Plasma simulations in the tokamak scrape-off layer

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What is the SOL? Its main roles? Its regimes? Why a diverted configuration? How can we simulate the SOL? First-principle kinetic and fluid simulations. Phenomenological approach.



The questions

What is the SOL? Its main roles? Its regimes?

Why a diverted configuration?

How can we simulate the SOL? First-principle kinetic and fluid simulations. Phenomenological approach.

The scrape-off layer (SOL) – the most external region in a tokamak Scrape-off Layer Separatrix (last closed flux surface) **Open field lines**

Why the SOL? If we didn't think about it...,









Why the SOL? ITER numbers



Heat fluxes



the wall:

$> 100 \ \mathrm{MW} \ \mathrm{m}^-$

The roles of the SOL



- Heat exhaust
- Plasma confinement
- Plasma fueling
- Regulating neutral density
- Ashes removal (He < 10%)
- Impurity control





Convective regime

 Plasma outflowing from core to the SOL



- Plasma outflowing from core to the SOL
- Flow to the divertor



- Plasma outflowing from core to the SOL
- Flow to the divertor
- Recycling



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- Flow to the divertor
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- Low density: lonization in core



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- Plasma outflowing from core to the SOL
- Flow to the divertor
- Recycling
- Low density: Ionization in core
- Heat flux from core
- Mainly convective Q, low temperature gradients



Conduction regime

- Same heat flux



Conduction regime

- Same heat flux
- High density: short
 - ionization mean free path



Conduction regime

- Same heat flux
- **High density**: short ionization mean free path
- Ionization close to target
- Q is mainly conductive
- Temperature gradients may form



Conduction regime

- Same heat flux
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Detachment regime

- Very High density
- Low temperature at the divertor
- Volumetric recombination close to the targets
- Ion-neutral friction drag becomes important
- Low energy flux to the target

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Limited and diverted SOL





Diverted configuration can lead to reduced plasma convection, heat is conducted, temperature gradients might arise

- Temperature gradient

- Impurity production
- Impurity transport
- Pumping
- Heat exhaust
- Detachment
- Plasma confinement

 H^+

Impurity production by **ion** impact

 Physical sputtering (energetic ions)



 Chemical sputtering (reduced energy requirement)

Sputtering yields minimized with plasma temperature: divertor allows low plasma temperature

- Temperature gradient
- Impurity production
- Impurity
 transport
- Pumping
- Heat exhaust
- Detachmen
 - Plasma confinement



higher recycling rate in diverted configurations



With limiter, impurities are transported more easily to the main plasma, however 100% impurity free plasma is not achieved (sputtering from main vessel)

- Temperature gradient
- Impurity production
- Impurity transport
- Pumping
- Heat exhaust
- Detachment
 - Plasma
 confinement



Divertors allow a higher neutral pressure, improving pumping efficiency. Pumped limiters have to be used in limited

configuration

- Temperature gradient
- Impurity production
- Impurity transport
- Pumping
- Heat exhaust
- Detachment
- Plasma confinement



Opportunity of power removal by volumetric loss processes:

- Radiation (from puffed impurities, enhanced radiation at low temperature)
- Charge exchange

- Temperature gradient
- Impurity production
- Impurity transport
- Pumping
- Heat exhaust
- Detachment
- Plasma confinement



With 3.5 m² wetted area, $P_{\text{wall}} < 10 \text{ MW m}^{-2}$



Divertors allow low temperature necessary for detachment; in limited plasmas radiative detachment possible by injecting Ne, but core contamination

- Temperature gradient
- Impurity production
- Impurity transport
- Pumping
- Heat exhaust
- Detachment
 - Plasma confinement



$$\frac{Q_{\rm sep}}{R} = 80 - 100$$

- Temperature gradient
- Impurity
 production
- Impurity
 transport
- Pumping
- Heat exhaust
- Detachment
- Plasma confinement

Fusion reactor will probably be operated in detachment conditions

More advanced SOL configurations might be needed for DEMO...



snowflake, super-X divertor...

- Temperature gradient
- Impurity production
- Impurity transport
- Pumping
- Heat exhaust
- Detachment
 - Plasma
 confinement



H mode is achieved more easily in diverted plasmas

The questions

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Simulating the SOL





- Turbulent perpendicular transport
- Parallel
 - transport
- Wall interaction
- Neutrals
- Impurities
- Radiation

Courtesy of R. Maqueda



Properties of SOL turbulence





$$L_{fluc} \sim L_{eq}$$

 $n_{fluc} \sim n_{eq}$

Collisional plasma (but not always) Nonlinear phenomena

ITER design based on scaling law

Basic physics understanding is still missing



Simulations of SOL dynamics are crucial

A fairly complete SOL model 1/2

A fairly complete SOL model 1/2

$$\begin{aligned} \frac{\partial f_n(\mathbf{x}, \mathbf{v}_n, t)}{\partial t} + \mathbf{v}_n \cdot \frac{\partial f_n(\mathbf{x}, \mathbf{v}_n, t)}{\partial \mathbf{x}} &= -f_n(\mathbf{x}, \mathbf{v}_n, t) \int \sigma_{ion}(v_e) v_e f_e(\mathbf{x}, \mathbf{v}_e, t) d\mathbf{v}_e \\ &- \int \sigma_{CX}(\mathbf{v}_n - \mathbf{v}_i) |\mathbf{v}_n - \mathbf{v}_i| \left[f_n(\mathbf{x}, \mathbf{v}_n, t) f_i(\mathbf{x}, \mathbf{v}_i, t) - f_i(\mathbf{x}, \mathbf{v}_n, t) f_n(\mathbf{x}, \mathbf{v}_i, t) \right] d\mathbf{v}_i \end{aligned}$$

$$\begin{aligned} &+ f_i(\mathbf{x}, \mathbf{v}_n, t) \int \sigma_{rec}(v_e) v_e f_e(\mathbf{x}, \mathbf{v}_e, t) d\mathbf{v}_e \\ &\frac{\partial f_i(\mathbf{x}, \mathbf{v}_i, t)}{\partial t} + \mathbf{v}_i \cdot \frac{\partial f_i(\mathbf{x}, \mathbf{v}_i, t)}{\partial \mathbf{x}} + \frac{q_i}{m_i} \left(\mathbf{E} + \mathbf{v}_i \times \mathbf{B} \right) \cdot \frac{\partial f_i(\mathbf{x}, \mathbf{v}_i, t)}{\partial \mathbf{v}_i} = \\ &- \int \sigma_{CX}(\mathbf{v}_n - \mathbf{v}_i) |\mathbf{v}_n - \mathbf{v}_i| \left[f_i(\mathbf{x}, \mathbf{v}_i, t) f_n(\mathbf{x}, \mathbf{v}_n, t) - f_n(\mathbf{x}, \mathbf{v}_i, t) f_i(\mathbf{x}, \mathbf{v}_n, t) \right] d\mathbf{v}_n \end{aligned}$$

$$\begin{aligned} \frac{\partial f_e(\mathbf{x}, \mathbf{v}_e, t)}{\partial t} + \mathbf{v}_e \cdot \frac{\partial f_e(\mathbf{x}, \mathbf{v}_e, t)}{\partial \mathbf{x}} + \frac{q_e}{m_e} \left(\mathbf{E} + \mathbf{v}_e \times \mathbf{B}\right) \cdot \frac{\partial f_e(\mathbf{x}, \mathbf{v}_e, t)}{\partial \mathbf{v}_e} = \\ C(f_e, f_e) + C(f_e, f_i) - n_n \sigma_{ion}(v_e) v_e f_e(\mathbf{x}, \mathbf{v}_e, t) \\ + 2n_n \int \sigma_{ion}(v'_e) \Phi_{ion}(\mathbf{v}'_e, \mathbf{v}_e) v'_e f_e(\mathbf{x}, \mathbf{v}'_e, t) d\mathbf{v}'_e - n_i \sigma_{rec}(v_e) v_e f_e(\mathbf{x}, \mathbf{v}_e, t) \\ - n_n \sigma_{el}(v_e) v_e f_e(\mathbf{x}, \mathbf{v}_e, t) + \frac{1}{4\pi} n_n \int \sigma_{el}(v_e) v_e f_e(\mathbf{x}, \mathbf{v}_e, t) d\Omega \end{aligned}$$

Electrons

lon

A fairly complete SOL model 2/2

+ Maxwell equations+ Boundary conditions

$$f_i(\mathbf{x}_w, \mathbf{v}_\perp < 0, t) = 0$$

$$f_e(\mathbf{x}_w, \mathbf{v}_\perp < 0, t) = 0$$

$$f_n(\mathbf{x}_w, \mathbf{v}_\perp < 0, t) \propto \cos(\theta) \exp[-mv^2/2T_w]$$

- Equation for neutrals: linear, easy to solve, once plasma profile is known (EIRENE [De], DEGAS [US], ...)
- Plasma equations: nonlinear, complex to solve

1D Kinetic SOL simulations

Carried out with massive PIC codes, with multiple plasma and neutral species



Useful to evaluate parallel transport coefficients, to understand the key processes in the SOL

Fluid modeling

Typically (but not always) in the SOL: $\lambda_{
m mfp} \ll L, \ au_{
m coll} \omega_{ci} \gg 1$



Kinetic equations can be integrated, fluid equations can be found, with closure provided by Braginskii (1965)

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Kinetic equations can be integrated, fluid equations can be found, with closure provided by Braginskii (1965)

$$\frac{\partial n_{\alpha}}{\partial t} + \nabla \cdot (n_{\alpha} \mathbf{v}_{\alpha}) = S_n$$
$$m_{\alpha} n \left(\frac{\partial}{\partial t} + \mathbf{v}_{\alpha} \cdot \nabla \right) \mathbf{v}_{\alpha} = -\nabla p_{\alpha} + q_{\alpha} n \left(\mathbf{E} + \frac{1}{c} \mathbf{v}_{\perp \alpha} \times \mathbf{B} \right) - \nabla \cdot \underline{\pi}_{\alpha} + \mathbf{R}_{\alpha}$$

Typically considered in low density (no neutral interaction)

Too expensive to perform 3D SOL simulations!

Drift-reduced Braginskii equations 1/3

 $\begin{array}{ll} \text{SOL turbulence is low frequency} & \\ \text{and long scale length:} & \\ \end{array} & \\ \end{array} & \\ \begin{array}{l} \frac{\partial}{\partial t} \ll \omega_{ci}, \ k_{\perp}\rho < 1 \end{array} \end{array}$

$$\mathbf{w}_{\alpha} n \left(\frac{\partial}{\partial t} + \mathbf{v}_{\alpha} \cdot \nabla \right) \mathbf{v}_{\alpha} = -\nabla p_{\alpha} + q_{\alpha} n \left(\mathbf{E} + \frac{1}{c} \mathbf{v}_{\perp \alpha} \times \mathbf{B} \right) - \nabla \cdot \underline{\pi}_{\alpha} + \mathbf{R}_{\alpha} + \mathbf{R}_{\alpha} + \mathbf{E} \mathbf{B}$$
$$\mathbf{v}_{\perp \alpha} \times \mathbf{B} \times \mathbf{B} = -B^{2} \mathbf{v}_{\perp \alpha}$$
$$\mathbf{v}_{E \times B} = \frac{c \mathbf{E} \times \mathbf{B}}{B^{2}}$$
$$\mathbf{v}_{d\alpha} = \frac{c \mathbf{B} \times \nabla p_{\alpha}}{q_{\alpha} n B^{2}}$$
$$\mathbf{v}_{pol,\alpha} = \frac{\mathbf{b}}{\omega_{c\alpha}} \times \frac{\partial \mathbf{v}_{\perp \alpha}}{\partial t} + \dots \ll \mathbf{v}_{E \times B}, \mathbf{v}_{d\alpha}$$
$$\longrightarrow \mathbf{v}_{pol,\alpha} \simeq \frac{\mathbf{b}}{\omega_{c\alpha}} \times \frac{\partial (\mathbf{v}_{E \times B} + \mathbf{v}_{d\alpha})}{\partial t} + \dots$$

Drift-reduced Braginskii equations 2/3

SOL turbulence is low frequency and long scale length:

$$\frac{\partial}{\partial t} \ll \omega_{ci}, \, k_{\perp} \rho < 1$$

 ∂t

$$\mathbf{w}_{\alpha} n \left(\frac{\partial}{\partial t} + \mathbf{v}_{\alpha} \cdot \nabla \right) \mathbf{v}_{\alpha} = -\nabla p_{\alpha} + q_{\alpha} n \left(\mathbf{E} + \frac{1}{c} \mathbf{v}_{\perp \alpha} \times \mathbf{B} \right) - \nabla \cdot \underline{\pi}_{\alpha} + \mathbf{R}_{\alpha} + \mathbf{R}_{\alpha} + \mathbf{v}_{\perp \alpha} \times \mathbf{B}$$
$$\mathbf{v}_{\perp \alpha} \times \mathbf{B} \times \mathbf{B} = -B^{2} \mathbf{v}_{\perp \alpha}$$
$$\mathbf{v}_{E \times B} = \mathbf{v}_{\parallel \alpha} \mathbf{b} + \mathbf{v}_{E \times B} + \mathbf{v}_{d\alpha} + \mathbf{v}_{\text{pol},\alpha}$$
$$\mathbf{v}_{d\alpha} = \frac{c \mathbf{B} \times \nabla p_{\alpha}}{q_{\alpha} n B^{2}}$$
$$\mathbf{v}_{pol,\alpha} = \frac{\mathbf{b}}{\omega_{c\alpha}} \times \frac{\partial \mathbf{v}_{\perp \alpha}}{\partial t} + \dots \ll \mathbf{v}_{E \times B}, \mathbf{v}_{d\alpha}$$
$$\longrightarrow \mathbf{v}_{pol,\alpha} \simeq \frac{\mathbf{b}}{\omega_{t}} \times \frac{\partial (\mathbf{v}_{E \times B} + \mathbf{v}_{d\alpha})}{\partial t} + \dots$$

Drift-reduced Braginskii equations 3/3

$$\frac{\partial n_{\alpha}}{\partial t} + \nabla \cdot \left[n_{\alpha} (v_{\parallel \alpha} \mathbf{b} + \mathbf{v}_{E \times B} + \mathbf{v}_{d\alpha} + \mathbf{v}_{\text{pol},\alpha}) \right] = S$$

For electrons

 $V_{||e} = \Omega$



Quasi neutrality

Equation for the vorticity,

$$T_e, T_i \longrightarrow$$
 similar equations as n

parallel momentum

balance

Boundary conditions at the plasma-wall interface



- Set of b.c. for all quantities, generalizing Bohm-Chodura b.c. $(v_w = c_s)$
- Checked agreement with PIC kinetic simulations

SOL with drift-reduced Braginskii equations

- A number of codes developed: BOUT++ (flexible framework, UK-US), TOKAM3X (Fr), GBS (CH)
- Solved in 3D, dynamics resulting from: plasma outflow, turbulent transport, and parallel losses
- Simulations contain drift physics, turbulence (ballooning modes, drift waves, ...), blobs, parallel flows, sheath losses...



The key questions

• How is the SOL width established?

• The differences between LFS and HFS limited configurations?

• What determines the SOL electrostatic potential?

• Are there mechanisms to generate toroidal rotation in the SOL?

Three possible saturation mechanisms

Removal of the turbulence drive (gradient removal):

Kelvin – Helmholtz secondary instability:

Suppression due to strong shear flow:





Turbulent transport with gradient removal saturation



SOL width – operational parameter estimate

Balance of perpendicular transport and parallel losses

$$\rightarrow \frac{d\Gamma_r}{dr} \sim L_{\parallel} \underset{\text{Bohm's}}{\leftarrow} \frac{nc_s}{qR}$$



SOL turbulent regimes

Instabilities driving turbulence depends mainly on q, ν , \hat{s} .



SOL width in ballooning regime

$$L_p \simeq q \left(\underbrace{\gamma}_{k_{\theta}} \right)_{\text{max}} \gamma \sim \gamma_b = \sqrt{2R/L_p} \sqrt{\frac{1-\alpha_{\text{MHD}}}{\nu q^2 \gamma_b}}$$

$$L_{p} = [2\pi\rho_{*}(1 - \alpha_{\text{MHD}})\alpha_{d}/q]^{-1/2}$$
Tokamak size
$$\alpha_{d} \sim (R/L_{p})^{1/4}\nu^{-1/2}/q$$

$$\alpha_{MHD} \sim q^{2}\beta R/L_{p}$$

Simulations agree with ballooning estimates

 L_p (simulation)



 $R^{1/2} [2\pi (1 - \alpha_{\rm MHD}) \alpha_d / q]^{-1/2}$

Good agreement with multi-machine measurements

The ballooning scaling, in SI units:

$$L_p \simeq 7.22 \times 10^{-8} q^{8/7} R^{5/7} B_{\phi}^{-4/7} T_{e,\text{LCFS}}^{-2/7} n_{e,\text{LCFS}}^{2/7} \left(1 + \frac{T_{i,\text{LCFS}}}{T_{e,\text{LCFS}}} \right)^{1/7}$$



Experimental results provided by G. Arnoux, I. Furno, J.P. Gunn, J. Horacek, M. Kocan, B. LaBombard, B. Labit, and C. Silva.

C-Mod simulations: 2 pressure scale length



2D SOL fluid simulations

- Consider small 2D poloidal region
- Assume weak dependence along B
- Integrate density equation $\frac{\partial n}{\partial t} + [\phi, n] = \hat{C}(nT_e) - n\hat{C}(\phi) - \nabla_{\parallel}(nV_{\parallel e}) + S$ along B
 - Introduce $\overline{n} = \frac{1}{L_{\parallel}} \int n dl_{\parallel}$
- Obtain

 $\frac{\partial \overline{n}}{\partial t} + \left[\overline{\phi}, \overline{n}\right] = \hat{C}(\overline{n}\overline{T}_e) + \overline{n}\hat{C}(\overline{\phi}) - \overline{n}V_{\parallel}\big|_{\text{divertor}} + S$

 2D system of equations solved by TOKAM2D (Fr), SOLT (US), ESEL (DK), ...



2D investigations of blob dynamics

Blob: coherent elongated structures, giving important heat loads





Courtesy of V. Naulin

The phenomenological approach

- Starting point: Braginskii equations
- Perpendicular turbulent transport modeled as diffusive process:

$$\nabla_{\perp} \cdot (D_{\perp} \nabla_{\perp} n) + \nabla_{\parallel} (nV_{\parallel}) = S - L$$

- Similar equations for T_i , T_e , V_{\parallel}
- Diffusion coefficients to fit experimental data (interpretative mode), extrapolated (predictive mode)
- Particle drifts have been introduced
- Coupled to neutral codes (EIRENE, DEGAS...), fast ion codes (ASCOT), impurity dynamics (REDEP and DIVIMP), strong heat impulse (HEIGHTS and FOREV-2)
- Huge effort from the community: SOLPS (B2-EIRENE): 2 × 10⁵ lines, 100 ppy. Other codes: EDGE2D, UEDGE, ...



The phenomenological approach

• It constitutes the design tool for ITER



What have we learned?

- The importance of the SOL region, and its key role in the success of the fusion program
- Diverted SOL have clear advantages; for DEMO more advanced configurations might be necessary
- Simulations of the SOL: extremely complex region, where turbulent nonlinear phenomena, neutrals, sheath physics, impurities, ..., are present
- The approaches
 - Fully kinetic
 - Drift-reduced Bragiskii fluid approach (3D and 2D)
 - Phenomenological approach

Some references

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- Tskhakaya, Contrib. Plasma Phys. 2012
- Ricci, et al, PPCF 2012
- Chang, et al., Journal of Physics: Conference Series 2009
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The full set of GBS equations

$$\partial_t n = -\frac{R}{B} \left[\phi, n\right] + \frac{2}{B} \left[\hat{C}\left(p_e\right) - n\hat{C}\left(\phi\right)\right] - \nabla_{\parallel}\left(nv_{\parallel e}\right) + S_n$$

$$\partial_t \nabla_{\perp}^2 \phi = -\frac{R}{B} \left[\phi, \nabla_{\perp}^2 \phi\right] + \frac{2B}{n} \hat{C}\left(p_e\right) - v_{\parallel i} \nabla_{\parallel} \nabla_{\perp}^2 \phi + \frac{B^2}{n} \nabla_{\parallel} j_{\parallel}$$

$$\partial_t \left(v_{\parallel e} + \frac{m_i \beta_e}{m_e 2} \psi\right) = -\frac{R}{B} \left[\phi, v_{\parallel e}\right] - v_{\parallel e} \nabla_{\parallel} v_{\parallel e}$$

$$+ \frac{m_i}{m_e} \left\{-\nu \frac{j_{\parallel}}{n} + \nabla_{\parallel} \phi - \frac{1}{n} \nabla_{\parallel} p_e - 0.71 \nabla_{\parallel} T_e - \frac{2}{3n} \nabla_{\parallel} G_e\right\}$$

$$\partial_t v_{\parallel i} = -\frac{R}{B} \left[\phi, v_{\parallel i}\right] - v_{\parallel i} \nabla_{\parallel} v_{\parallel i} - \frac{1}{n} \nabla_{\parallel} p_e$$

$$\partial_t T_e = -\frac{R}{B} \left[\phi, T_e\right] - v_{\parallel e} \nabla_{\parallel} T_e + \frac{4}{3} \frac{T_e}{B} \left[\frac{7}{2} \hat{C}\left(T_e\right) + \frac{T_e}{n} \hat{C}\left(n\right) - \hat{C}\left(\phi\right)\right] + S_{T_e}$$

$$+ \frac{2}{3} T_e \left[0.71 \nabla_{\parallel} v_{\parallel i} - 1.71 \nabla_{\parallel} v_{\parallel e} + 0.71 \left(\frac{v_{\parallel i} - v_{\parallel e}}{n}\right) \nabla_{\parallel} n\right]$$

Need boundary conditions for: $n, v_{\parallel e}, v_{\parallel i}, T_e, \nabla^2_{\perp} \phi, \psi, \phi$

The SOL: a very thin region



 nc_s