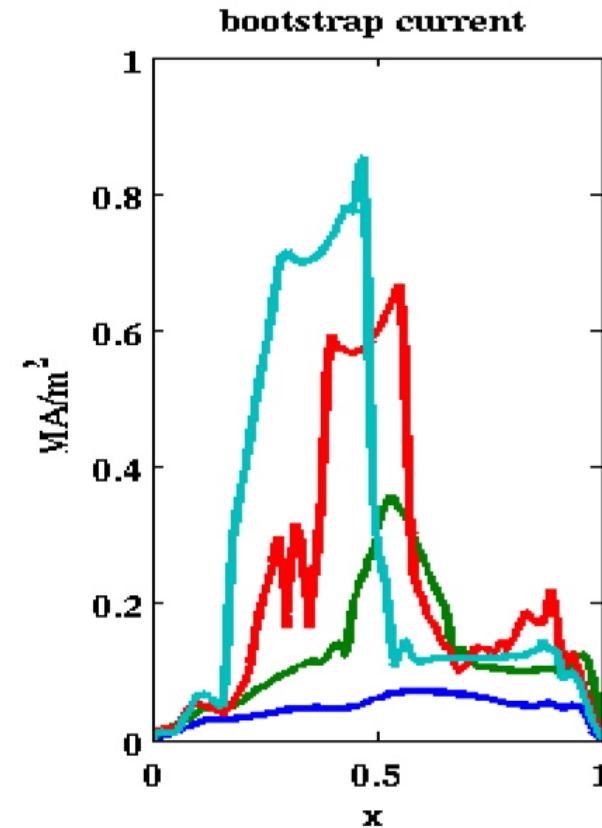
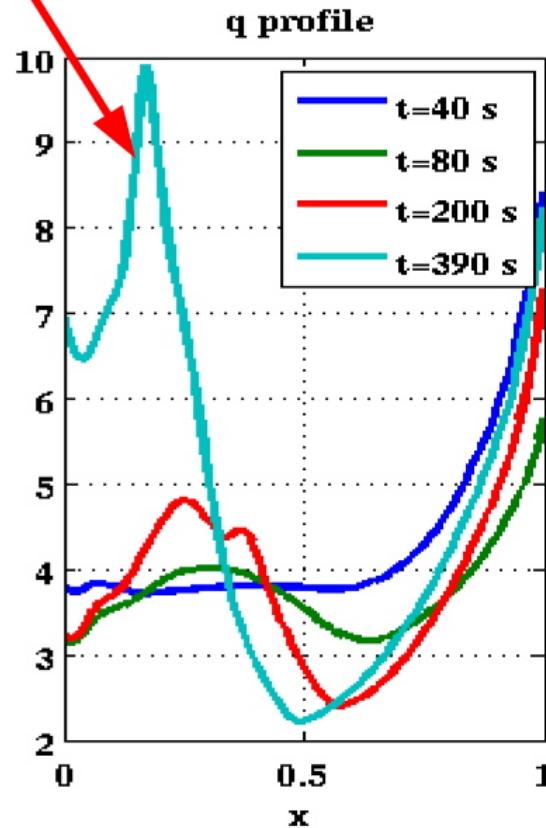
(R.J. La Haye et al., Nucl. Fusion, 2006, 46, pp. 451–461)

Advanced studies
*Integrated modeling for
designing stable MHD scenarios*
(ITER)

Control of an internal transport barrier in ITER

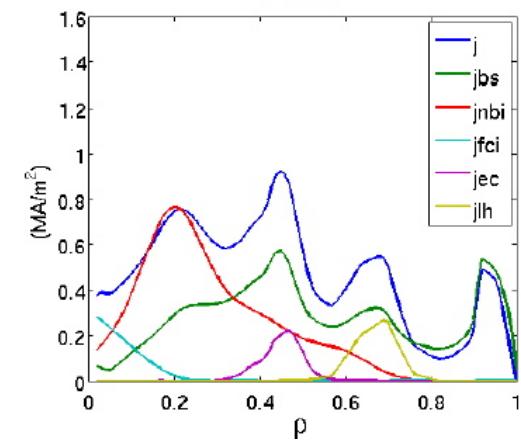
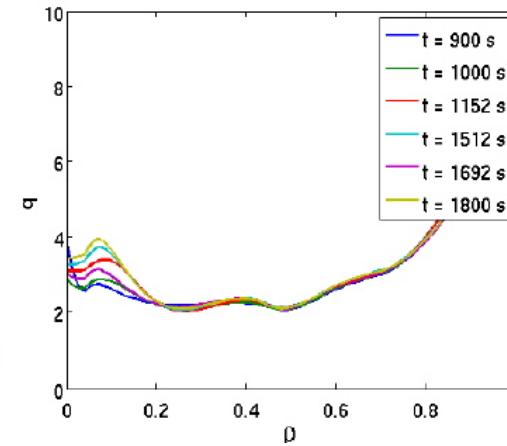
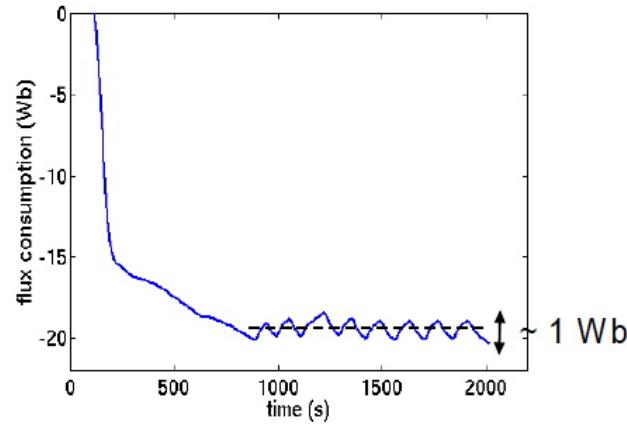
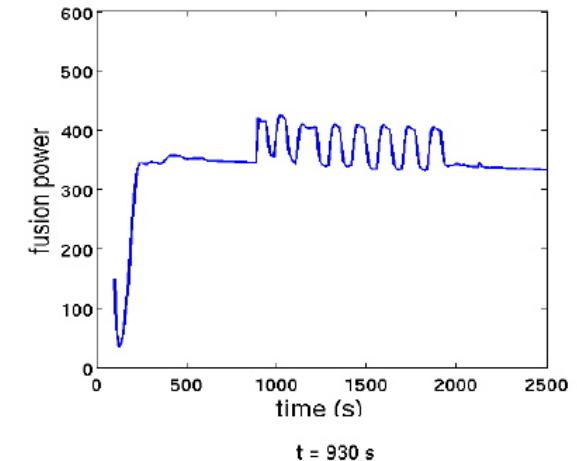
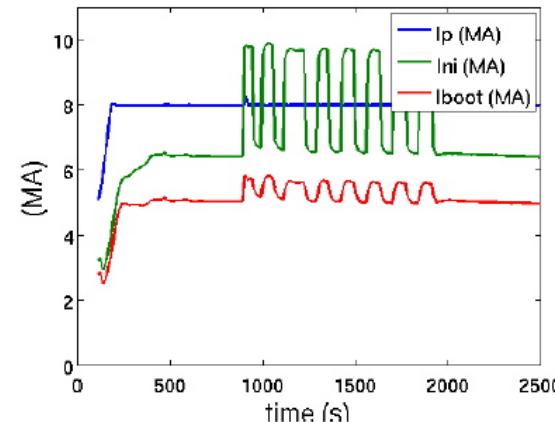
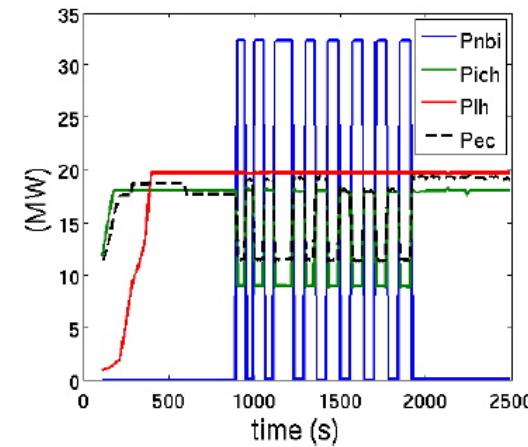
CRONOS-C3PO/LUKE

MHD



- *The internal transport barrier migrates towards the plasma center because of the misalignment between the thermal bootstrap current with the total current despite feedback control*
- *The external sources of current cannot compensate and maintain the position of the internal transport barrier*

Control of an internal transport barrier in ITER CRONOS-C3PO/LUKE



Continuous cyclic scenario solves the problem of current source alignment

Advanced studies
*Towards neoclassical current
drive simulations*

Neoclassical current drive calculations

Maxwellian plasma

$$j_{plasma} = j_{configuration} + j_{ext.}$$

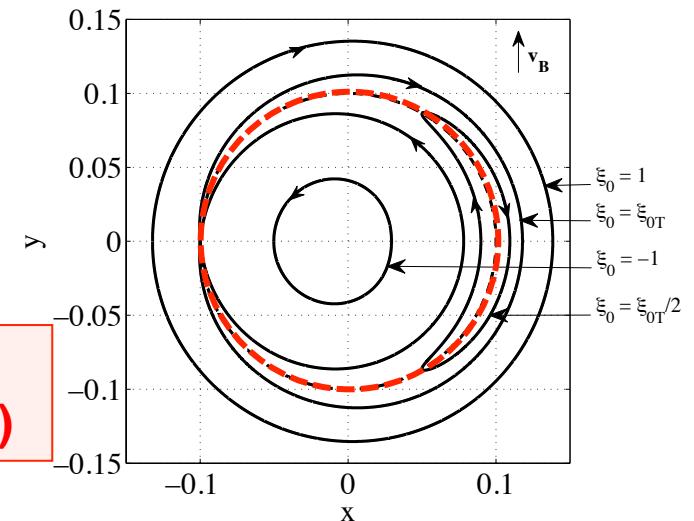
non-Maxwellian plasma

Unified neoclassical theory for non-Maxwellian plasmas

- Exact Hamiltonian (*Lie transform*)
- Large orbits, non-local dynamics

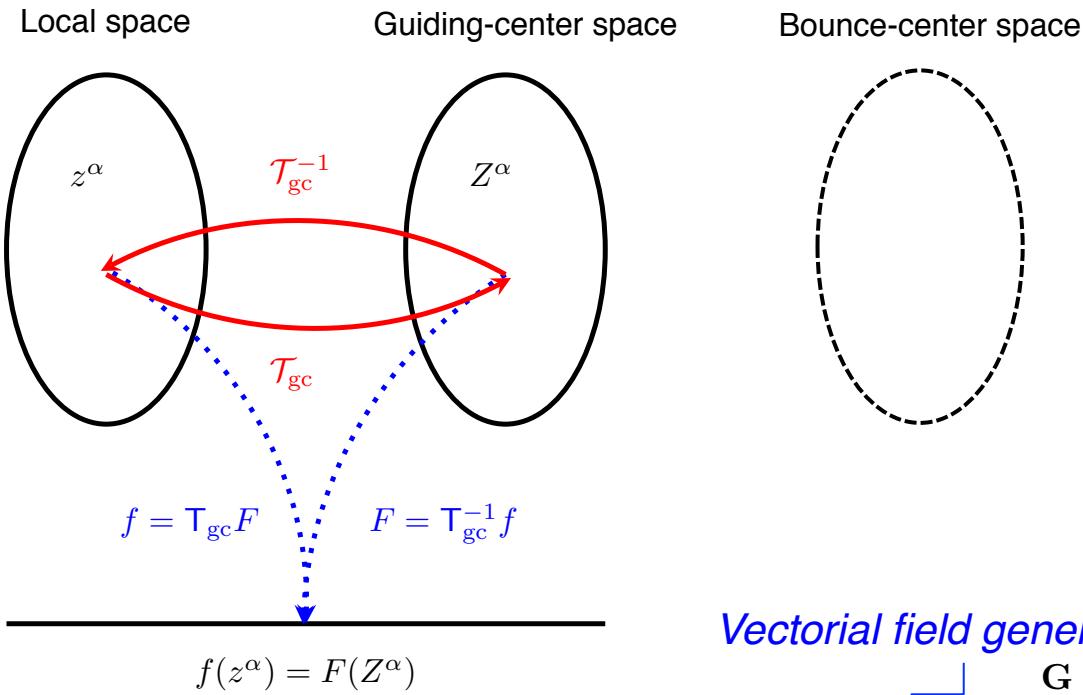


Cross-physical effects between momentum and configuration spaces dynamics (electrons, ions)



Near identity Lie transform

Derive an exact guiding-center Hamiltonian and Poisson bracket



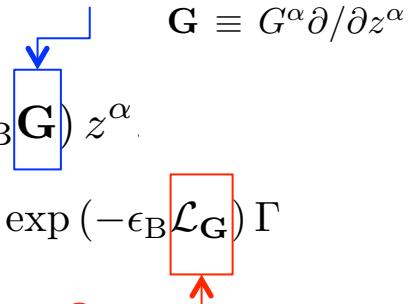
Coordinates transformation

$$\mathcal{T}_{gc} : z^\alpha \rightarrow \bar{z}^\alpha = \exp(\epsilon_B \mathbf{G}) z^\alpha$$

« push-forward » transform of a Lagrangian 1-form

$$\mathcal{T}_{gc}^{-1} : \Gamma \rightarrow \bar{\Gamma} = \exp(-\epsilon_B \mathcal{L}_G) \Gamma$$

Lie derivative along \mathbf{G}



Convection vector and diffusion tensor in the thin orbit approximation

$$\left\{ \begin{array}{l} \mathcal{K}_{gc}^{\bar{\psi}} = \epsilon_{\psi} \delta \psi \left[\nu_l + \frac{1}{\xi} \nu_t \right] + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \\ \mathcal{K}_{gc}^p = - \left[1 - \epsilon \lambda_{gc} \frac{1 - \xi^2}{2\xi} \frac{\partial}{\partial \xi} \right] \nu_l p + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \\ \mathcal{K}_{gc}^{\xi_0} = - \frac{1 - \xi_0^2}{2\xi_0} \left[(\epsilon_{\psi} \bar{\delta \psi} + \epsilon \lambda_{gc}) \nu_l \right. \\ \quad \left. + \frac{2\xi}{1 - \xi^2} \left(1 - \epsilon \lambda_{gc} - \epsilon \lambda_{gc} \frac{1 - \xi^2}{2\xi} \frac{\partial}{\partial \xi} + \epsilon_{\psi} \bar{\delta \psi} \frac{1 - \xi^2}{2\xi^2} \right) \nu_t \right] + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \end{array} \right.$$

Thin orbit approximation: $\epsilon_{\psi} \equiv (\psi - \bar{\psi}) / \bar{\psi} \ll 1$

Magnetic non-uniformity: $\epsilon \equiv \rho / L_B \ll 1$



$$\left\{ \begin{array}{l} \mathcal{D}_{gc}^{\bar{\psi}\bar{\psi}} = \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \\ \mathcal{D}_{gc}^{pp} = \left[1 - \epsilon \lambda_{gc} \frac{1 - \xi^2}{2\xi} \frac{\partial}{\partial \xi} \right] D_l + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \\ \mathcal{D}_{gc}^{\xi_0 \xi_0} = \frac{1 - \xi_0^2}{p^2} \frac{\xi^2}{\Psi \xi_0^2} \left[\left(1 - \epsilon \lambda_{gc} - \epsilon \lambda_{gc} \frac{1 - \xi^2}{2\xi} \frac{\partial}{\partial \xi} + \epsilon_{\psi} \bar{\delta \psi} \frac{1 - \xi^2}{\xi^2} \right) D_t \right. \\ \quad \left. + \frac{1}{\xi} (\epsilon \lambda_{gc} + \epsilon_{\psi} \bar{\delta \psi}) D_{\times} \right] + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \\ \mathcal{D}_{gc}^{p\bar{\psi}} = - \epsilon_{\psi} \frac{\delta \psi}{p} \left[D_l + \frac{1}{\xi} D_{\times} \right] + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \\ \mathcal{D}_{gc}^{p\xi_0} = \frac{1 - \xi_0^2}{2p\xi_0} \left[(\epsilon_{\psi} \bar{\delta \psi} + \epsilon \lambda_{gc}) D_l \right. \\ \quad \left. + \frac{2\xi}{1 - \xi^2} \left(1 - \epsilon \lambda_{gc} - \epsilon \lambda_{gc} \frac{1 - \xi^2}{2\xi} \frac{\partial}{\partial \xi} + \epsilon_{\psi} \bar{\delta \psi} \frac{1 - \xi^2}{2\xi^2} \right) D_{\times} \right] + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \\ \mathcal{D}_{gc}^{\bar{\psi}\xi_0} = - \epsilon_{\psi} \delta \psi \frac{1 - \xi_0^2}{p^2 \xi_0} \left[D_t + \frac{\xi}{1 - \xi^2} D_{\times} \right] + \mathcal{O}(\epsilon^2, \epsilon \epsilon_{\psi}, \epsilon_{\psi}^2) \end{array} \right.$$

Classical Maxwellian bootstrap
current recovered (Lorentz
collision operator)



LUKE 2: numerical algorithm of
LUKE 1 preserved, but more
off-diagonals elements,
Jacobian modified, and the
physical meaning of ξ is no
more a cosine of the pitch-angle

Conclusions and prospects

Conclusions and prospects

- Simulation of rf current source is a central activity for self-consistent tokamak modeling of advanced scenario (ITER)
- Using advanced computer technology and powerful numerical algorithms (*remote computing, multicore, GPU*), fast calculations from first principles have been made routinely possible for current drive prediction and direct comparison with experimental data (synthetic diagnostics)
- Bounce-averaging put limits in the range of validity in the 3-D Fokker-Planck code application: a 4-D code is a challenging physics and numerical issue.
- A lot of the experimental phenomenology is captured by ray tracing coupled with 3-D linearized, bounce-averaged relativistic Fokker-Planck solver.
- The fluctuations of the electron density may strongly modify the picture obtained with quiescent plasmas (→ convergence towards gyro-kinetic calculations)
- Consistency between of self-generated and external sources of current requires theoretical development (on going work + numerical developments → LUKE 2): wave-induced transport, bootstrap/rf wave synergy in steep gradient zones, ion physics, ...