

Integrated Modeling for Heating and Current Drive

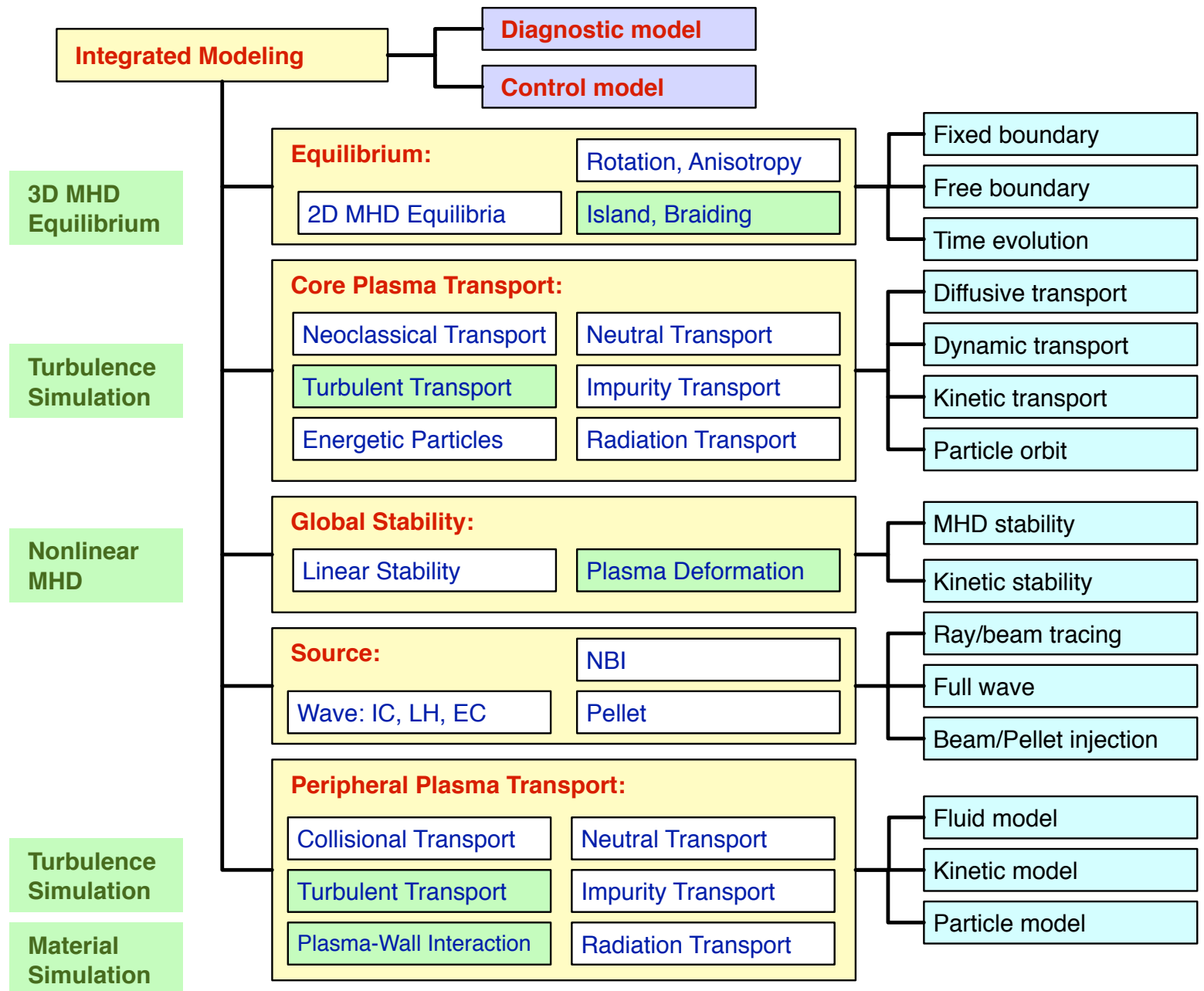
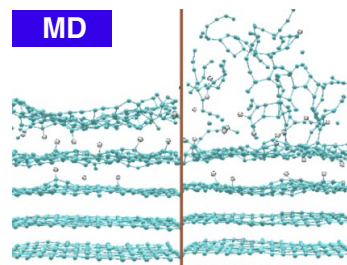
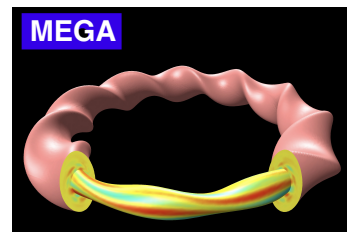
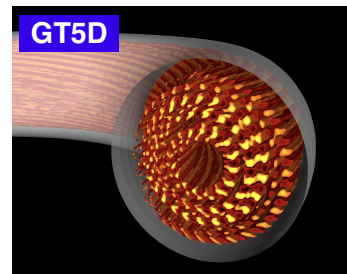
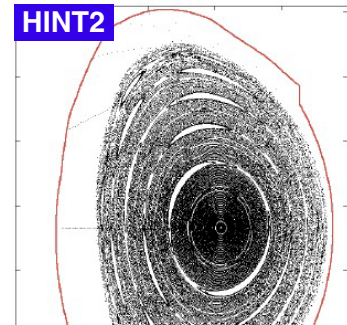
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- **Role of heating and current drive**
 - start-up, sustainment, probabilistic incidents, shut-down
 - control of density, temperature, current and rotation
 - plasma model: fluid, multi-fluid, kinetic
- **Modeling of actuators**
 - Neoclassical resistivity and bootstrap current
 - Neutral beam
 - Pellet
 - Waves
 - **Frequency ranges**: IC, LH, EC
 - **Models**: ray tracing, beam tracing, full wave, kinetic full wave
- **Kinetic integrated modeling**
- **Summary**

Components of integrated tokamak modeling



Role of heating, current drive and fueling

- **Start-up of fusion reaction**

- Initial heating for high temperature
- Initial fueling for high density

- **Sustainment of fusion reaction**

- Continuous fueling to sustain fuel density
- Pressure profile control to optimize fusion power output

- **Sustainment of stable plasma**

- Continuous current drive to keep plasma current
- Pressure profile control to avoid MHD instability
- Rotation control to avoid MHD instability

Various role of actuators

Actuator	Heating	Current drive	Fueling	Others
PF coil E_{toroidal}	Joule heating	Inductive current drive		
NBI	NBI heating	NBI current drive	NBI fueling	NBI rotation drive^{*1}
Wave	Wave heating	Wave current drive		
Pellet injection			Fueling	Cooling^{*1}
Gas injection			Fueling^{*2}	Cooling^{*1}

^{*1}Control of MHD stability, ^{*2}SOL physics

Source modeling

- **Heat and momentum sources:**
 - **Toroidal electric field** and **density gradient**
 - Neoclassical resistivity, bootstrap current
 - **Alpha particle heating:**
 - sensitive to fuel density and momentum distribution
 - **Neutral beam injection:**
 - birth profile, finite size orbit, deposition to bulk plasma
 - **Waves:**
 - **IC** (~ 50 MHz): fuel ion heating, current drive, rotation drive(?)
 - **LH** (~ 10 GHz): current drive
 - **EC** (~ 170 GHz): current drive, pre-ionization
- **Particle source**
 - **Neutral beam injection:**
 - **Pellet injection:** penetration, evaporation, ionization, drift motion
 - **Gas injection:** massive gas injection, wall recycling

Description of heating and current drive

NBI

1. **Beam injection**
2. **Beam penetration**
3. **Beam deposition**
sensitive to $f_s(v)$
4. **Generation of energetic ions**
5. **Slowing down of energetic ions**
6. **Deviation of $f_s(v)$ from Maxwellian**
7. Modification of **Beam deposition** $\Rightarrow 3$

Wave

1. **Wave excitation**
2. **Wave propagation**
3. **Wave absorption:**
sensitive to $f_s(v)$
4. **Velocity diffusion of resonant particles**
5. **Collisional relaxation**
6. **Deviation of $f_s(v)$ from Maxwellian**
7. Modification of **Wave absorption** $\Rightarrow 3$

How to include deviation of $f_s(v)$ from Maxwellian

Various model of plasma

- **Fluid model**

1D Diffusive transport equation: $n, u_\phi, T(\rho, t)$ **diffusive transport**

2D Diffusive transport equation: $n, u_\parallel, T(\rho, \chi, t)$ **SOL transport**

1D Fluid-type transport equation: $n, \mathbf{u}, T(\rho, t)$ **multi-fluid model**

2D Fluid-type transport equation: $n, \mathbf{u}, T(\rho, \chi, t)$ **2D multi-fluid**

3D Gyro fluid equation: $n, \mathbf{u}, T(\rho, \chi, \zeta, t)$ **Gyro fluid model**

- **Kinetic model**

Bounce-averaged drift-kinetic equation: $f(p, \theta_p, \rho, t)$ **BAFP**

Axisymmetric gyrokinetic equation: $f(p, \theta_p, \rho, \chi, t)$ **AGK model**

Gyrokinetic equation: $f(p, \theta_p, \rho, \chi, \zeta, t)$ **Gyro kinetic model**

Full kinetic equation: $f(p, \theta_p, \phi_g, \rho, \chi, \zeta, t)$ **Full kinetic model**

Bounce-averaged Fokker-Planck equation

- **Multi-species momentum distribution functions:**

$$f_s(p_{\parallel}, p_{\perp}, \rho, t)$$

- **3 phase space variables:**

- **parallel and perpendicular momentum, minor radius**
 - **toroidal symmetry, gyro-motion average, bounce-motion average**

- **Fokker-Planck equation**

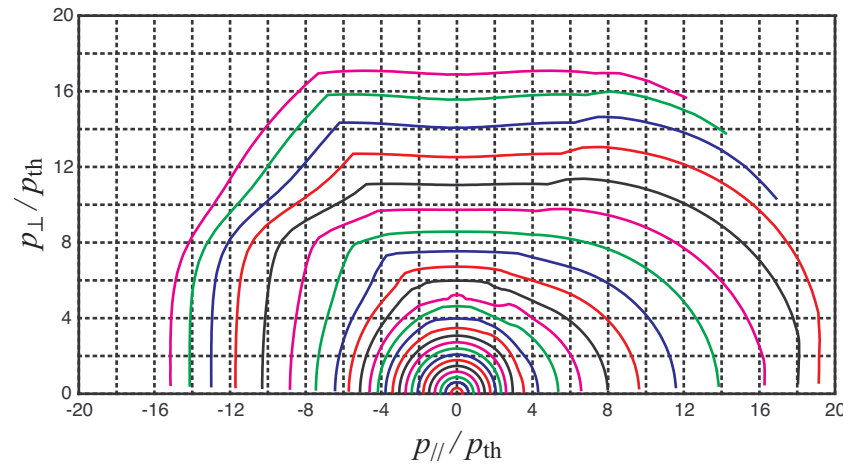
$$\frac{\partial f_s}{\partial t} = E(f_s) + C(f_s) + Q(f_s) + D(f_s) + S_s$$

- **$E(f)$: Acceleration due to DC electric field**
 - **$C(f)$: Nonlinear Coulomb collision**
 - **$Q(f)$: Quasi-linear diffusion due to wave-particle resonance**
 - **$D(f)$: Spatial diffusion** (model, collisional, QL)
 - **S : Particle source and sink** (NBI, Fusion reaction, Pellet)

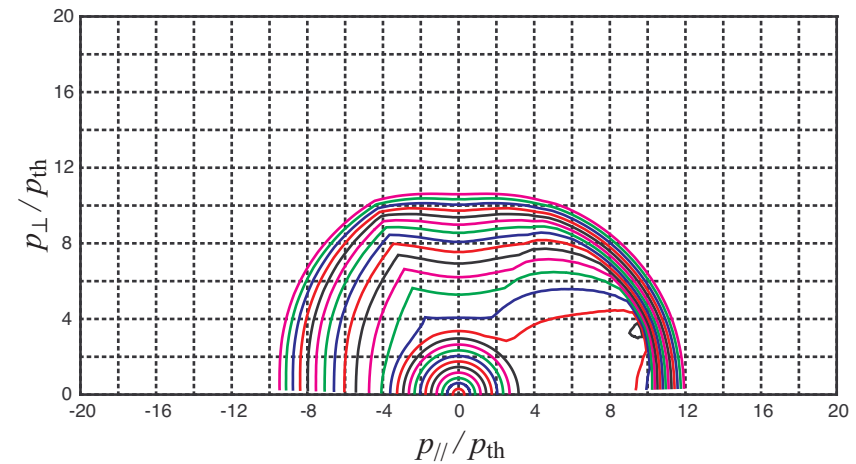
Examples of velocity distribution functions

- **3D multi-species Fokker-Planck analysis** of $f_s(p_{\parallel}, p_{\perp}, \rho, t)$

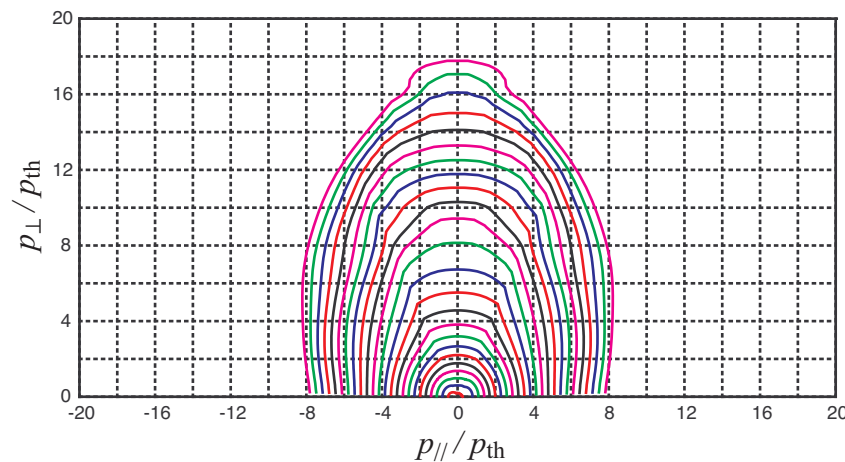
Electron : EC+LH



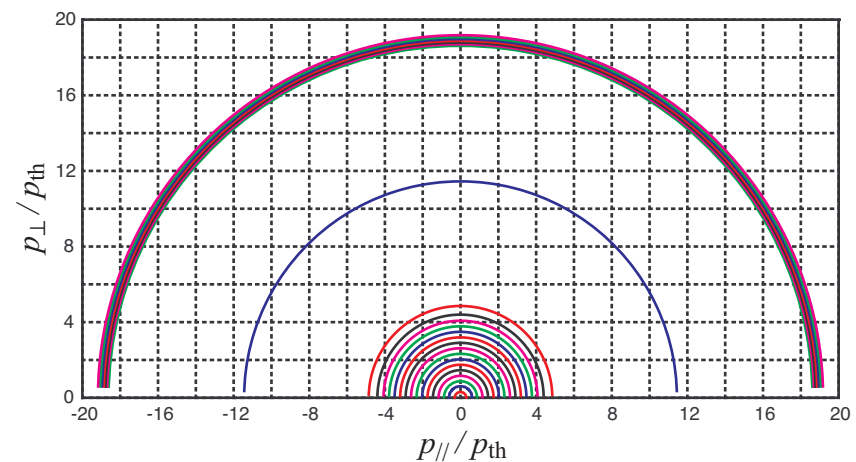
D : NBI



T : ICRF



He : DT reaction



Joule heating

- Heating by plasma current**

- Heating power density: $P_{\Omega} = \eta j^2$ (η : resistivity, j : current density)

- Upper limit on plasma current:** MHD stability requires

$$q_a = \frac{aB_{\phi}}{RB_{\theta a}} = \frac{2\pi a^2 B_{\phi}}{R\mu_0 I_p} \geq 2$$

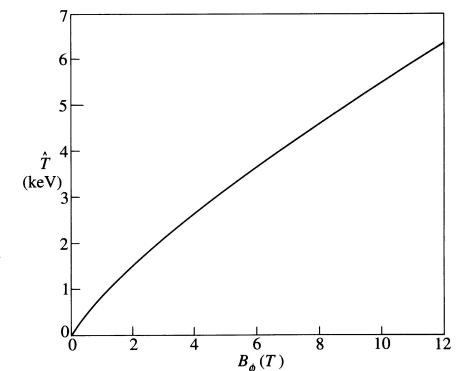
- Upper limit of current density: $\langle j \rangle = \frac{I_p}{\pi a^2} \leq \frac{1}{\mu_0} \frac{B_{\phi}}{R}$

- From the balance of heating power and transport loss:**

$$\eta \langle j^2 \rangle = \frac{3nT}{\tau_E}$$

- Resistivity: (T_e : keV): $\eta \sim 8 \times 10^{-8} Z_{\text{eff}} T_e^{-3/2} \Omega\text{m}$

- Energy confinement time: $\tau_E = 0.5(n/10^{20})a^2$



- Upper limit of temperature:** $T_{\text{max}} = 1.8 Z_{\text{eff}}^{2/5} \left(\frac{a}{R} B_{\phi} \right)^{4/5} \sim 0.87 B_{\phi}^{4/5}$

Joule heating: neoclassical resistivity

- **Resistivity in tokamak plasma**

- **Trapped particles** do not contribute to parallel current.
- **Collisional transition** between trapped and untrapped
- Steady-state solution of **bounce-averaged Fokker-Planck equation**

- **Approximate formula:** [Wesson, Tokamaks 3rd]

$$\eta = \eta_s \frac{Z_{\text{eff}}}{(1 - \phi)(1 - C\phi)} \frac{1 + 0.27(Z_{\text{eff}} - 1)}{1 + 0.47(Z_{\text{eff}} - 1)}$$

where

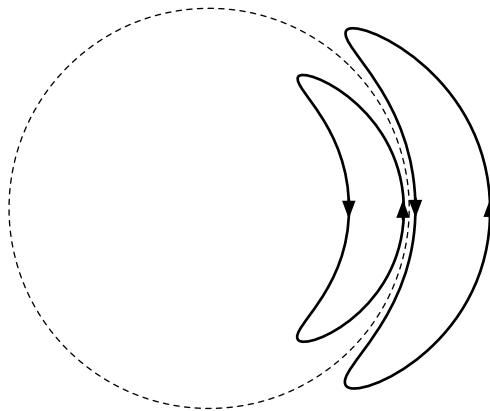
$$\begin{aligned} \eta_s &= 0.51 \frac{m_e}{n_e e^2 \tau_e}, & C &= \frac{0.56}{Z_{\text{eff}}} \left(\frac{3.0 - Z_{\text{eff}}}{3.0 + Z_{\text{eff}}} \right) \\ \phi &= \frac{f_T}{1 + (0.8 + 0.20 Z_{\text{eff}}) \nu_{*e}}, & f_T &= 1 - \frac{(1 - \epsilon)^2}{(1 - \epsilon^2)^{1/2} (1 + 1.46 \epsilon^{1/2})} \\ \epsilon &= \frac{r}{R}, & \nu_{*e} &= \frac{Rq}{v_{Te} \tau_e}, & \tau_e &= 3(2\pi)^{3/2} \frac{\epsilon_0^2 m_e^{1/2} T_e^{3/2}}{n_e e^4 \ln \Lambda} \end{aligned}$$

Bootstrap current (1)

- **Current driven by density gradient in tokamak plasma**
 - **trapped particle current**

$$j_{\text{trapped}} \sim -e \epsilon^{1/2} (\epsilon^{1/2} v_T) \frac{dn_e}{dr} w_b \sim -q \frac{\epsilon^{1/2}}{B} T \frac{dn_e}{dr}$$

- $\epsilon^{1/2}$: fraction of trapped particles
- $\epsilon^{1/2} v_{Te}$: magnitude of parallel velocity of trapped particles
- $w_b = \epsilon^{-1/2} q \rho$: radial width of trapped particle orbit
- $\frac{dn_e}{dr} w_b$: density difference of upward and downward electrons



Bootstrap current (2)

- **Collisional momentum transfer**
 - from trapped electrons to untrapped electrons, and balance with ions

$$\nu_{\text{effective}} j_{\text{trapped}} \frac{\nu_{ee}}{\epsilon} j_{\text{trapped}} \sim \nu_{ei} j_{\text{BS}}$$

- **Bootstrap current density**

$$j_{\text{BS}} \sim \frac{\nu_{ee}}{\epsilon \nu_{ei}} j_{\text{trapped}} \sim -\frac{\nu_{ee}}{\nu_{ei}} \frac{q}{\epsilon^{1/2}} \frac{T}{B} \frac{dn}{dr} \sim -\frac{q}{\epsilon^{1/2}} \frac{T}{B} \frac{dn}{dr}$$

- **Slightly more accurate form:** $q = \epsilon B / B_\theta$ [Wesson, Tokamaks 3rd]

$$j_{\text{BS}} = -\frac{\epsilon^{1/2} n_e T_e}{B_\theta} \left[2.44 \left(1 + \frac{T_i}{T_e} \right) \frac{\partial \ln n_e}{\partial r} + 0.69 \frac{\partial \ln T_e}{\partial r} - 0.42 \frac{T_i}{T_e} \frac{\partial \ln T_i}{\partial r} \right]$$

- **Finite orbit-width Fokker-Planck analysis for higher accuracy**

NBI heating (1)

- **Neutral beam injection**

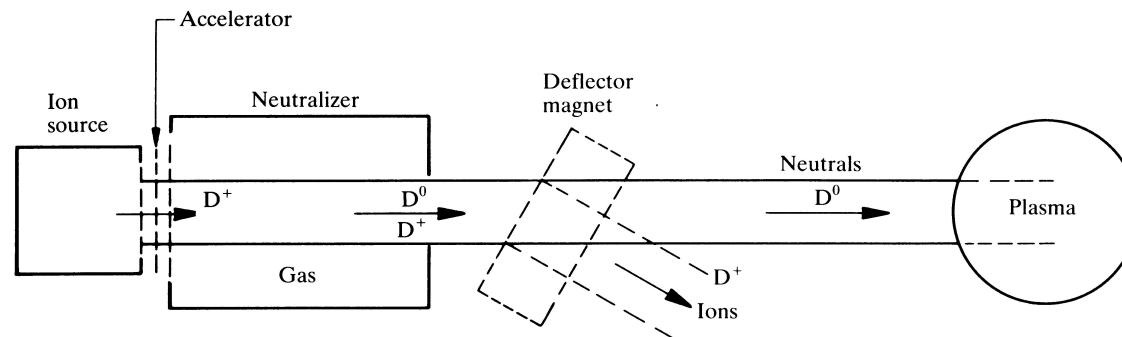
Ion generation (DC discharge, RF discharge)

⇒ Acceleration by electric field (10 keV ~ 1 MeV)

⇒ Neutralization (remove ions)

⇒ Injection into plasma

⇒ Collide with ions and electrons to heat them



[Wesson, Tokamaks 3rd]

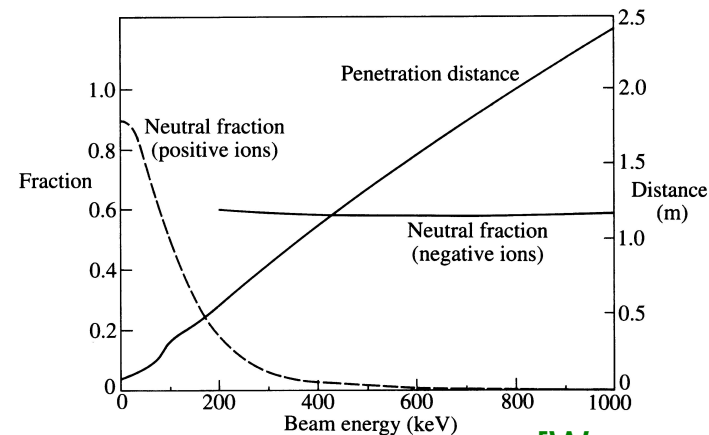
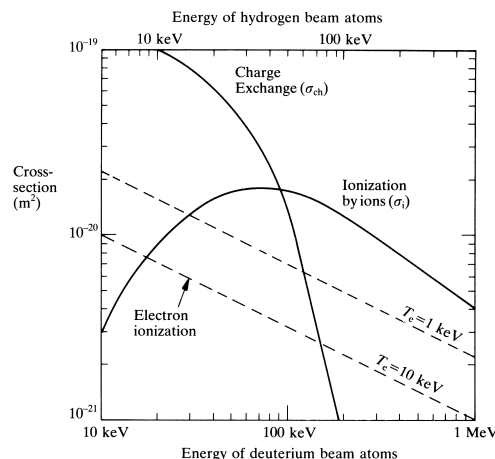
- **Features**

- Physical mechanism is simple
- High power heating achieved
- High current drive efficiency
- Rotation drive is possible
- High injection energy for large machine
- Large aperture area required

NBI heating (2)

- Neutralization efficiency:**

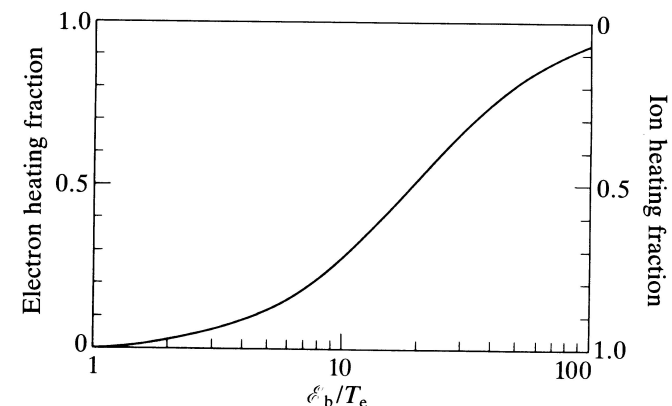
- Charge exchange for less than 80 keV
- Ionization reduces neutralization for higher than 80 keV.
- Negative ion is required for high energy



[Wesson, Tokamaks 3rd]

- Plasma heating**

- Collisional crosssection $\propto u_{\text{relative}}^{-4}$
- Collision with ions decreases, Collision with electrons increases, for high beam energy

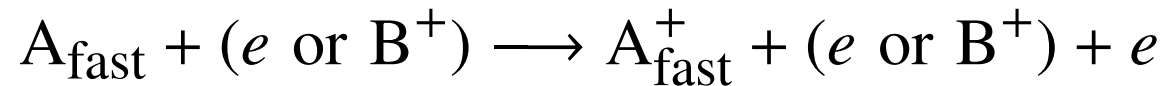


Modeling of NB heating and current drive (1)

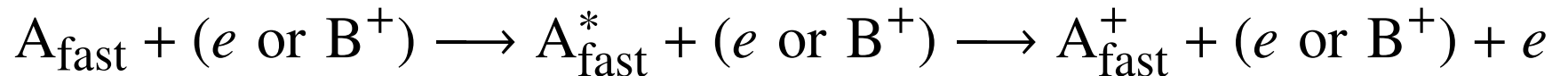
- **Fast neutral to fast ion**

- **Charge exchange:** $A_{\text{fast}} + A^+ \longrightarrow A + A_{\text{fast}}^+$

- **Collisional ionization (single step model)**



- **Collisional ionization (multi step model)** [Janev et al. NF 1989]



- **Collisional ionization** exceeds charge exchange for $E_{\text{eng}} \gtrsim 50 \text{ keV}$

- **Multi-step ionization** exceeds single-step for $E_{\text{eng}} \gtrsim 50 \text{ keV}$

- **Rate equation of beam atoms**

- $I_n(x)$: Beam intensity of excitation level n and distance x

$$v_0 \frac{dI_n}{dx} = \sum_{n'=1}^N Q_{nn'} I_{n'}$$

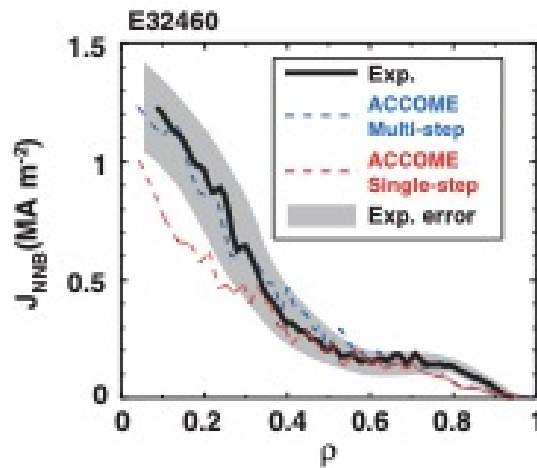
Modeling of NB heating and current drive (2)

- **Current drive efficiency**

- **Simple estimates**

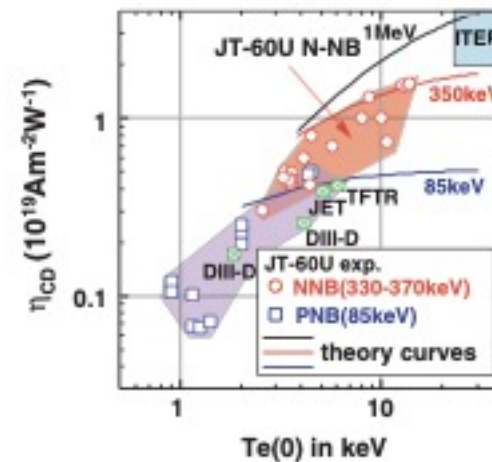
$$j_{\text{NB}} = S_{\text{fastion}} \tau_{\text{slowingdown}} Z_{\text{fastion}} e v_{\parallel \text{beam}}$$

- **Collision with electron** is dominant for high energy beam
- **Slowing-down distribution** should be taken into account
- **Trapped particle effect** should be taken account
- **2D Fokker-Planck** or **Monte Carlo** analysis is required



N-NB driven current in JT-60U

[ITER PB, NF (2007)]



N-NB CD efficiency in JT-60U

[ITER PB, NF (2007)]

Modeling of pellet injection

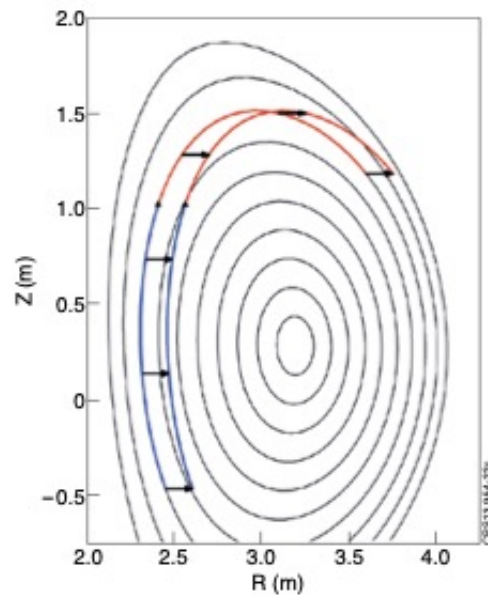
- **Physics of ablation and deposition**

- **Neutral gas shielding**: neutral gas shields pellet

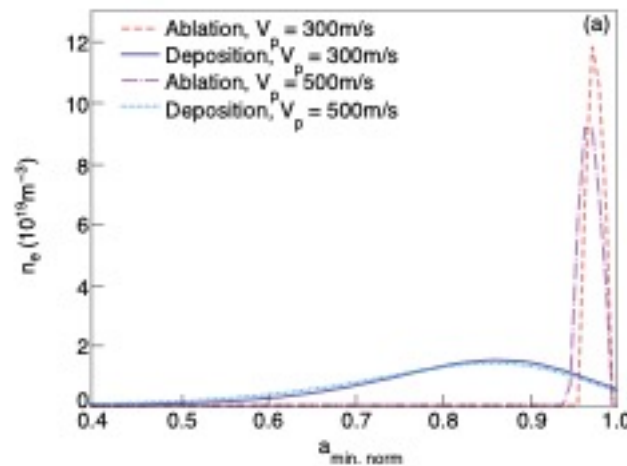
- **Drift effects**:

∇B drift \Rightarrow Charge separation \Rightarrow Vertical E field $\Rightarrow E \times B$ drift

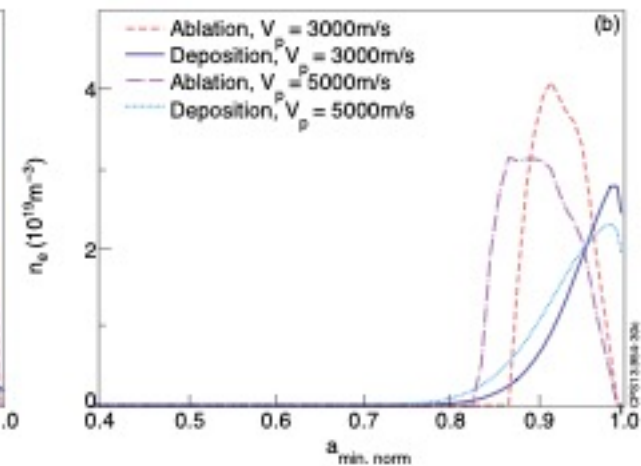
- **Deposition**



Outward drift



HFS injection



LFS injection

[Pegourier: EFDA-JET-PR (2014)]

Wave heating (I)

- **Interaction between electromagnetic wave and charged particles**
- **Collisional damping**
 - Accelerated by wave electric field collides with other particles
 - Dominant in low-temperature plasma
 - Very low in high-temperature plasma ($\nu \ll \omega$)
- **Landau damping**
 - Charge particles are accelerated and decelerated by wave field
 - For most particles, time average of acceleration is zero
 - **Resonant particles** $v = \frac{\omega}{k}$
 - The particle feels electric field with the same phase
 - Some particles are continuously accelerated
 - If the gradient of velocity distribution function at the wave phase velocity, particles are accelerated in average.

Wave heating (II)

- **Cyclotron damping**

- When wave frequency is close to the cyclotron frequency
- **Condition of cyclotron resonance:** $v_{\parallel} = \frac{\omega - \omega_c}{k_{\parallel}}$
- Wave phase synchronizes with the cyclotron motion of particles

$$\begin{aligned}\exp(i\phi) &= \exp[i\phi_0 + i(k_{\perp}x + k_{\parallel}z - \omega t)] \\ &= \exp[i\phi_0 + i k_{\perp} \rho \sin \omega_c t + i k_{\parallel} v_{\parallel} t - i \omega t] \\ &= \sum_{n=-\infty}^{\infty} J_n(k_{\perp} \rho) \exp[i\phi_0 + i(n\omega_c + k_{\parallel} v_{\parallel} - \omega)t]\end{aligned}$$

- Particle feels constant wave phase: \implies resonance condition
- Particles are accelerated perpendicularly to the magnetic field
- In general, **cyclotron harmonic resonance** (n : integer)

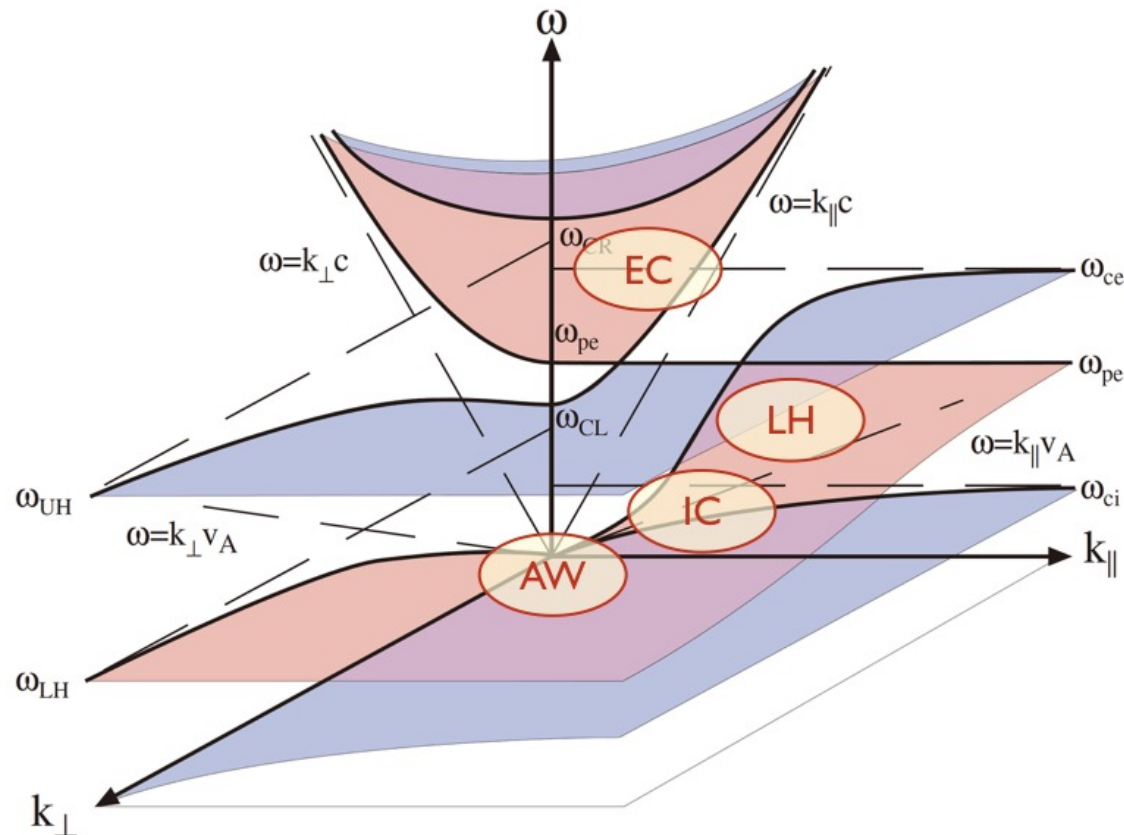
$$v_{\parallel} = \frac{\omega - n\omega_c}{k_{\parallel}}$$

Various Electromagnetic Modes in Tokamak Plasmas

- **Externally-excited modes: heating and current drive**
 - Ion cyclotron range: 10 MHz \sim 300 MHz
 - Lower hybrid range: 300 MHz \sim 10 GHz
 - Electron cyclotron range: 10 GHz \sim 300 GHz
- **Internally-excited long-wavelength modes: global instability**
 - MHD modes: sawtooth, fishbone, RWM, NTM, ELM
 - Alfvén eigenmodes: TAE, RSAE, HAE, BAE, \dots
- **Internally-excited short-wavelength modes: turbulent transport**
 - Drift waves: trapped electron mode, temperature gradient modes
 - Ballooning modes: resistive BM, kinetic BM, current-diffusive BM
 - Ion/Electron cyclotron emission: Induced by energetic particles

Waves Used for Heating and Current Drive

- **Waves with various frequency ranges**
 - **EC** (electron cyclotron): O-mode, X-mode, electron Bernstein wave
 - **LH** (lower hybrid): fast wave (helicon), slow wave (TG and LH)
 - **IC** (ion cyclotron): magnetosonic wave, ion cyclotron wave
 - **AW** (Alfvén waves): compressional Alfvén wave, shear Alfvén wave



Ion cyclotron wave (I)

- **Fast wave heating**

- **Ion cyclotron resonance**

- Fundamental cyclotron heating is weak for one-species of ions
 - Perpendicular acceleration is reduced to zero when $\omega \sim \omega_c$

- **Two-ion hybrid resonance**

- Cyclotron resonance of minority ions
 - Electron Landau damping in hot plasmas

- **Second harmonic heating**: efficient heating even if one ion species

- **Fast wave current drive**: electrons accelerated with $\omega < \omega_{ci}$

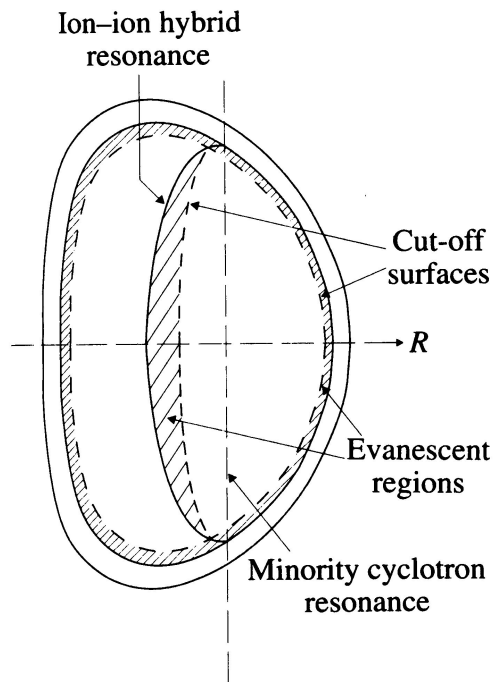
- **Features**

- Actual achievements of high power heating (30 MW)
 - Heating near center is easy
 - Large antenna is required
 - Current drive efficiency is slightly lower

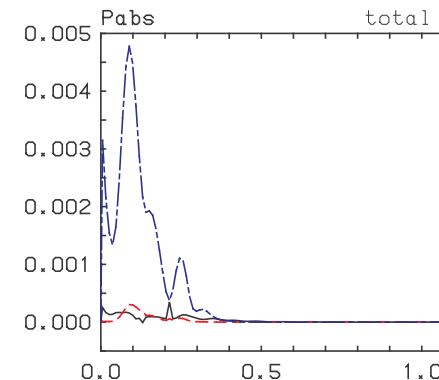
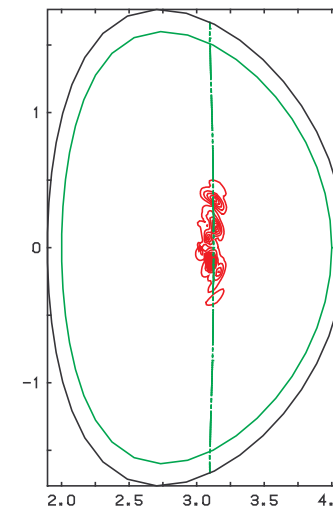
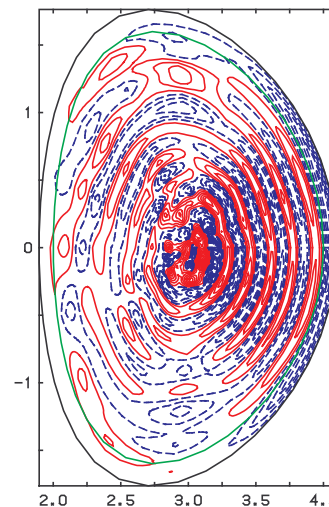
Ion cyclotron wave (II)

- **Two-ion hybrid resonance heating**
 - 10% of hydrogen into deuterium plasmas
 - Heating near the cyclotron resonance of hydrogen

Wave E field Minority ion heating



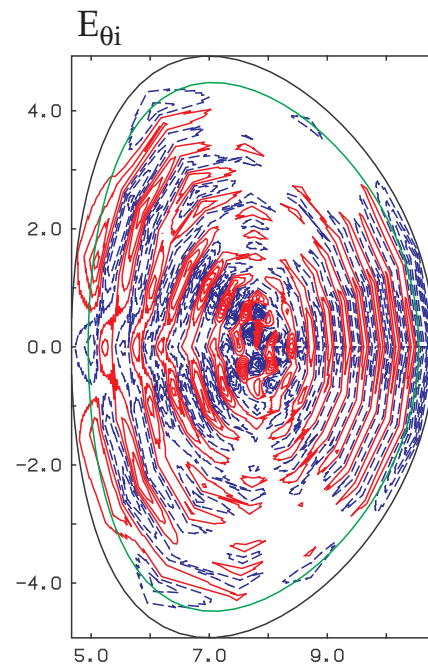
[Wesson, Tokamaks 3rd]



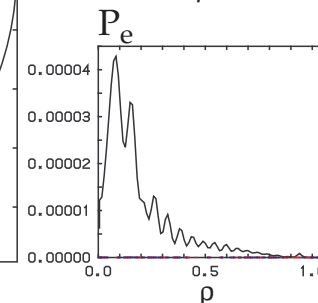
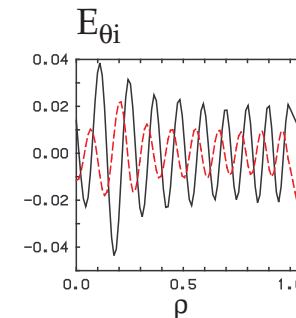
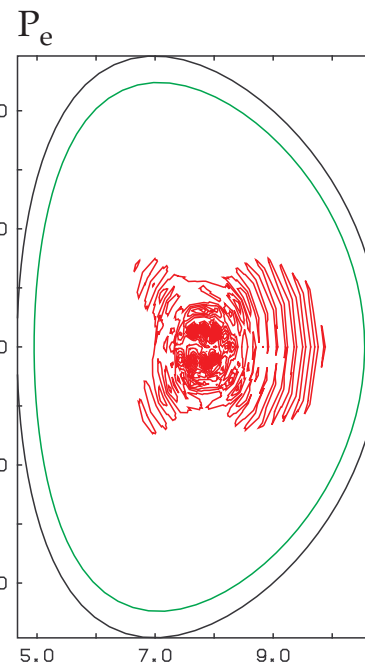
Ion cyclotron wave (II)

- **Fast wave current drive**
 - Excite wave which is not absorbed by ions
 - Wave absorbed by electrons accelerates in one direction
 - Equilibrium balanced by collision with ions
 - Asymmetry of electron distribution function drives current

Wave electric field



Electron heating



Lower hybrid wave (I)

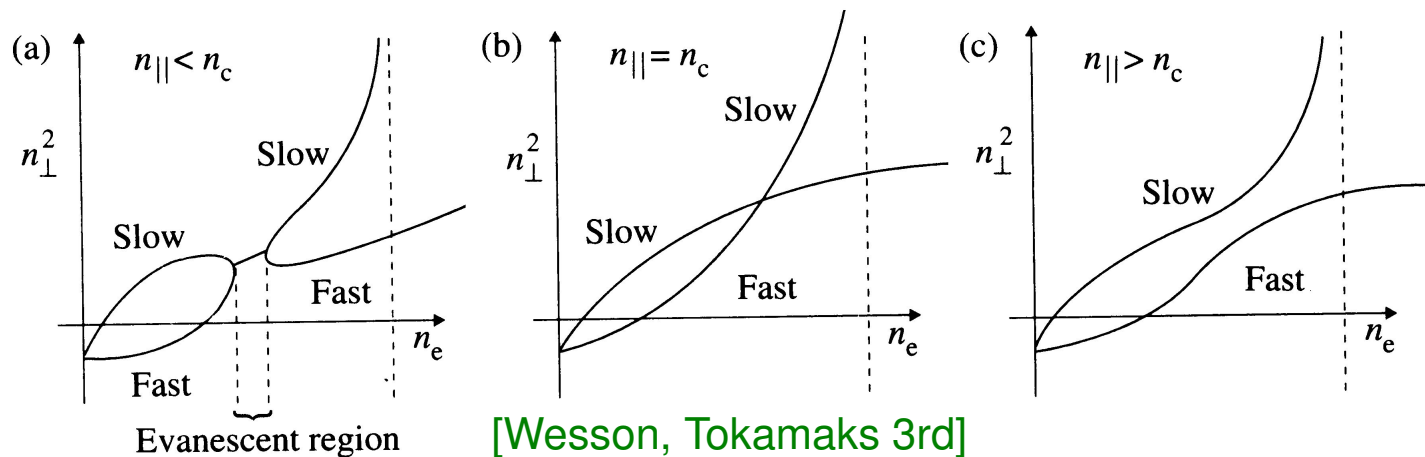
- Frequency range connecting to **lower hybrid resonance** $\omega = \omega_{\text{LH}}$

$$\omega_{\text{LH}} = \frac{\omega_{\text{pi}}}{\sqrt{1 + \omega_{\text{pe}}^2 / \omega_{\text{ce}}^2}}$$

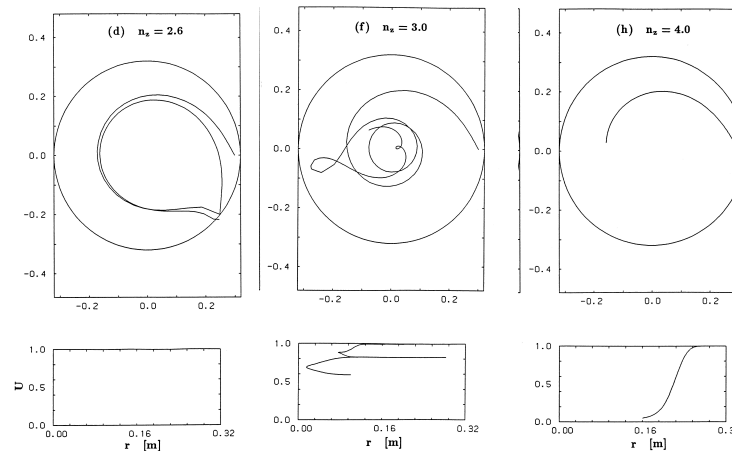
- **Features**
 - Electron heating
 - **High current drive efficiency**
 - Central deposition is difficult in high- T_e and high- n_e plasma
 - Delicate waveguide array antenna is required
 - Long distance between plasma and antenna is difficult
 - Antenna structure with high power injection is complicated

Lower hybrid wave (II)

- **Fast wave and slow wave** (Two branches with different phase vel.)
 - Refractive index along the magnetic field line $n_{||} = k_{||}c/\omega$
 - When $n_{||}$ is small, slow wave is converted to fast wave near
 - When $n_{||}$ is large, slow wave can penetrate into high density region.

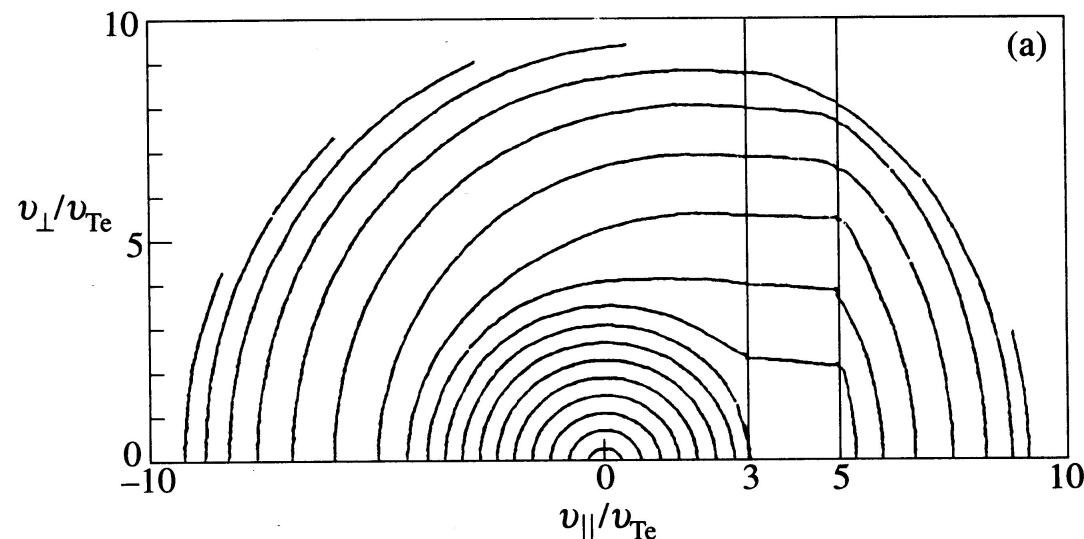


- **Propagation in poloidal plane:**
geometrical optics approximation



Lower hybrid wave (II)

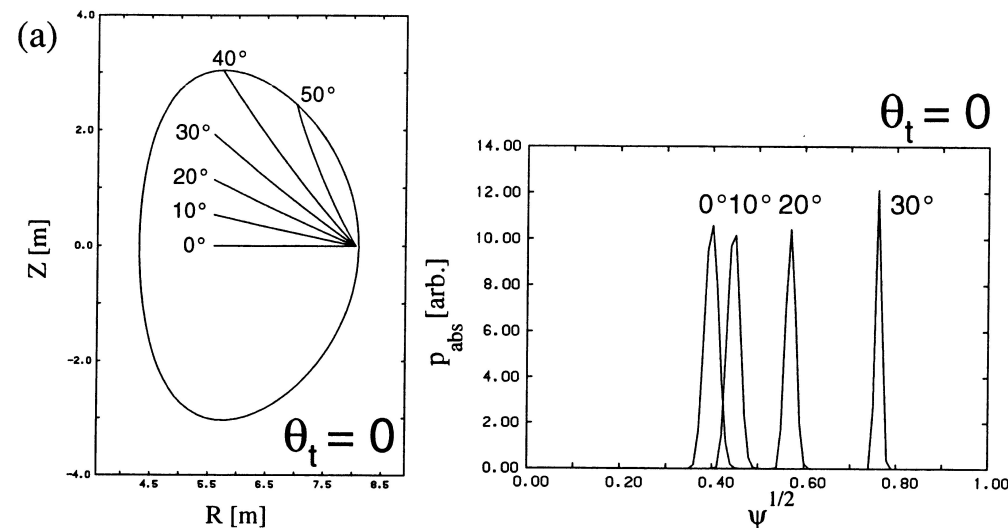
- **Modification of electron velocity distribution function** accompanied with wave-particle resonant interaction
 - **Landau damping**: linear theory, modification of $f_e(v_{\parallel}, v_{\perp})$ is infinitesimal small
 - **Quasi-linear theory**: modification of $f_e(v_{\parallel}, v_{\perp})$ is finite
 - Flattening of $f_e(v_{\parallel}, v_{\perp})$ near the wave phase velocity
 - Isotropic modification due to Coulomb collision
 - Asymmetry of velocity distribution function \Rightarrow current drive



[Karney & Fisch, PF 1979]

Electron cyclotron wave

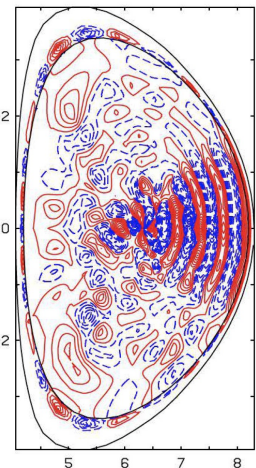
- **Propagation on poloidal plane:** Geometrical optics
 - Wave excited by antenna propagates without divergence
 - Absorbed near the cyclotron resonance



- **Features**
 - Plasma control by spatially localized heating and current drive
 - Heating and current drive in central region
 - Small antenna distant from plasma is possible
 - Slightly lower current drive efficiency
 - Steady-state operation of high-power oscillator, gyrotron.

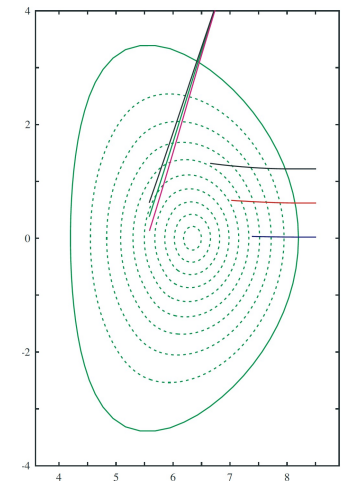
How to describe waves in plasmas (1)

		SPACE	
		Space domain	Wave number domain
TIME	Time domain	Time-dependent simulation	Geometrical optics
	Frequency domain	Full wave analysis	Dispersion relation



detailed Time-dependent simulation
Full wave analysis
Geometrical optics
simple Dispersion relation

⇐ Full wave analysis Geometrical optics ⇒



How to describe waves in plasmas (2)

- **Time dependent simulation:** $E(\mathbf{r}, t)$

- **Maxwell's equation**

$$\frac{\partial \mathbf{B}}{\partial t} = -\nabla \times \mathbf{E}, \quad \frac{1}{c^2} \frac{\partial \mathbf{E}}{\partial t} = \nabla \times \mathbf{B} - \mu_0 \mathbf{J}$$

- **Fluid simulation:** $\mathbf{J} = \sum_s e_s n_s \mathbf{u}_s$ (fluid velocity)

- **Kinetic simulation:** $\mathbf{J} = \sum_s \int e_s \mathbf{v} f_s(\mathbf{r}, \mathbf{v}, t) d\mathbf{v}$ (distribution function)

- **Particle simulation:** $\mathbf{J} = \sum_s \sum_p e_s \mathbf{v}_{sp}$ (particle velocity)

- **most general**
- **describe nonlinear phenomena**
- **requires large computational resources**
- **not appropriate for parameter survey or optimization**

How to describe waves in plasmas (3)

- **Full wave analysis or stationary wave optics:** $E(\mathbf{r}) \exp(-i \omega t)$

$$\nabla \times \nabla \times \mathbf{E} = \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon} \cdot \mathbf{E} + i \omega \mu_0 \mathbf{J}_{\text{ext}}$$

- wave tunneling, standing wave, coupling to antenna
- requires less computational resources
- **Geometrical optics:** $E \exp[i \varphi(\mathbf{r}, t)]$, $\mathbf{k} = -i \nabla \varphi$, $\omega = i \partial \varphi / \partial t$
 - ray tracing method

$$\frac{d\mathbf{k}}{dt} = -\frac{\partial K}{\partial \mathbf{r}} \bigg/ \frac{\partial K}{\partial \omega}, \quad \frac{d\mathbf{r}}{dt} = \mathbf{v}_g = \frac{\partial K}{\partial \mathbf{k}} \bigg/ \frac{\partial K}{\partial \omega}$$

- no tunneling, no interference, no refraction, point source
- **Dispersion relation:** $E \exp(i \mathbf{k} \cdot \mathbf{r} - i \omega t)$

$$K(\mathbf{k}, \omega; \mathbf{r}, t) = \det \left[\frac{c^2}{\omega^2} \mathbf{k} \times \mathbf{k} \times + \overleftrightarrow{\epsilon}(\mathbf{k}, \omega) \right] = 0$$

Dielectric tensor in cold plasmas

- **Linearized equation of fluid motion:** $d\mathbf{u}_s/dt = (e_s/m_s)(\mathbf{E} + \mathbf{u} \times \mathbf{B}_0)$
- **Cold plasma approximation:** $\mathbf{J}_s = n_s e_s \mathbf{u}_s = \overleftrightarrow{\sigma}_s \mathbf{E}$

$$\overleftrightarrow{\epsilon} = \overleftrightarrow{I} + \sum_s \frac{i}{\omega \epsilon_0} \overleftrightarrow{\sigma}_s = \begin{pmatrix} S & -iD & 0 \\ iD & S & 0 \\ 0 & 0 & P \end{pmatrix}$$

where

$$S = 1 - \sum_s \frac{\omega_{ps}^2}{\omega^2 - \omega_{cs}^2}, \quad D = \sum_s \frac{\omega_{ps}^2 \omega_{cs}}{\omega(\omega^2 - \omega_{cs}^2)}, \quad P = 1 - \sum_s \frac{\omega_{ps}^2}{\omega^2}$$

$$\omega_{ps} = \sqrt{n_s e_s^2 / m_s \epsilon_0} \quad \text{and} \quad \omega_{cs} = e_s B_0 / m_s$$

- **Dispersion relation in magnetized cold plasma:** $N_{x,y,z} = k_{x,y,z} c / \omega$

$$K = \begin{vmatrix} S - N_z^2 & -iD & N_x N_z \\ iD & S - N_x^2 - N_z^2 & 0 \\ N_x N_z & 0 & P - N_x^2 \end{vmatrix} = 0$$

Dielectric tensor in hot uniform plasmas (1)

- Vlasov equation for velocity distribution function $f(\mathbf{r}, \mathbf{v}, t)$

$$\frac{\partial f}{\partial t} + \mathbf{v} \cdot \frac{\partial f}{\partial \mathbf{r}} + \frac{q}{m} [\mathbf{E}(\mathbf{r}, t) + \mathbf{v} \times \mathbf{B}(\mathbf{r}, t)] \cdot \frac{\partial f}{\partial \mathbf{v}} = 0$$

- Linearize,
- Use plane wave $e^{i(\mathbf{k} \cdot \mathbf{r} - \omega t)}$,
- Follow particle orbit,
- Expand over cyclotron harmonics using Bessel functions,
- Integrate over t ,
- we obtain **dielectric tensor in hot plasmas**

Dielectric tensor in hot uniform plasmas (2)

- Dielectric tensor**

$$\epsilon_{ij} = \delta_{ij} + \sum_s \frac{\omega_{ps}^2}{\omega^2} 2\pi \int_0^\infty v_\perp dv_\perp \int_{-\infty}^\infty dv_\parallel \times \left[v_\perp \sum_n \Pi_{in}^* \Pi_{jn} L_s^{(n)} + \delta_{zi} \delta_{zj} v_\parallel \left(\frac{\partial f_{s0}}{\partial v_\parallel} - \frac{v_\parallel}{v_\perp} \frac{\partial f_{s0}}{\partial v_\perp} \right) \right]$$

where

$$L_s^{(n)} \equiv \frac{\omega}{\omega - n\omega_{cs} - k_\parallel v_\parallel} \left[\left(1 - \frac{k_\parallel v_\parallel}{\omega} \right) \frac{\partial f_{s0}}{\partial v_\perp} + \frac{k_\parallel v_\perp}{\omega} \frac{\partial f_{s0}}{\partial v_\parallel} \right]$$

$$\Pi_{xn} \equiv \frac{n}{\zeta} J_n(\zeta), \quad \Pi_{yn} \equiv i \frac{dJ_n(\zeta)}{d\zeta}, \quad \Pi_{zn} \equiv \frac{v_\parallel}{v_\perp} J_n(\zeta)$$

* implies complex conjugate, δ_{ij} Kronecker delta, s particle species, n cyclotron harmonic number and $\zeta = k_\perp v_\perp / \omega_{cs}$

Dielectric tensor in thermal equilibrium

- **Maxwellian velocity distribution function** in thermal equilibrium

$$f_{s0}(\mathbf{v}) = n_{s0} \left(\frac{m_s}{2\pi k_B} \right)^{3/2} \exp \left[-\frac{1}{k_B T_s} \left(\frac{1}{2} m_s v^2 \right) \right]$$

- Dielectric tensor for Maxwellian velocity distribution function

$$\begin{aligned} \overleftrightarrow{\epsilon}(\mathbf{k}, \omega) = & \overleftrightarrow{I} + \sum_s \frac{\omega_{ps}^2}{\omega^2} \sum_n \\ & \times \begin{pmatrix} \frac{n^2 \Lambda_n}{\lambda} P_n & i n \frac{d\Lambda_n}{d\lambda} Q_n & \frac{k_{||}}{|k_{||}|} \frac{n \Lambda_n}{\lambda} Q_n \\ -i n \frac{d\Lambda_n}{d\lambda} Q_n & \left(\frac{n^2 \Lambda_n}{\lambda} - 2\lambda \frac{d\Lambda_n}{d\lambda} \right) P_n & -i \frac{k_{||}}{|k_{||}|} \frac{d\Lambda_n}{d\lambda} Q_n \\ \frac{k_{||}}{|k_{||}|} \frac{n \Lambda_n}{\lambda} Q_n & i \frac{k_{||}}{|k_{||}|} \frac{d\Lambda_n}{d\lambda} Q_n & \Lambda_n R_n \end{pmatrix} \end{aligned}$$

where P_n , Q_n and R_n are related to the parallel motion, and Λ_n the perpendicular motion.

Plasma-wave interaction for Maxwellian plasma

- **Parallel: Plasma dispersion function:** $Z(\xi)$

$$Z(\xi) = \frac{1}{\sqrt{\pi}} \int_C \frac{e^{-u^2}}{u - \xi} du$$

$$P_n = \frac{\omega}{\omega - n\omega_c} \xi_n Z(\xi_n), \quad Q_n = \frac{\omega}{\omega_c} [1 + \xi_n Z(\xi_n)], \quad R_n = 2 \xi_0 \xi_n [1 + \xi_n Z(\xi_n)]$$

where

$$\xi_n = \frac{\omega - n\omega_c}{\sqrt{2}|k_{\parallel}|v_{Ts}}$$

- **Perpendicular: Finite Larmor Radius effects:** $\Lambda_n(\lambda)$

$$\Lambda_n(\lambda) = I_n(\lambda) e^{-\lambda}$$

where

$I_n(\lambda)$: the n -th order modified Bessel function of the first kind

$$\lambda = k_{\perp}^2 \rho^2 = k_{\perp}^2 \frac{v_{Ts}^2}{\omega_{cs}^2} = k_{\perp}^2 \frac{k_B T_s}{m_s \omega_{cs}^2}$$

Full wave analysis in inhomogeneous hot plasma

- **Cold wave number approach:** no kinetic modes
 - Use k_{cold} from the dispersion relation in a cold uniform plasma

$$\nabla \times \nabla \times E(\mathbf{r}) - \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon}(\mathbf{r}; \mathbf{k}_{\text{cold}}) \cdot E(\mathbf{r}) = i \omega \mu_0 \mathbf{J}_{\text{ext}}(\mathbf{r})$$

- **Differential operator approach** [1]: difficult for higher order
 - Expand $\overleftrightarrow{\epsilon}(\mathbf{r}, \mathbf{k})$ with respect to \mathbf{k} and replace \mathbf{k} by $-i \nabla$

$$\nabla \times \nabla \times E(\mathbf{r}) - \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon}(\mathbf{r}; -i \nabla) \cdot E(\mathbf{r}) = i \omega \mu_0 \mathbf{J}_{\text{ext}}(\mathbf{r})$$

- **Spectral approach** [2]: large dense matrix has to be solved
 - Fourier transform in the direction of inhomogeneity \mathbf{r}

$$-\mathbf{k} \times \mathbf{k} \times E(\mathbf{k}) - \frac{\omega^2}{c^2} \sum_{\mathbf{k}'} \overleftrightarrow{\epsilon}(\mathbf{k}, \mathbf{k}') \cdot E(\mathbf{k}') = i \omega \mu_0 \mathbf{J}_{\text{ext}}(\mathbf{k})$$

- **Inverse Fourier transform of $\overleftrightarrow{\epsilon}(\mathbf{r}, \mathbf{k})$** [3]: based on uniform $\overleftrightarrow{\epsilon}$

Integral form in uniform plasmas

- **propagation in z**
- **Particle orbit:** $z = z' + v_z(t - t')$
- **Variable transformation :** $v_z = \frac{z - z'}{t - t'}$
- **Perturbed distribution function for Maxwellian:** $\tau = t - t'$

$$f(z, \mathbf{v}) = \frac{n}{(2\pi T/m)^{3/2}} \frac{q}{T} \int_0^\infty d\tau \mathbf{v} \cdot \mathbf{E}(z') e^{i\omega\tau} \exp \left[-\frac{m(v_x^2 + v_y^2 + v_z^2)}{2T} \right]$$

- **Current density:** variable transformation: $v_z \Rightarrow z'$

$$\mathbf{J}(z) = q \int d\mathbf{v} \mathbf{v} f(z, \mathbf{v}) = \int dz' \overleftrightarrow{\sigma}(z, z') \cdot \mathbf{E}(z')$$

- **Electric conductivity tensor:** e.g. zz component: $\tau = t - t'$

$$\sigma_{zz}(z, z') = \frac{nq^2}{\sqrt{2\pi} m v_T^3} \int_0^\infty d\tau \frac{(z - z')^2}{\tau^3} \exp \left[-\frac{1}{2} \frac{(z - z')^2}{v_T^2 \tau^2} + i\omega\tau \right]$$

Ray Tracing

- **Geometrical Optics**

- Wave length $\lambda \ll$ Characteristic scale length L of the medium
- Plane wave: Beam size d is sufficiently large
 - **Fresnel condition is well satisfied**: $L \ll d^2/\lambda$

- **Evolution along the ray trajectory τ**

- Wave packet position \mathbf{r} , wave number \mathbf{k} , dielectric tensor D
- **Ray tracing equation:**

$$\frac{d\mathbf{r}}{dt} = \frac{\partial D}{\partial \mathbf{k}} \bigg/ \frac{\partial D}{\partial \omega} = \mathbf{v}_g$$

$$\frac{d\mathbf{k}}{d\tau} = - \frac{\partial D}{\partial \mathbf{r}} \bigg/ \frac{\partial D}{\partial \omega}$$

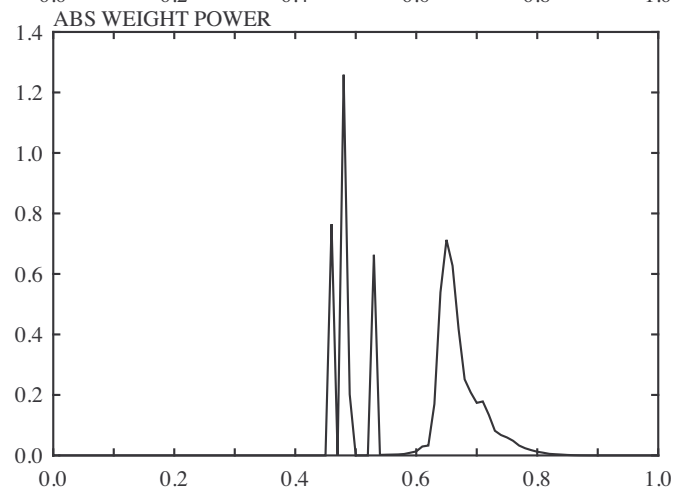
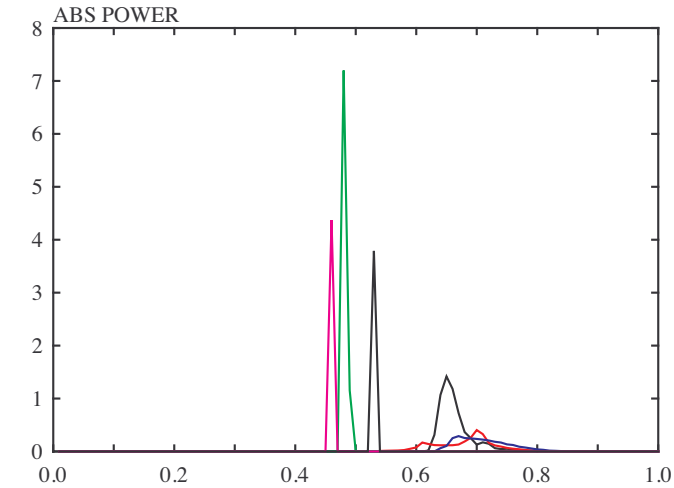
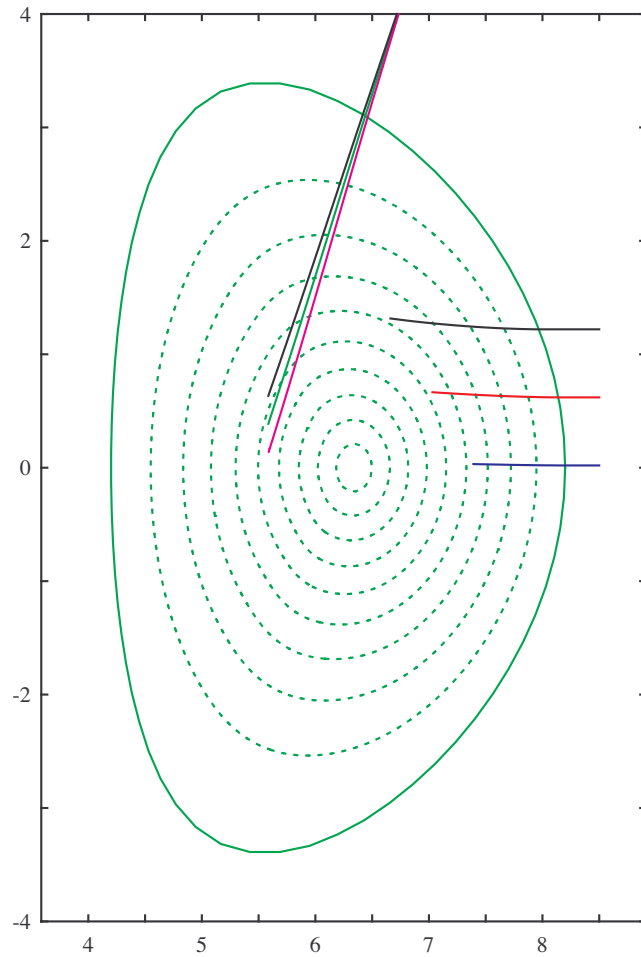
- **Equation for wave energy** W , group velocity \mathbf{v}_g , damping rate γ

$$\nabla \cdot (\mathbf{v}_g W) = -2\gamma W$$

Analysis of EC wave propagation in ITER

BB = 5.300 Q0 = 1.000 PA(1) = 0.0005
RR = 6.200 QA = 3.000 PZ(1) = -1.0000
RA = 2.000 RF = 1.700E+05 PN(1) = 0.8000
RKAP = 1.700 NPHII = -0.1177 PNS(1) = 0.0100

PTPR(1) = 20.0000 PA(2) = 2.0000 PTPR(2) = 20.0000
PTPP(1) = 20.0000 PZ(2) = 1.0000 PTPP(2) = 20.0000
PTS(1) = 0.0500 PN(2) = 0.4000 PTS(2) = 0.0500
MODEL1 = 25. PNS(2) = 0.0050 MODEL2 = 1.



Beam Tracing: TASK/WR

- Beam size perpendicular to the beam direction: first order in ϵ
- **Beam shape** : Hermite polynomial: H_n)

$$E(\mathbf{r}) = \text{Re} \left[\sum_{mn} C_{mn}(\epsilon^2 \mathbf{r}) \mathbf{e}(\epsilon^2 \mathbf{r}) H_m(\epsilon \xi_1) H_n(\epsilon \xi_2) e^{i s(\mathbf{r}) - \phi(\mathbf{r})} \right]$$

– Amplitude : C_{mn} , Polarization : \mathbf{e} , Phase : $s(\mathbf{r}) + i \phi(\mathbf{r})$

$$s(\mathbf{r}) = s_0(\tau) + k_\alpha^0(\tau)[r^\alpha - r_0^\alpha(\tau)] + \frac{1}{2} s_{\alpha\beta}[r^\alpha - r_0^\alpha(\tau)][r^\beta - r_0^\beta(\tau)]$$

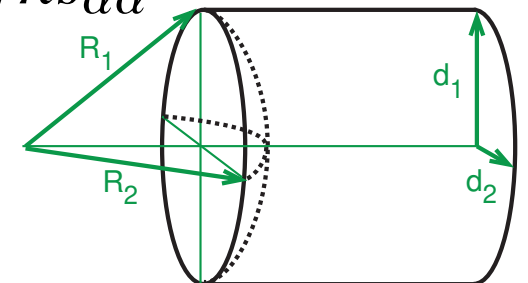
$$\phi(\tau) = \frac{1}{2} \phi_{\alpha\beta}[r^\alpha - r_0^\alpha(\tau)][r^\beta - r_0^\beta(\tau)]$$

– Position of beam axis : \mathbf{r}_0 , Wave number on beam axis: k^0

– **Curvature radius** of equi-phase surface: $R_\alpha = 1/\lambda s_{\alpha\alpha}$

– **Beam radius**: $d_\alpha = \sqrt{2/\phi_{\alpha\alpha}}$

- Gaussian beam : case with $m = 0, n = 0$



Beam Propagation Equation

- Solvable condition for Maxwell's equation with beam field**

$$\frac{dr_0^\alpha}{d\tau} = \frac{\partial K}{\partial k_\alpha}$$

$$\frac{dk_\alpha^0}{d\tau} = -\frac{\partial K}{\partial r^\alpha}$$

$$\frac{ds_{\alpha\beta}}{d\tau} = -\frac{\partial^2 K}{\partial r^\alpha \partial r^\beta} - \frac{\partial^2 K}{\partial r^\beta \partial k_\gamma} s_{\alpha\gamma} - \frac{\partial^2 K}{\partial r^\alpha \partial k_\gamma} s_{\beta\gamma} - \frac{\partial^2 K}{\partial k_\gamma \partial k_\delta} s_{\alpha\gamma} s_{\beta\delta} + \frac{\partial^2 K}{\partial k_\gamma \partial k_\delta} \phi_{\alpha\gamma} \phi_{\beta\delta}$$

$$\frac{d\phi_{\alpha\beta}}{d\tau} = -\left(\frac{\partial^2 K}{\partial r^\alpha \partial k_\gamma} + \frac{\partial^2 K}{\partial k_\gamma \partial k_\delta} s_{\alpha\delta}\right) \phi_{\beta\gamma} - \left(\frac{\partial^2 K}{\partial r^\beta \partial k_\gamma} + \frac{\partial^2 K}{\partial k_\gamma \partial k_\delta} s_{\beta\delta}\right) \phi_{\alpha\gamma}$$

- By integrating this set of 18 ordinary differential equations, we obtain trace of the beam axis, wave number on the beam axis, curvature of equi-phase surface, and beam size.
- Equation for the wave amplitude C_{mn}**

$$\nabla \cdot (\mathbf{v}_{g0} |C_{mn}|^2) = -2 (\gamma |C_{mn}|^2)$$

Group velocity: \mathbf{v}_{g0} , Damping rate: $\gamma \equiv (\mathbf{e}^* \cdot \overleftrightarrow{\epsilon}_A \cdot \mathbf{e}) / (\partial K / \partial \omega)$

Diffraction of wave beam in vacuum

- Beam radius as a function of path length:** $f = 170 \text{ GHz}$, $\lambda = 1.76 \text{ mm}$

$d_0 \setminus R_0$

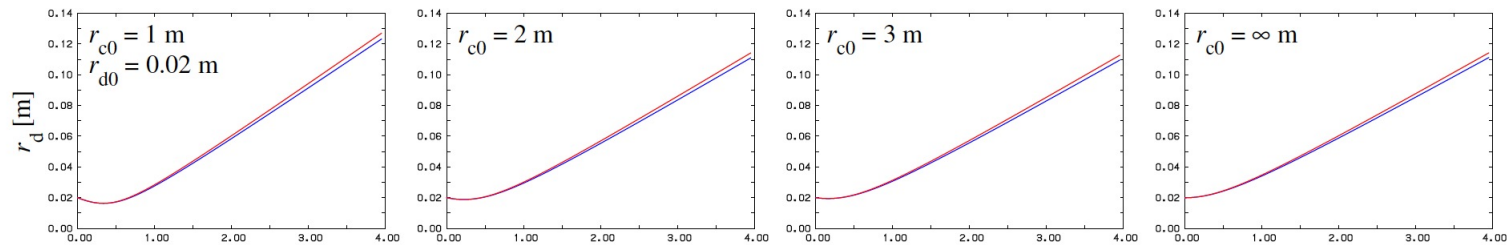
1 m

2 m

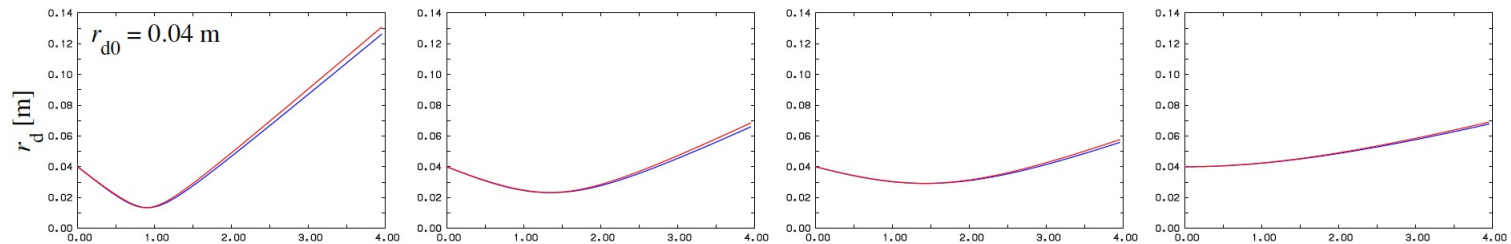
3 m

$\infty \text{ m}$

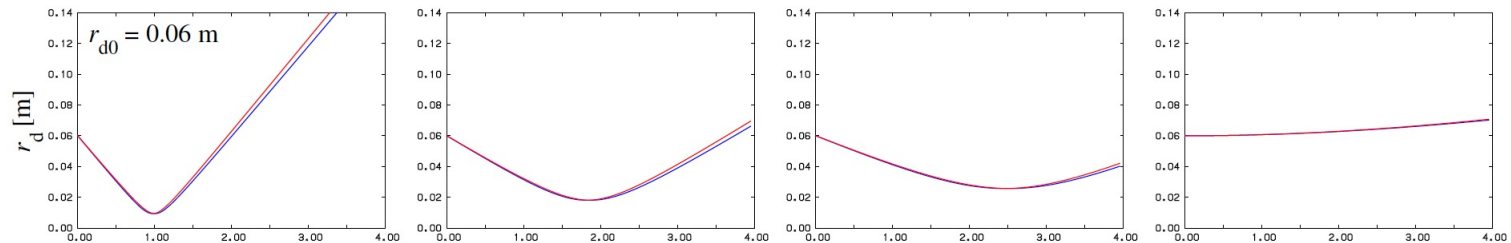
20 mm



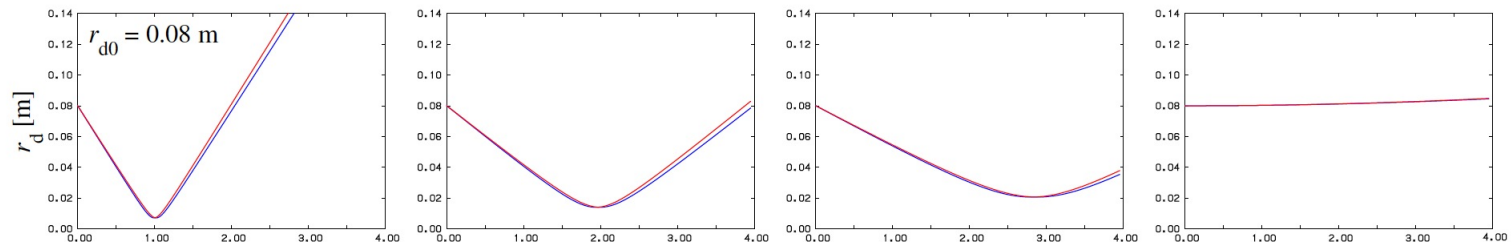
40 mm



60 mm

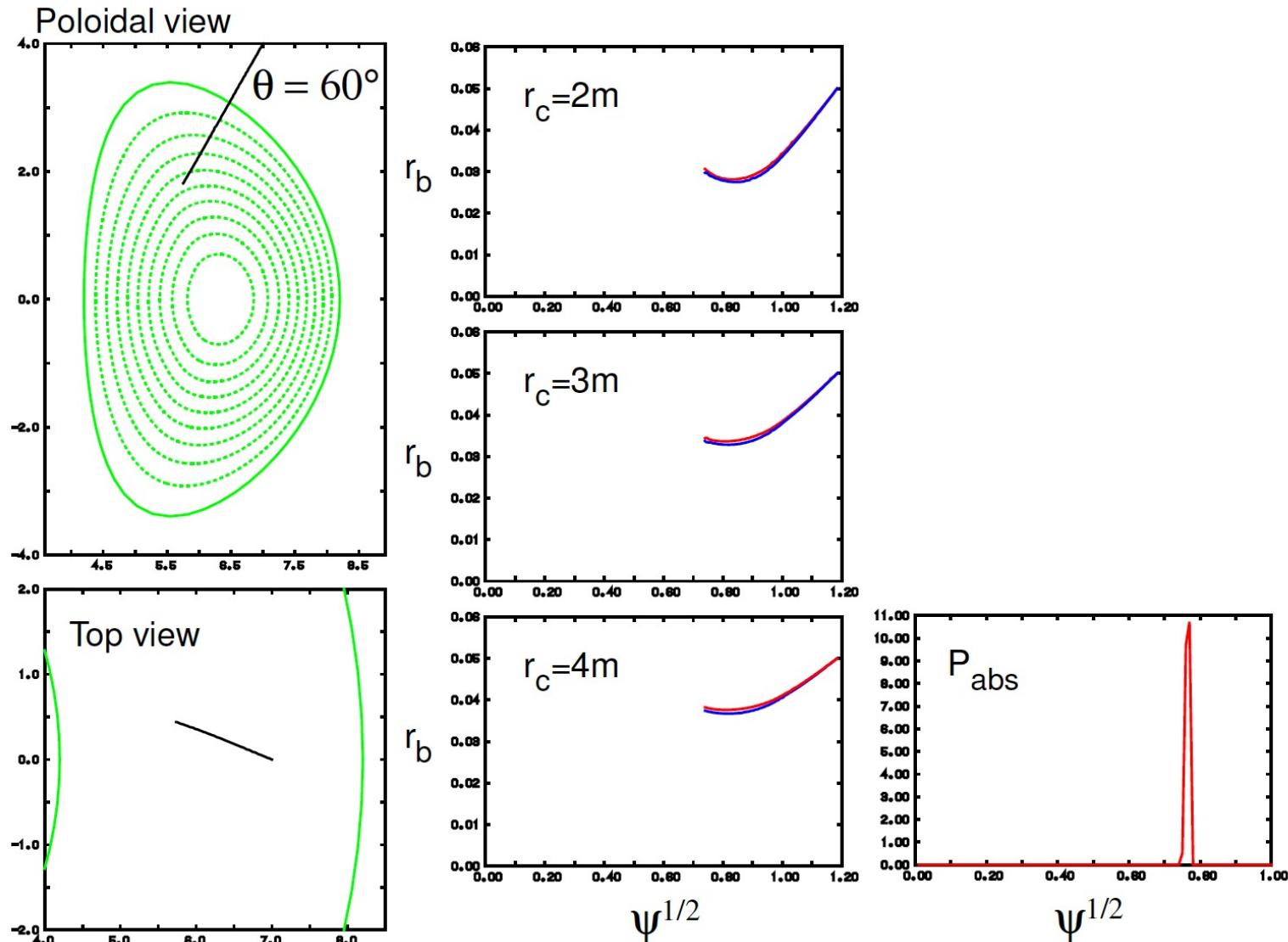


80 mm



Application to ITER EC beam

- $f = 170$ GHz, toroidal angle 20° , poloidal angle 60°
- Initial beam radius: 50 mm



Full wave analysis: TASK/WM

- **magnetic surface coordinate:** (ψ, θ, φ)
- Boundary-value problem of **Maxwell's equation**

$$\nabla \times \nabla \times \mathbf{E} = \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon} \cdot \mathbf{E} + i \omega \mu_0 \mathbf{j}_{\text{ext}}$$

- Kinetic **dielectric tensor:** $\overleftrightarrow{\epsilon}$:
 - **kinetic damping:** $Z[(\omega - n\omega_c)/k_{\parallel}v_{\text{th}}]$
 - **Drift-kinetic equation for energetic ions to calculate $\overleftrightarrow{\epsilon}$**

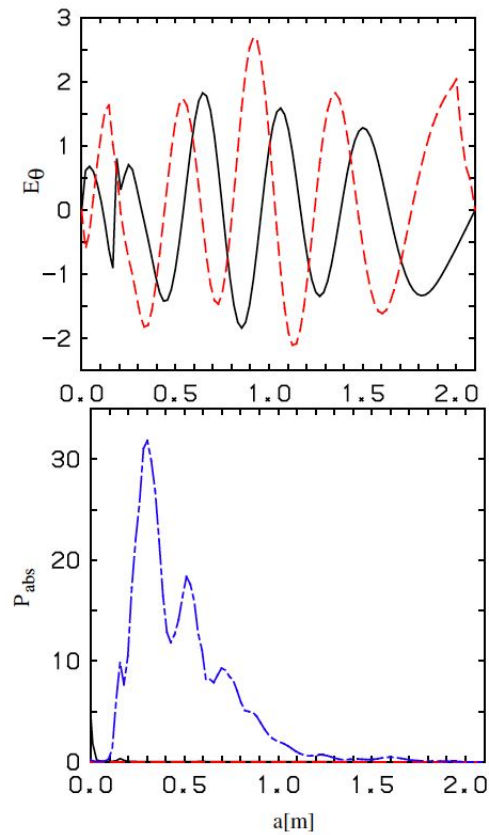
$$\left[\frac{\partial}{\partial t} + v_{\parallel} \nabla_{\parallel} + (\mathbf{v}_d + \mathbf{v}_E) \cdot \nabla + \frac{e_{\alpha}}{m_{\alpha}} (v_{\parallel} E_{\parallel} + \mathbf{v}_d \cdot \mathbf{E}) \frac{\partial}{\partial \varepsilon} \right] f_{\alpha} = 0$$

- Poloidal and toroidal **mode expansion:**
 - **Accurate estimation of k_{\parallel}**

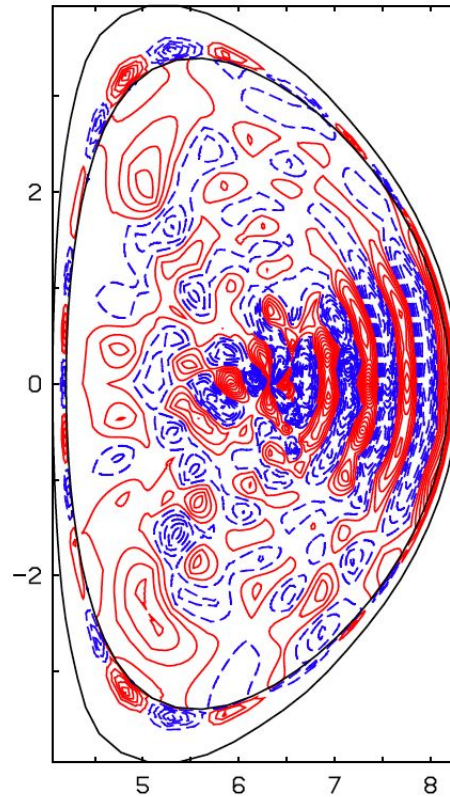
Analysis of IC propagation in ITER

- Minority heating in D phase: D + H

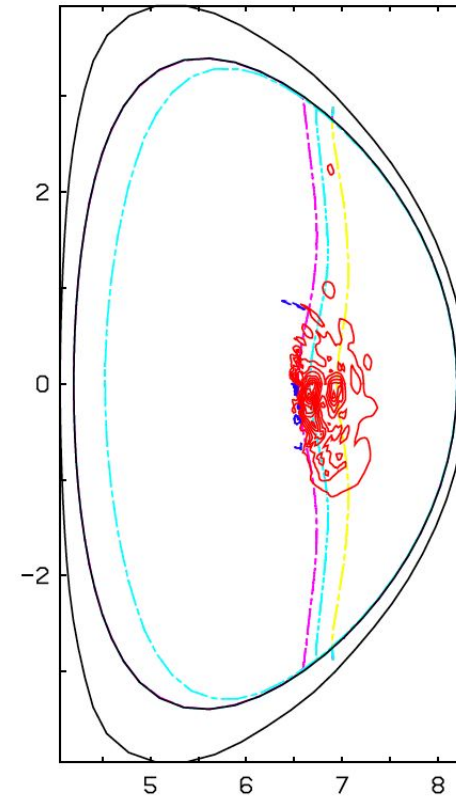
Radial profile



Wave electric field

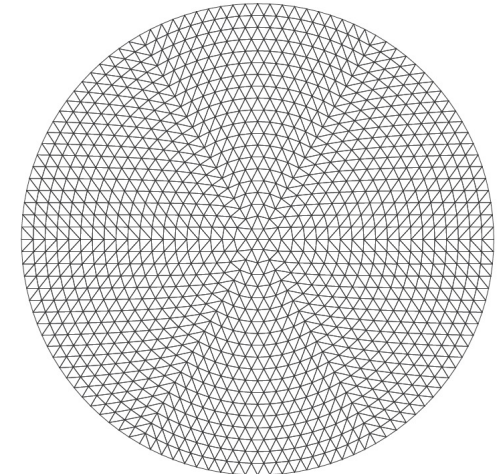


P_{abs} by minority ion



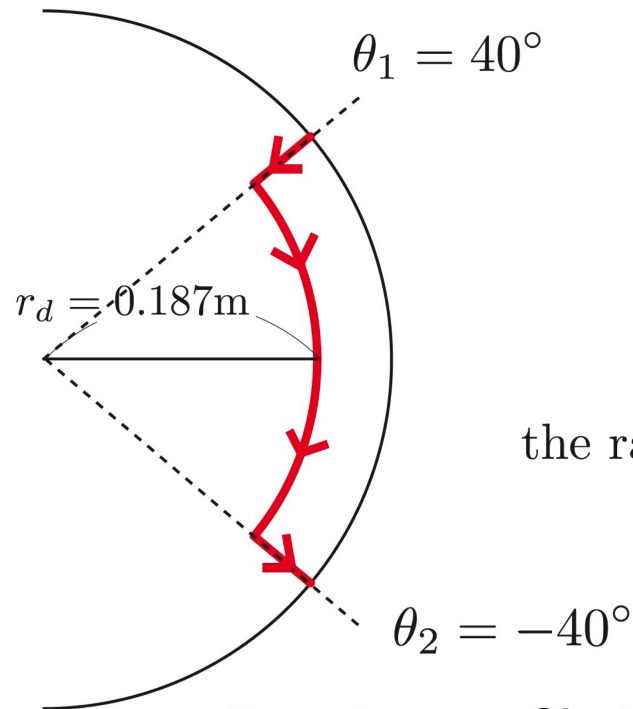
Full wave analysis by FEM: TASK/WF

- **Wave electric field with complex frequency:** $\tilde{E}(\mathbf{r}, t) = \mathbf{E}(\mathbf{r}) e^{-i\omega t}$
- **Maxwell's equation:** $\nabla \times \nabla \times \mathbf{E} - \frac{\omega^2}{c^2} \overleftrightarrow{\epsilon} \cdot \mathbf{E} = i\omega\mu_0 \mathbf{j}_{\text{ext}}$
 - $\overleftrightarrow{\epsilon}$: Dielectric tensor
 - Collisional cold plasma model \Rightarrow **Integral form**
- **Numerical method:** FEM
 - **3D version**
 - Tetrahedron element
 - Electric field along a edge of a tetrahedron
 - **2D version:** axisymmetric cylindrical or plane
 - Triangular element
 - **EB**: Scalar (toroidal) and vector (poloidal) hybrid basis function
 - **A ϕ** : Scalar basis function for vector and scalar potentials



EC waves in a spherical tokamak

- Antenna

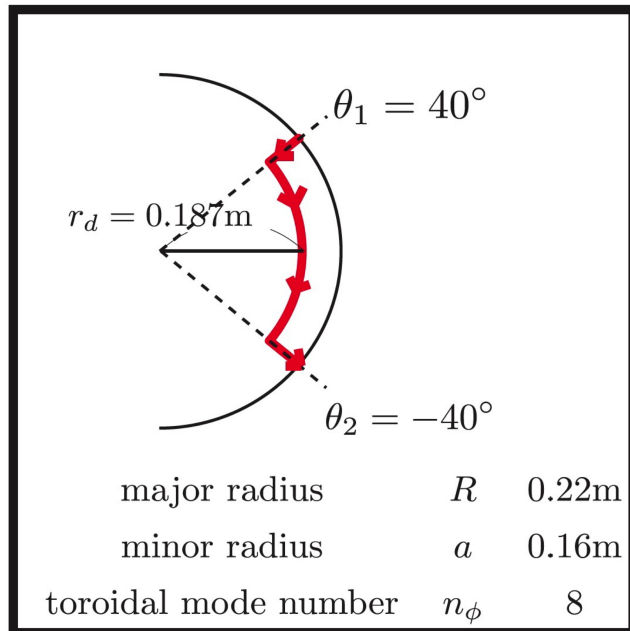


- Used parameters (**LATE**: Kyoto University)

major radius	R	0.22m
minor radius	a	0.16m
wave frequency	f	5GHz
the number of elements	NEMAX	25350
the ratio of collision frequency to ω	ν/ω	1.0×10^{-3}

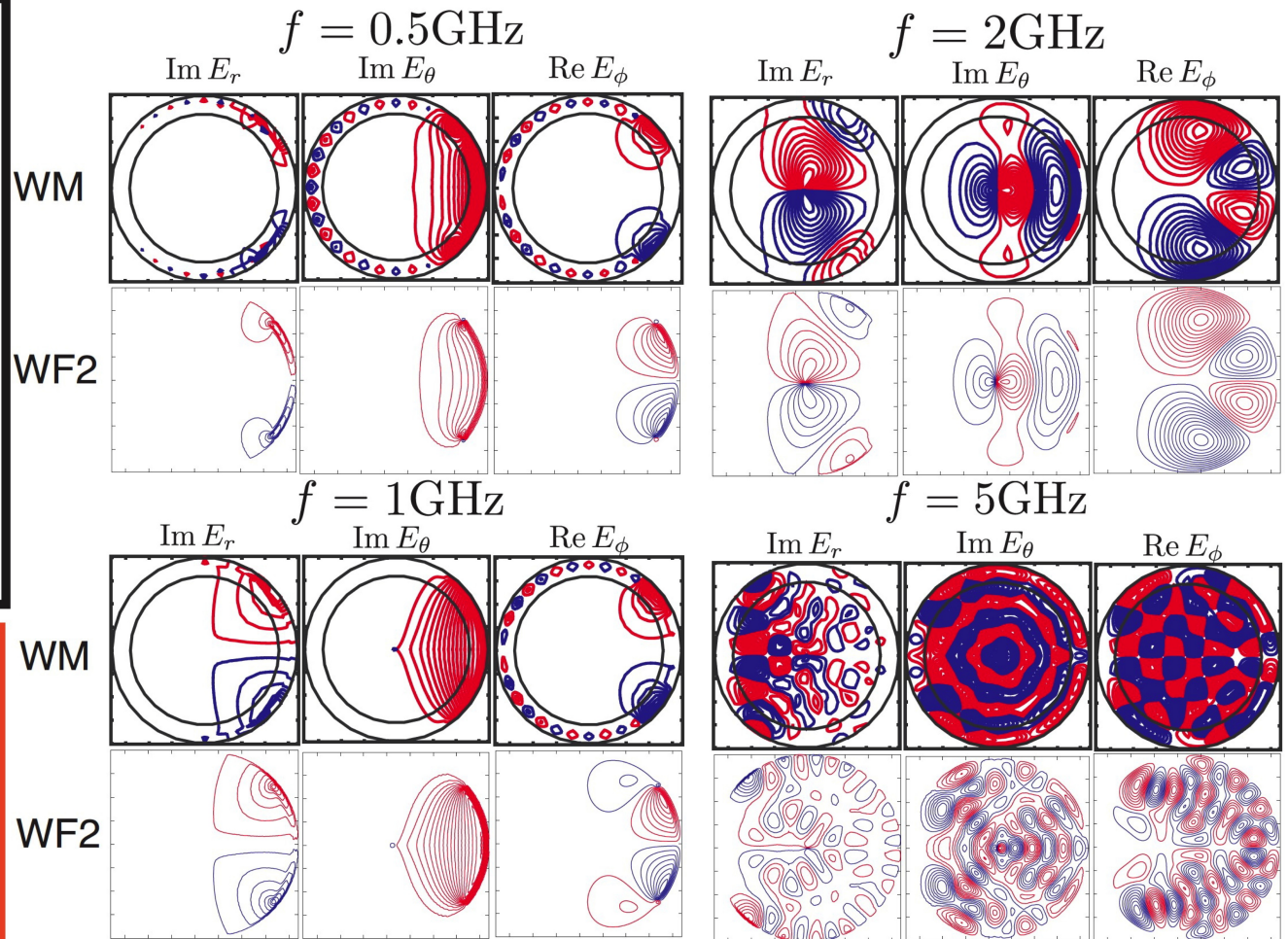
- Density profile is parabolic.
- **Collisional cold plasma model** is used for the dielectric tensor.
 - Mode conversion of EC wave into EB wave does not occur.

Benchmark test with TASK/WM in Vacuum



Resolution

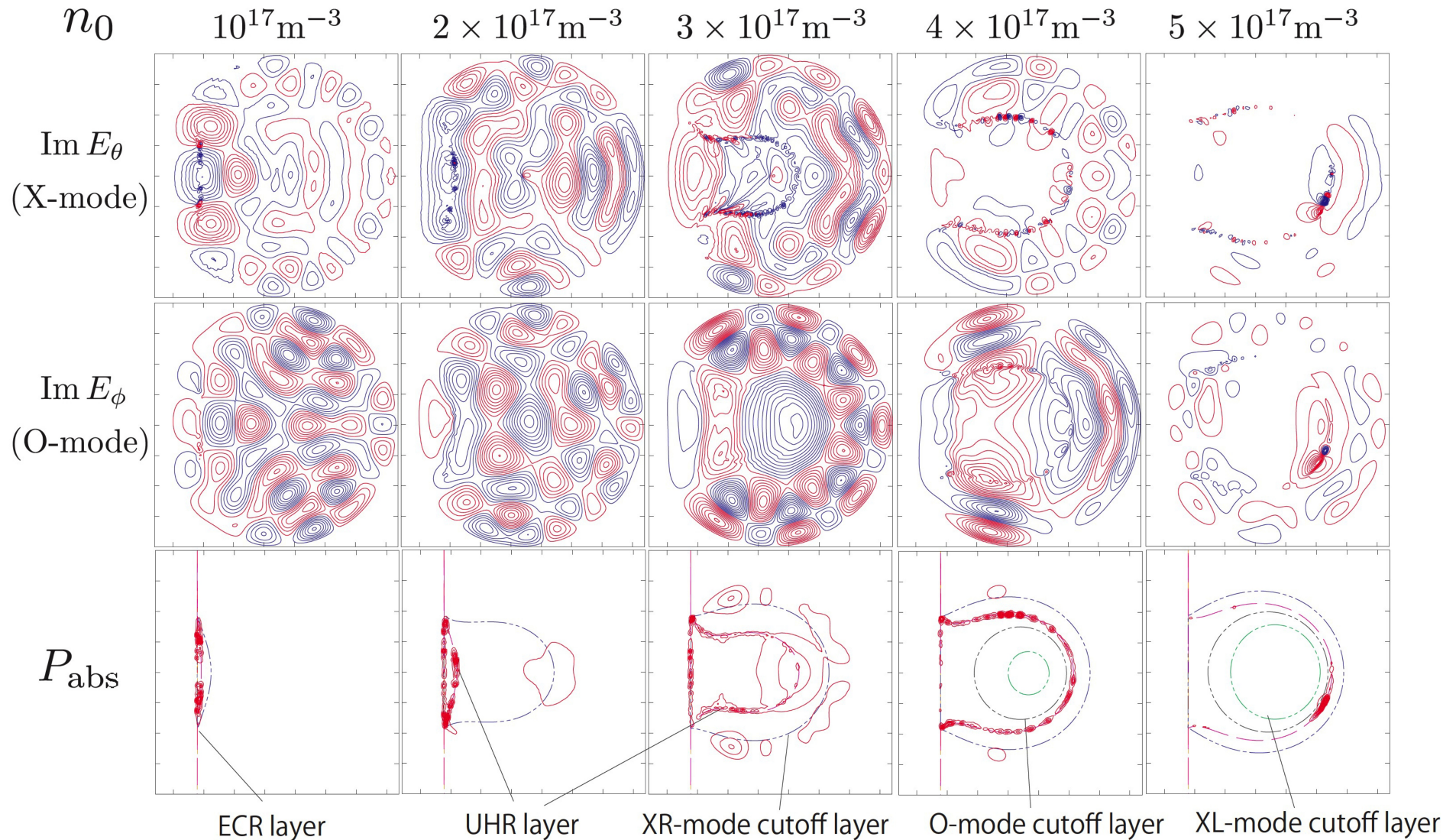
- WF2: 25350 elements
- WM:
 - radial direction: 100 points
 - poroidal direction: 32 modes



- TASK/WM and WF2 have different spacial resolution, so there are some differences in their results.

2D analysis on poloidal plane (Cold plasma)

- $B_0 = 0.072\text{T}$



Kinetic Integrated Modeling

Better understanding of burning plasmas

- **Behavior of energetic particles:** production, transport, excitation

Analysis of momentum distribution functions

- **Self-consistent description of energetic particles**
 - No separate description of bulk and energetic components
 - Interaction with electromagnetic field
- **Influence of energetic particles on heating processes**
 - Propagation and absorption of waves
 - Fusion reaction rate
- **Modification of $f(v, \rho, t)$ due to radial transport**
 - Broadening of deposition profile

Modeling based on momentum distribution function is required.

Kinetic Description of Transport

3D Fokker-Planck analysis: TASK/FP

- **Bounce-averaged:**
Trapped particle effect, zero banana width
- **Relativistic:**
momentum p , relativistic collision operator
- **Non-Maxwellian collision operator:**
momentum and energy conserved (2nd order Legendre exp.)
- **Multi-species:**
conservation between species
- **Radial diffusion:**
Anomalous radial diffusion with momentum dependence
- **Fusion reaction:**
Contribution of energetic ions (integration in momentum space)

Ref. H.Nuga and A.Fukuyama: Prog. Nuc. Sci. Tech. **2** 76 (2011)

A. Fukuyama et al.: IAEA FEC2012 TH/P6-13

Analysis of Multi-Species Heating in ITER Plasma

- **2D MHD equilibrium**

- $R = 6.2 \text{ m}$, $a = 2.0 \text{ m}$, $\kappa = 1.7$, $\delta = 0.33$, $B_0 = 5.3 \text{ T}$, $I_p = 3 \text{ MA}$

- **Multi species:**

- Electron, D, T, He

- **Multi scheme heating:**

- ICH, NBI, NF (DT, DD, TT)

- **Initial density:**

- $n_e(0) = 10^{20} \text{ m}^{-3}$, $n_D(0) = 5 \times 10^{19} \text{ m}^{-3}$, $n_T(0) = 5 \times 10^{19} \text{ m}^{-3}$

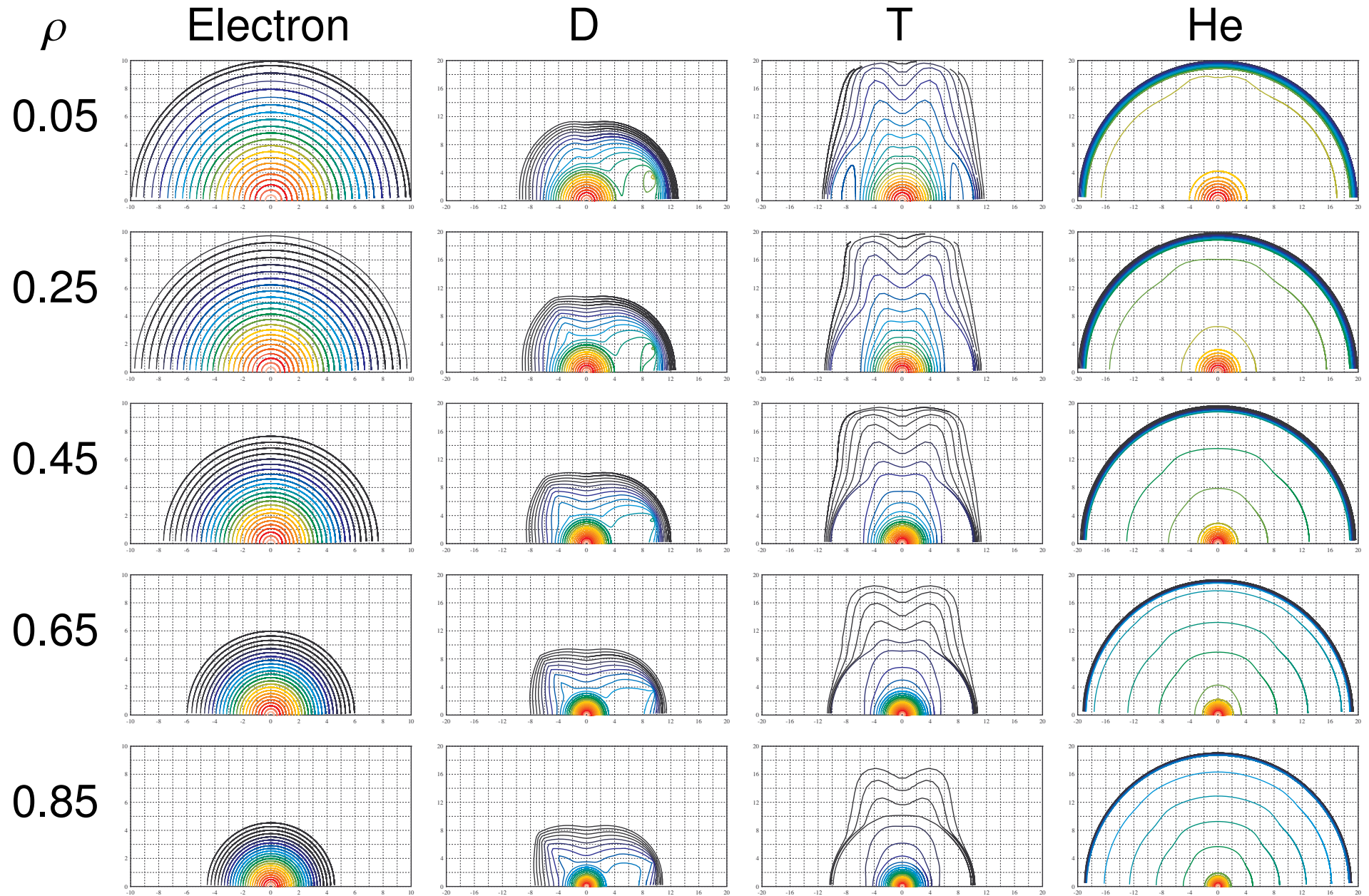
- **Initial temperature:**

- $T_e(0) = T_D(0) = T_T(0) = 20 \text{ keV}$

- **Radial diffusion coefficient:** simplest model

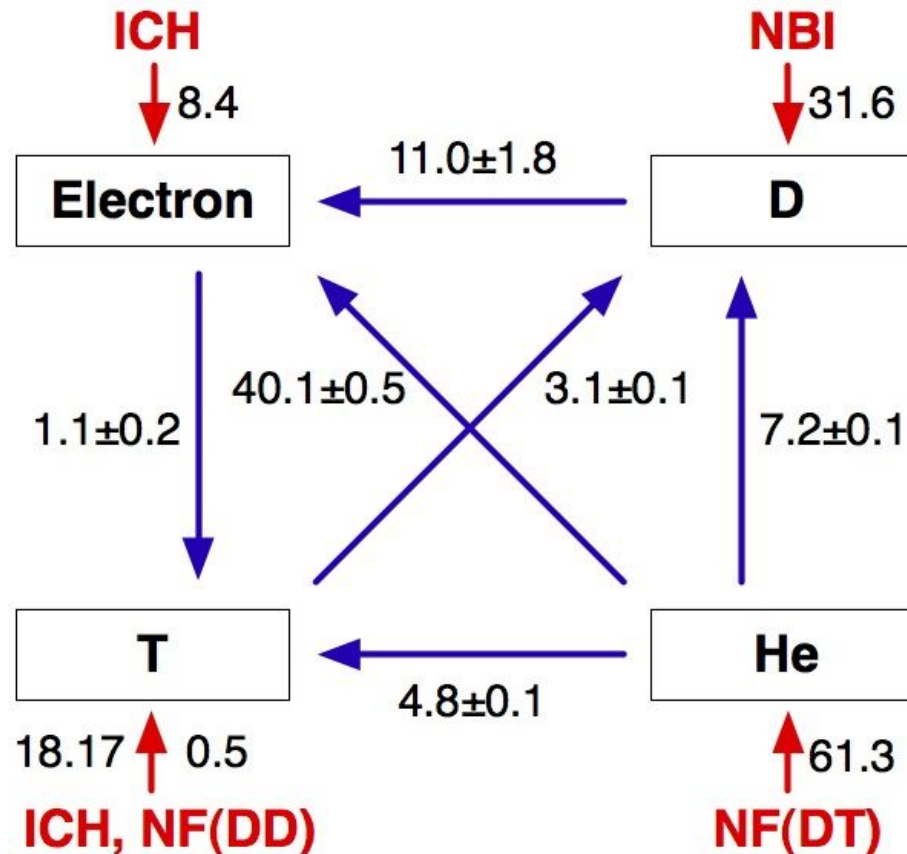
- $D_{rr} = 0.1(1 + 9\rho^2) \text{ m/s}$

Momentum Distribution Functions ($t = 1$ s)



Power Transfer between Species

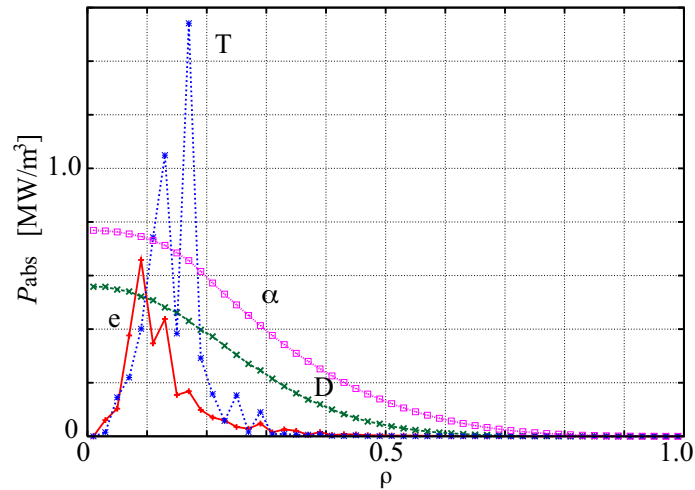
- Collisional power transfer



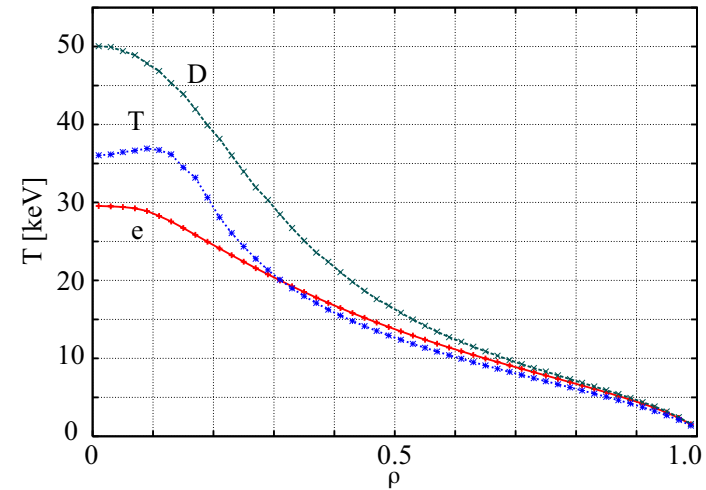
- Requires more momentum meshes for better accuracy
 - At present, typically $100 \times 100 \times 50$

Simulation with Radial Transport

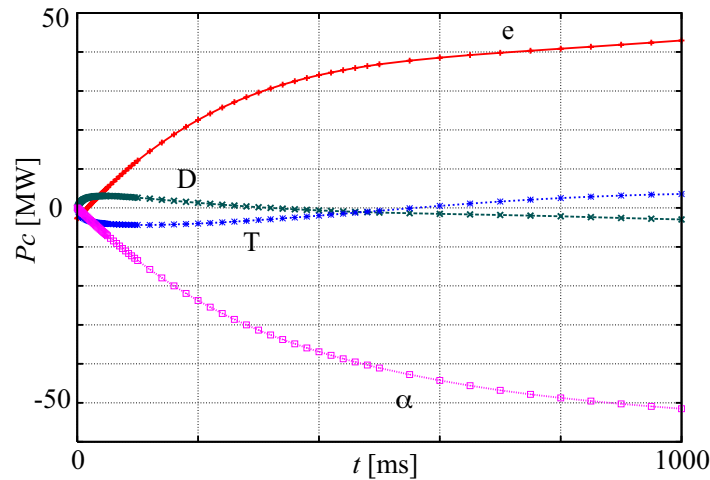
Absorbed power vs ρ



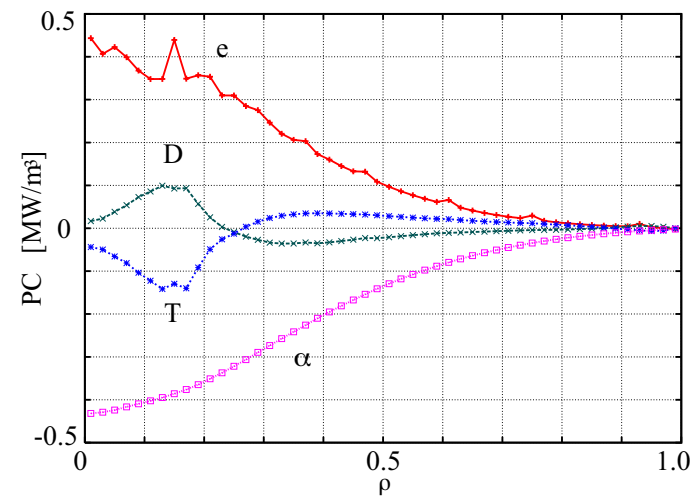
Kinetic energy density vs ρ



Collisional power transfer vs t



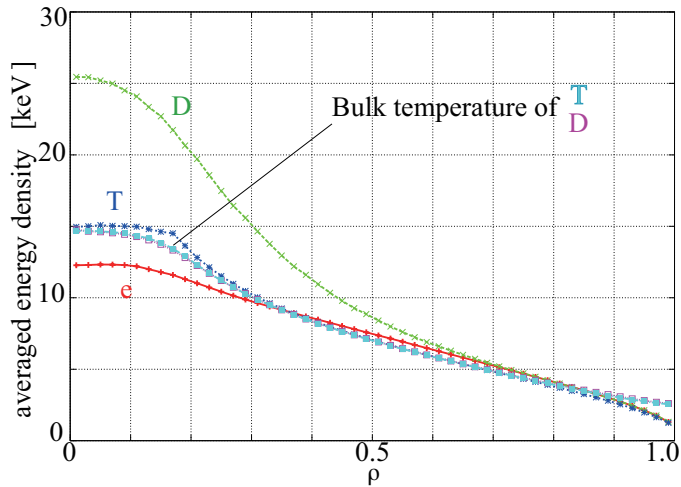
Collisional power transfer vs ρ



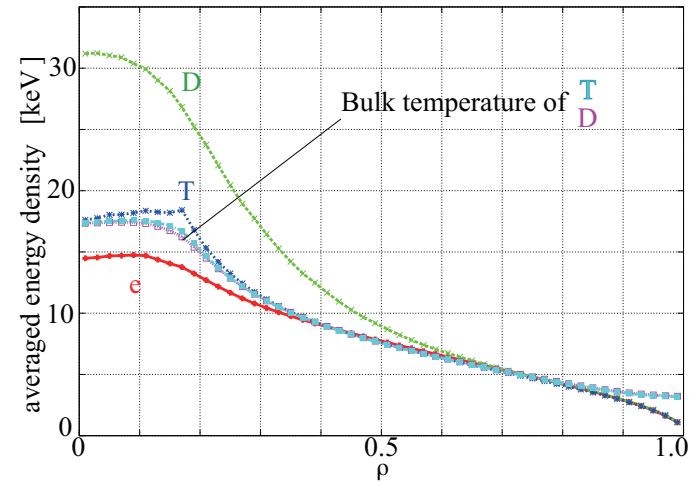
Dependence on Radial Diffusion Model

Radial profile of kinetic energy density: $p' = \sqrt{p^2 + p_{\text{th0}}^2}$

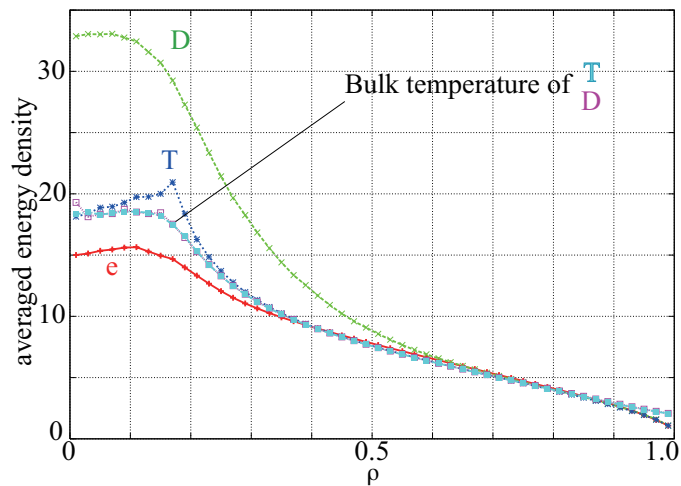
$$D_{rr} \propto p'^0$$



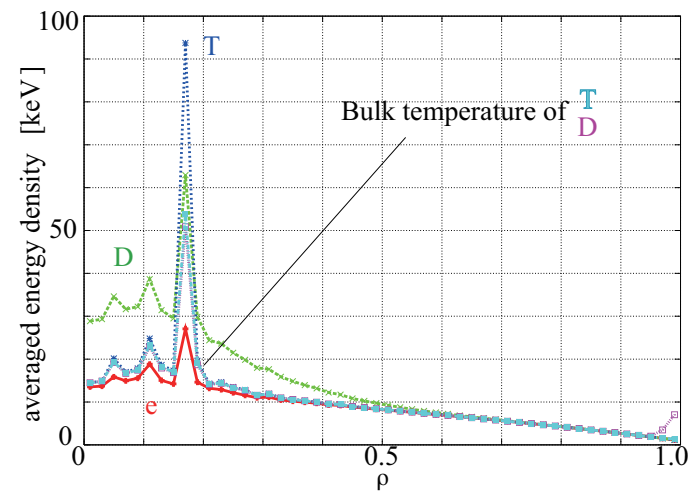
$$D_{rr} \propto p'^{-1/2}$$



$$D_{rr} \propto p'^{-1}$$



$$D_{rr} = 0$$



Dependence on Radial Diffusion Model

		$D_{rr} \propto p'^0$	$D_{rr} \propto p'^{-1/2}$	$D_{rr} \propto p'^{-1}$	$D_{rr} = 0$
E_K [keV]	e	7.13	7.63	7.74	8.18
	D	9.57	10.61	10.82	11.72
	T	7.18	8.00	8.15	9.44
	He	471.70	527.12	558.28	622.75
$T_{\text{bulk,ave}}$ [keV]	e	7.16	7.64	7.80	8.18
	D	7.18	8.03	8.06	8.95
	T	7.13	7.98	7.98	8.87
	He	9.88	12.48	12.96	17.88
n_{ave} [10^{16}m^{-3}]	He	6.45	8.36	8.64	9.65
P_{abs} [MW]	IC (e)	7.94	9.24	9.68	10.79
	IC (T)	8.95	10.34	10.87	15.28
	NB (D)	31.68	31.69	31.68	31.69
	NF _{DT}	23.36	30.77	32.70	36.88
I_{CD} [MA]	I (e)	-1.13	-1.36	-1.44	-1.62
	I (D)	2.74	3.01	3.11	3.24
	T (tot)	1.61	1.65	1.67	1.62

Validation with experimental observation is necessary.

Summary

- **Various actuators** are used for **heating, current drive, and fueling** in fusion plasmas.
- Modeling of **Joule heating**, **NB injection** and **pellet injection** are relatively well established.
- Modeling of **wave heating** has various levels of analyses with different accuracy. Appropriate model should be used for its purpose.
- **Self consistent analyses** of **wave propagation and absorption** and **modification of velocity distribution functions** will be important in burning plasmas.