



H&CD application in (burning) plasmas

Ernesto Lerche

15th International ITER School 2026
24 July 2026, Leshan, China



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Speaker: Ernesto Lerche

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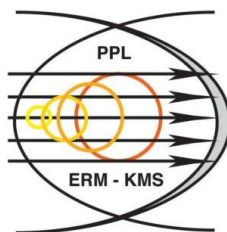
- *Seconded at ITER Organization, H&CD group, St. Paul lez Durance, France*

JET tokamak (2009-2023), WEST (... 2023-2026)

- Plasma scenario development & control
- ‘Specialized’ in heating and fuelling of plasmas (ICRH pilot, expt. coordinator)
- Session leader in JET and WEST (‘tokamak pilot’)
- Modelling: plasma heating (waves, beams, fast-ion interaction, ...)



JET



Disclaimer: The views and opinions expressed herein do not necessarily reflect those of the ITER Organization nor those of the European Commission



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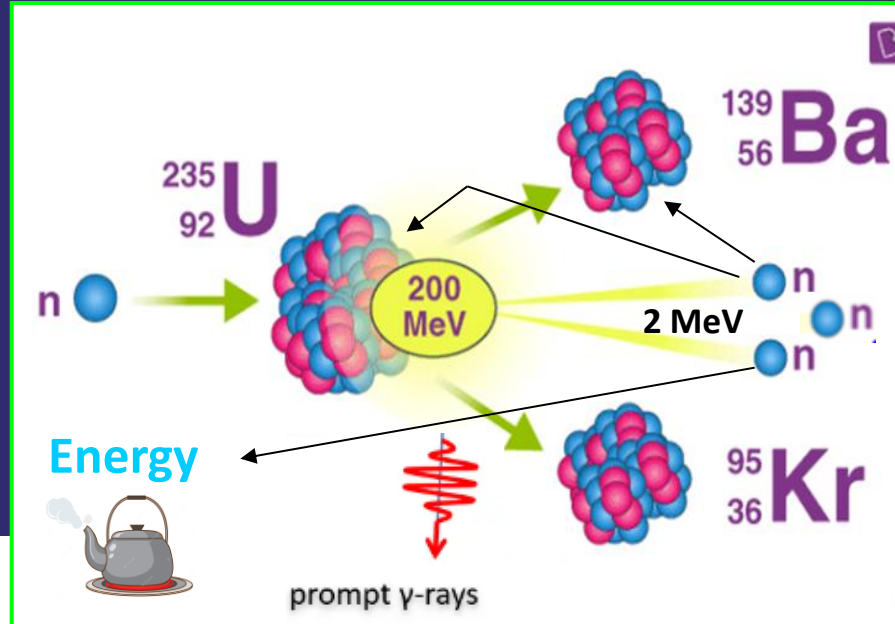
- Brief introduction to thermonuclear fusion
- Auxiliary heating systems in tokamaks (recap)
 - Introduction
 - Neutral beam injection (NBI)
 - Ion cyclotron heating (ICRH)
 - Electron cyclotron heating (ECRH)
- Overview of JET D-T fusion results
- The role of NBI on JET high performance D-T plasmas
 - Impact of NBI on H-mode access
 - Impact of NBI on flat-top performance
- Impact of ICRH on D-T plasmas & fusion performance
 - H minority heating examples
 - He³ minority heating examples
 - Fundamental Deuterium and D-NBI heating (D-T fusion record)
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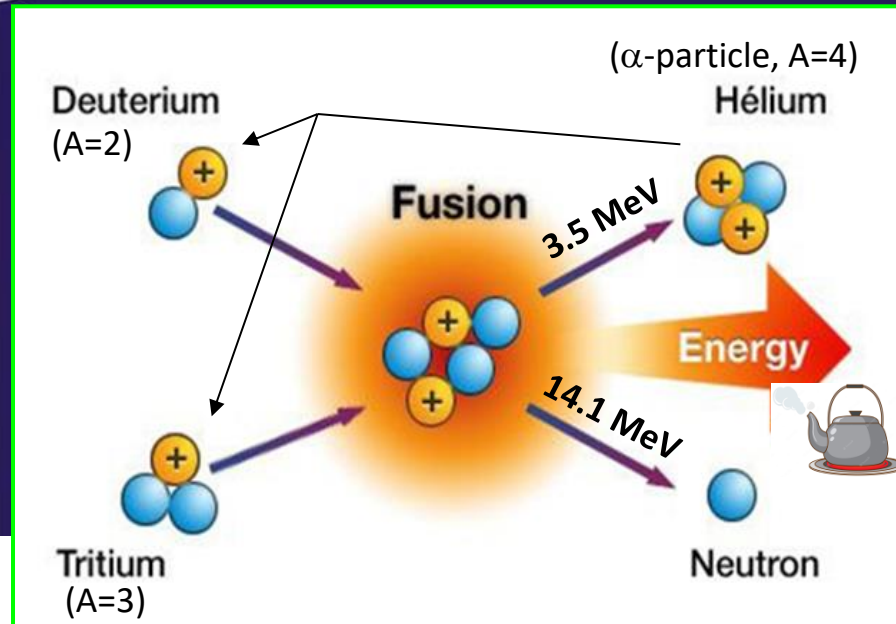
Fission

VS.

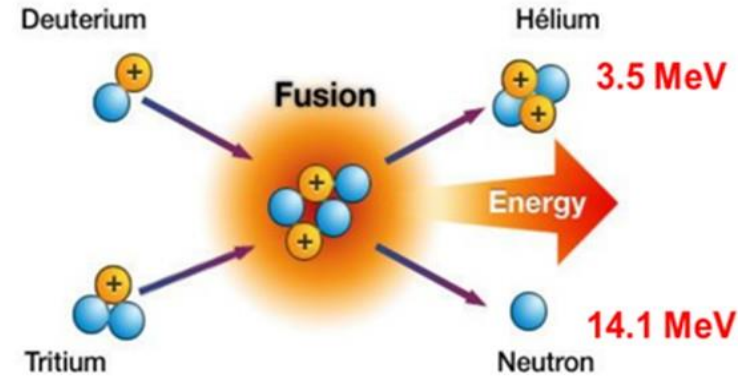
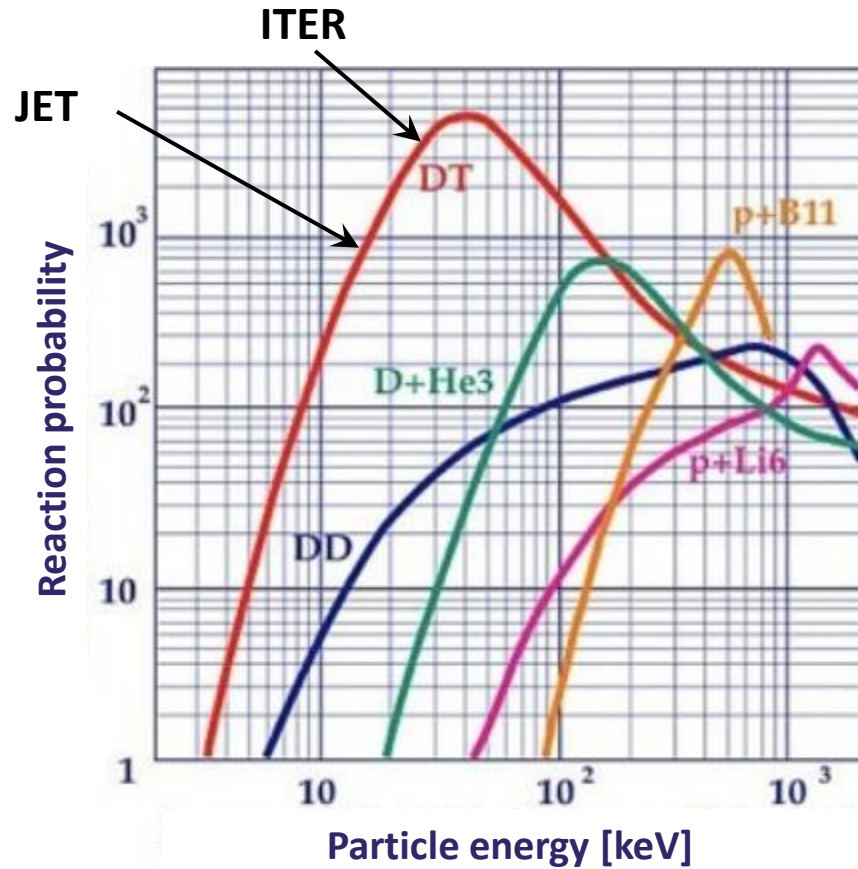
Fusion



- Output neutrons too energetic:
 - Need moderating bars to slow-down and to control the chain reaction rate
- Strong γ -radiation emission (β, α)
- Long-lasting radioactive waste:
 - 1000's of years



- Helium (α -particle) used directly for self-heating via collisions with D, T ions
- No long-lived radioactive waste:
 - Activation of some internal machine components due to neutrons (10's of years)



- D:T mixture is the best option
 - Needs $E=30-40\text{keV}$ for best fusion performance (hot plasma)
- Intrinsically safe:
 - Self-regulating cross-section
- For JET ($E_{\text{bulk}} \sim 15\text{keV}$, $E_{\text{fast}} \sim 100\text{keV}$):
 - D-T reactions are split into:
 - (1) thermonuclear (bulk plasma ions)
 - (2) beam-target reactions (fast $\rightarrow$ bulk ions)
- In ITER and future reactors:
 - Thermonuclear reactions are dominant

- Triple product: $n \cdot \tau_E \cdot T_i$

$$\begin{cases} n = \text{Plasma density} \\ T_i = \text{Ion temperature} \\ \tau_E = W / P_{IN} = \end{cases}$$

Magnetic confinement fusion (tokamaks)

- Large temperature (10's keV)
- Medium density ($\sim 10^{20}/\text{m}^3$)
- Large τ_E (1-10s)

- Break-even ($Q_{\text{fus}} = P_{\text{fus}} / P_{\text{IN}} = 1$)

→ Losses are compensated by α -heating

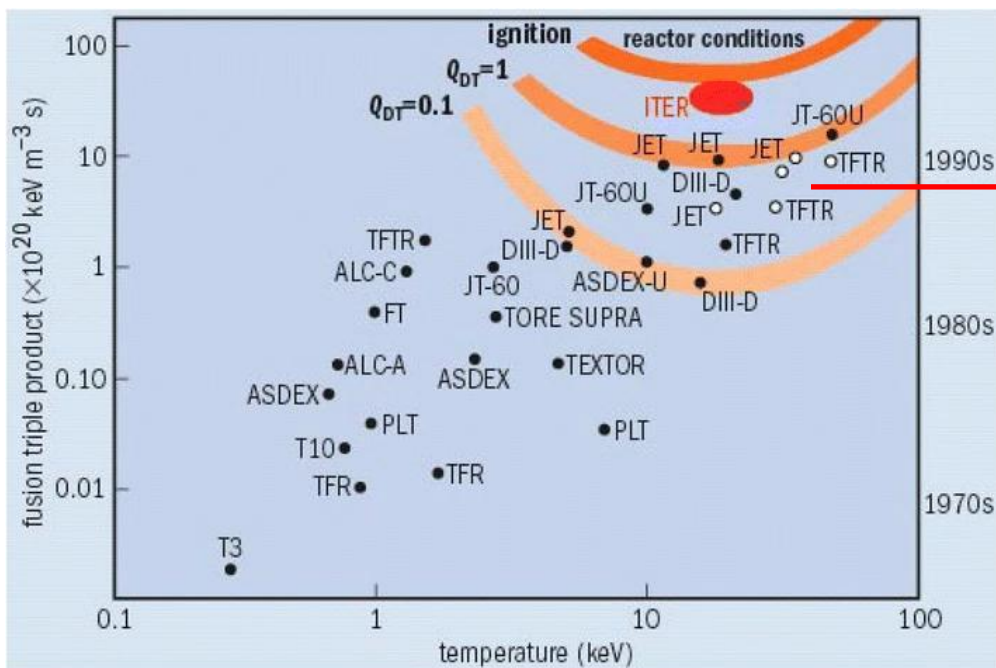
$$n \tau_E T_i > 10^{21} \text{ keV s/m}^3 \quad (T_i > 15\text{keV})$$

- Ignition ($Q_{\text{fus}} = P_{\text{fus}} / P_{\text{IN}} = \infty$)

→ α -heating alone is enough to sustain the plasma at high temperatures ($P_{\text{IN}}=0$)

$$n \tau_E T_i > 3 \cdot 10^{21} \text{ keV s/m}^3 \quad (T_i \geq 20\text{keV})$$

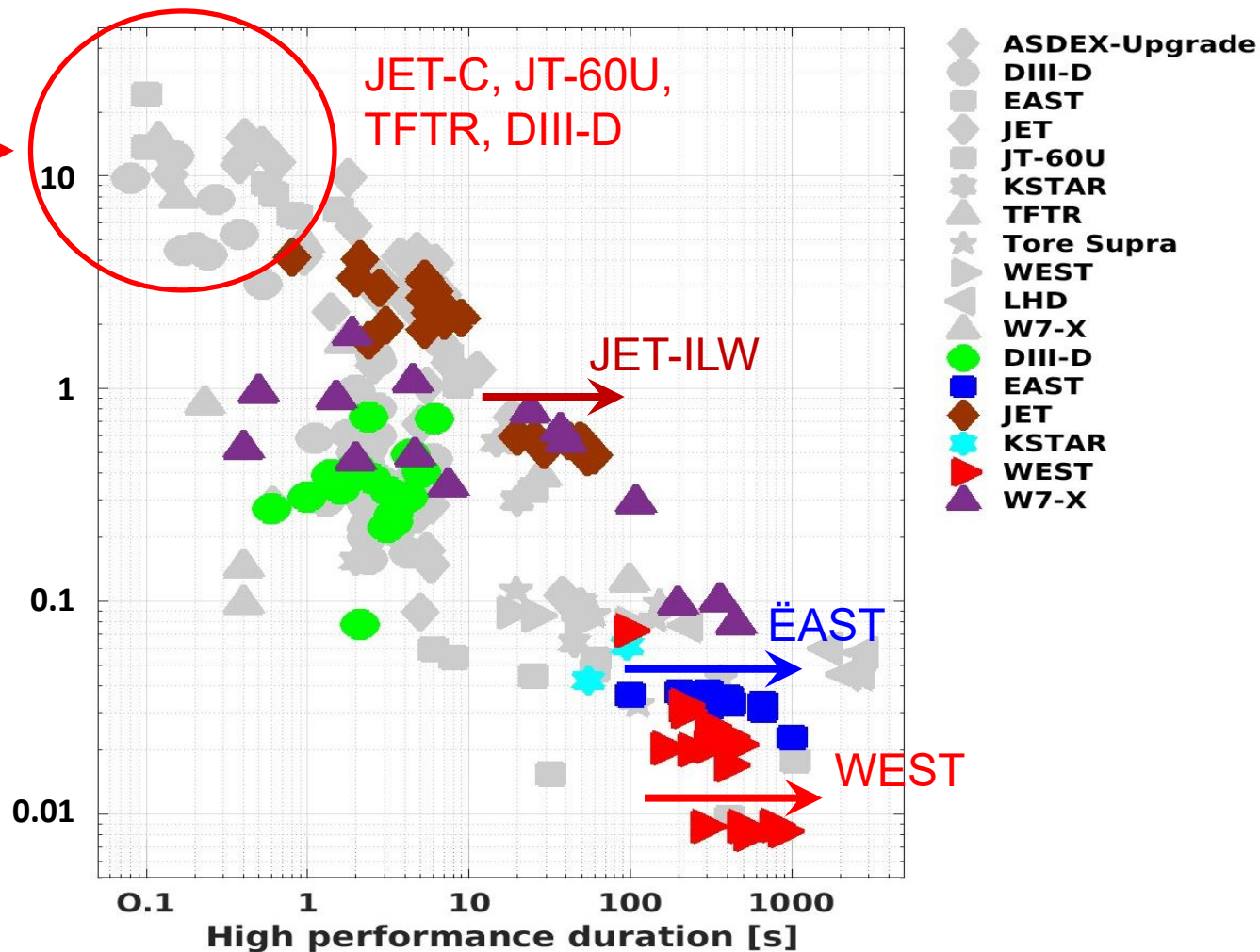
Lawson criterion



Good progress at constant performance in full-metal devices in the last 5 years

CICLOP database

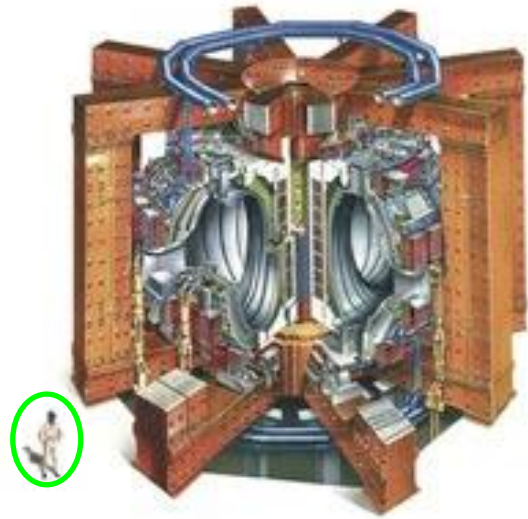
(Coordination on Int. Challenges on Long duration OPeration)



X. Litaudon, NF 2024, 2026

E. Lerche, IAEA-FEC 2024

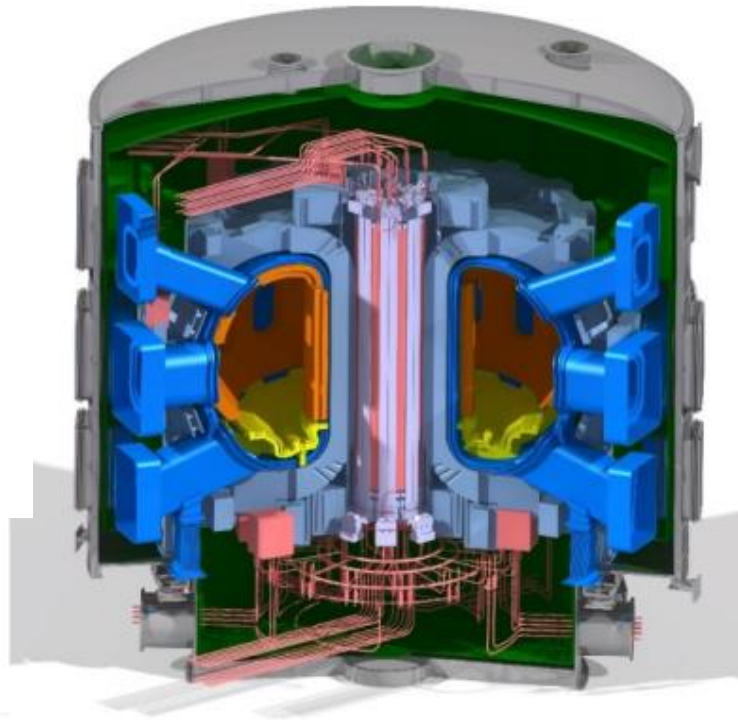
JET Volume = 80 m³



$I_p = 2-4\text{MA}$
 $P_{IN} = 30-40\text{MW}$
 $P_{fus} = 15\text{MW}$

$Q_{fus} = 0.2-0.4$

BEST Volume = 140 m³

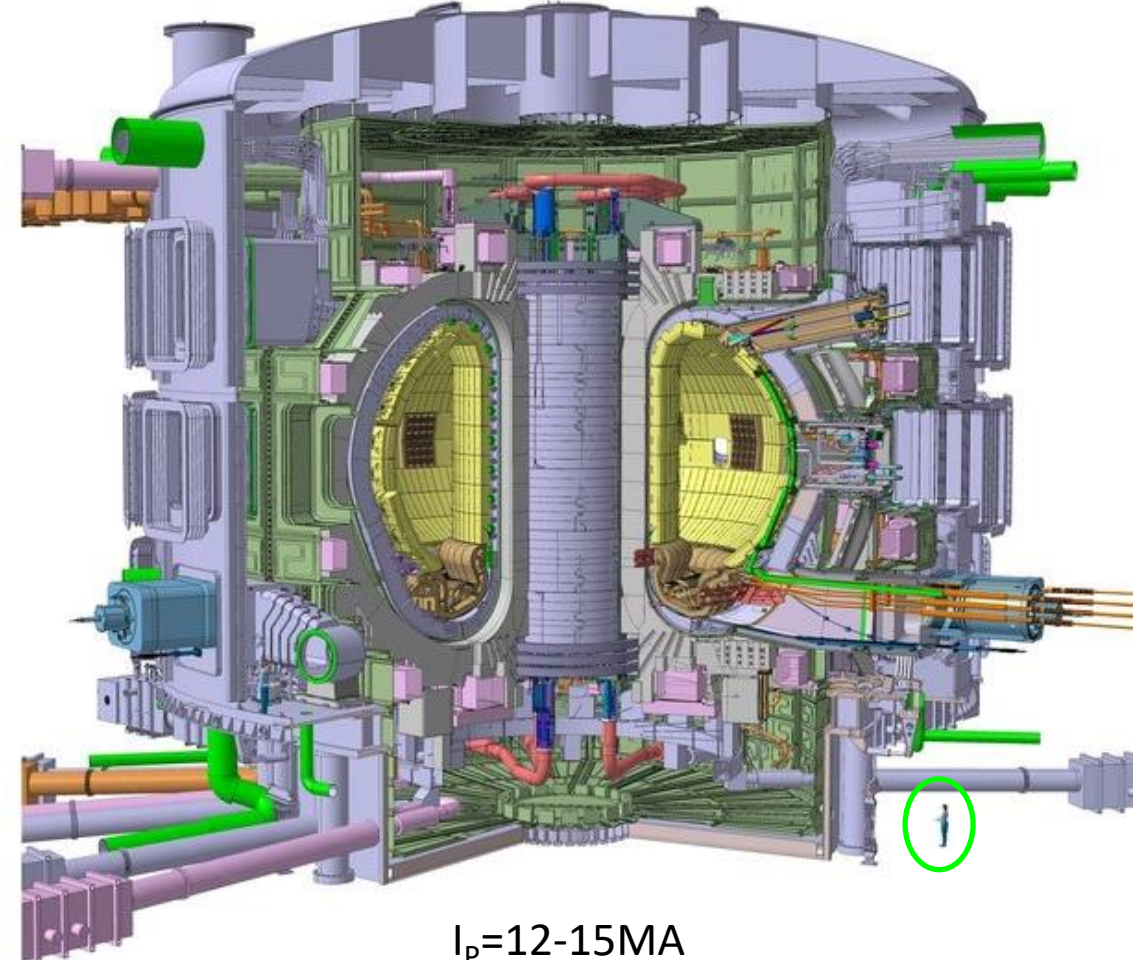


$I_p = 6-7\text{MA}$
 $P_{IN} = 40-50\text{MW}$
 $P_{fus} = 50\text{MW}$

$Q_{fus} > 1$

Size (and I_p) matters!

ITER Volume = 800 m³



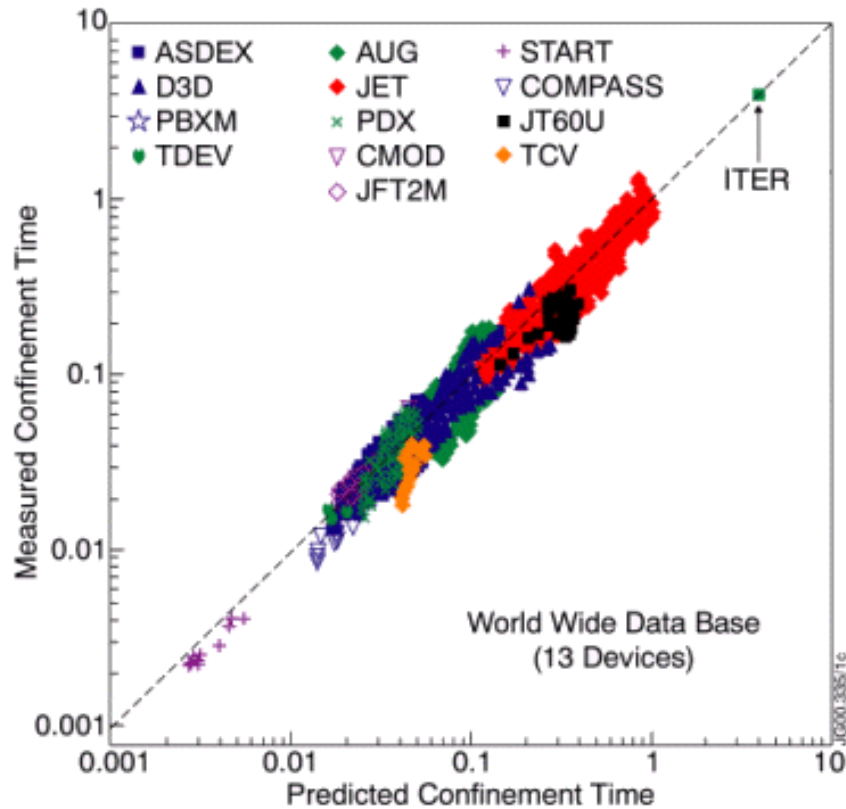
$I_p = 12-15\text{MA}$
 $P_{IN} = 80-90\text{MW}$
 $P_{fus} = 500\text{MW}$

$Q_{fus} > 5$

Scaling law:

$$\tau_{E \text{ IPB98}} = 0.056 I_p^{0.93} B^{0.15} P_{heat}^{-0.69} n^{0.41} M^{0.19} R^{1.39} a^{0.58} k^{0.78}$$

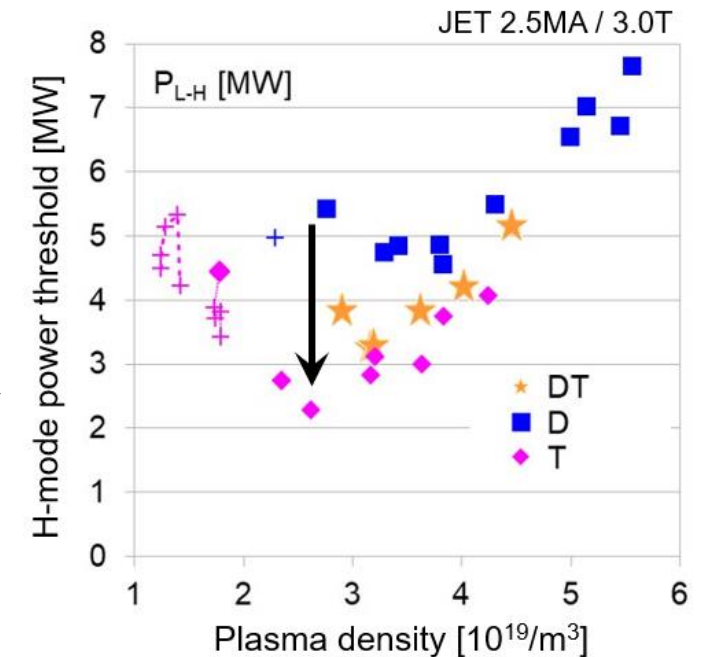
plasma current ion mass Machine size (plasma volume)



ITER PHYSICS BASIS EDITORS, Nucl. Fusion 39 (1999)

- Energy confinement increases with plasma current and plasma volume
- Weak dependence on main ion mass but the power needed to reach H-mode (good confinement) depends on the main ion mass (L-H power threshold)

H-mode threshold:

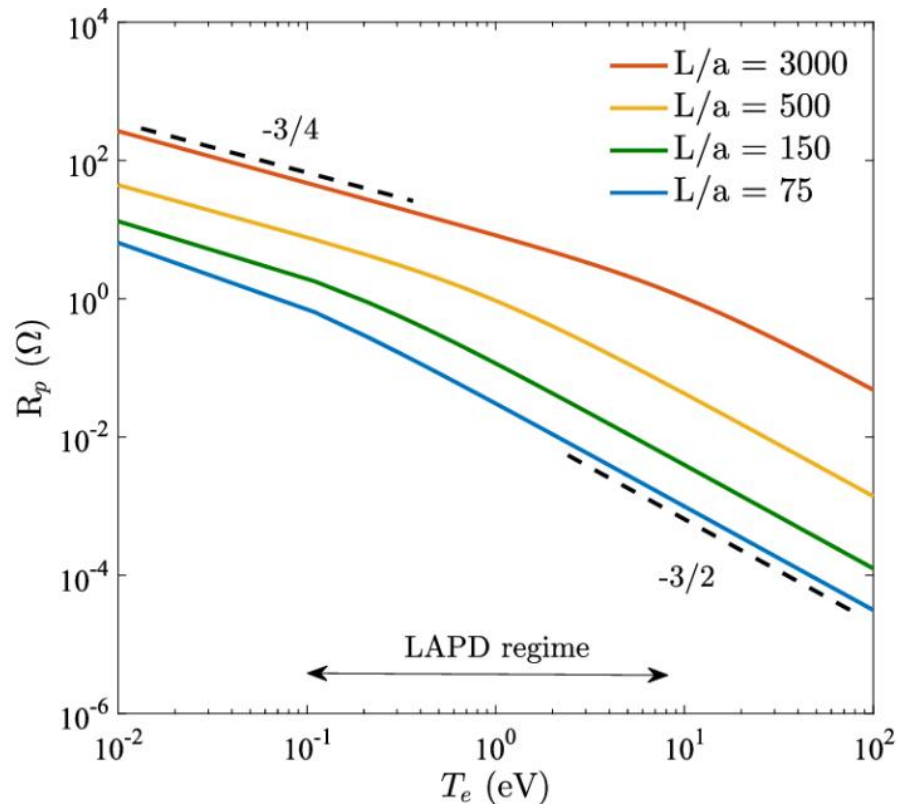


E. Solano, Nucl. Fusion 2023

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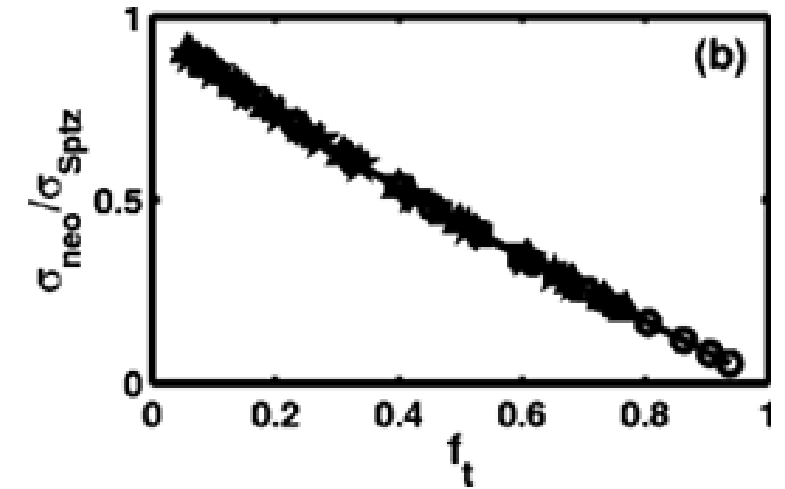
Spitzer resistivity:

$$\eta_{Sp} = \frac{4\sqrt{2}\pi}{3} \frac{Ze^2 m_e^{1/2} \ln \Lambda}{(4\pi\epsilon_0)^2 (k_B T_e)^{3/2}}$$



[M. Poulus , PP 2019]

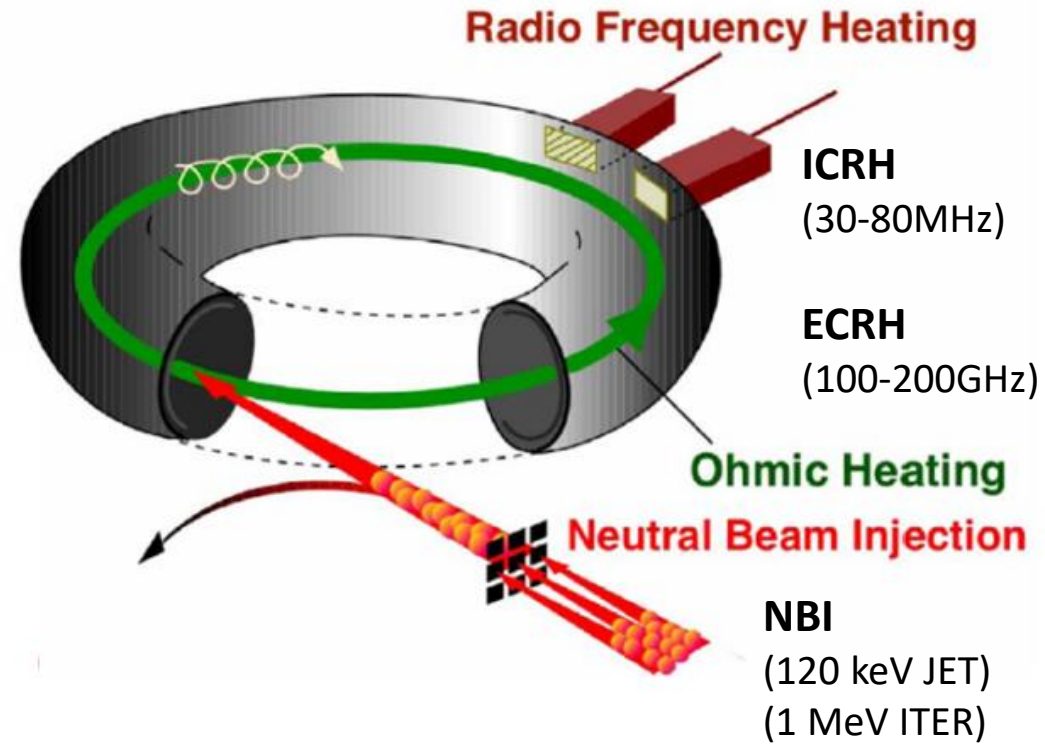
Neoclassical resistivity > Spitzer resistivity
(trapped particles)



[O. Sauter et al., PP 1999]

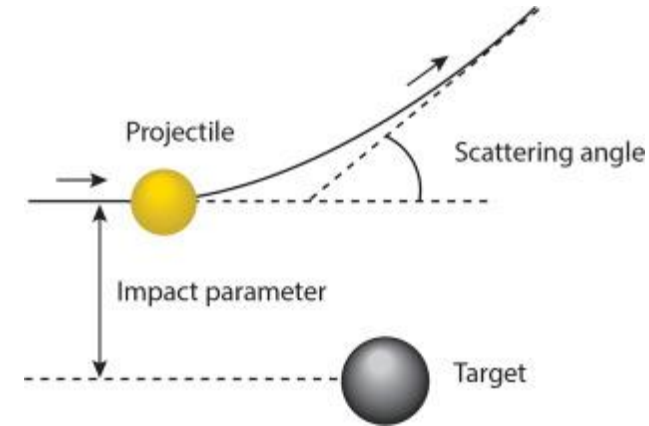
When plasma temperature increases, the plasma becomes less collisional and ohmic heating becomes less efficient

- Neutral beam injection (NBI)
(high density = high energy)
- Ion cyclotron heating (ICRH)
(coupling + RF sheaths)
- Electron cyclotron heating (ECRH)
(exact B_0 , polarization)
- Lower hybrid heating (LHCD)
(penetration at high density)
- Alpha particle (self-) heating
(confinement + He ash)



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Plasma heating by Coulomb collisions of fast ions

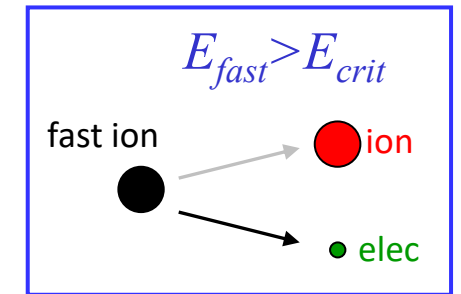
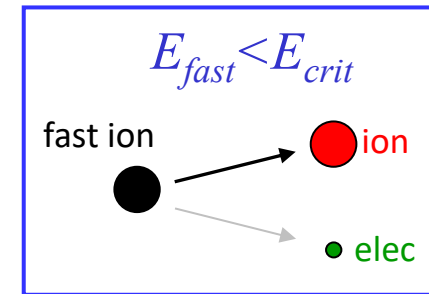
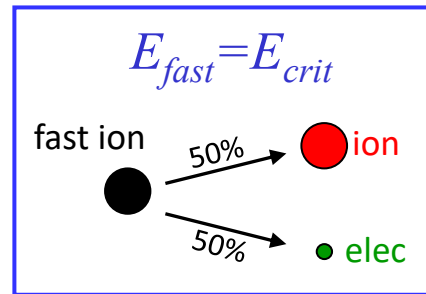


Electron heating → Equipartition
(Thermalization)

- Neutral beam injection (NBI)
(high density = high energy)
- Ion cyclotron heating (ICRH)
(coupling + RF sheaths)
- Electron cyclotron heating (ECRH)
(exact B_0 , polarization)
- Lower hybrid heating (LHCD)
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- Alpha particle (self-) heating
(confinement + He ash)

Plasma heating by Coulomb collisions of fast ions

→ Critical energy: $E_{crit} = 14.8 T_e [keV] m_b \left(\sum_i \frac{N_i Z_i^2}{N_e m_i} \right)^{2/3}$ (JET ~ 200 keV)



- Neutral beam injection (NBI)
(high density = high energy)
- Ion cyclotron heating (ICRH)
(coupling + RF sheaths)
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Electron heating → Equipartition

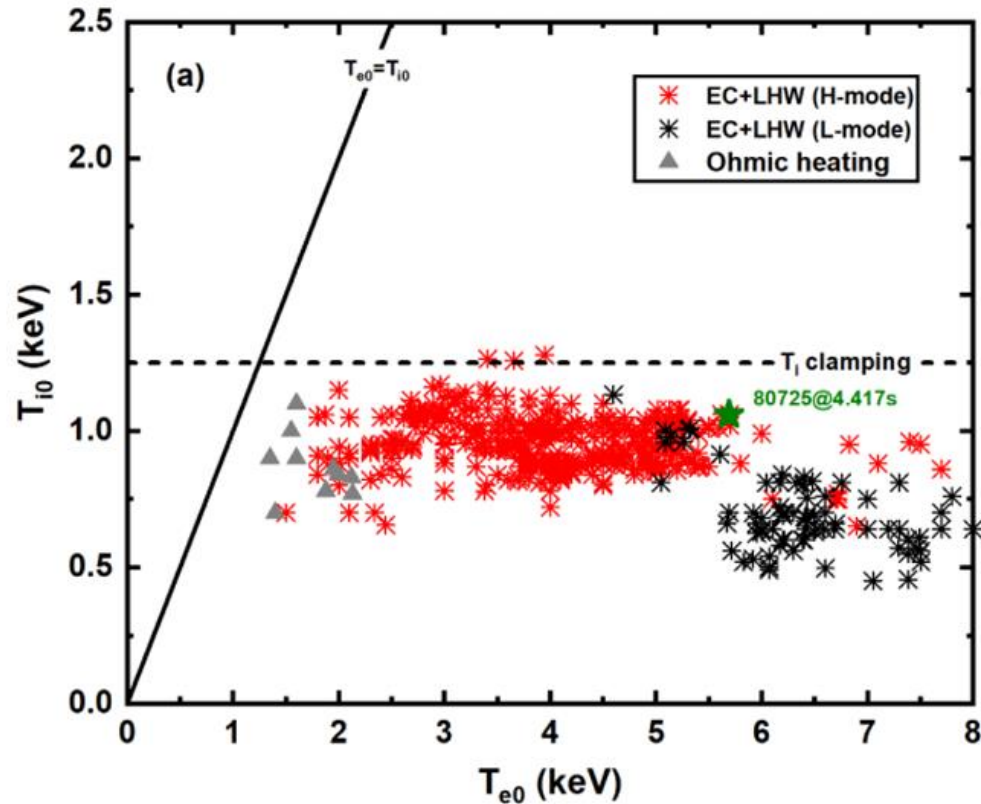
$$p_{e-i} = \frac{3}{2} \cdot \frac{q_e \cdot n_e \cdot 10^{19} \cdot (T_e - T_i)}{\tau_{ei}}$$

$$\tau_{ei} = \frac{M \cdot m_p}{m_e} \cdot \tau_{ee} \approx \frac{M}{Z^2 \cdot \Lambda_{ee}} \cdot \frac{T_e^{3/2}}{n_i} \text{ (s)}$$

Less collisions at high T_e

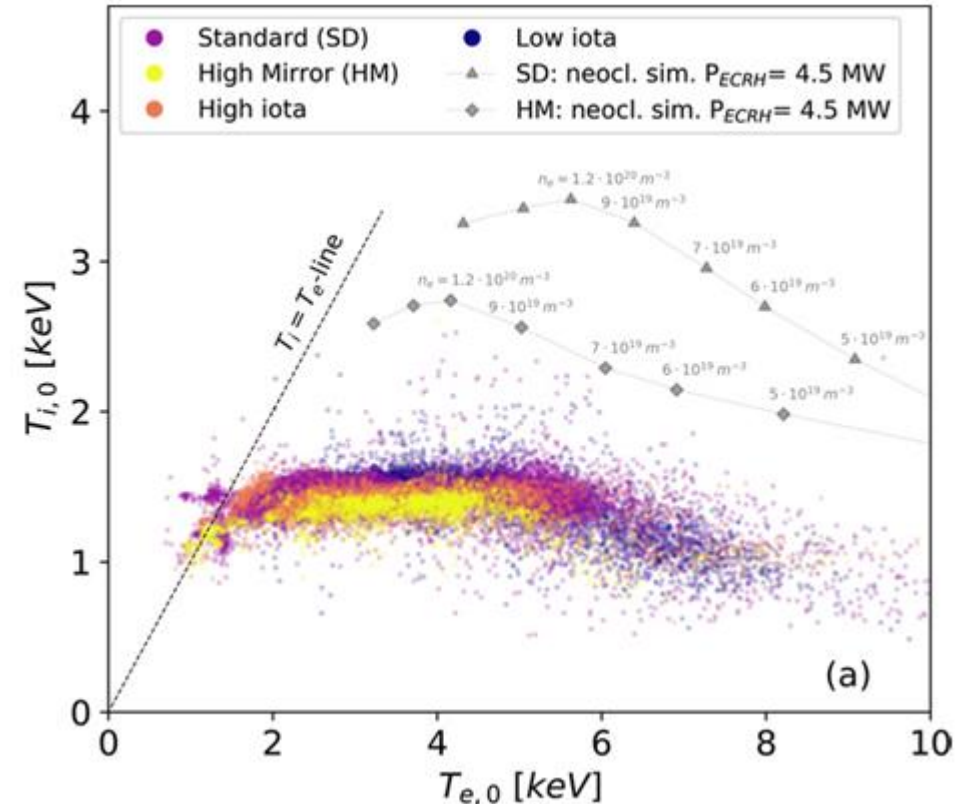
- Dominant electron heated plasmas $\rightarrow$ non-efficient equipartition $\rightarrow T_i \ll T_e$
- “ T_i clamping” caused by turbulence driven transport (TEM, ETG)

EAST (EC+LH)



[Jianwen Liu et al., AAPPS 2023]

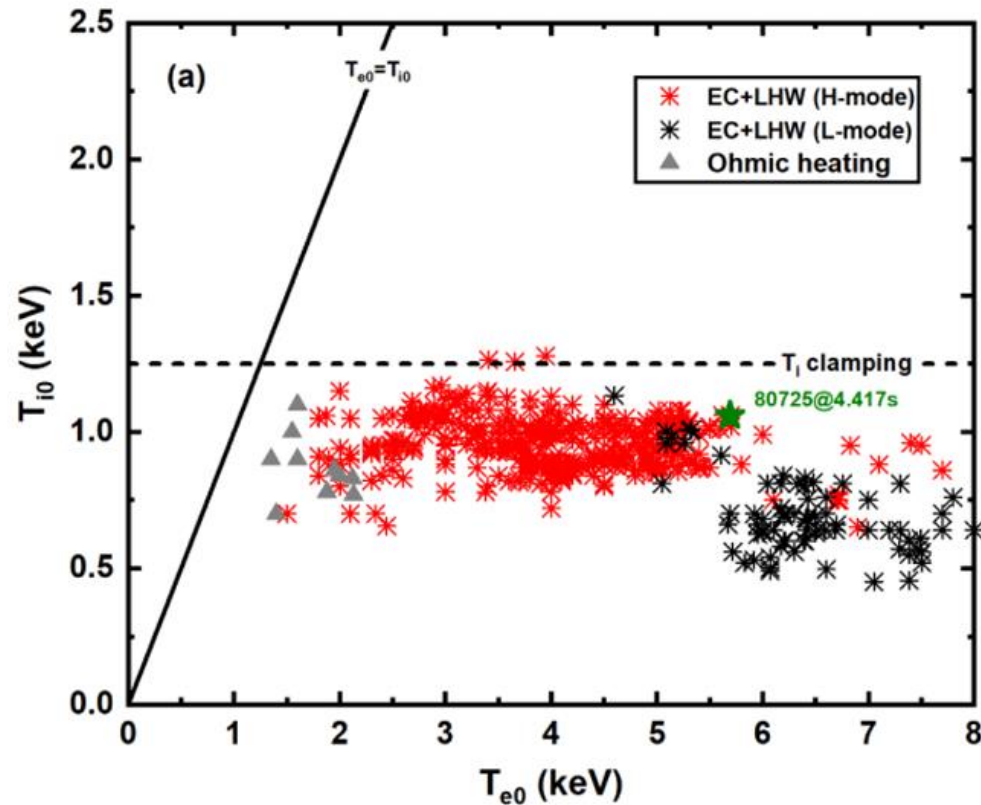
W7-X (EC)



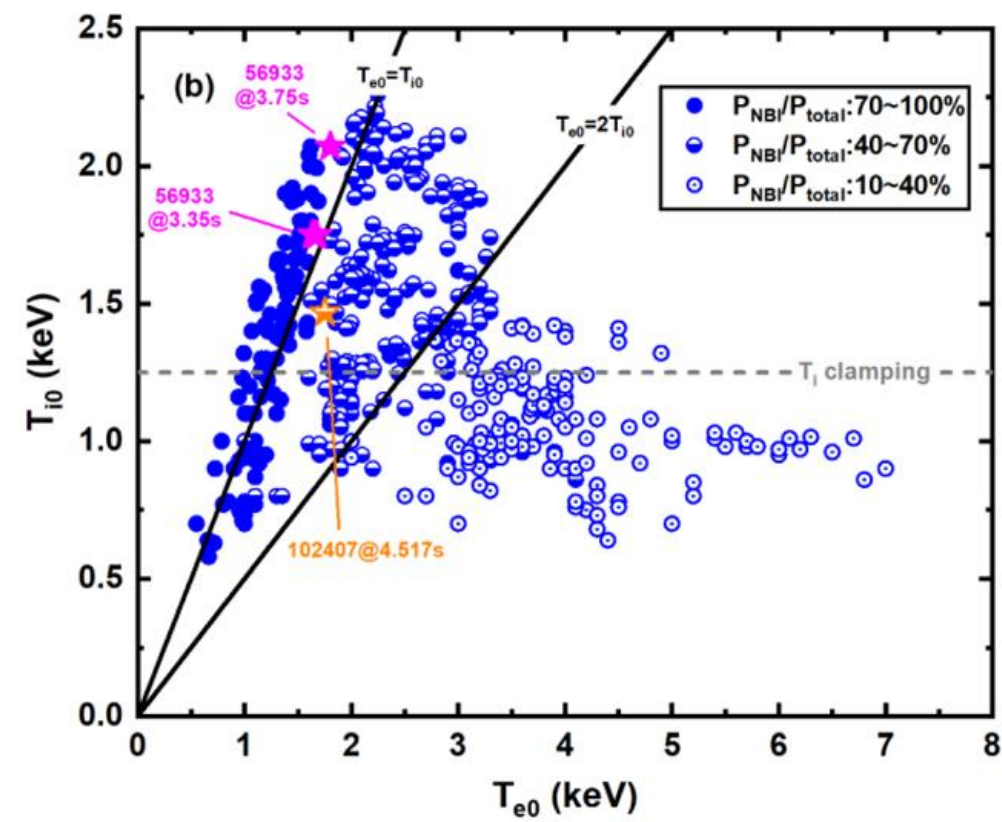
[M Beurskens et al., NF 2021]

- In EAST, $T_i \approx T_e$ can only be recovered with dominant ion heating (NBI)
- In W7-X, larger T_i obtained with pellet fuelling (but very peaked density profiles)

EAST (EC+LH)



EAST (EC+NBI)



[Jianwen Liu et al., AAPPS 2023]

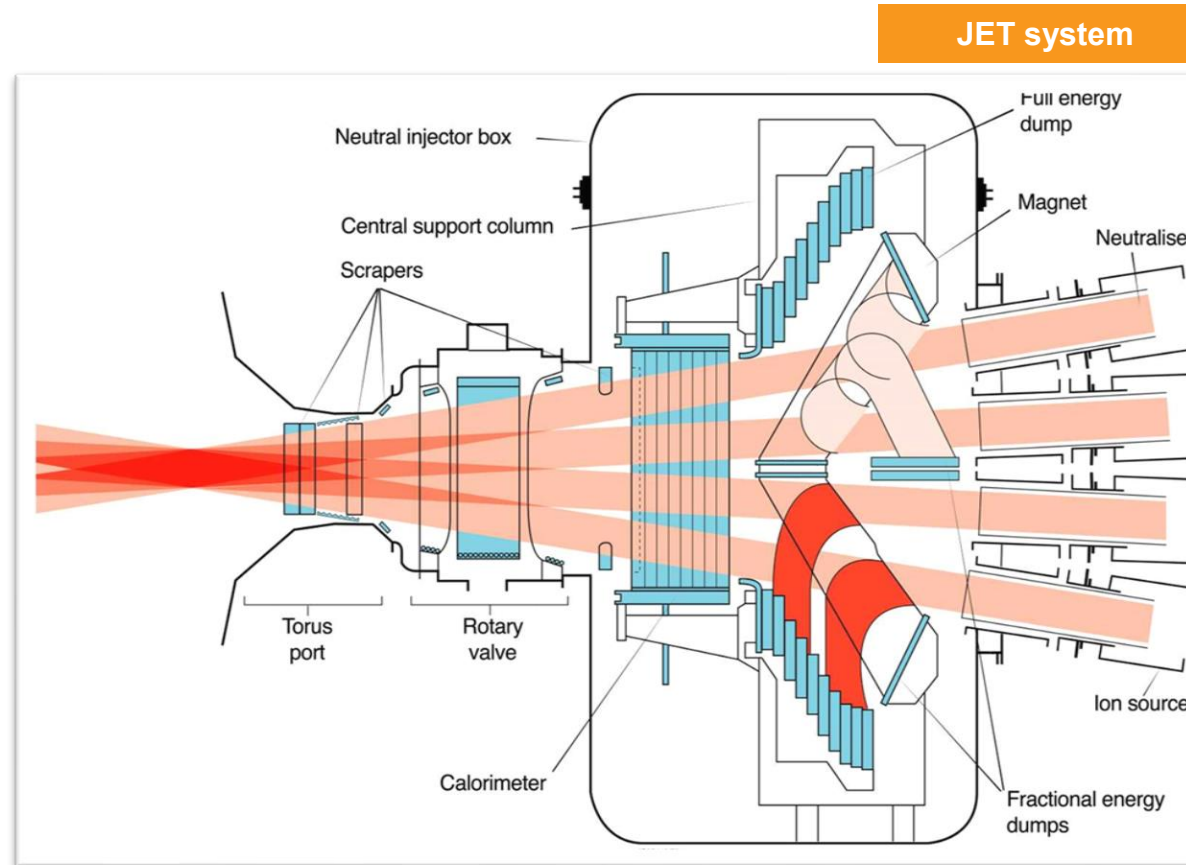
Fast neutral beam particles injected across the magnetic field and are ionised in a given region by interacting with the plasma ions, electrons or neutrons

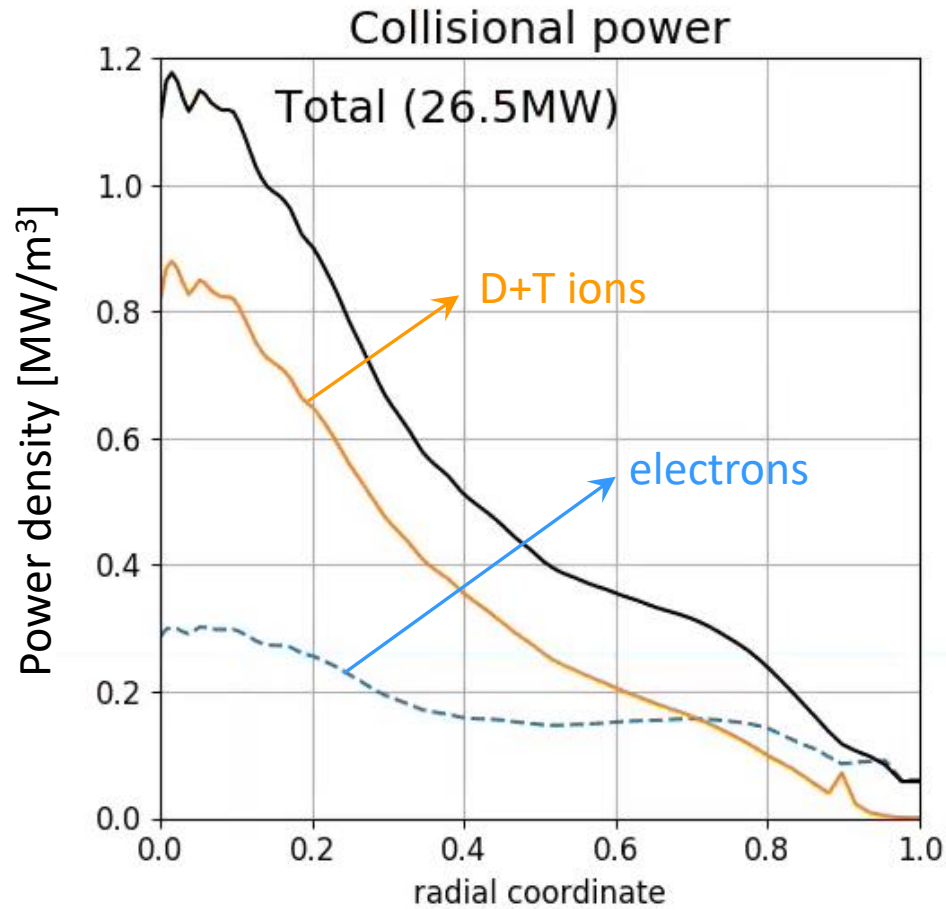
➔ Once charged, the fast ions become trapped in magnetic field and circulate, transferring energy to plasma ions and electrons by Coulomb collisions

As plasma gets bigger and denser, higher energies are needed to penetrate to the core:

~ 60 keV on MAST,
~ 120 keV on JET,
~ 1 MeV on ITER

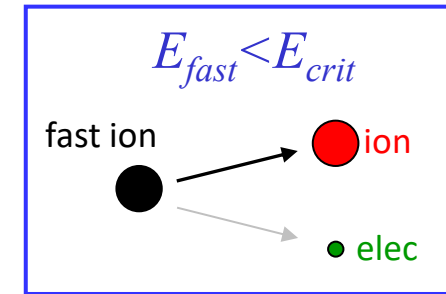
Positively- or negatively-charged ions are accelerated and neutralised before injection in the plasma.



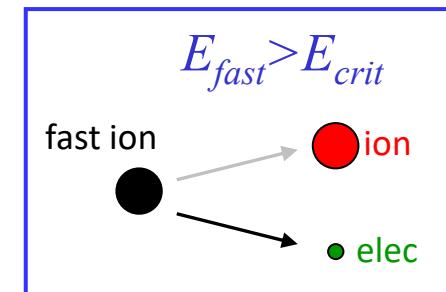


- Energy of injected NB ions is absorbed by collisions with the bulk plasma (**electrons** and **ions**)

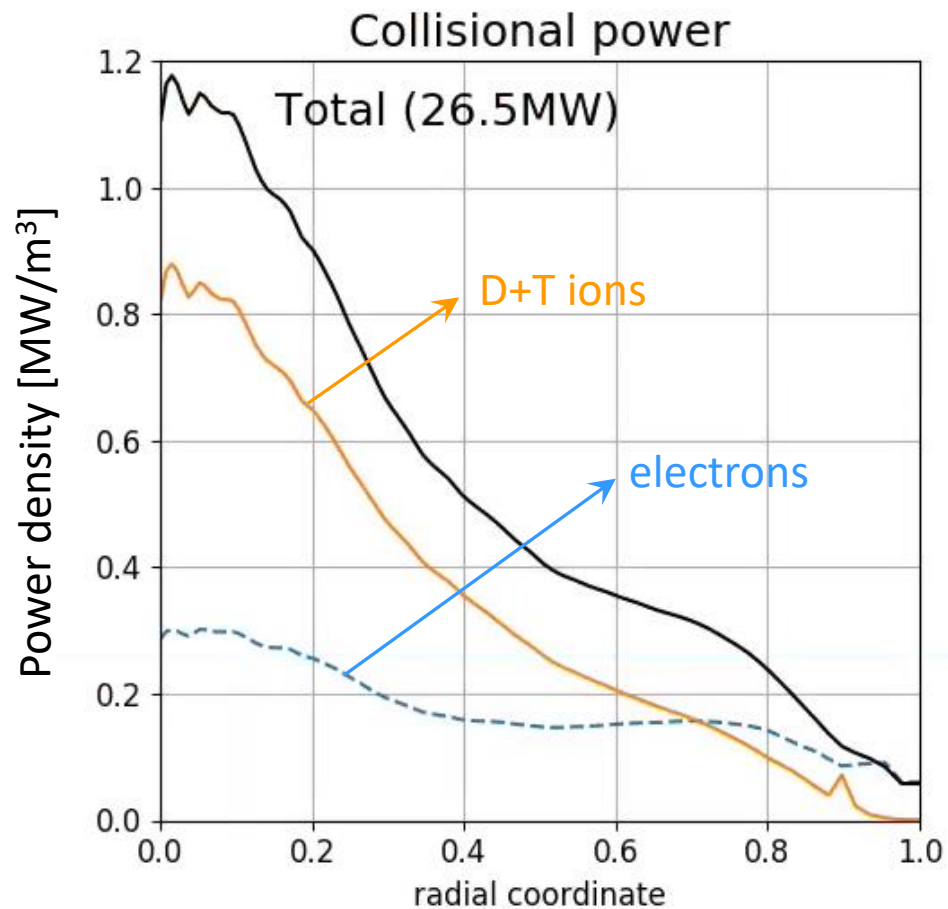
- In JET, dominant **ion heating** ($E_{\text{beam}}=120\text{keV} < E_{\text{crit}} \sim 200\text{keV}$)



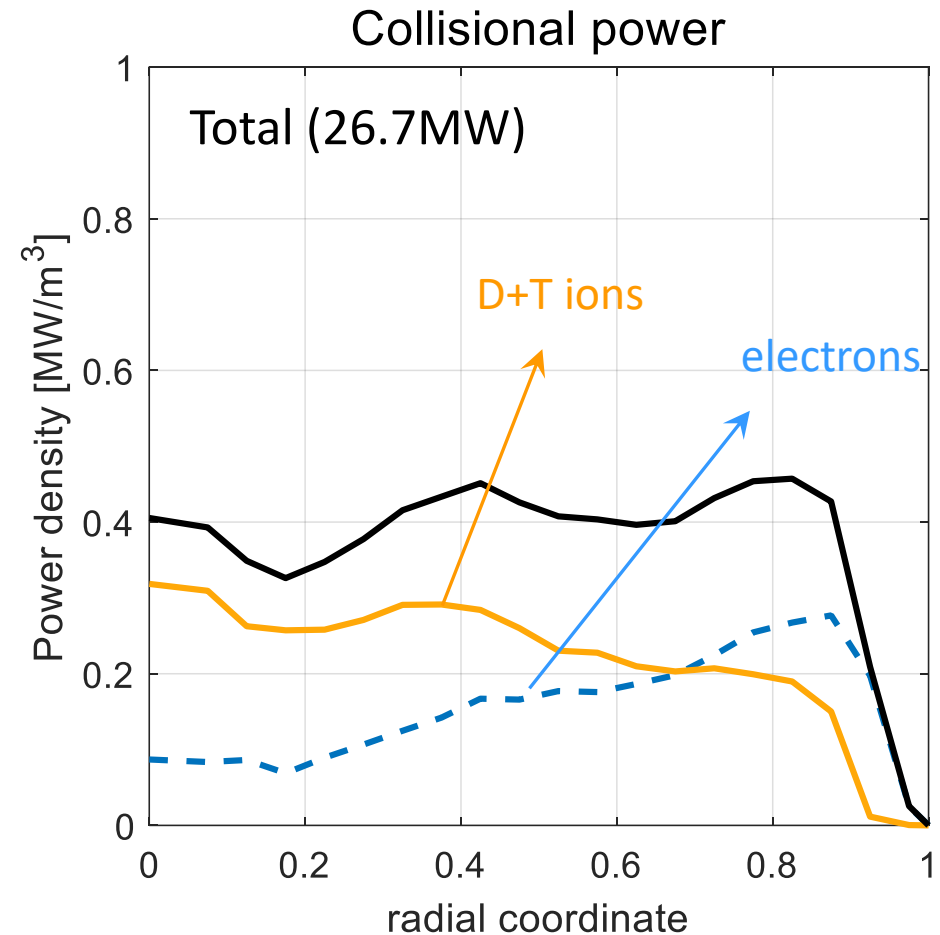
- In ITER, dominant **electron heating** ($E_{\text{beam}}=1\text{MeV} > E_{\text{crit}} \sim 400\text{keV}$)



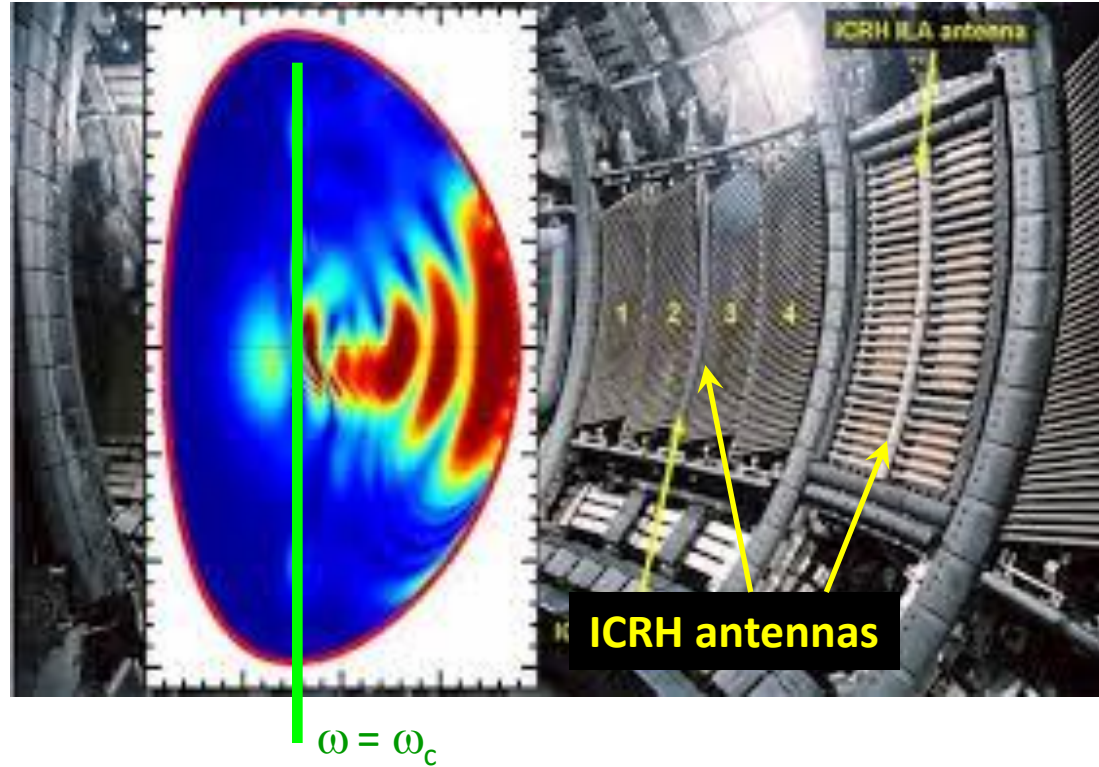
Medium density (hybrid plasmas)



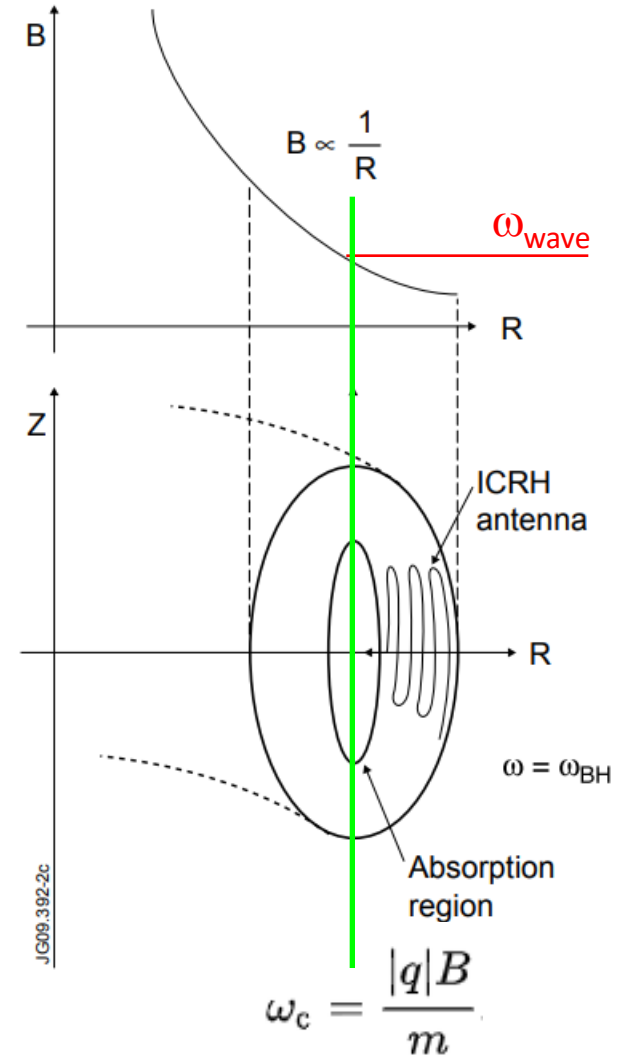
High density (baseline plasmas)



At larger plasma density (baseline plasmas), the beam penetration is less efficient leading to flatter deposition and lower core ion heating



- A fast wave is launched into the plasma and propagates towards the centre
- It is absorbed in a narrow region where $\omega_{\text{wave}} = \omega_c$
- Two possibilities:
 - (1) Wave resonates with a minority species $\rightarrow$ collisions
 - (2) Wave resonates directly with one of the fuel ions



[Jacquet et al, IAEA-FEC 2023]

Coupling

- Wave tunnelling through evanescence layer:
- antenna-plasma distance
 - SOL density
 - frequency, $k_{\parallel}$ spectrum (antenna design, phase)

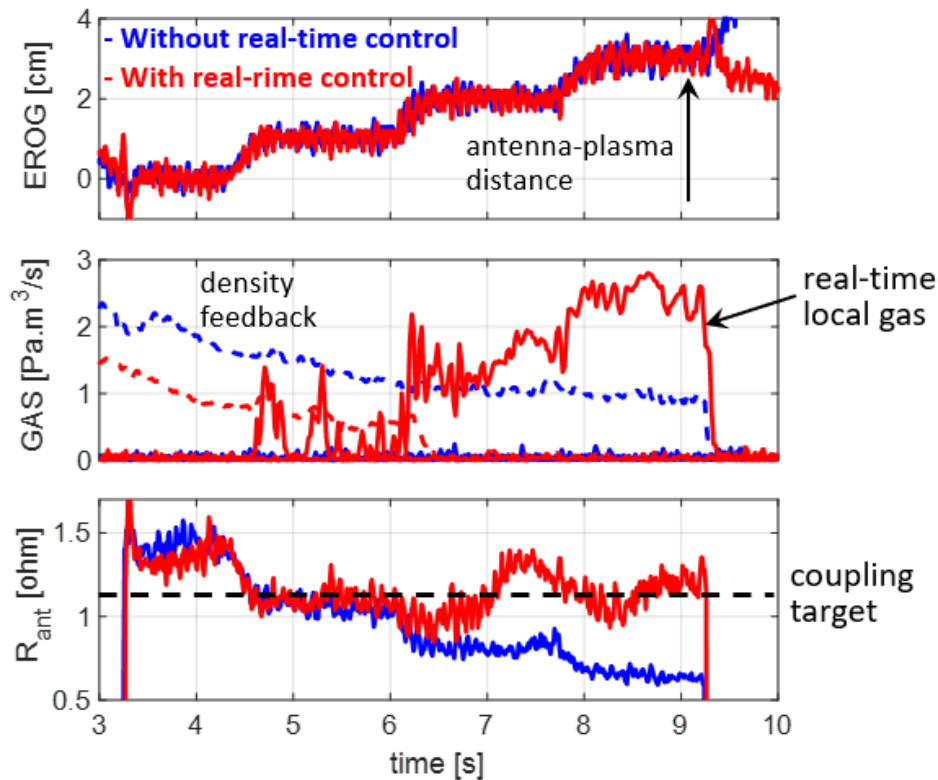
Heating efficiency

- Wave absorption in the core:
- ICRF scenario (IC layer, minority conc., $k_{\parallel}$)
 - Core plasma profiles

Coupling

→ Wave tunnelling through evanescence layer:

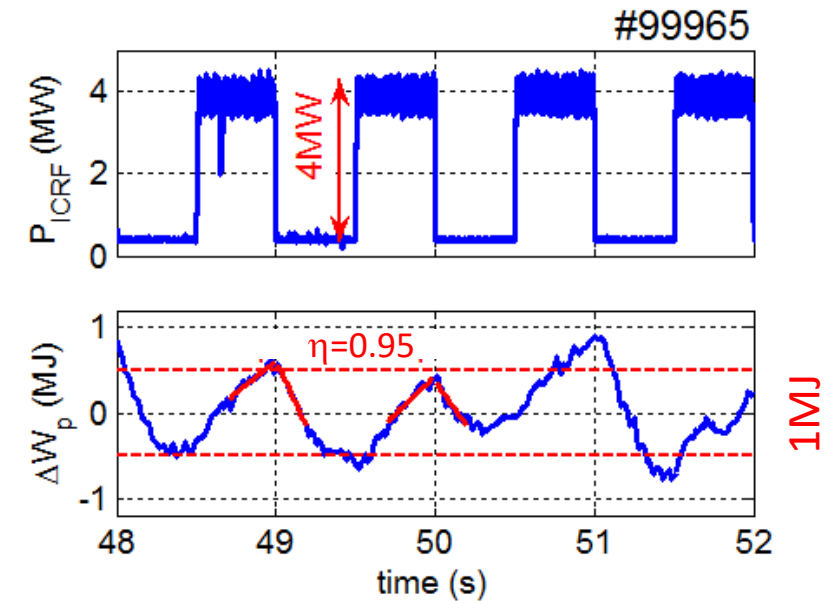
- antenna-plasma distance
- SOL density
- frequency, $k_{//}$ spectrum (antenna design, phase)



Heating efficiency

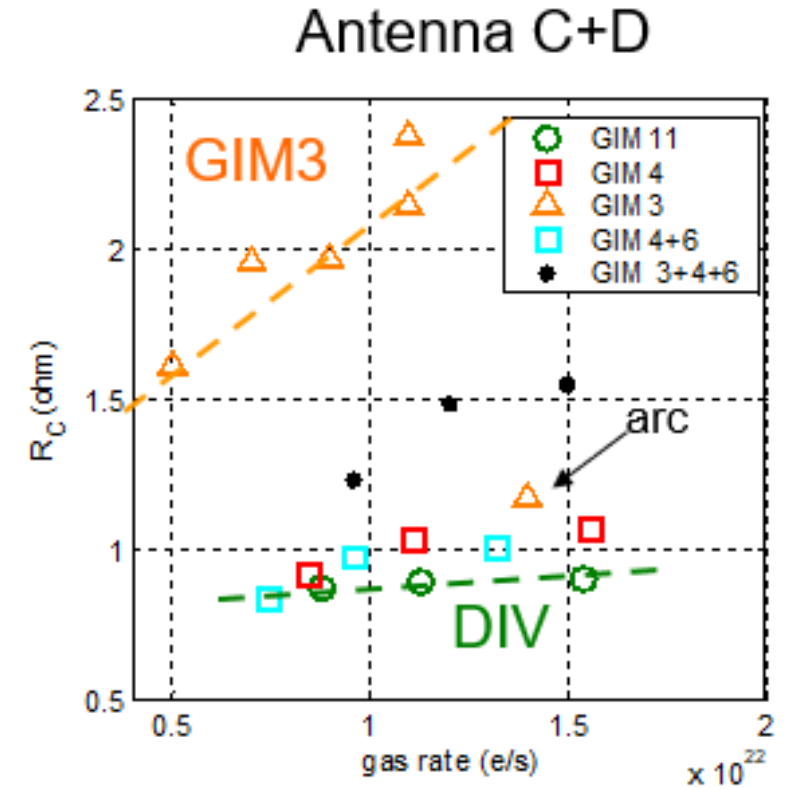
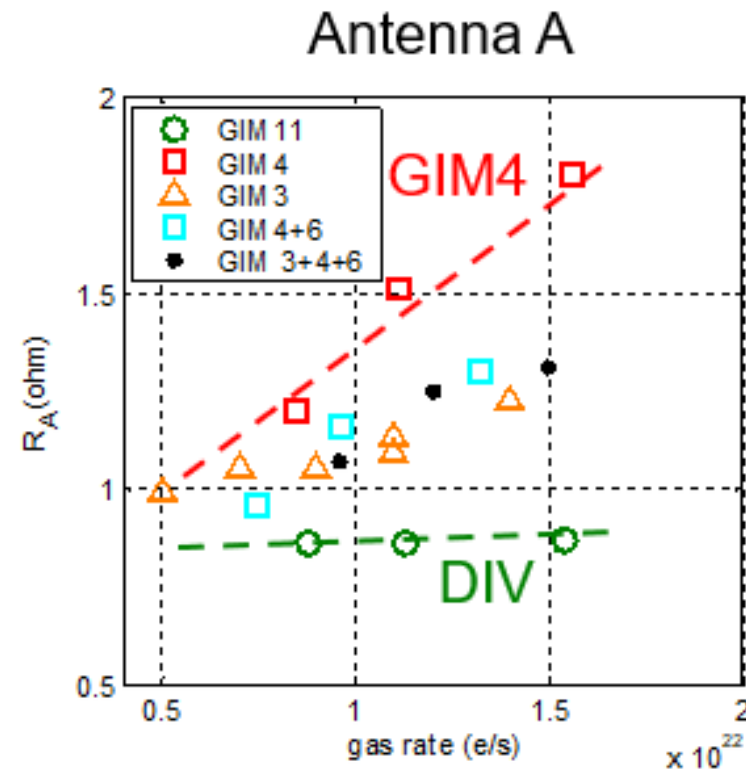
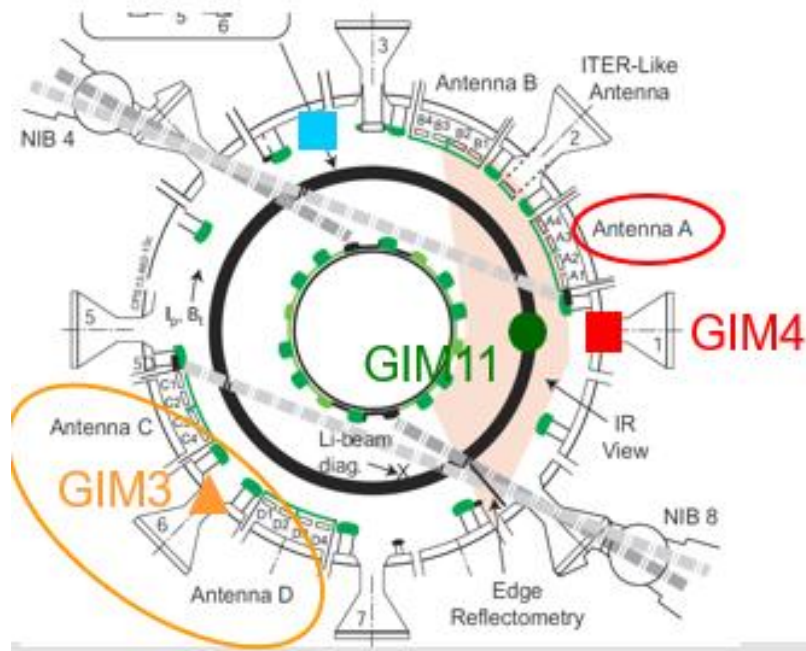
→ Wave absorption in the core:

- ICRF scenario (IC layer, minority conc., $k_{//}$)
- Core plasma profiles



Coupling

→ Local gas injection = larger RF coupling

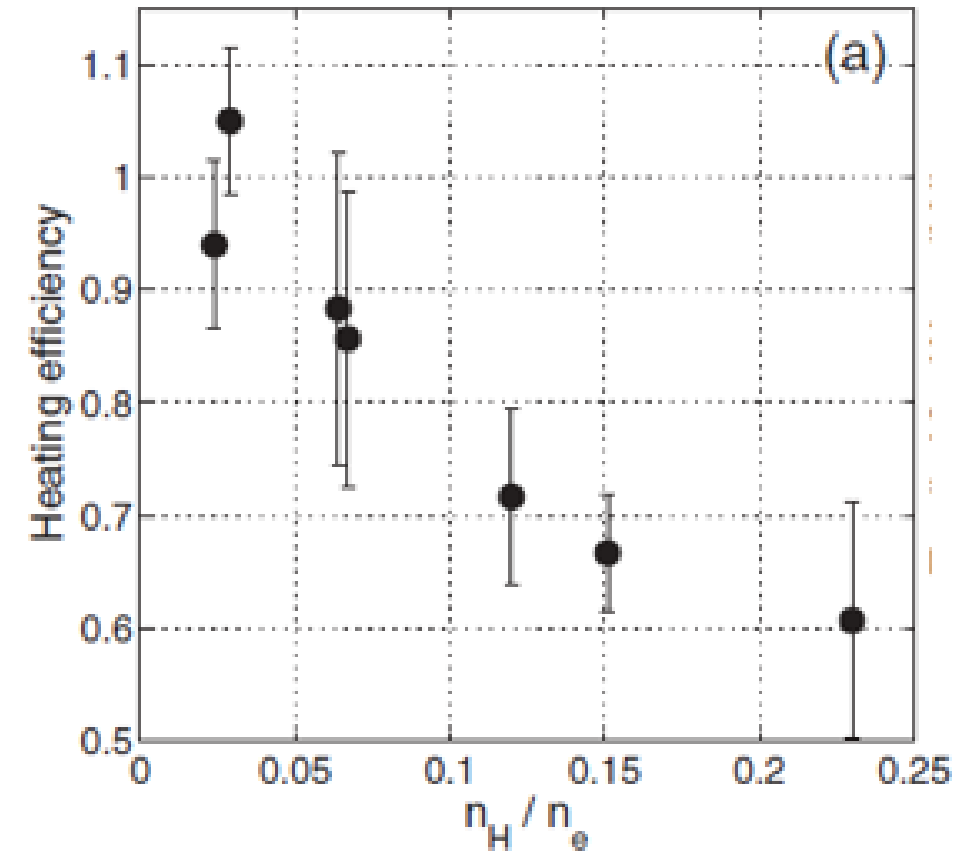


E. Lerche *et al*, *Journal of Nucl. Materials* 463 (2015) 634–639

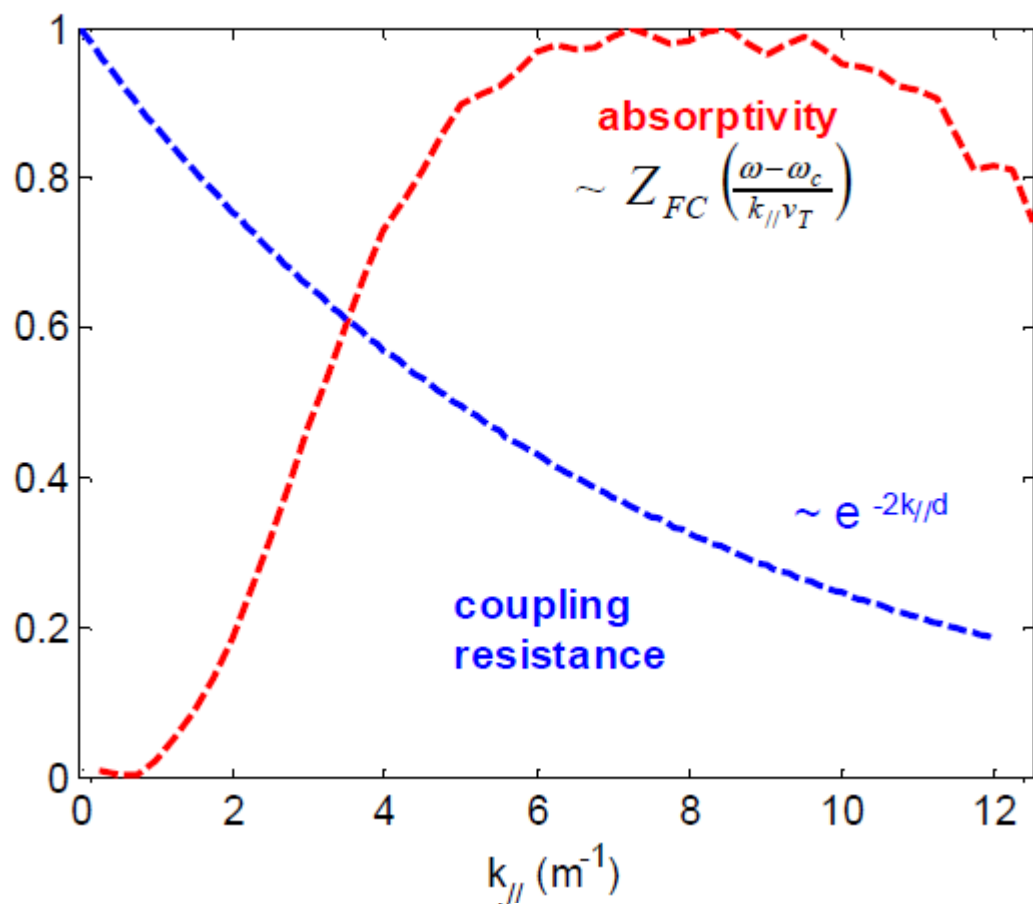
Ph. Jacquet *et al* 2016 Nucl. Fusion 56 046001

Heating efficiency

→ Minority concentration has strong impact on ICRH heating efficiency



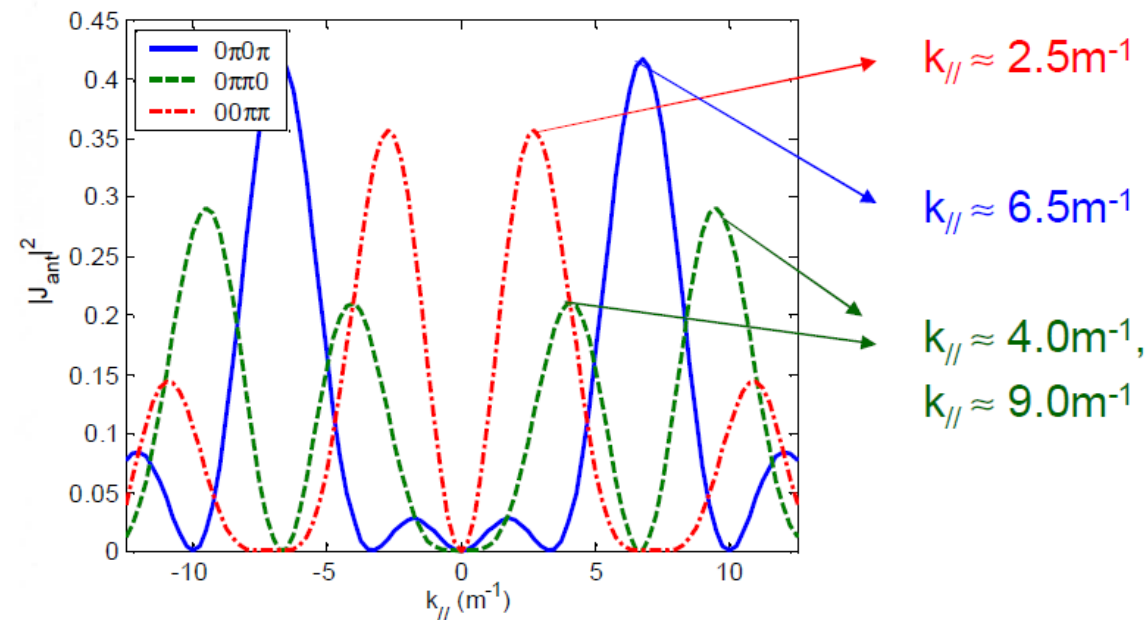
ICRH performance: trend on $k_{||}$



→ Lower $k_{||}$: ↑ coupling
 ↓ efficiency

→ Higher $k_{||}$: ↓ coupling
 ↑ efficiency

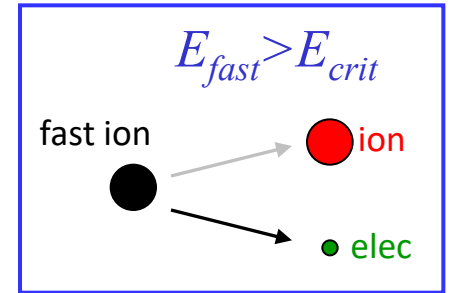
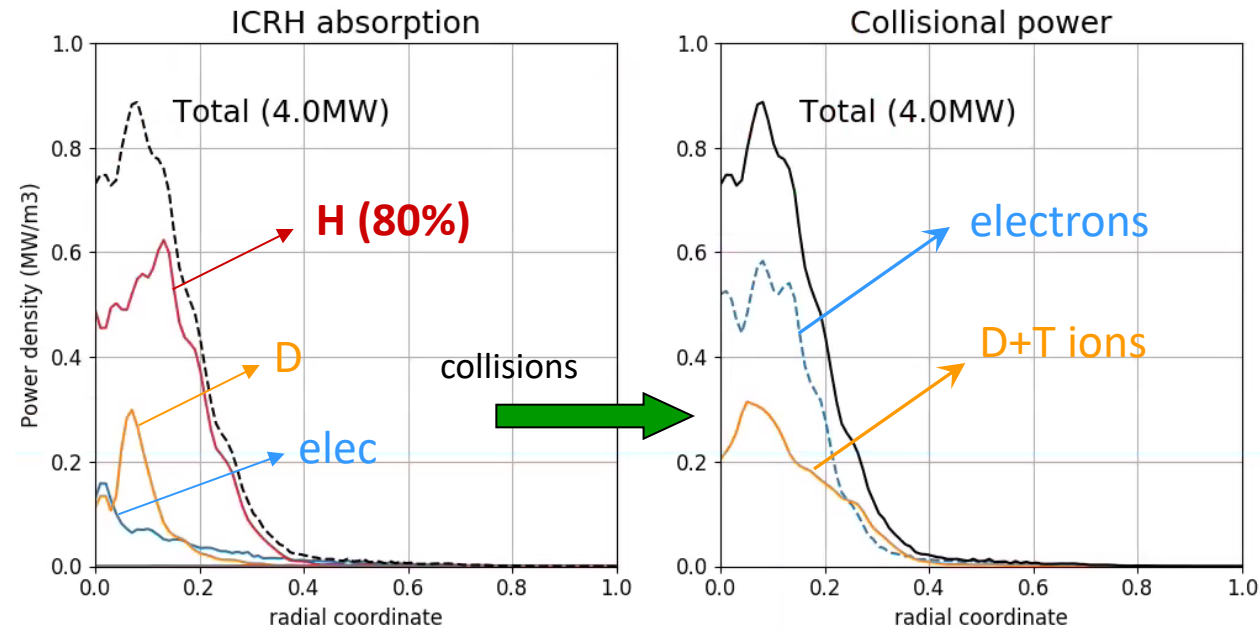
JET A2 spectra (vacuum)



Hydrogen minority heating (H = 3%):

→ Dominant elec. heating

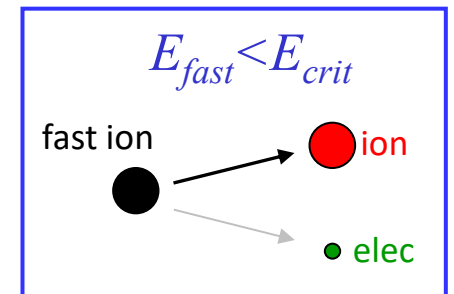
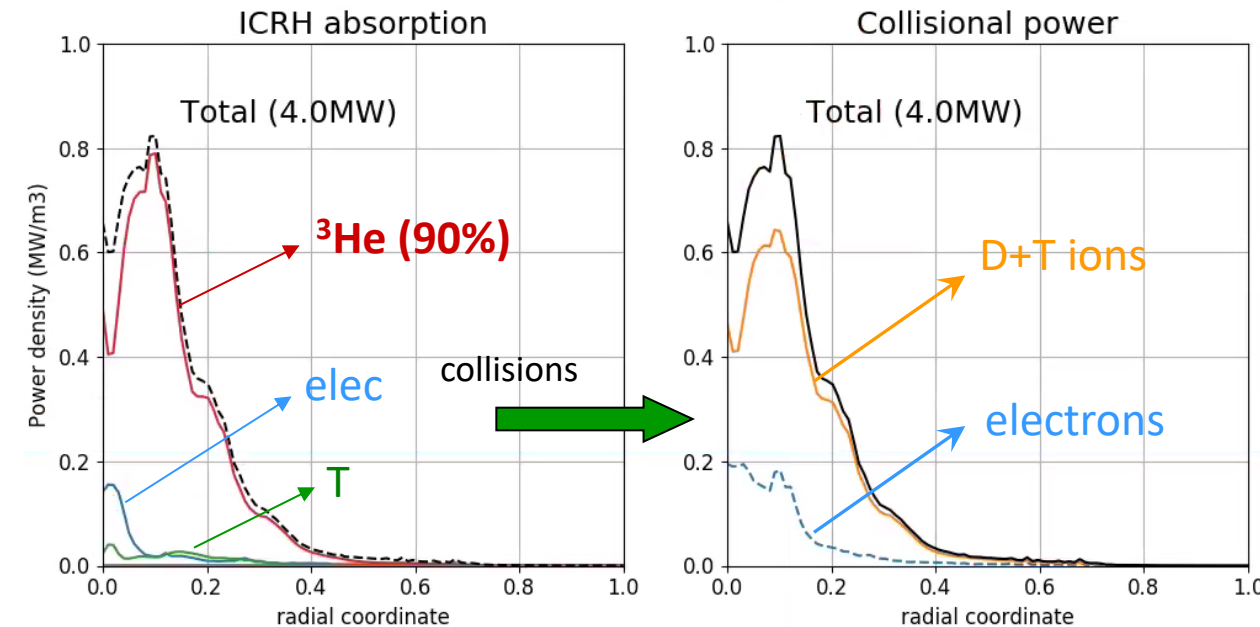
$$E_{\text{fast}} > E_{\text{crit}} \text{ (mass=1)}$$

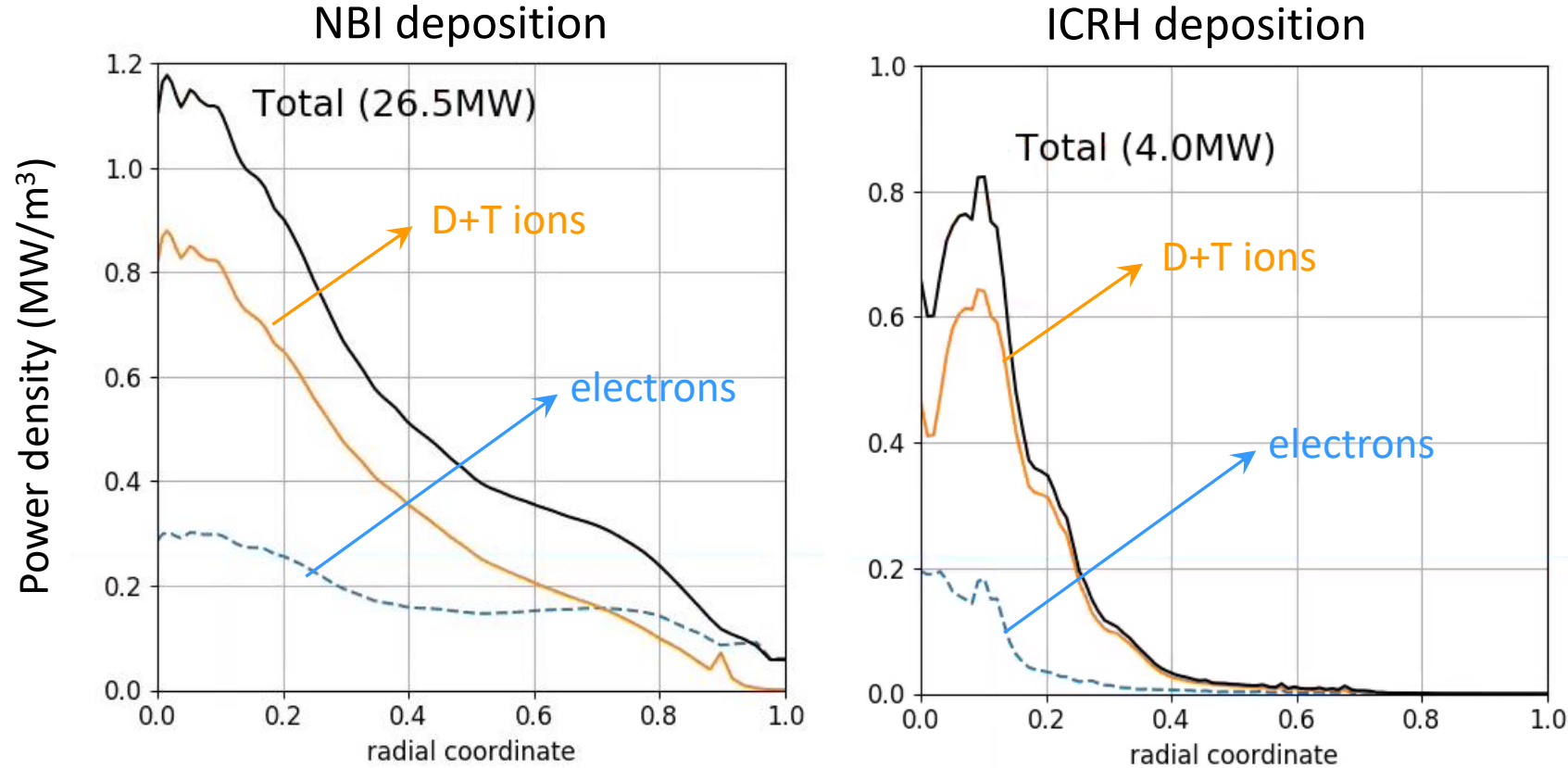


Helium-3 minority heating (³He = 3%):

→ Dominant ion. heating

$$E_{\text{fast}} < E_{\text{crit}} \text{ (mass=3)}$$

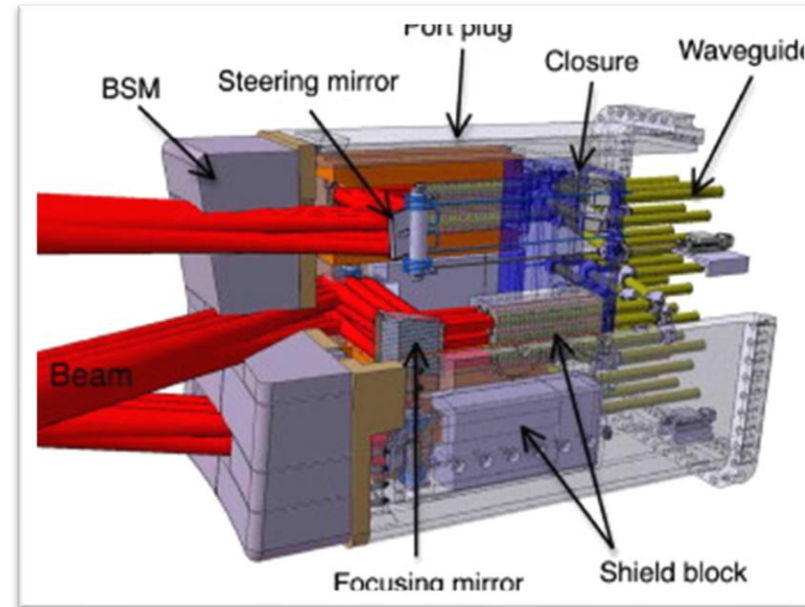




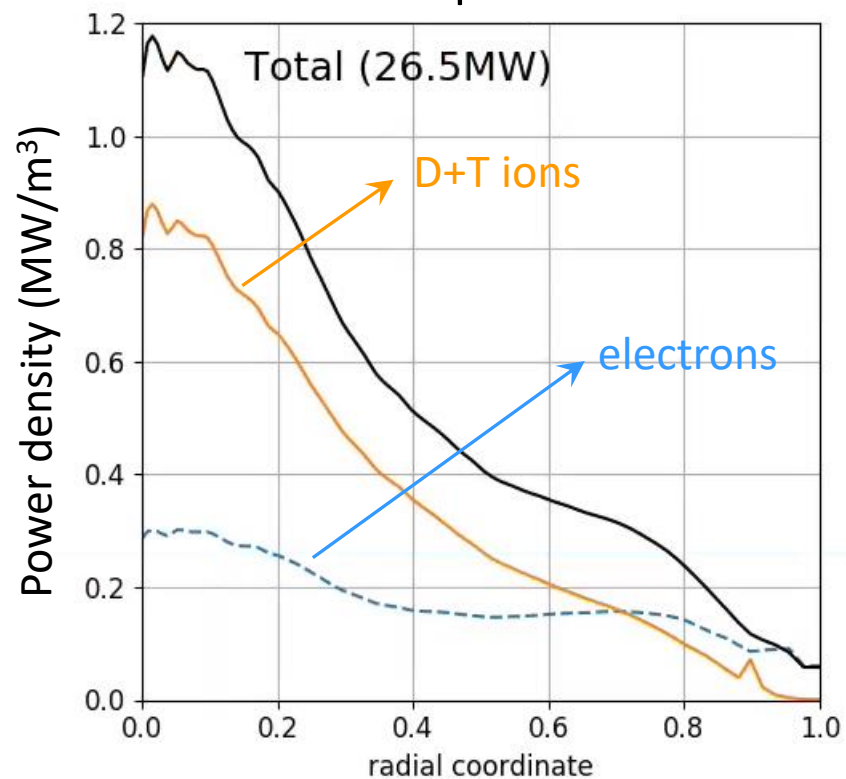
- Central power densities are comparable despite much less ICRH power
- Expect strong impact of ICRH on the core plasma temperatures

- Needs a small port.
- Can use mirrors to point the beam where you want it = movable parts in tokamak
- Gyrotrons are delicate beasts. The ITER design includes development of a 1 MW gyrotron operating at 170 GHz with a pulse duration of more than 500 s.
- ➔ under development in Europe, India, Japan and Russia
- 40-60 gyrotrons in ITER (up to 60MW)

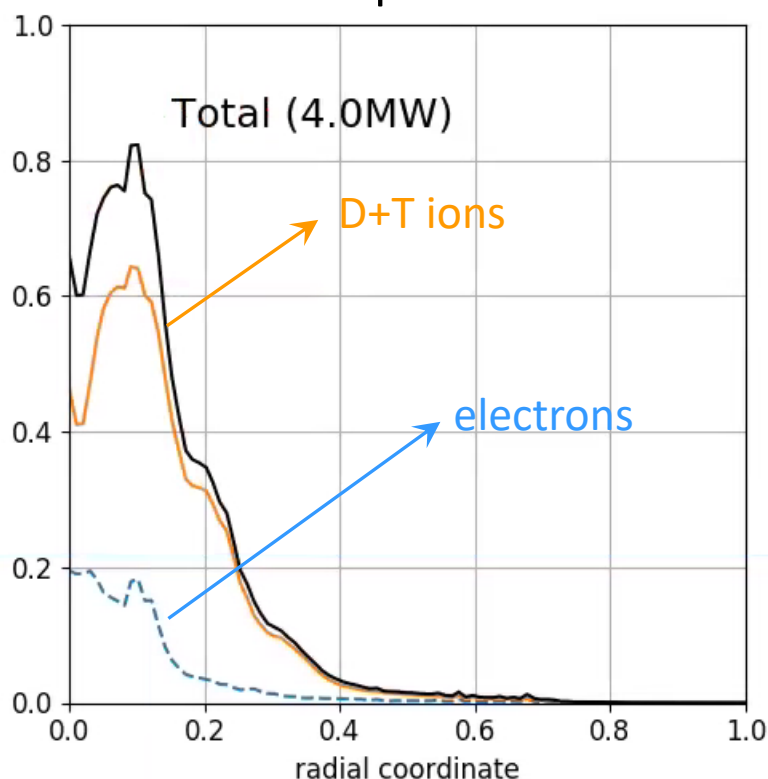
ITER midplane launcher



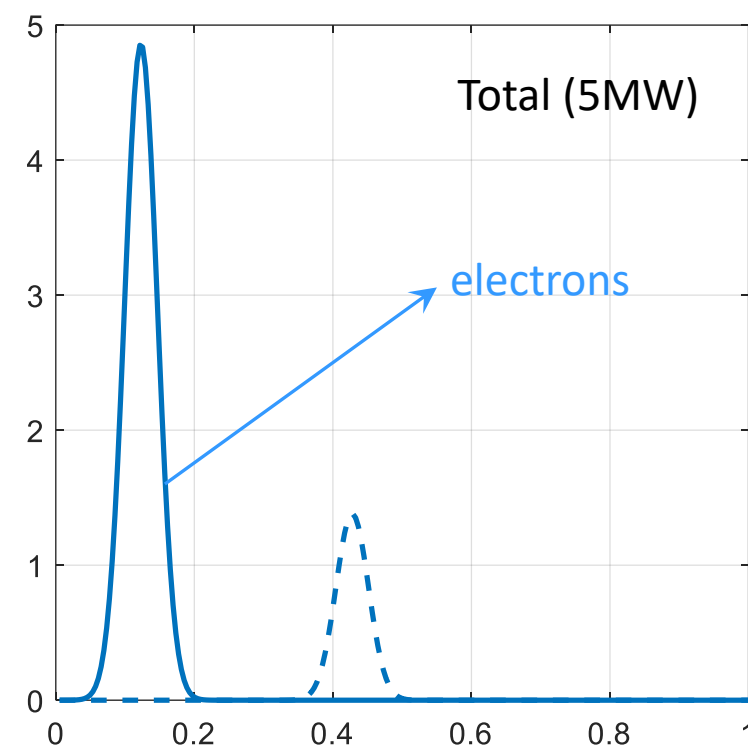
NBI deposition



ICRH deposition



ECRH deposition



- ECRH power densities are far higher than anything else (narrow deposition)
- Smaller p_{dens} off-axis (volume effect)

	ICRH	ECRH	NBI
Electron heating	yes	yes	yes
Ion heating	yes	no	yes (small device) no (large device)
Current drive	no	yes	yes
MHD control	no	yes	no
Sawtooth control	yes	yes	no
Fast ion studies	yes	no	yes
Breakdown	yes	yes	no
Wall conditioning / T removal	yes	yes	no
Boronization	Yes*	no	no

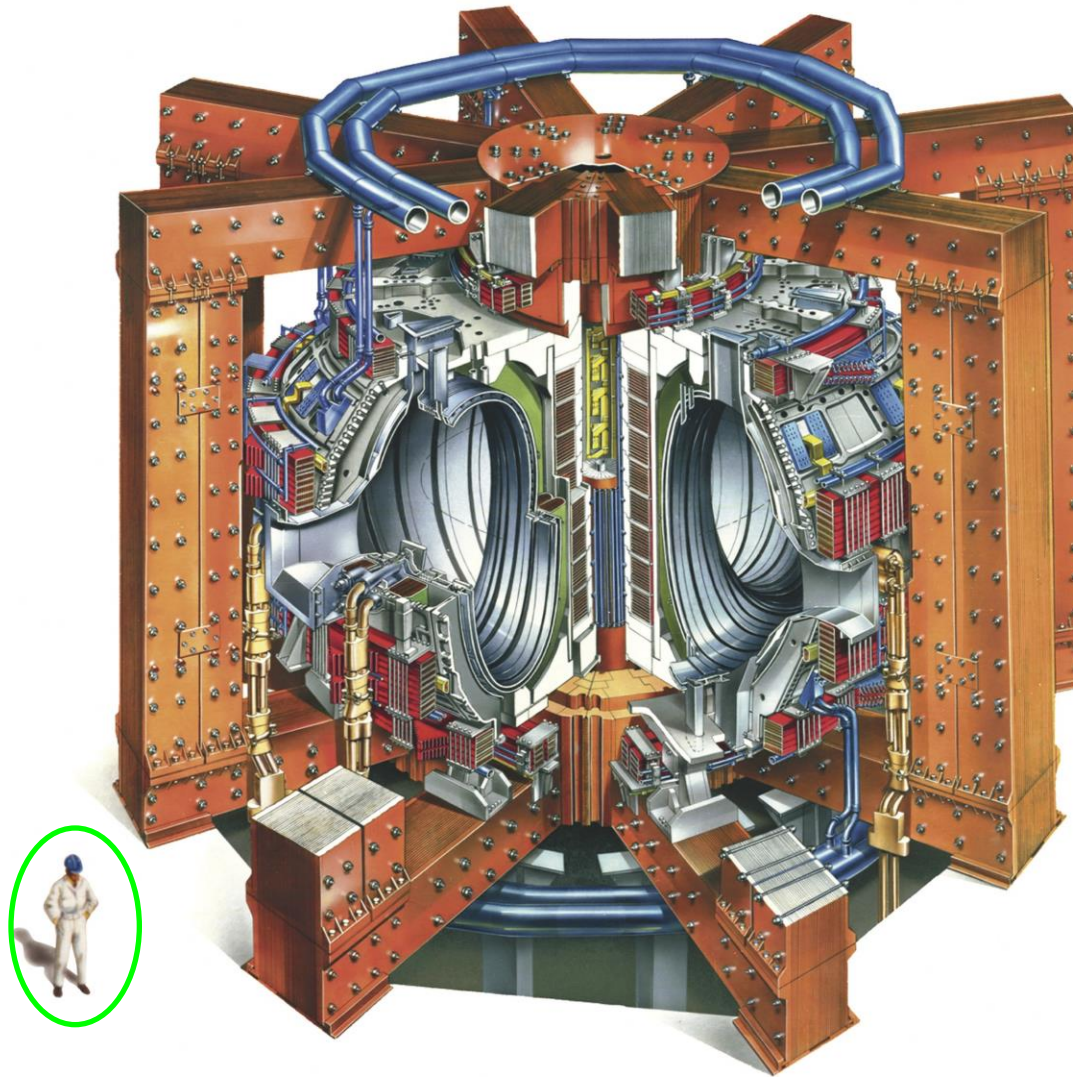
EC more efficient

IC more efficient

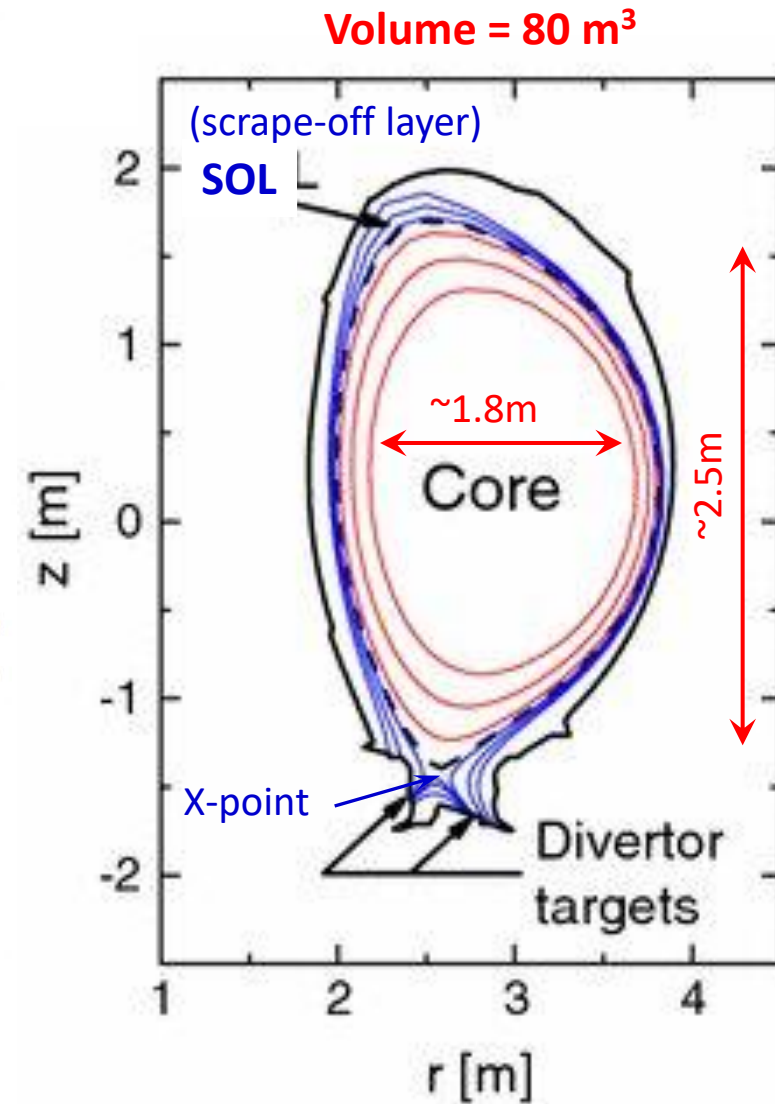
Note: The H&CD system capabilities depend on the system specifics and plasma conditions

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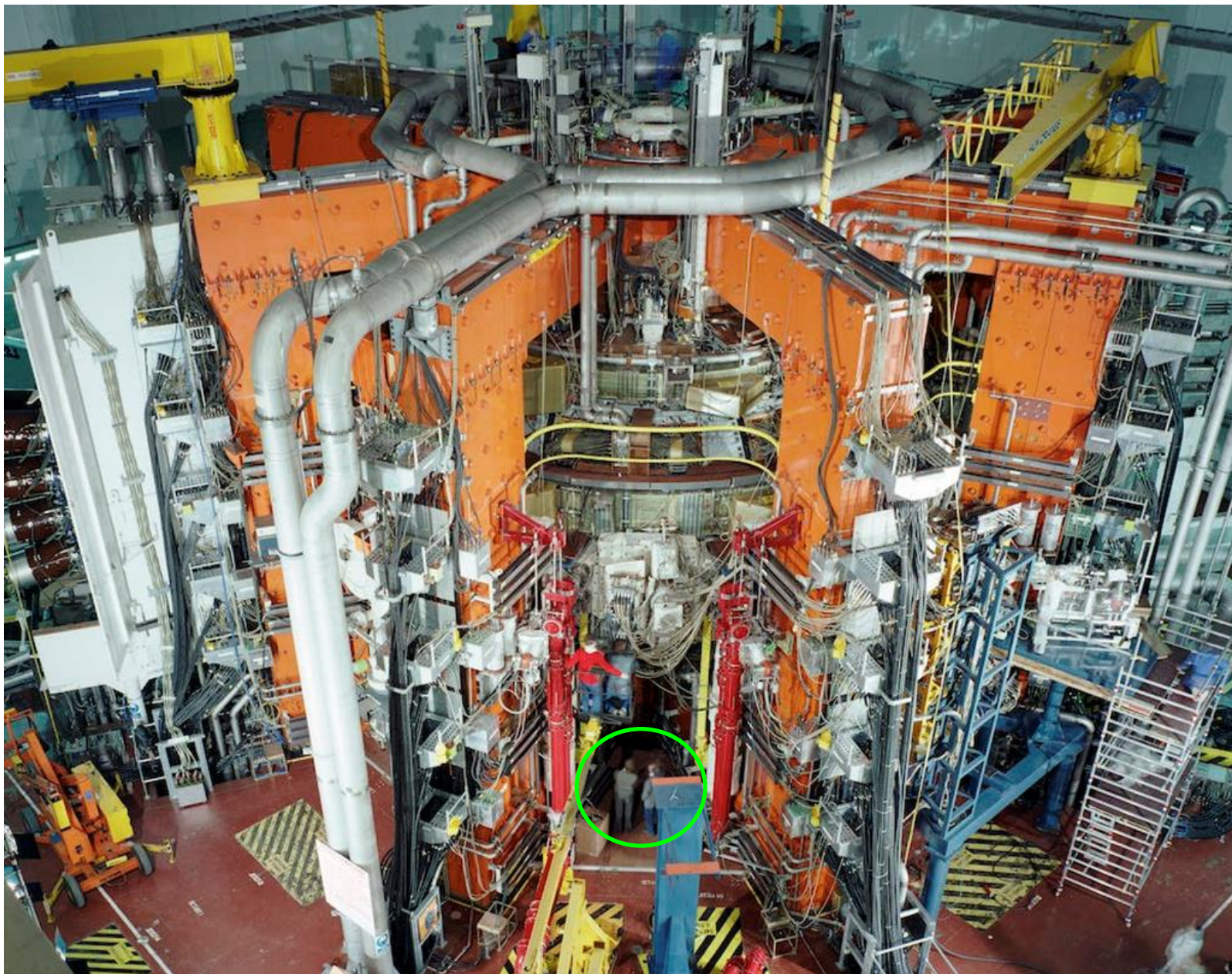
The JET tokamak (Culham, UK)

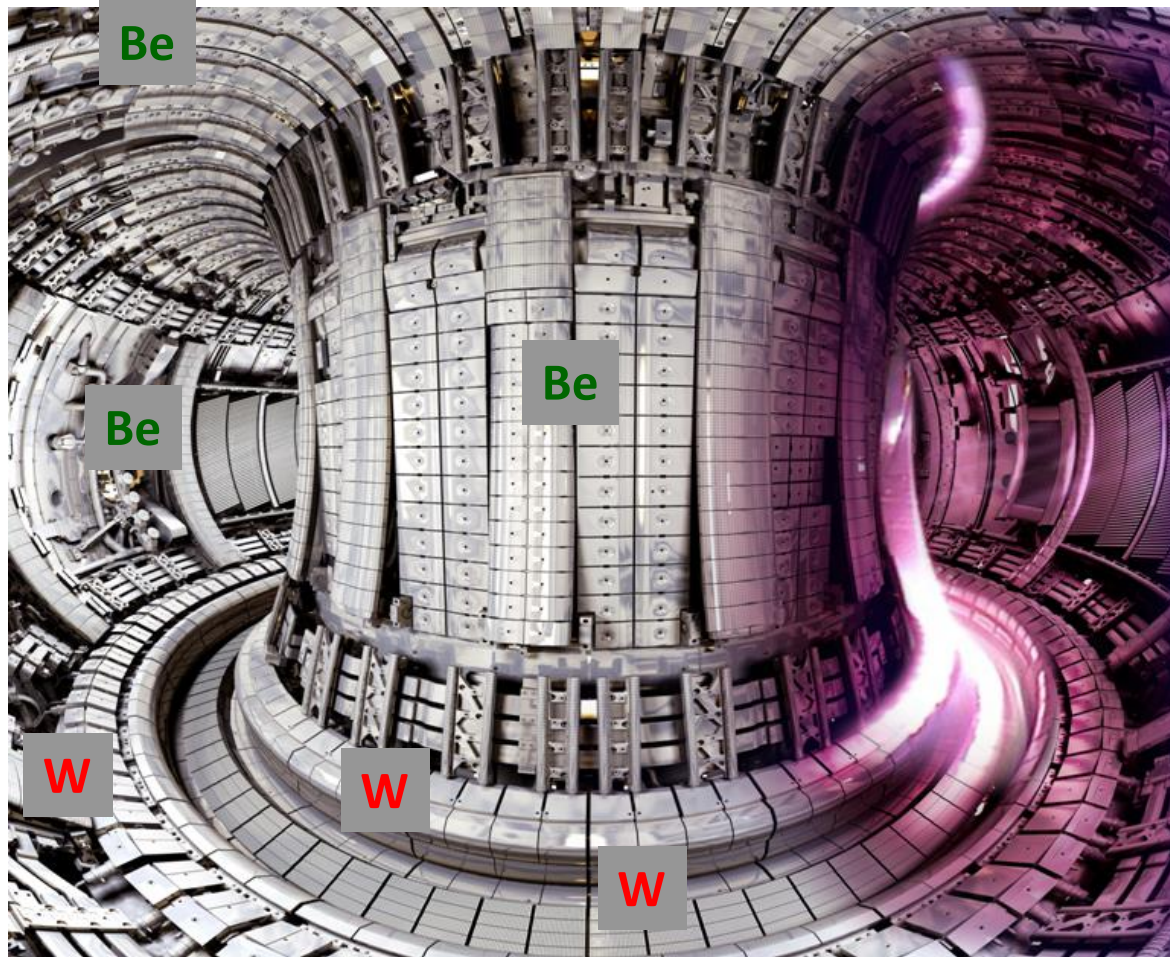


- Magnetic field = 3-4 T
- Plasma current = 2-4MA

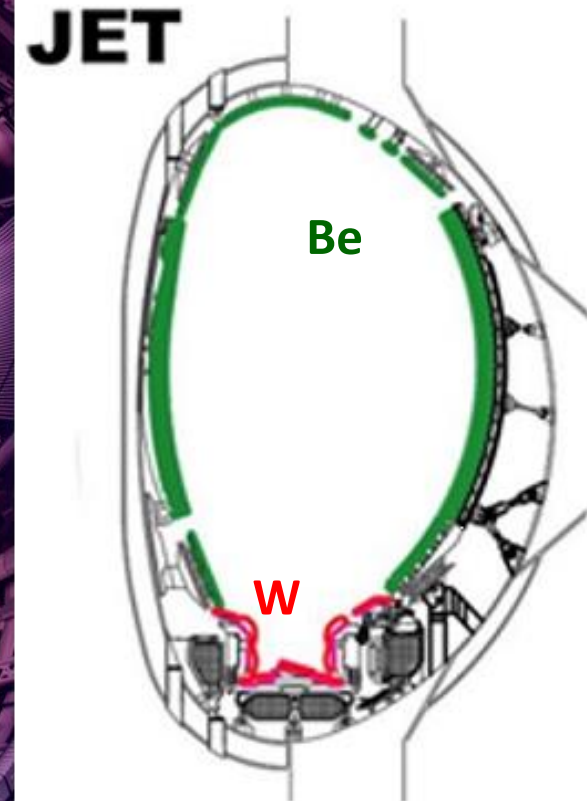


The JET tokamak





[Pamela, JNM2007]
[Matthews, Phys.Scr. 2007]



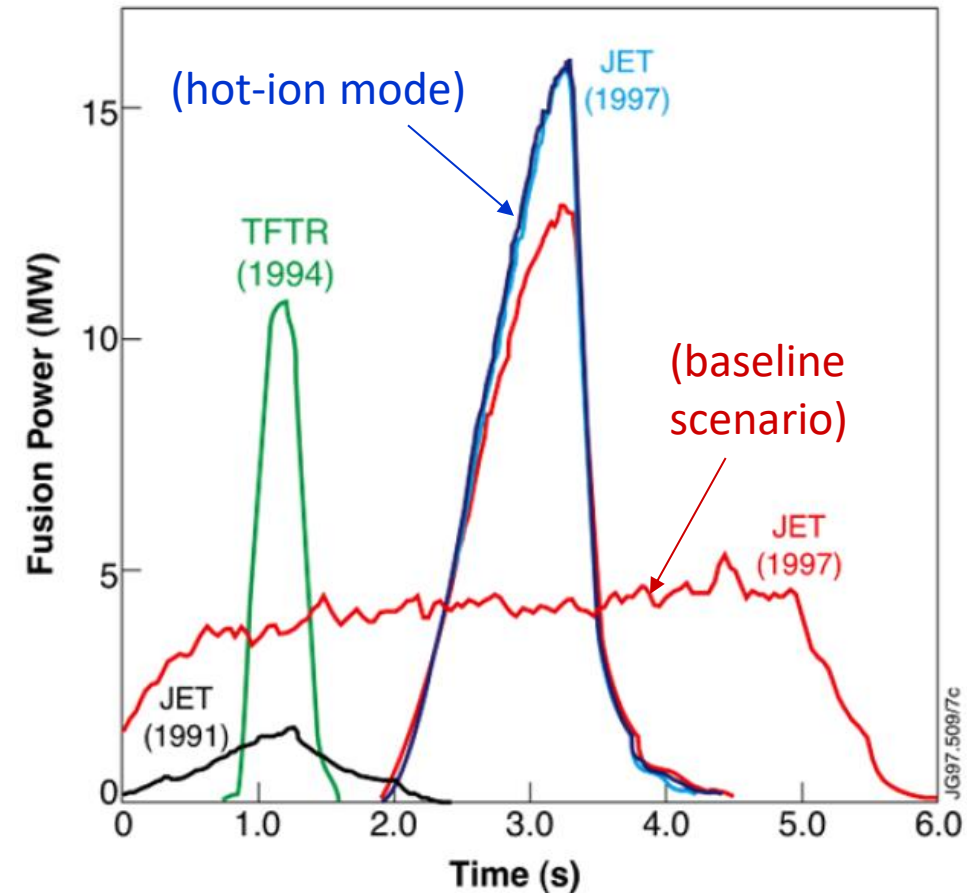
- New challenges appeared with the JET Be/W metallic wall:
 - Plasma-wall interaction with Be (real-time protection for heat loads)
 - Divertor sputtering: If W contamination in the plasma core → large radiation

25 years since last D-T experiments

- 1991 (PTE - JET)
- 1994-96 (TFTR – Princeton USA)
- 1997 (DTE1 on JET)

Main results:

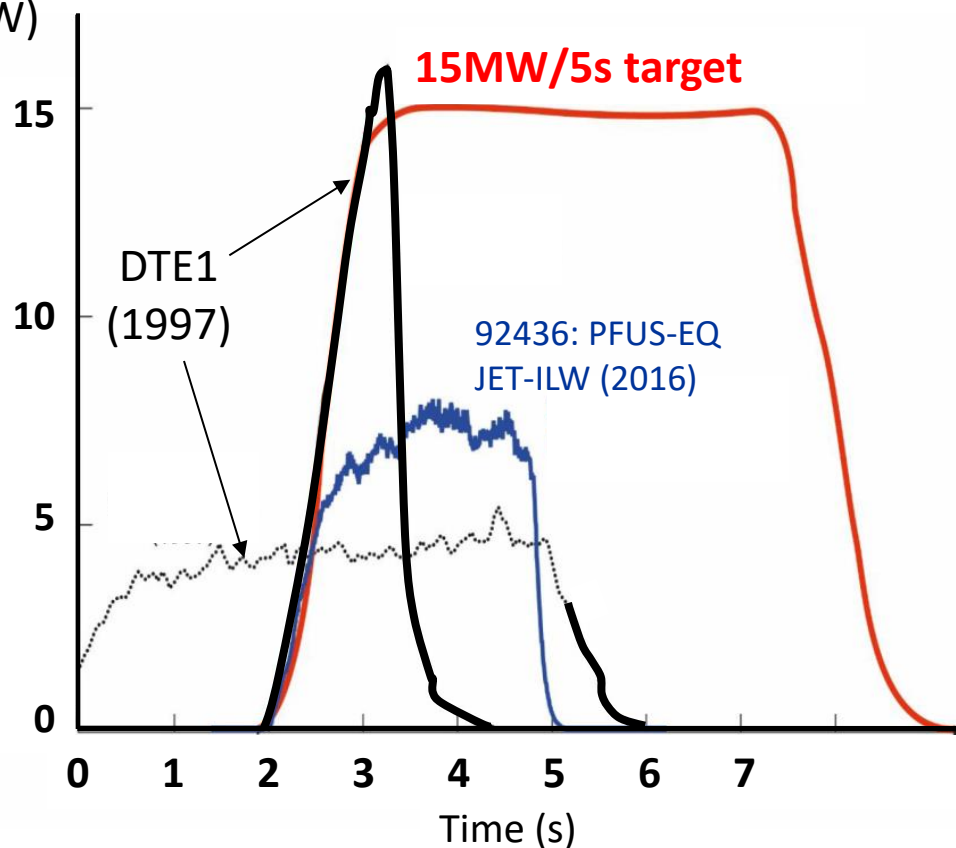
- D-T fusion production (14MeV neutrons)
 - Up to 16 MW transiently
 - $P_{fus} \sim 4\text{MW}$ for 4sec
- Plasma physics effects linked to use of D-T mixture (isotope effects)



DTE1: M. Keilhacker et al., 1999 Nucl. Fusion
J. Jacquinot et al., 1999 Nucl. Fusion

Fusion
power
(MW)

Main objective:



Upgrades (since DTE1):

- ITER-like wall (ILW)
- Power upgrade
- Diagnostics upgrade

Two D-T campaigns:

- DTE2 (2021) and DTE3 (2023)

Emphasis of was on sustained fusion power, not on peak performance:

- Initial target = 15MW fusion for 5sec
- **Final target = 10MW fusion for 5sec**

*L. Horton and A. Donné,
2016 Fus. Eng. and Design*

*X. Litaudon et al., SOFT 2016
E. Joffrin et al., IAEA 2018*

→ **World record** on fusion power / energy in tokamaks achieved in DTE2

50:50 D:T JET-C baseline plasma

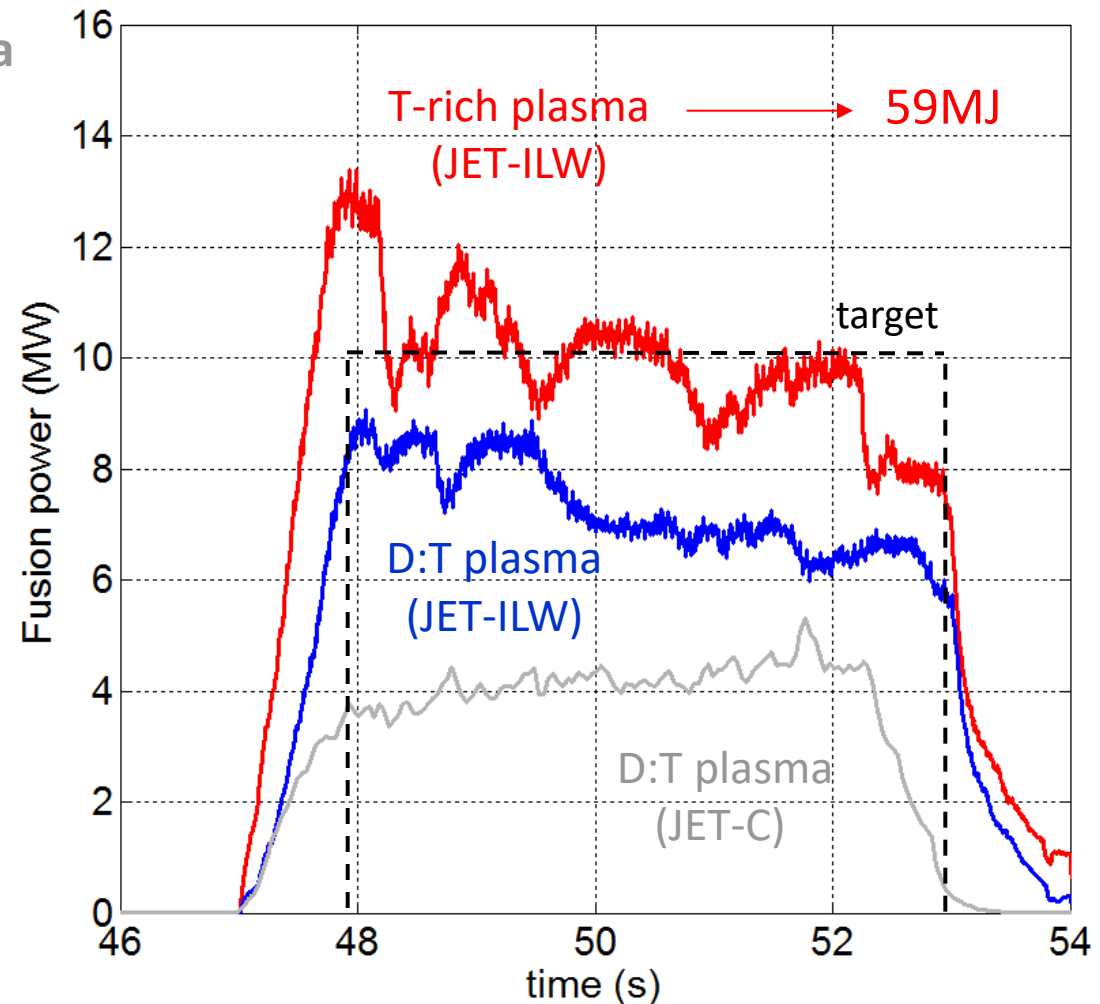
- $P_{IN} = 24\text{MW}$
- $P_{fus} = 4\text{MW}$ (4s)
- $Q_{fus} = P_{fus}/P_{IN} = 0.17$ (4s)

50:50 D:T hybrid plasma

- $P_{IN} = 33\text{MW}$
- $P_{fus} = 7.4\text{MW}$ (5s)
- $Q_{fus} = P_{fus}/P_{IN} = 0.23$ (5s)

T-rich hybrid plasma

- $P_{IN} = 33\text{MW}$
- $P_{fus} = 10\text{MW}$ (5s)
- $Q_{fus} = P_{fus}/P_{IN} = 0.3$ (5s)



(Oscillations due to non-constant input power)

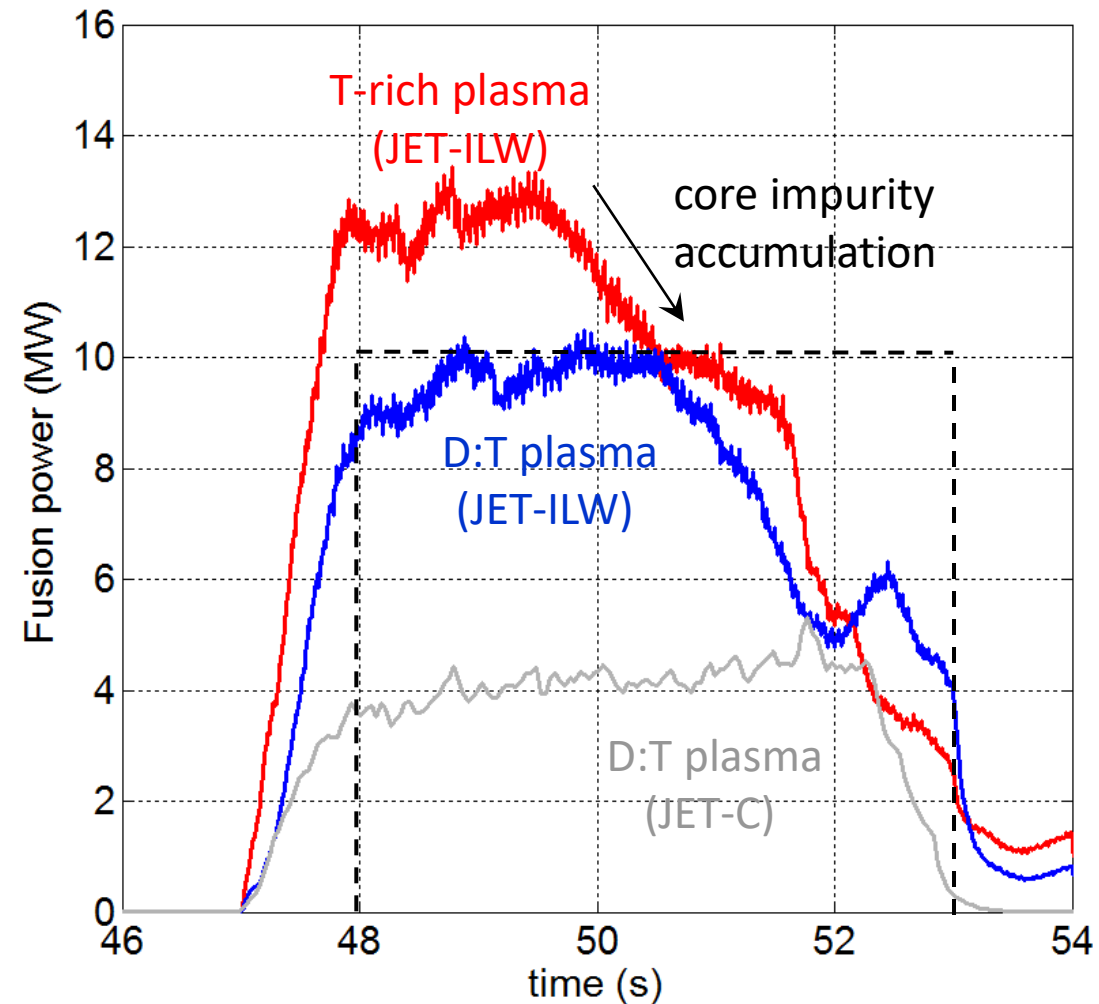
→ Some discharges **exceeded** $P_{fus}=10\text{MW}$ for a few seconds but could not be maintained for 5s (auxiliary power reliability, core impurity accumulation, ...)

50:50 D-T hybrid plasma

- $P_{IN}=33\text{MW}$
- $P_{fus} = 10\text{MW}$ (2s)
- $Q_{fus} = P_{fus}/P_{IN} = 0.3$ (2s)

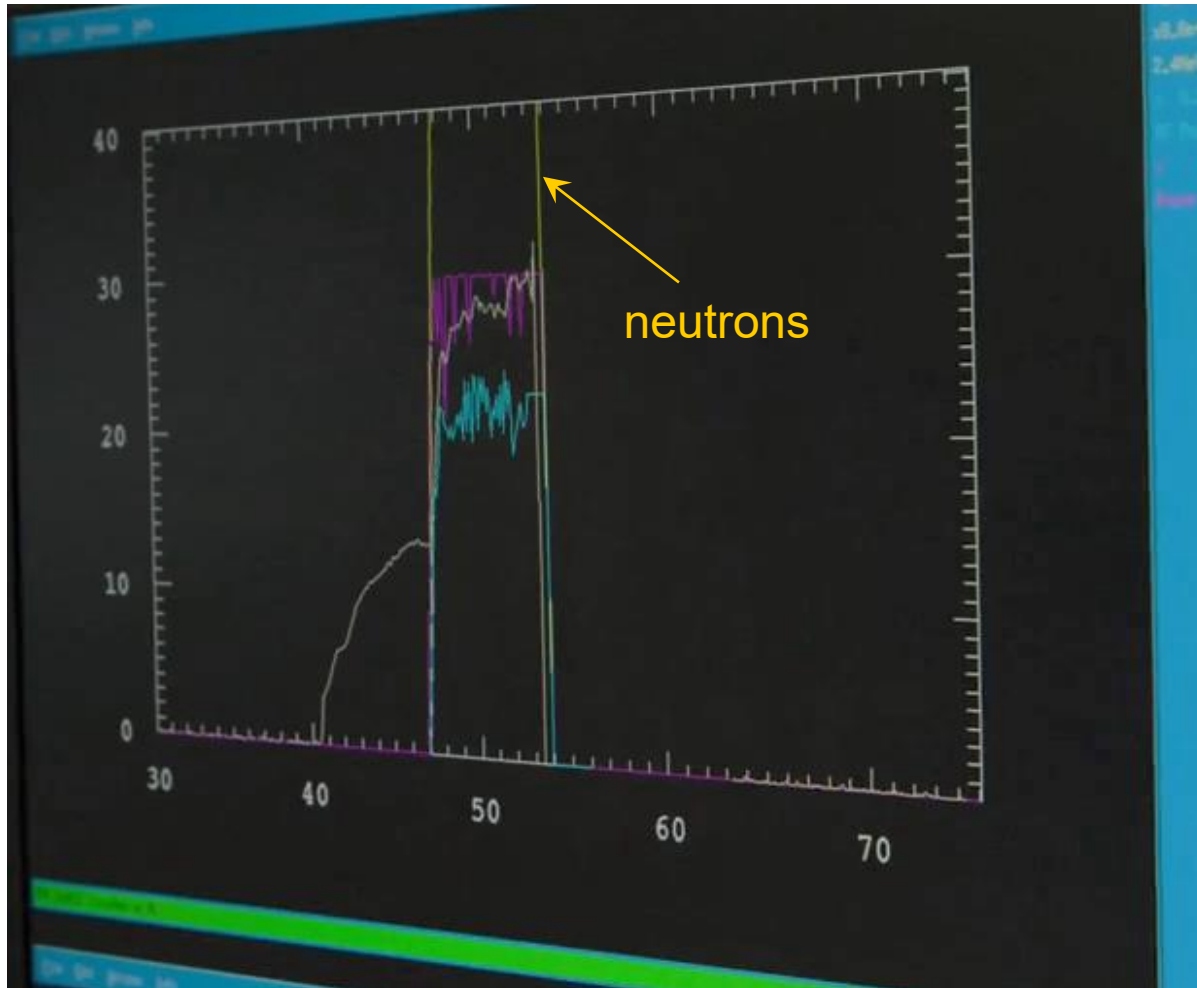
T-rich hybrid plasma

- $P_{IN}=33\text{MW}$
- $P_{fus} = 12.5\text{MW}$ (2s)
- $Q_{fus} = P_{fus}/P_{IN} = 0.38$ (2s)



- DTE3: Previous (DTE2) fusion record broken with more ICRH power :

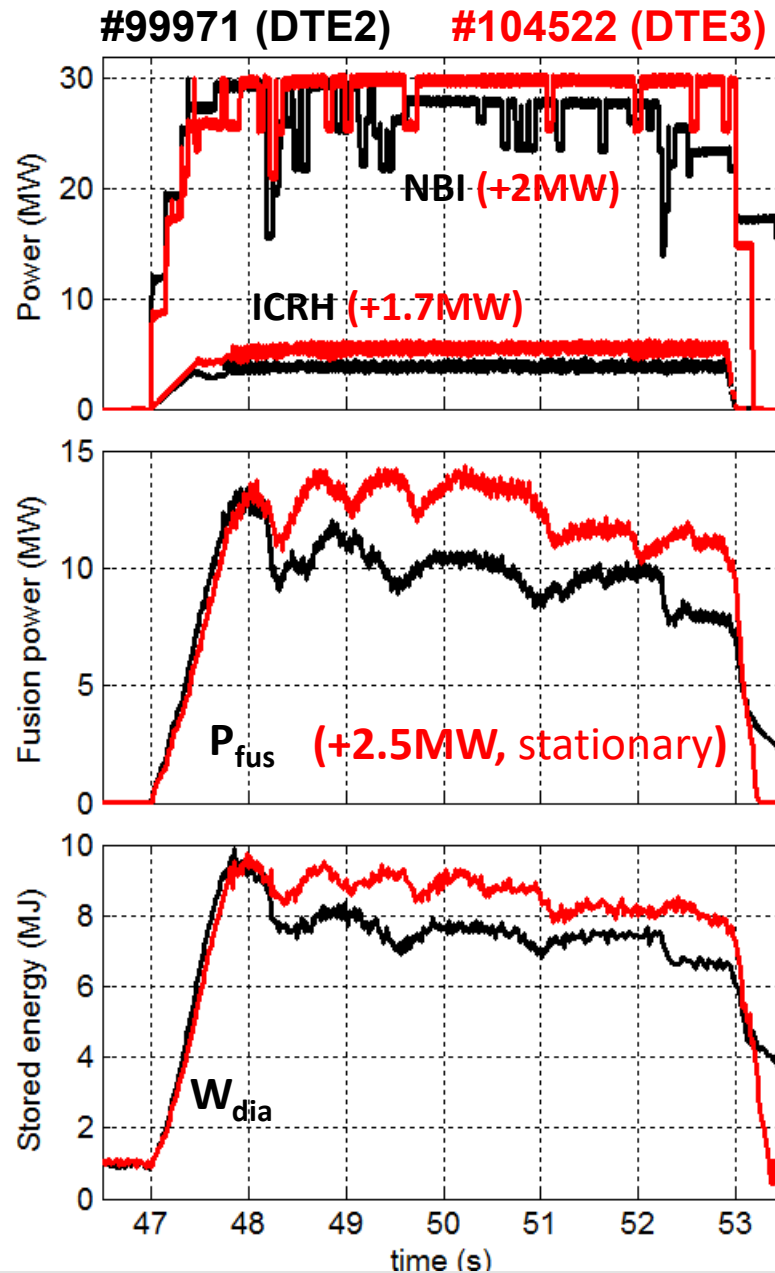
Larger peak power and more energy (better stationarity): $P_{fus}=12.5\text{MW}$ (5s), $E_{fus}=69\text{MJ}$





clideo.com

DTE2 fusion record exceeded in DTE3 (ICRH)



New D-T world-record:

$P_{fus} = 12.5\text{MW}$ for 5s

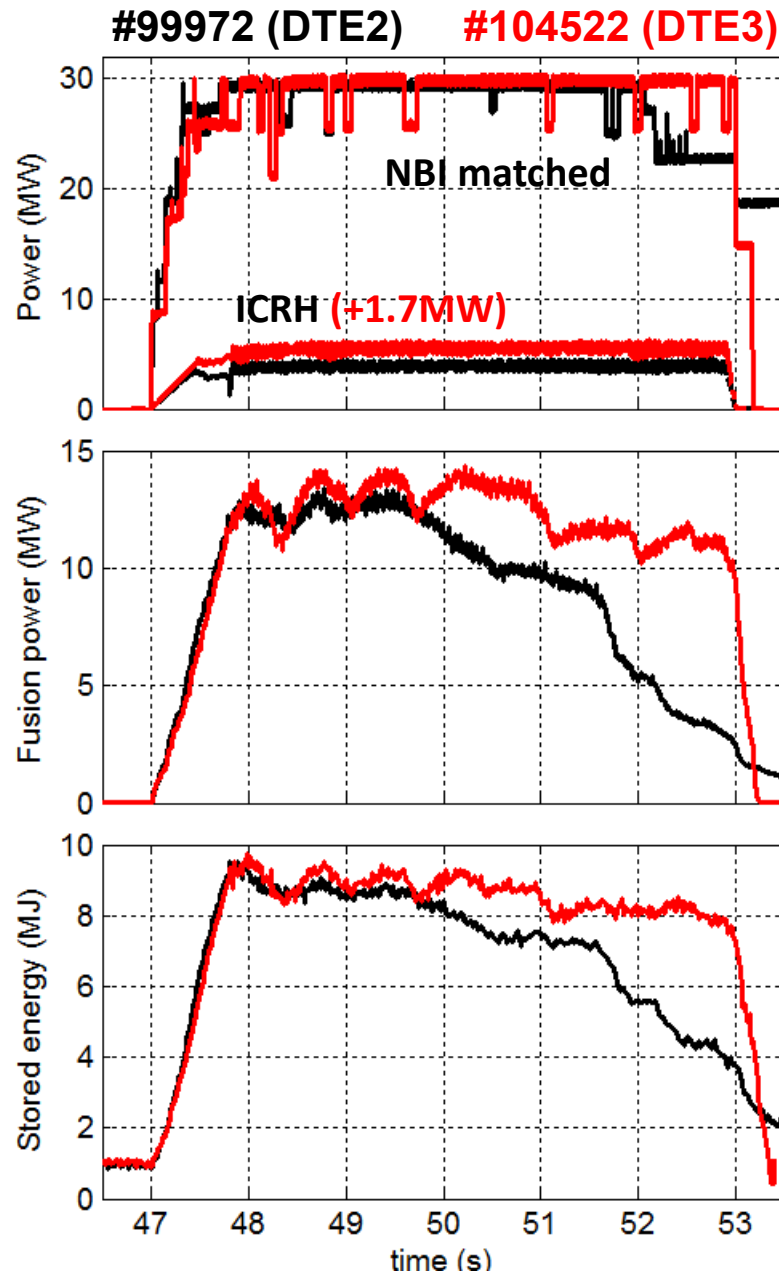
$E_{fus} = 69\text{MJ}$

Small additional power leads to larger fusion and better plasma stationarity

→ More central elec. heating

→ Larger NBI+ICRF synergy

DTE2 fusion record exceeded in DTE3 (ICRH)



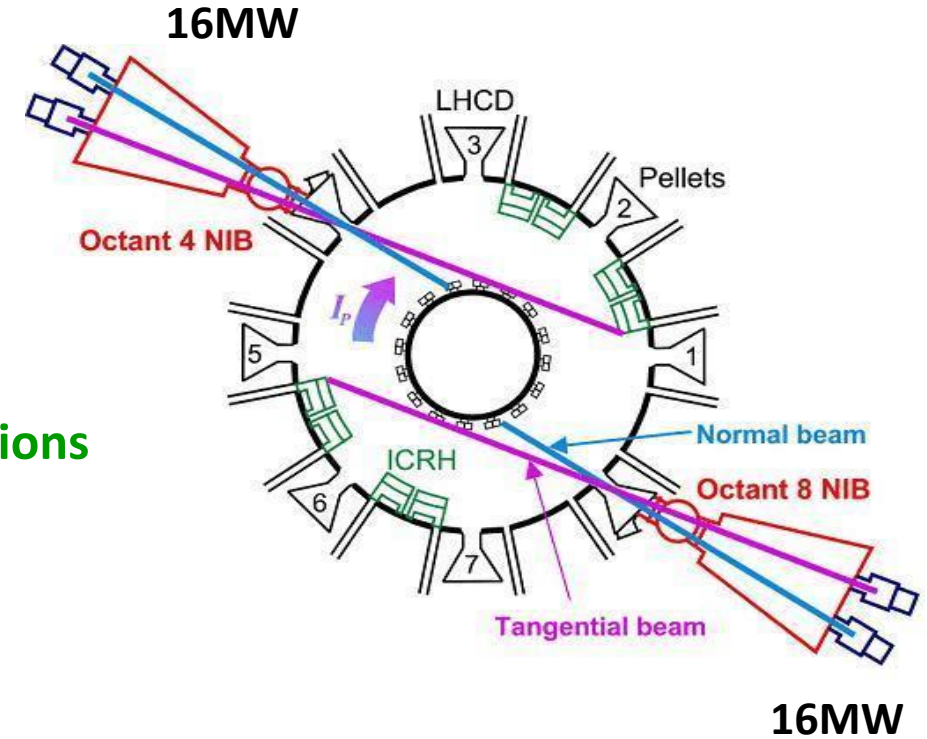
New D-T world-record:

In particular, +1.7MW ICRF allowed for better stationarity (impurity screening) and slower NBI slowing-down

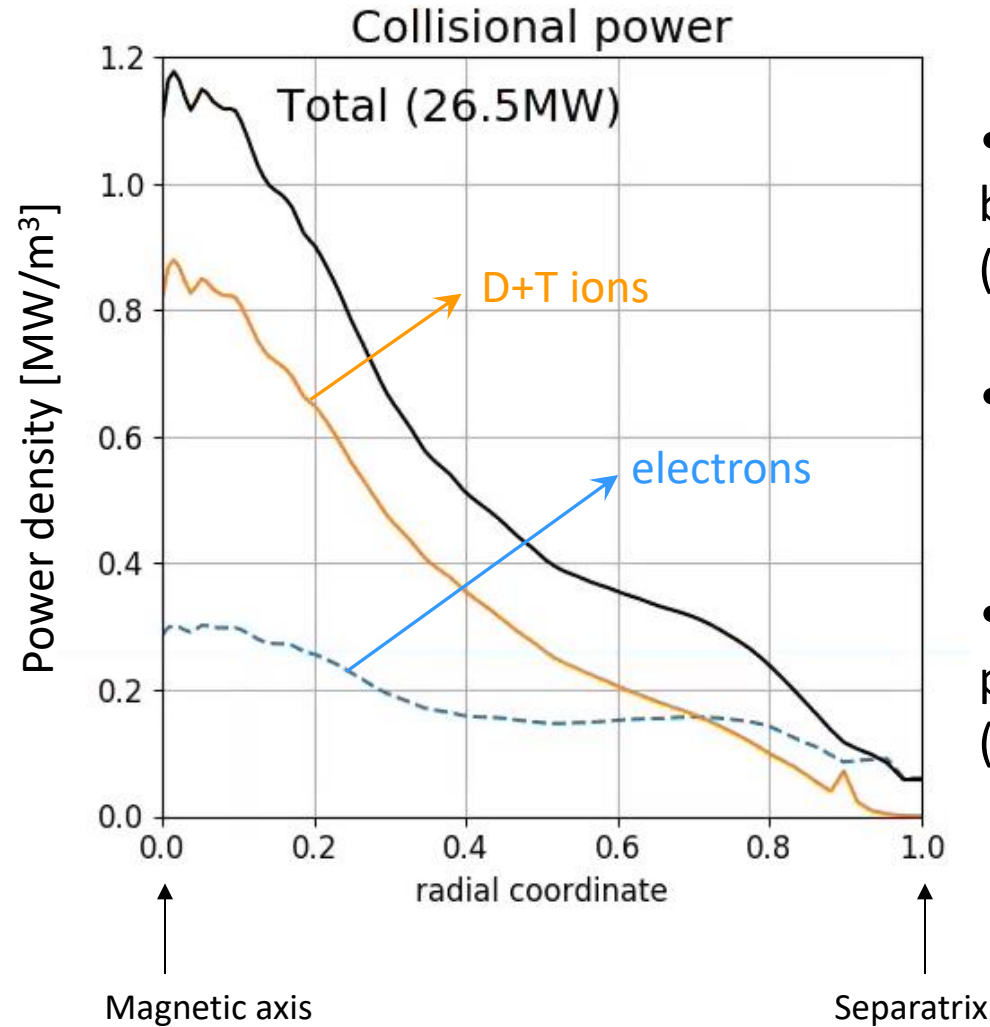
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2 NBI lines injecting ions in the I_p direction (heating + rotation)

- Species: H, D, T
- Power capability = $2 \times 16\text{MW} = 32\text{MW}$
- Energy of injected ions = **100-120keV**
 - **Below critical energy** $\rightarrow$ ion heating
 - **Optimal energy for D-T fusion** $\rightarrow$ significant beam-target reactions
 - Beam penetration:
 - $\rightarrow$ Peaked absorption at low collisionality (hybrids): $T_{i0} > T_{e0}$
 - $\rightarrow$ Broad absorption in baseline plasmas: $T_{i0} \sim T_{e0}$



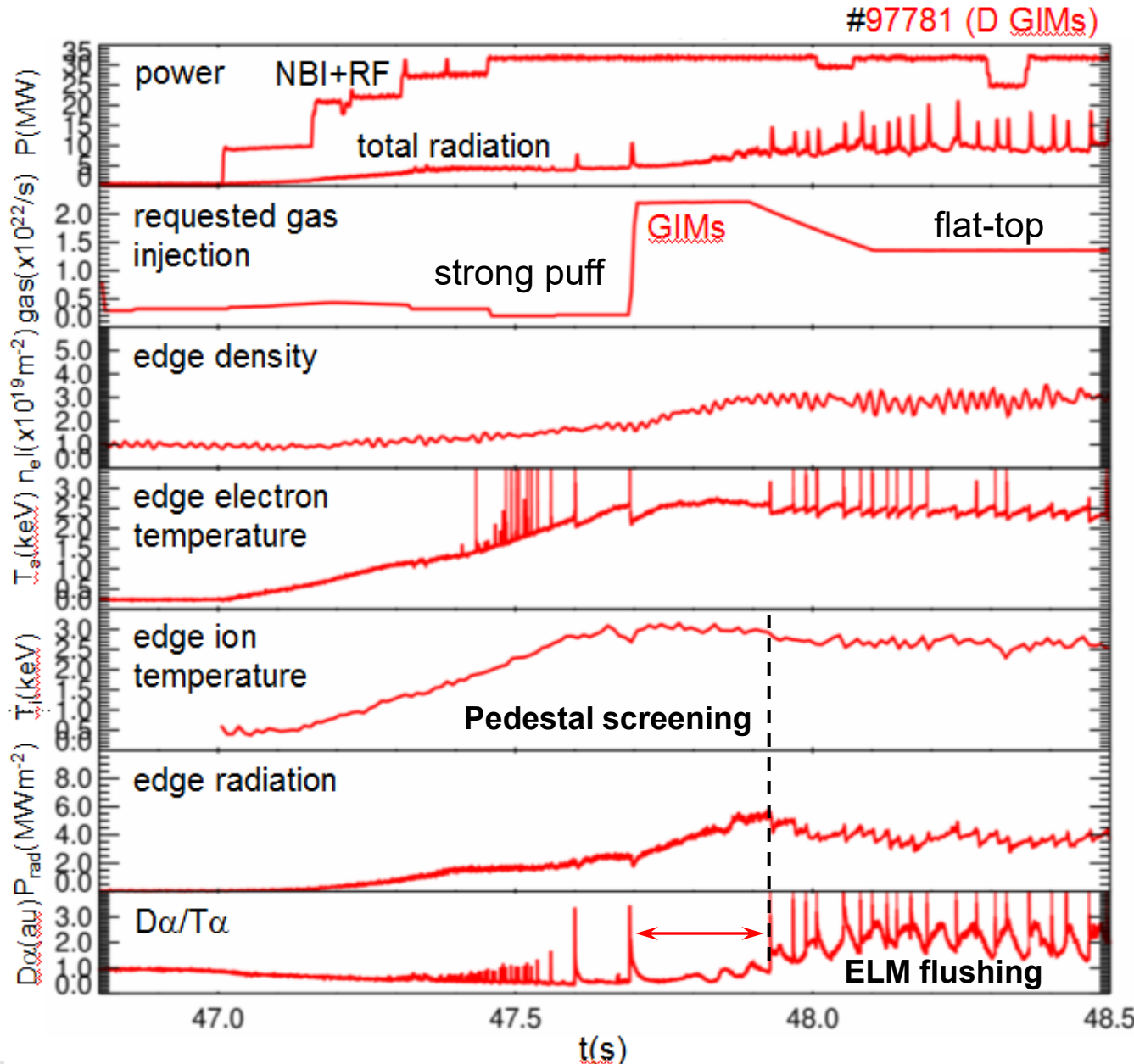
[King et al, Nucl. Fusion, Special Issue on DTE2 2023]



- Energy of injected NB ions is absorbed by collisions with the bulk plasma (**electrons** and **ions**)
- In JET, dominant **ion heating** ($E_{\text{beam}} < E_{\text{crit}} \sim 200\text{keV}$)
- At large plasma density, beam penetration is less efficient (flatter deposition)

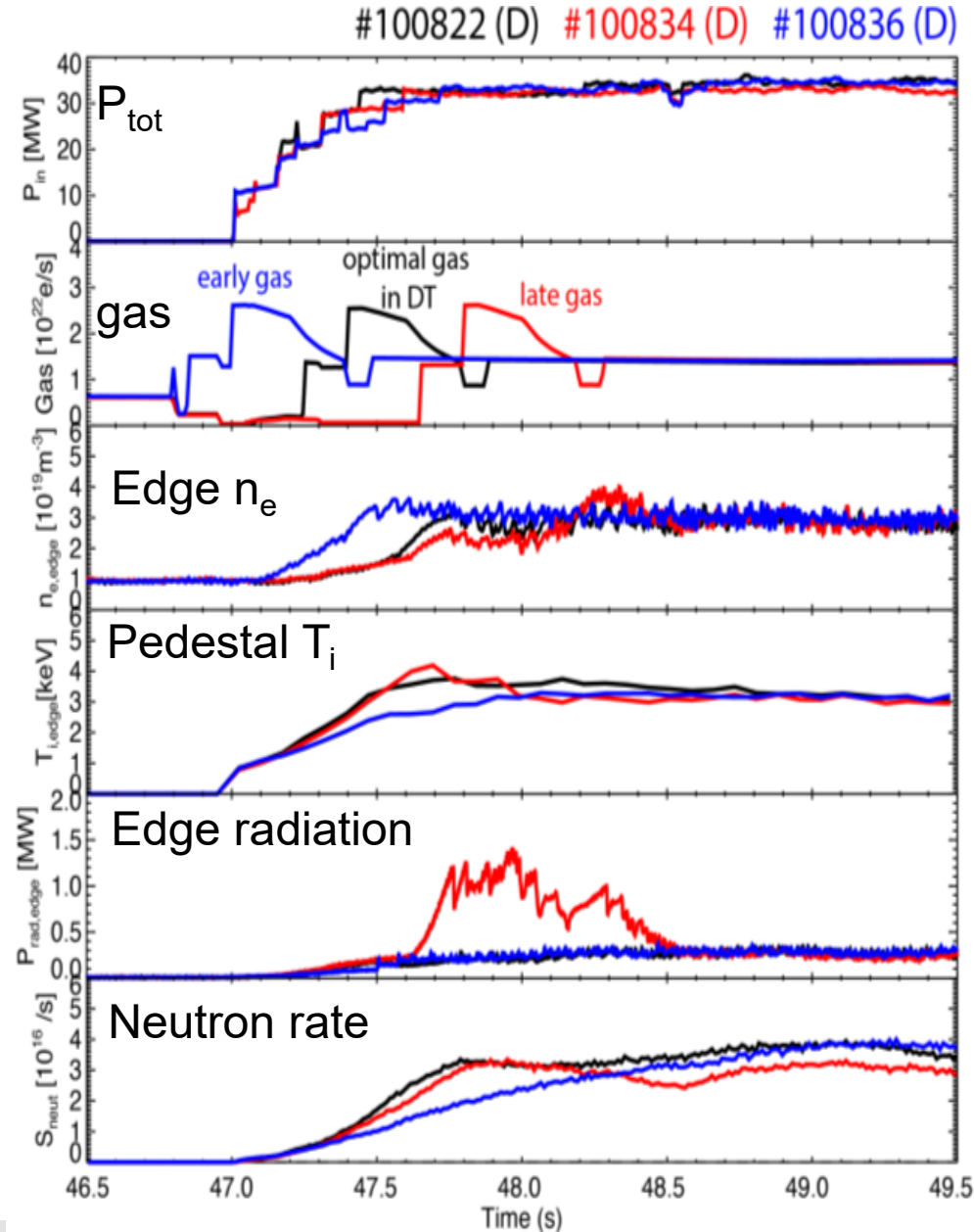
(In ITER, NBI → dominant electron heating)

Access to H-mode: NBI vs gas



- In best plasmas pedestal temperature built up before significant density increased to establish effective impurity screening ($\text{grad } T_i$)
- ELM-free phase is relatively short, followed by regular type I ELMs that guarantee edge impurity control (flushing)

[A. Field, NF2022]



- A gas puff ('slug') is needed for an optimal H-mode entry: transition from hot ion pedestal phase (ELM-free) to the type I ELM regime (flat-top)
- It has to be well synchronized with the application of NBI power

Too early gas slug:

- Early increase of the plasma density, Low pedestal T_i , (sometimes impurity influx), delay to reach high fusion performance

Too late gas slug:

- Large pedestal T_i but too long ELM-free phase, uncontrolled edge radiation (impurity influx)

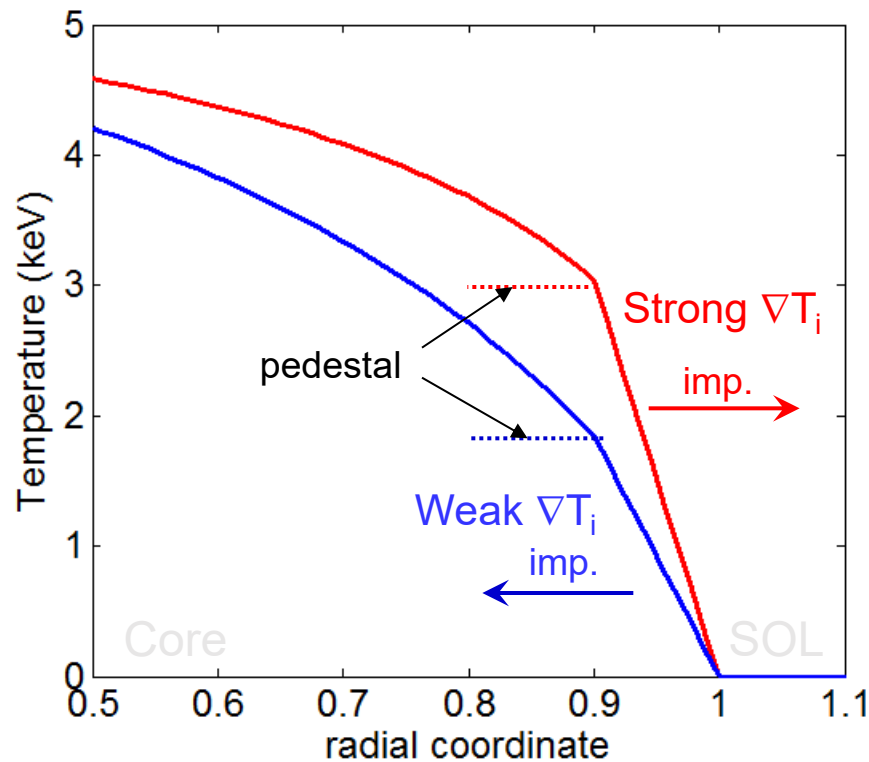
Optimal gas slug:

- Large pedestal T_i (comparable to late gas), short ELM-free phase, edge impurity screening, good fusion performance reached early

- High performance plasmas with sufficiently hot pedestal feature inter-ELM edge impurity screening

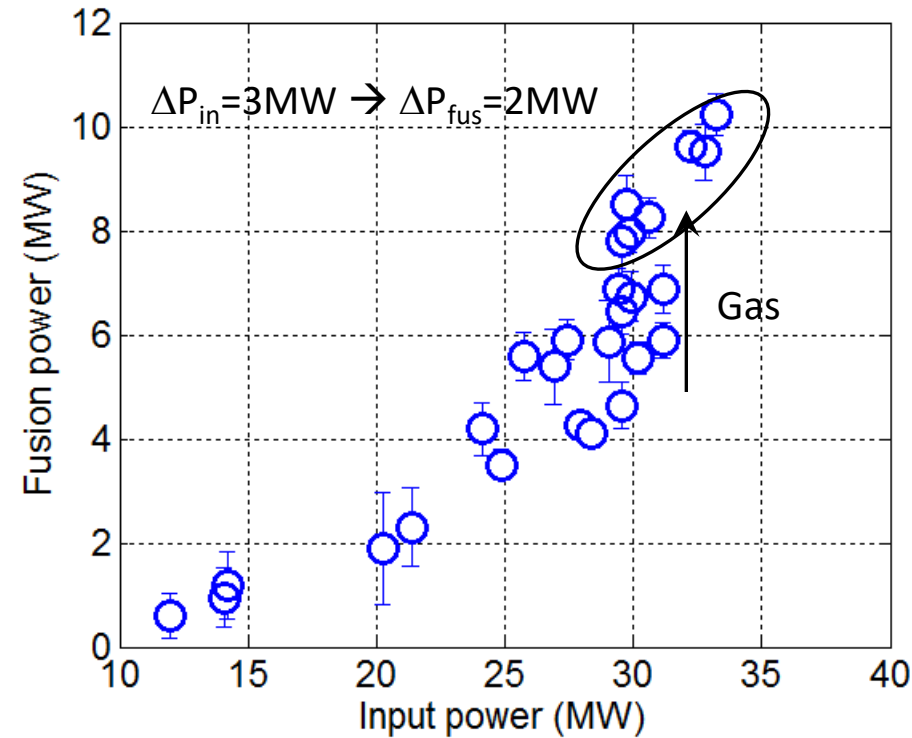
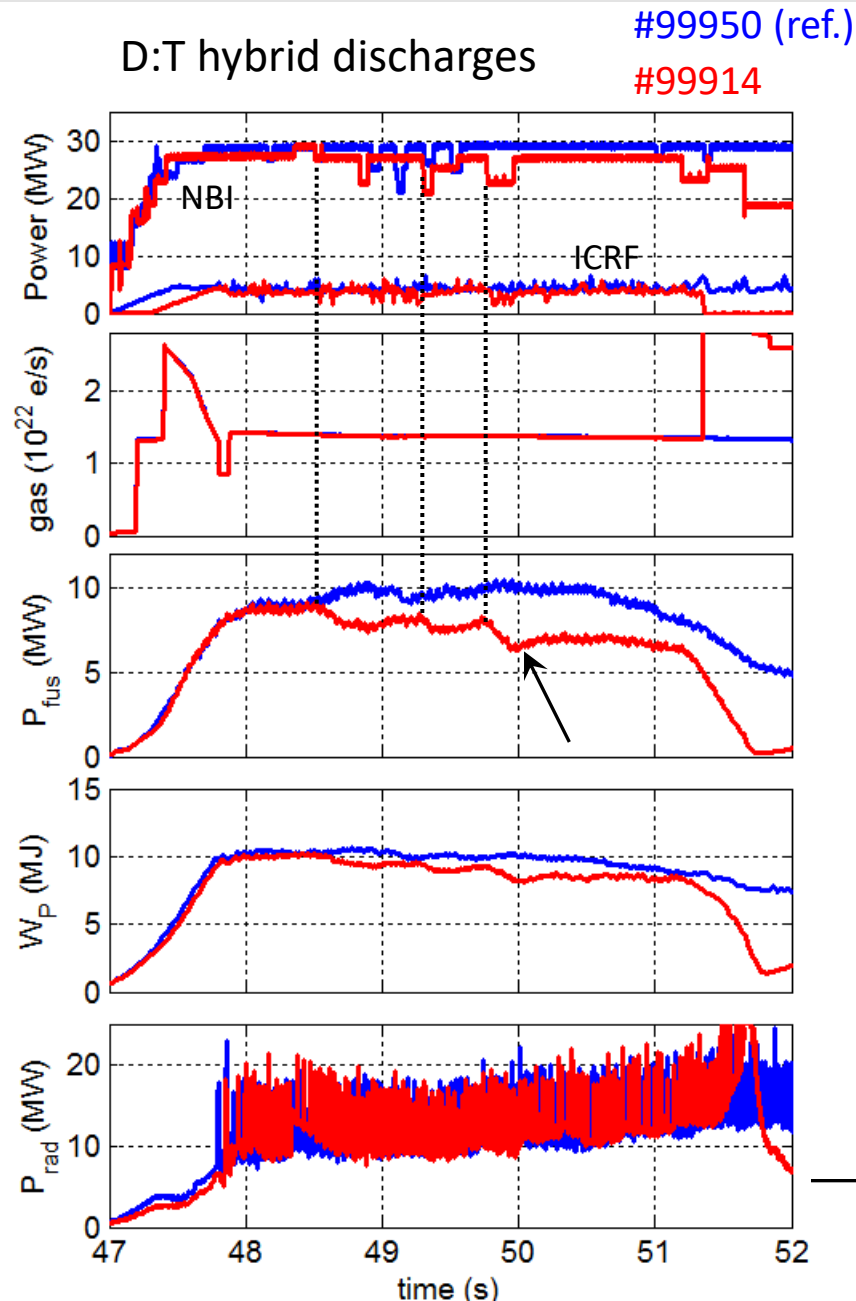
[A. Field et al, Nucl. Fusion 2023]

Strong T_i gradients outside the pedestal region 'block' impurity influx from SOL



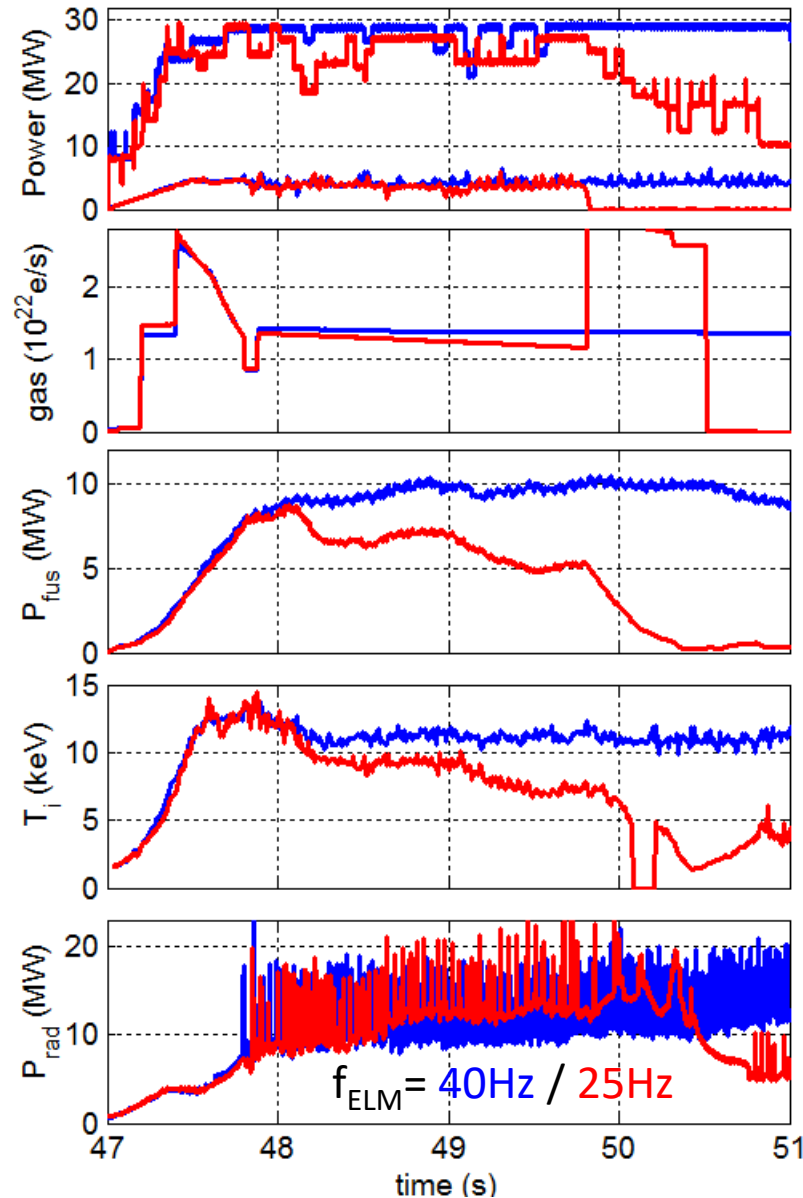
[A. Field, NF2022]

ELMy H-mode phase: NBI power level



- Large input power is needed for high fusion performance (ion heating, beam target reactions)
- Steep dependence at high P_{in}
- Performance is very sensitive to NBI power waveform (and power level)

D:T hybrid discharges



#99950 (ref.)

#99868

- Ragged NBI input kills plasma performance

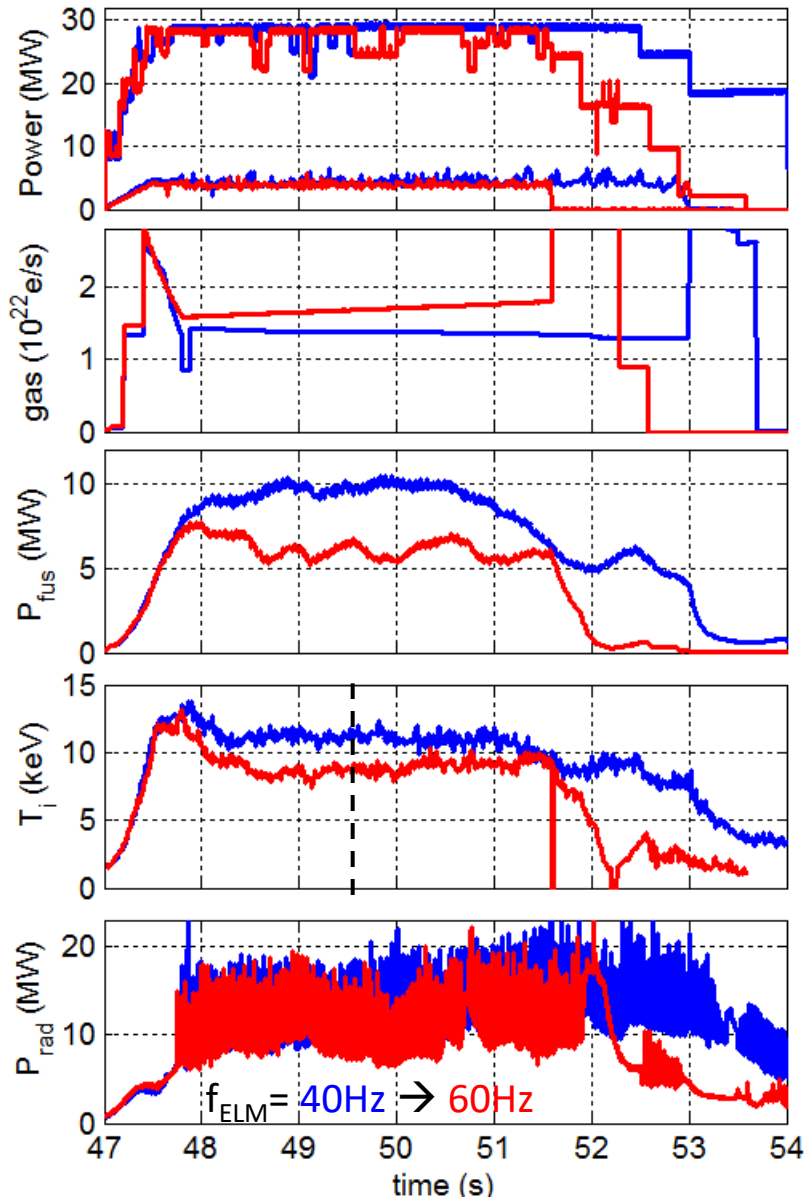
→ impurity influx, cold pedestal, slow ELMs, core W accumulation, emergency stop

- More gas can be used to drive faster ELMs and avoiding core W accumulation but pedestal remains cold and performance is poor (safety net on ELM frequency)

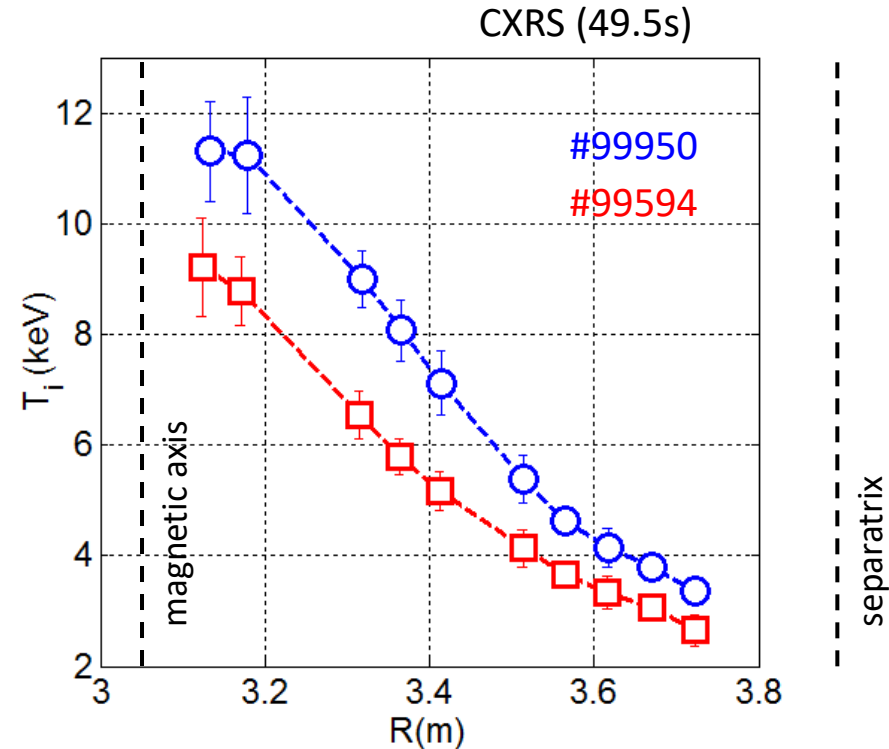
ELMy H-mode phase: Gas injection level



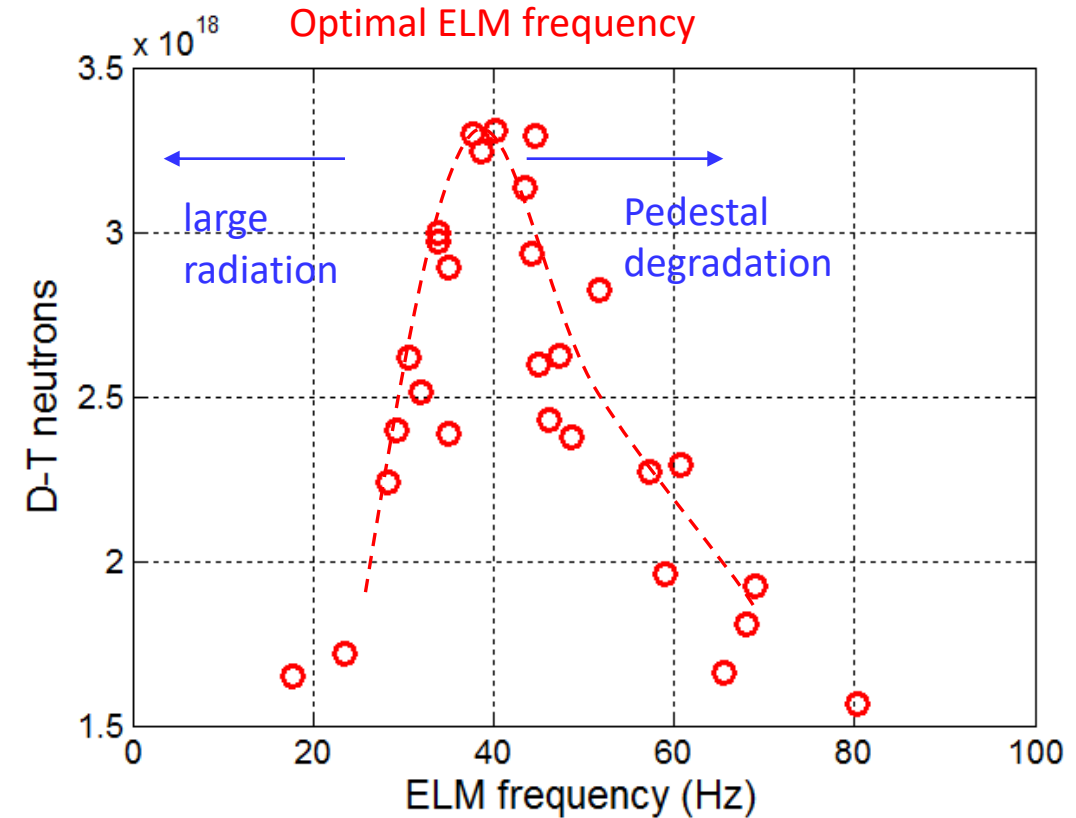
D:T hybrid discharges
#99950 (ref.)
#99594



- Too much gas kills plasma performance (pedestal + core degradation)
- Fast ELM's (good for stationarity)

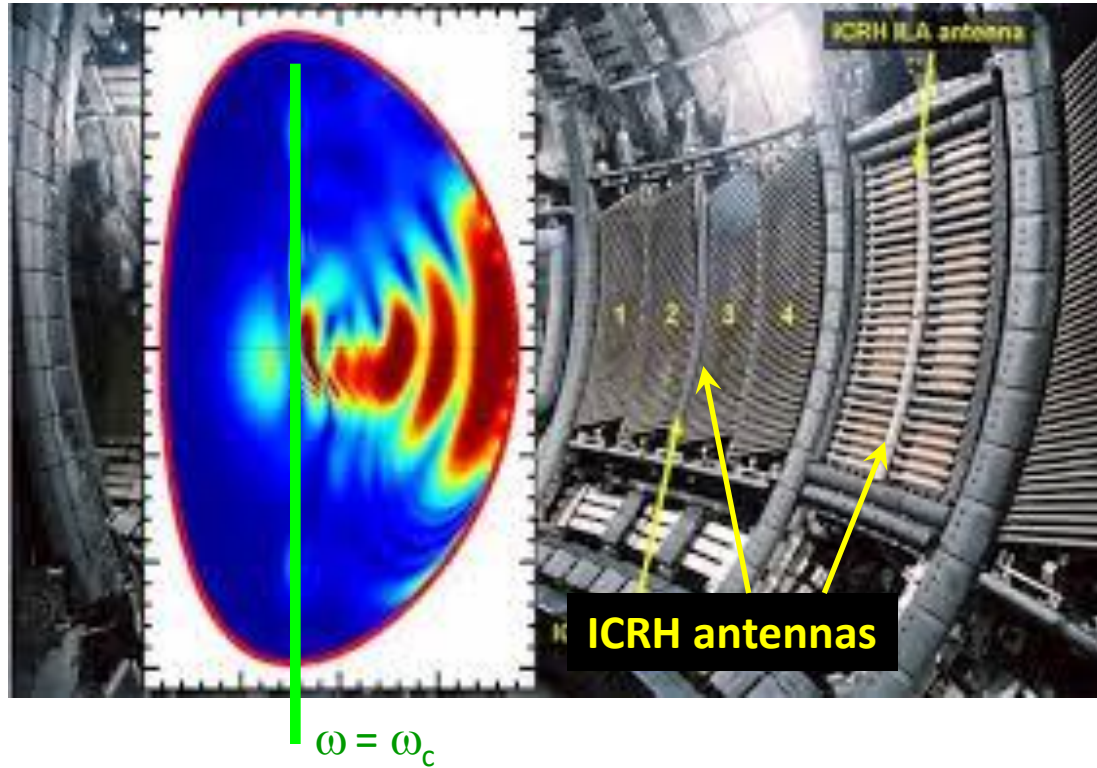


- ELMs play a key role in the plasma performance and stationarity
- Too slow ELMs = less efficient impurity flushing (radiation)
- Too fast ELMs = degradation of the pedestal and core performance



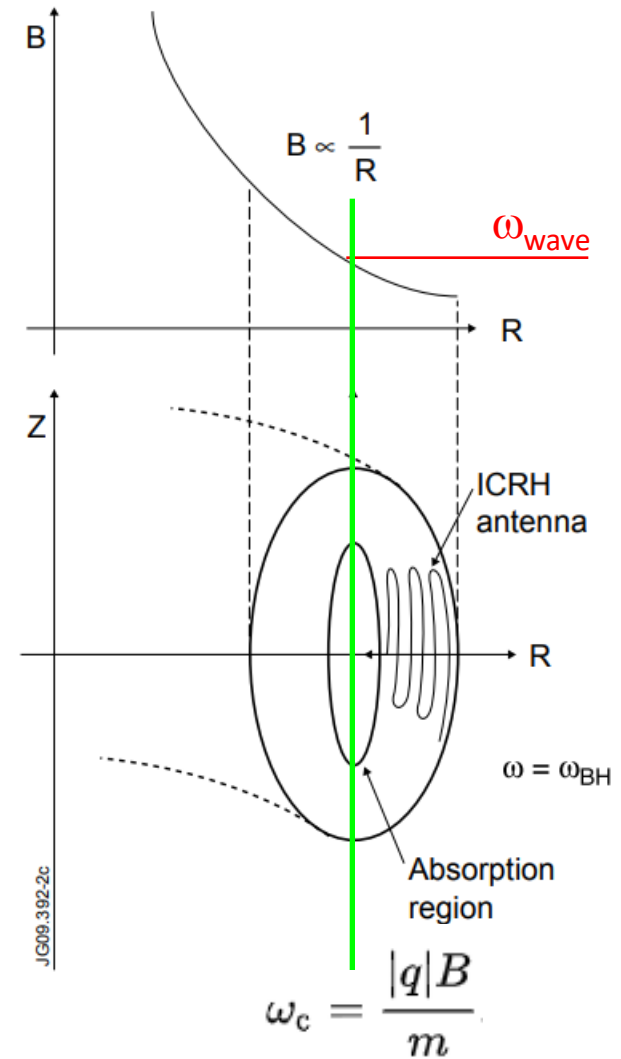
Important to control ELMs for good and stable plasma performance

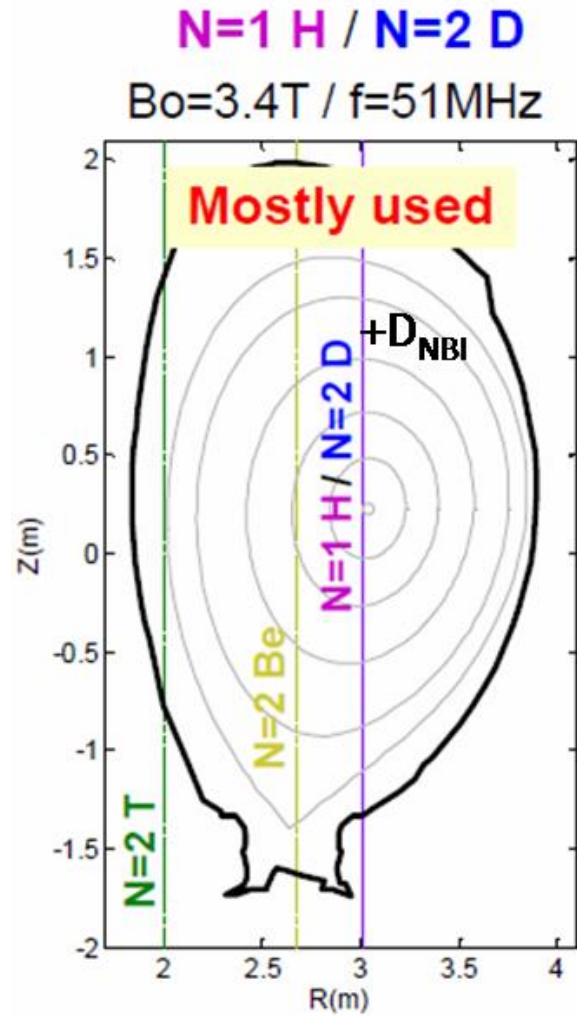
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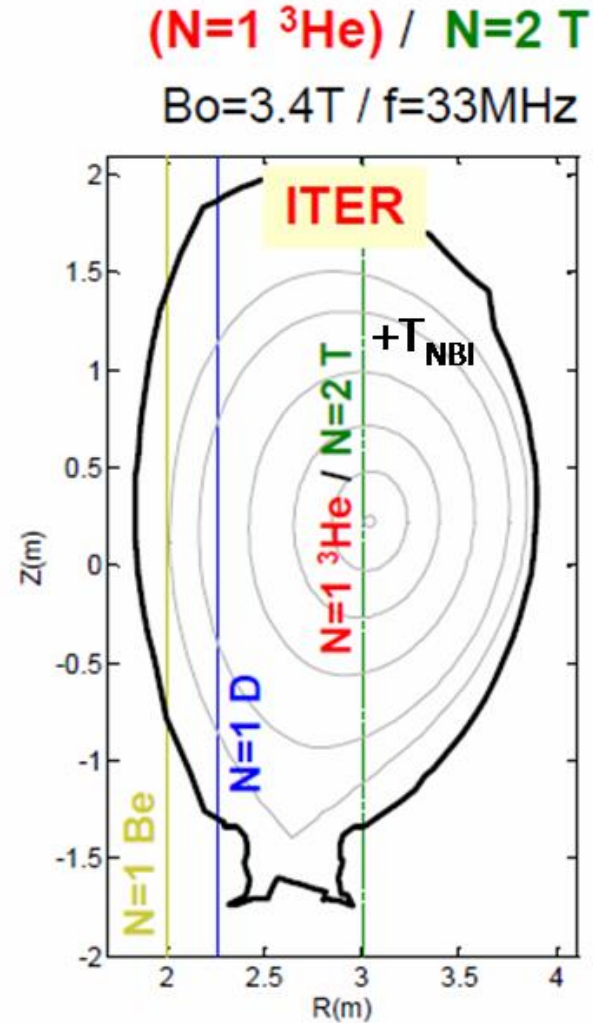
- A fast wave is launched into the plasma and propagates towards the centre
- It is absorbed in a narrow region where $\omega_{\text{wave}} = \omega_c$
- Two possibilities:
 - (1) Wave resonates with a minority species $\rightarrow$ collisions
 - (2) Wave resonates directly with one of the fuel ions

[Jacquet et al, IAEA-FEC 2023]

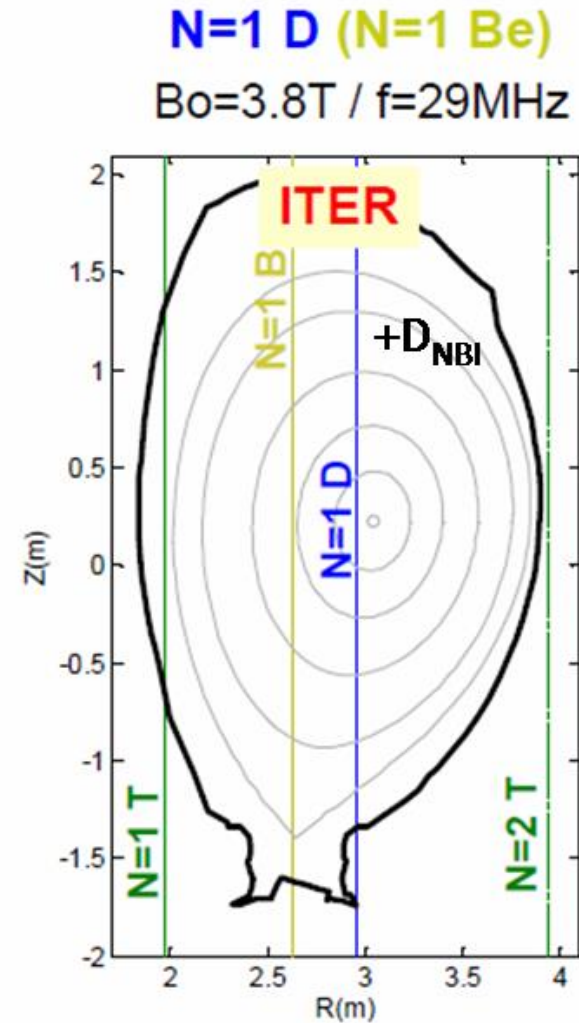




ICRF electron heating

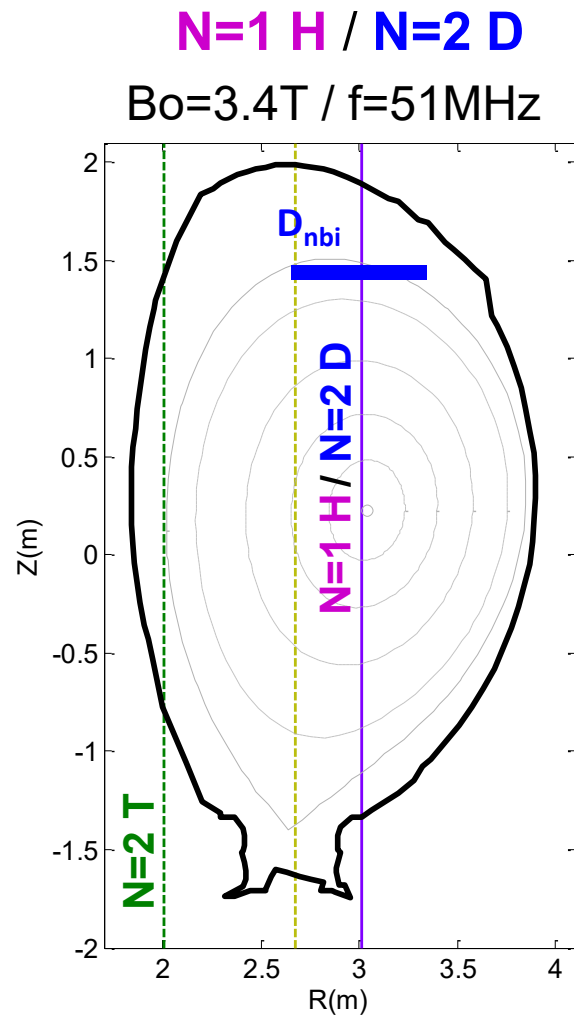


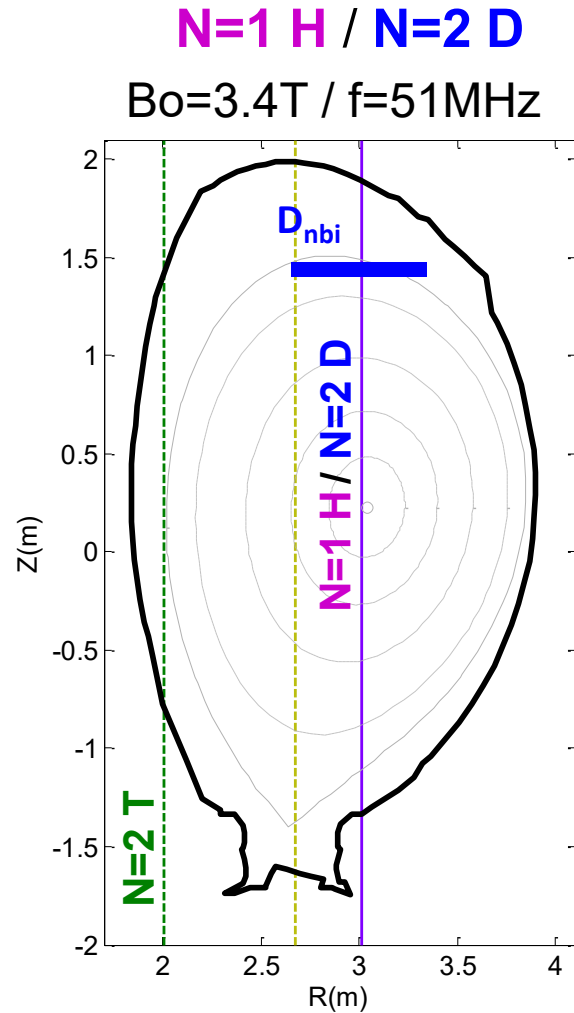
Dominant ion heating



Dominant ion heating +
 D_{fast} fusion enhancement

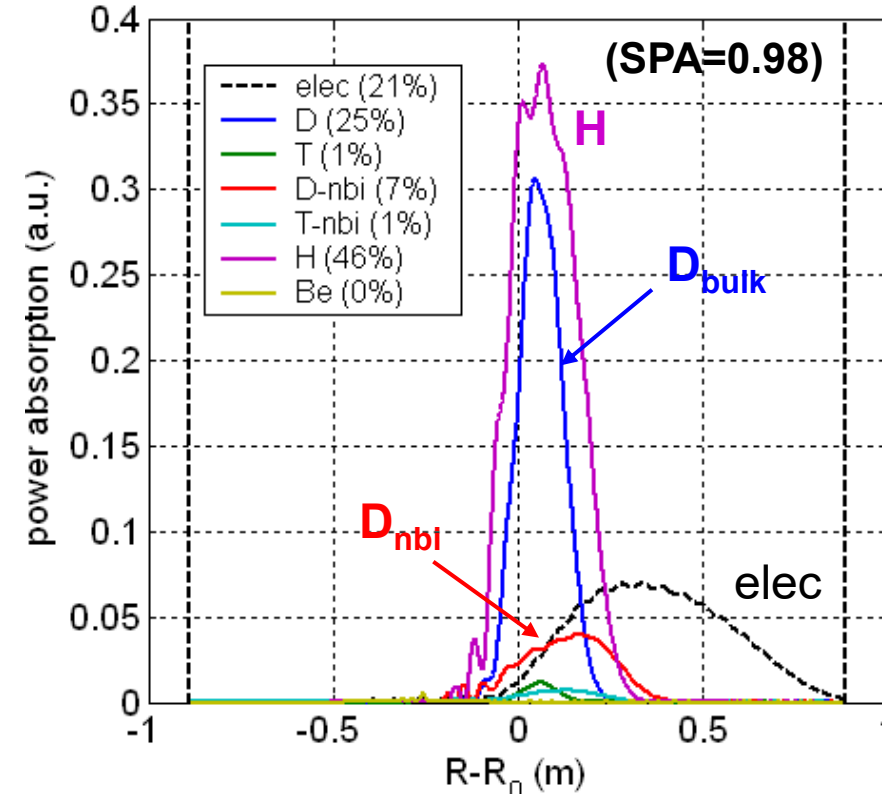
- 3-ion ICRH schemes [Y. Kazakov, this conference]





$n_0=8e19/m^3$, $T_e/T_i=8/10keV$

50%D:50%T, 2%D_{nbi}, 2%T_{nbi}, 2%H, 1%Be

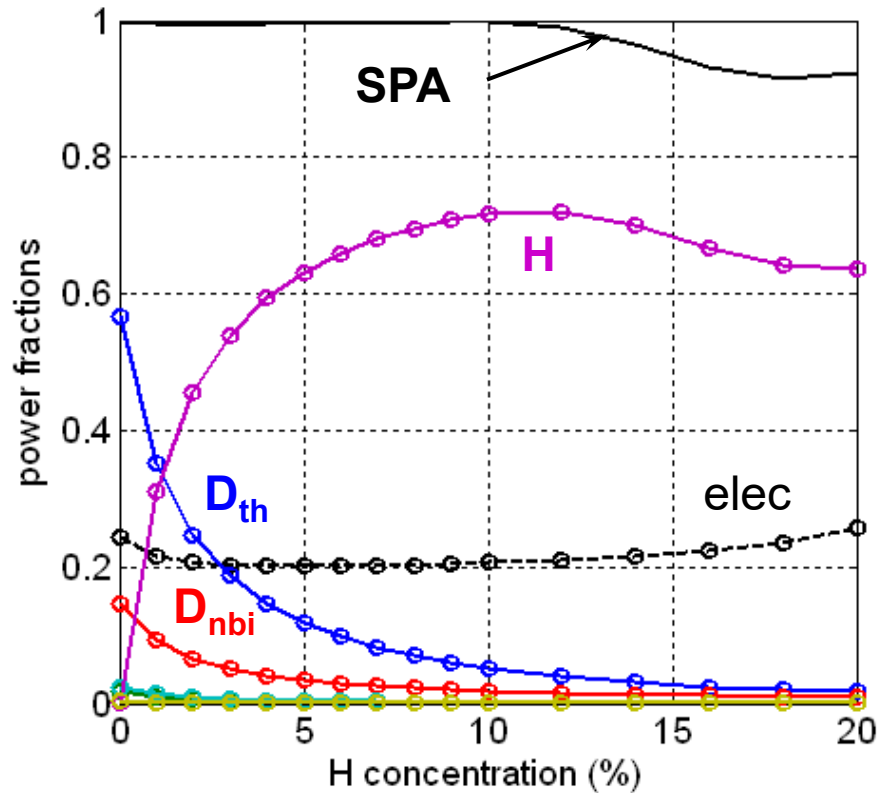


- Strong SPA
- Dominant H heating:
H = 45% (D_{bulk} = 25%; D_{nbi}=7%)

*D. Gallart et al.,
2018 Nucl. Fusion*

$n_0 = 8 \times 10^{19} / \text{m}^3$, $T_e / T_i = 8 / 10 \text{ keV}$

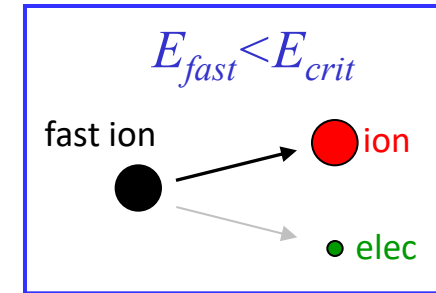
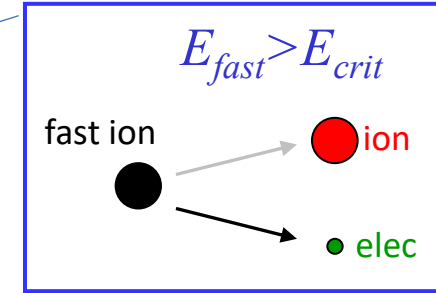
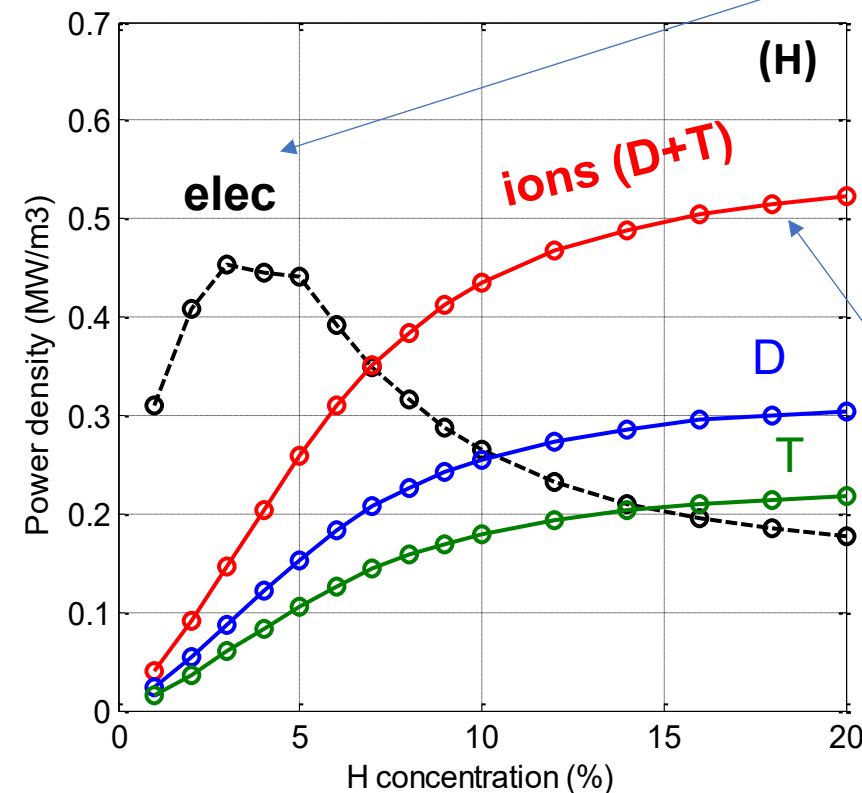
D: T, 2% D_{nbi} , 2% T_{nbi} , H scan, 1% Be



- Always good absorption
- Dominant N=2 $D_{\text{th}} + D_{\text{nbi}}$ heating for $X[\text{H}] < 2\%$:
 - Enhanced DD neutrons
 - Fast (MeV) D ions

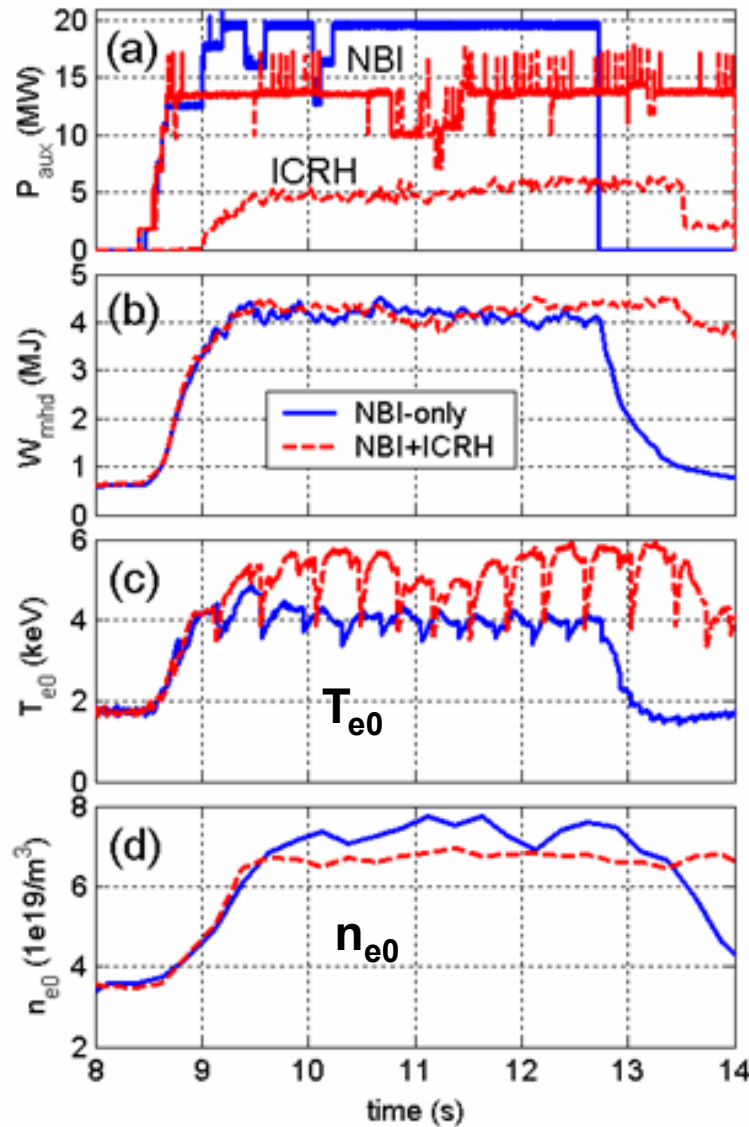
Collisional power redistribution for H min.

($p_{\text{dens}} = 0.7 \text{ MW/m}^3$ at $X[\text{H}] = 5\%$)



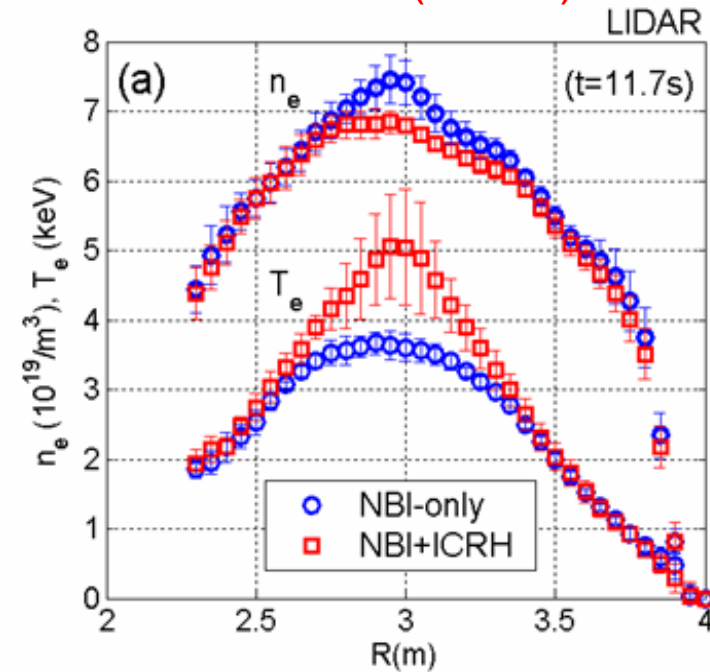
- Dominant **electron heating** from H minority up to $X[\text{H}] \sim 7\%$:
- When dominant N=2 D absorption, electron heating is still important (fast D ions)

JET-ILW baseline H-mode: $B_0=2.7\text{T}$, $I_p=2.5\text{MA}$, $P_{\text{aux}}=20\text{MW}$, $f=42.5\text{MHz}$



NBI only (84746)

RF+NBI (84744)



- Larger temperature with ICRH
(2keV for 6MW)
- Flatter density (turbulence)

*E. Lerche et al.,
2016 Nucl. Fusion*

H min = 3%

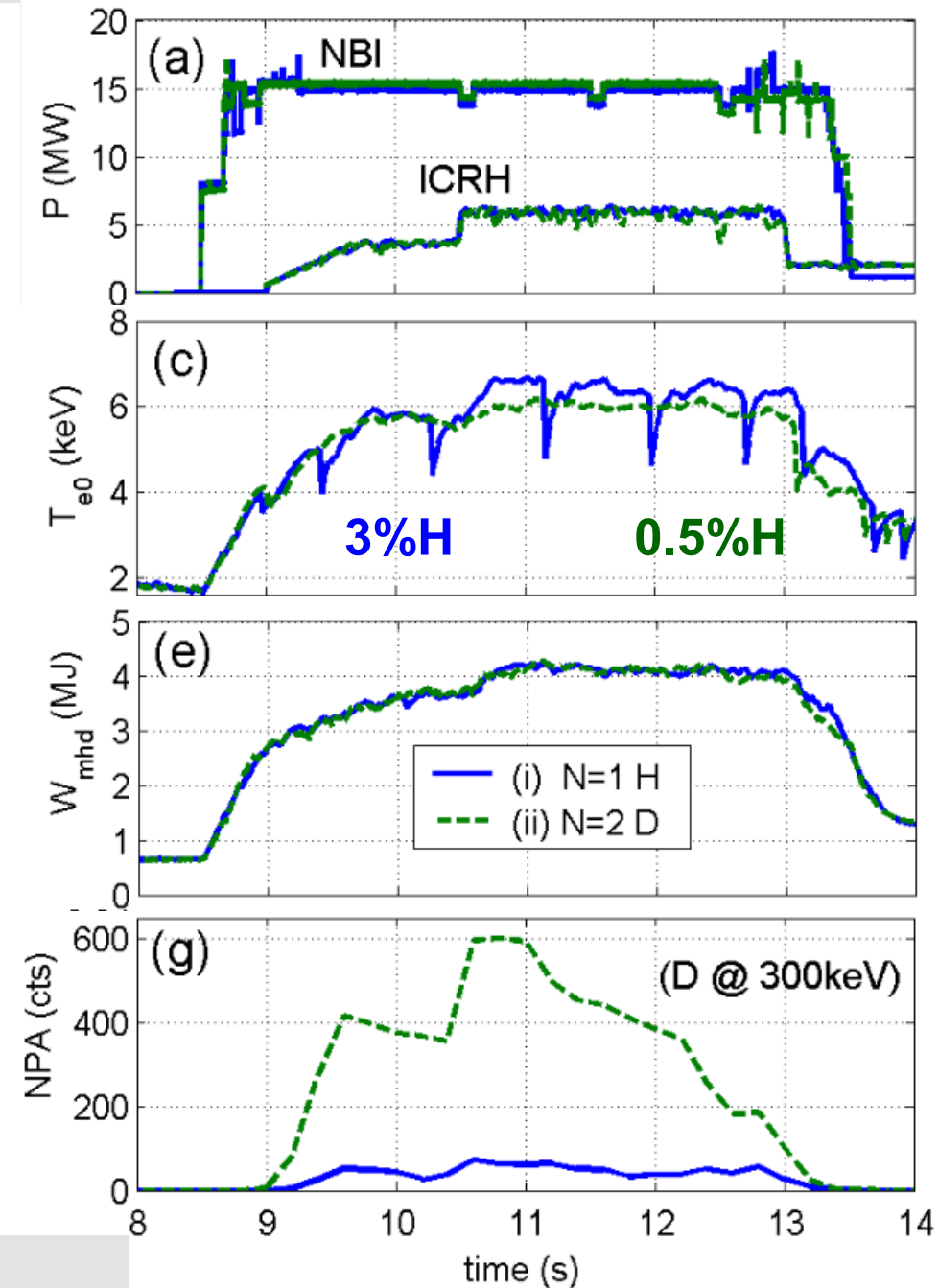
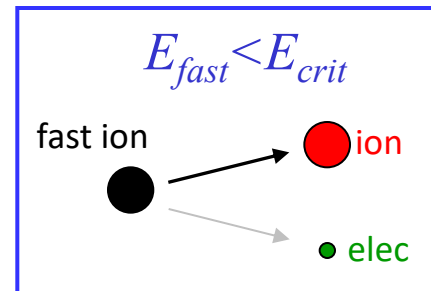
→ Strong electron heating

→ Fast H⁺ ion tails (long sawteeth)

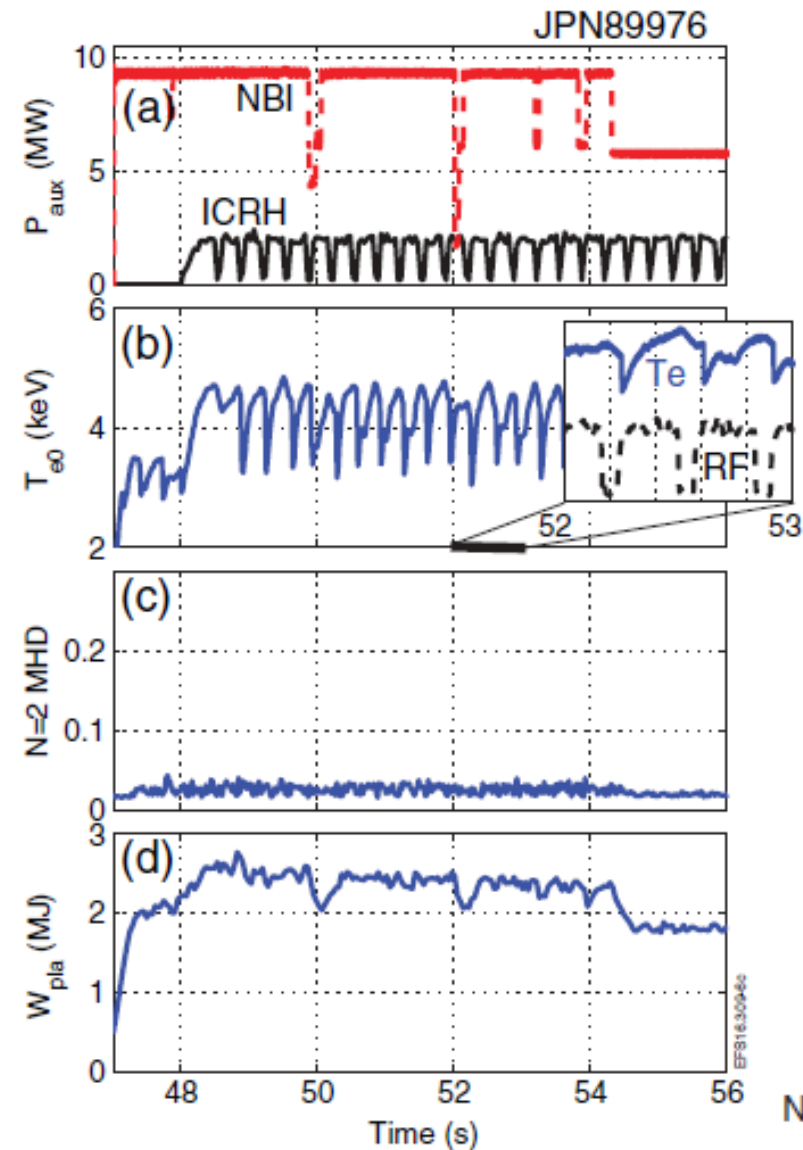
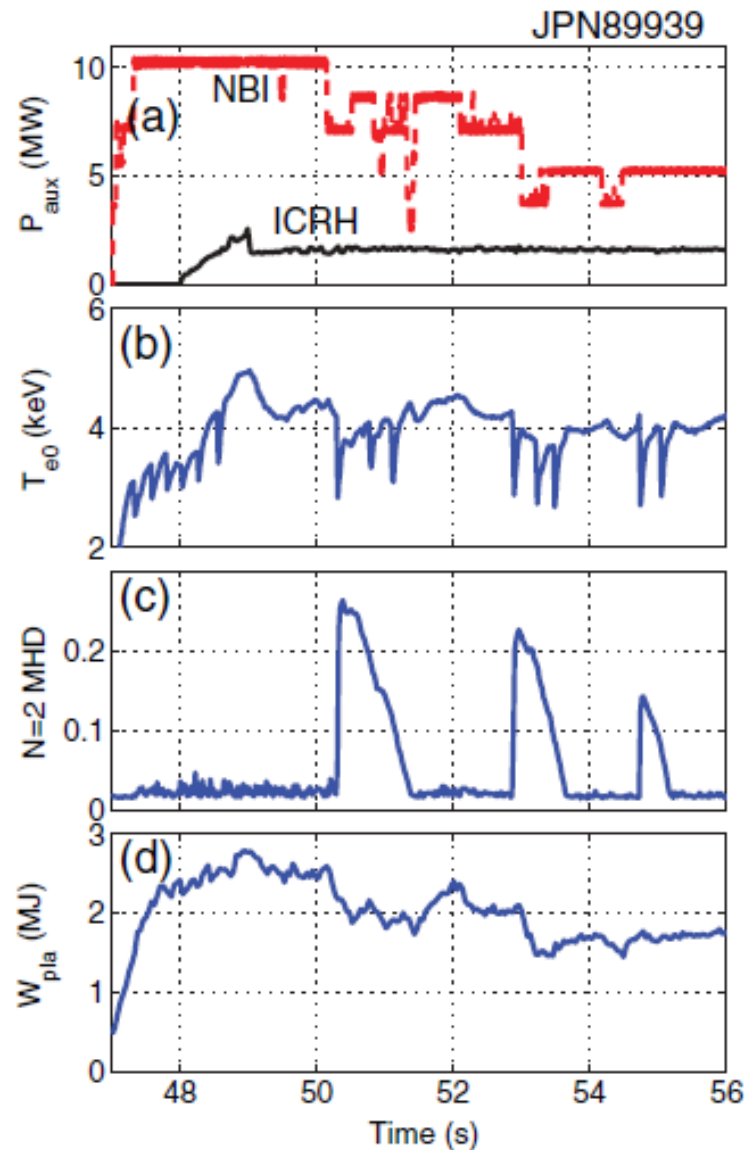
H min ~ 0.5%

→ Strong N=2 D acceleration

→ Very fast H⁺ ion tails: sawteeth completely stabilized

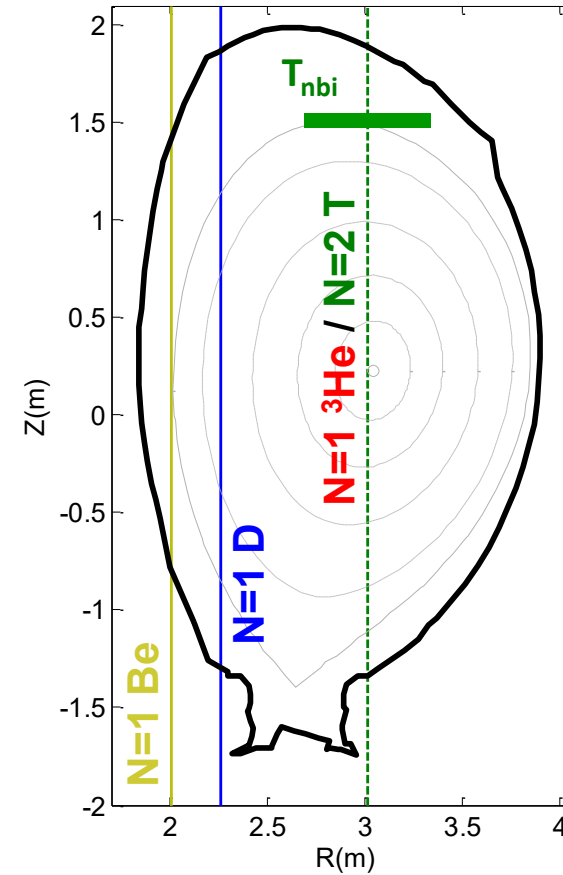


→ ICRH modulation allows to induce sawtooth crash → Sawtooth pacing

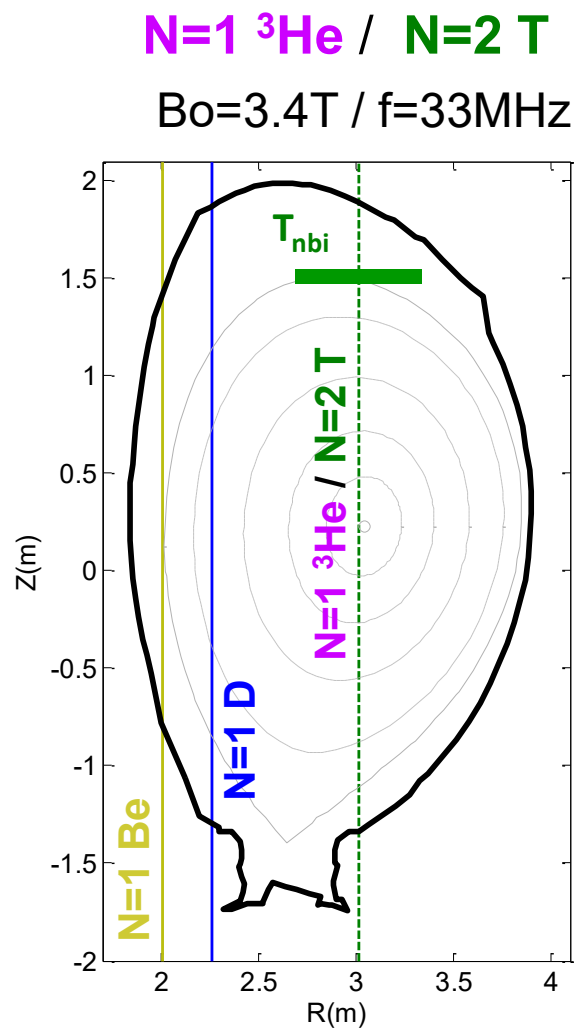


N=1 ${}^3\text{He}$ / N=2 T

$B_0 = 3.4\text{T}$ / $f = 33\text{MHz}$

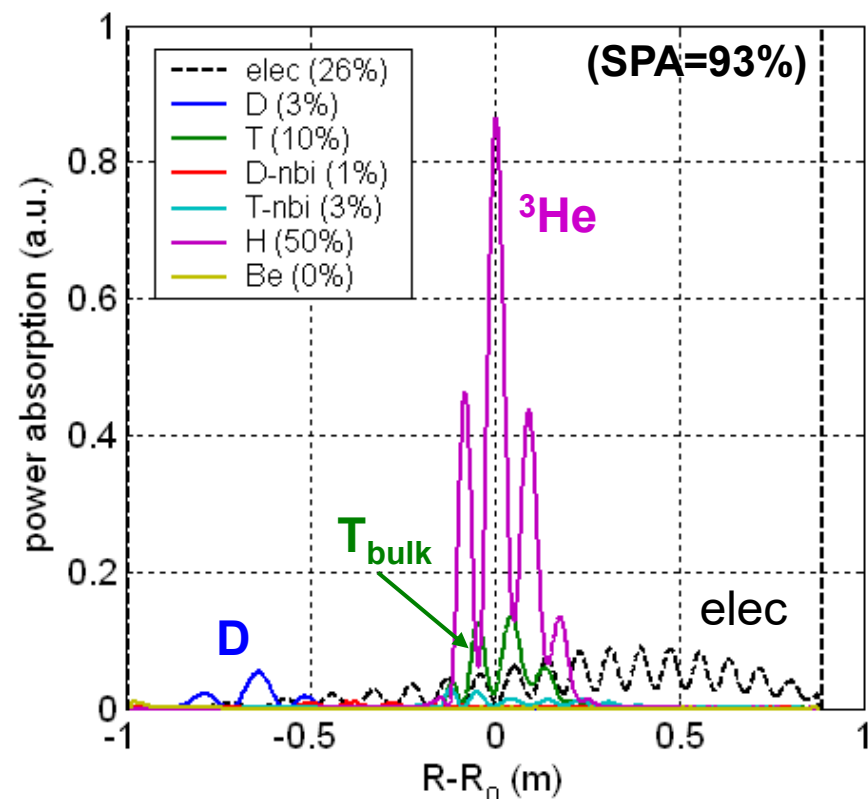


ITER reference
(50:50 D:T)



$n_0 = 8 \times 10^{19}/\text{m}^3$, $T_e/T_i = 8/10\text{keV}$

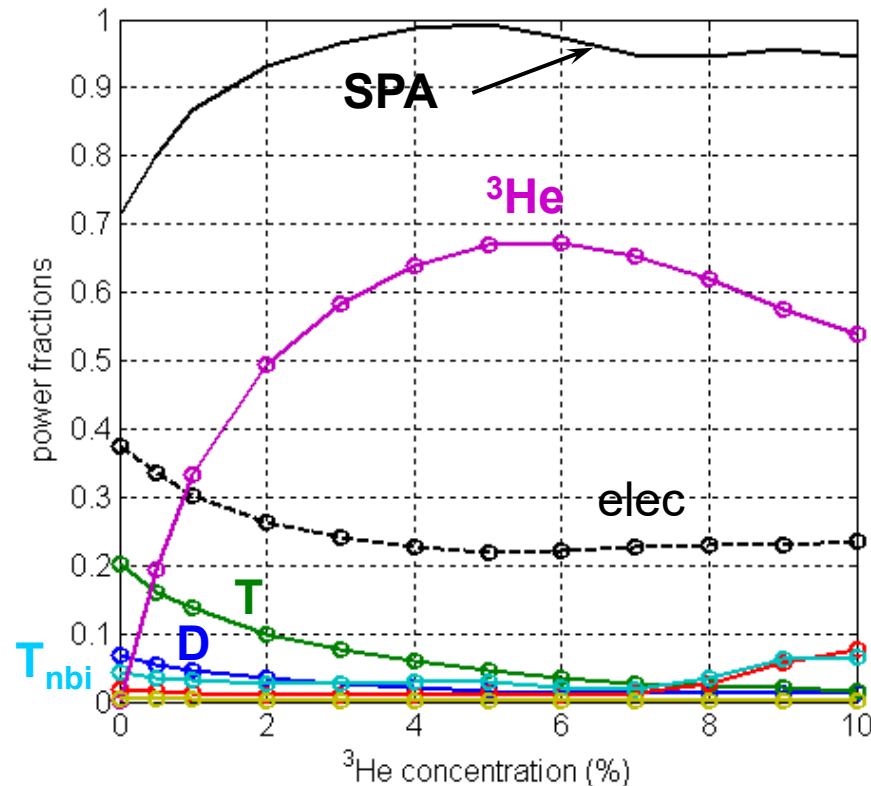
50%D:50%T, 2%D_{nbi}, 2%T_{nbi}, 2% ^3He , 1%Be



- Strong SPA
- Dominant ^3He heating:
 $^3\text{He} = 50\%$ ($T_{\text{bulk}} = 10\%$; elec = 25%)
- HFS absorption reduced

$n_0 = 8 \times 10^{19} / \text{m}^3$, $T_e / T_i = 8 / 10 \text{ keV}$

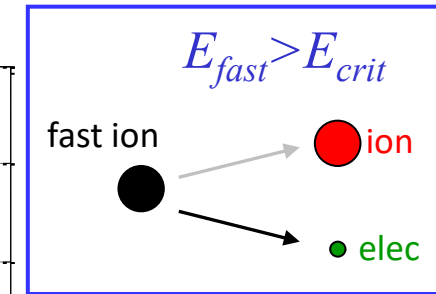
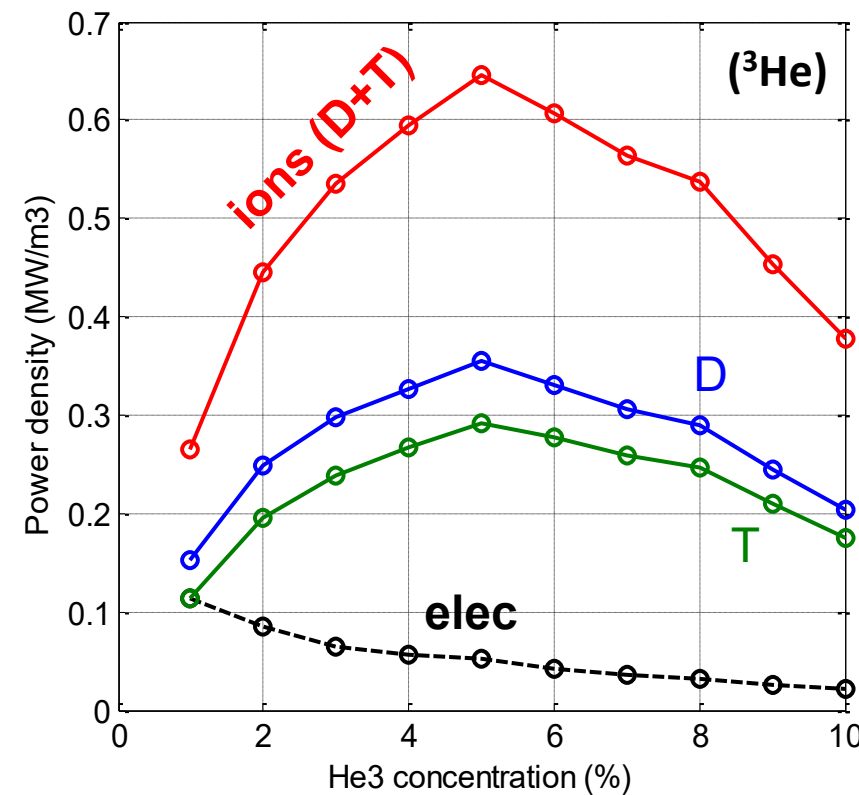
D:T, 2% D_{nbi} , 2% T_{nbi} , ${}^3\text{He}$ scan, 1% Be



- Good SPA for $X[{}^3\text{He}] > 1\text{-}2\%$
- Dominant $N=1$ ${}^3\text{He}$ heating for $X[{}^3\text{He}] < 1\%$
- Electron LD and TTMP always important

Collisional power redistribution of ${}^3\text{He}$

($p_{\text{dens}} = 0.7 \text{ MW/m}^3$ at $X[{}^3\text{He}] = 5\%$)

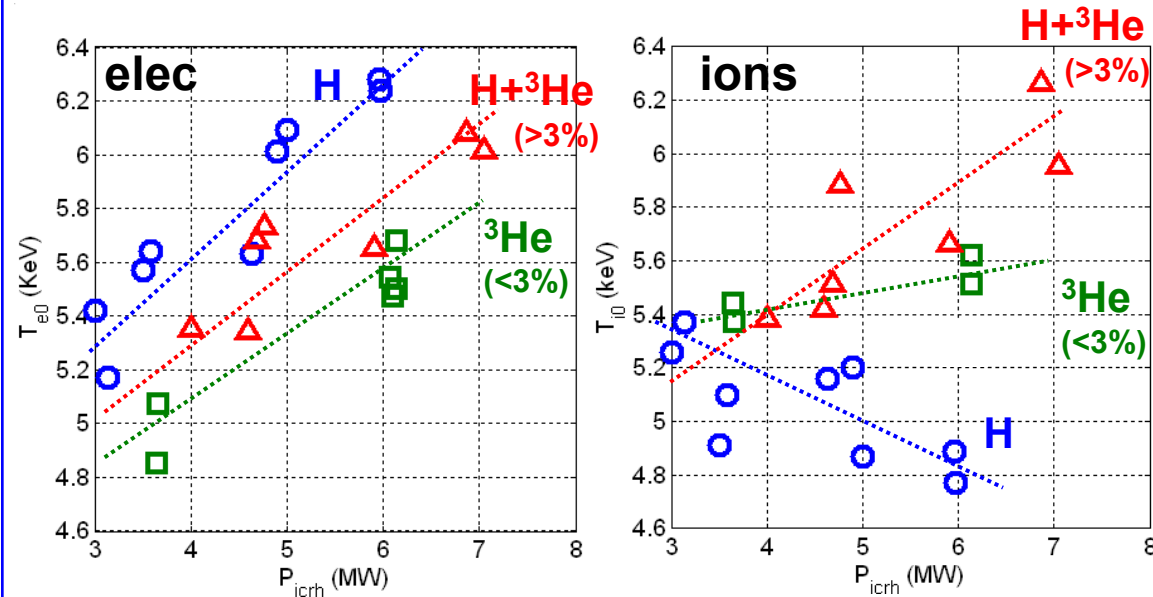


- Dominant ion heating throughout
- Balanced bulk D and T heating

*D. Gallart et al.,
2018 Nucl. Fusion*

JET-ILW: $N=1$ ^3He

D plasma with H, ^3He and $\text{H}+^3\text{He}$ min. ICRH
($B_0=3.4\text{T}$, $f=33/51\text{MHz}$)



- **$N=1$ ^3He heating can provide bulk ion heating** if concentration is right
- Combined $\text{H}+^3\text{He}$ min. ICRH provides balanced electron and ion heating

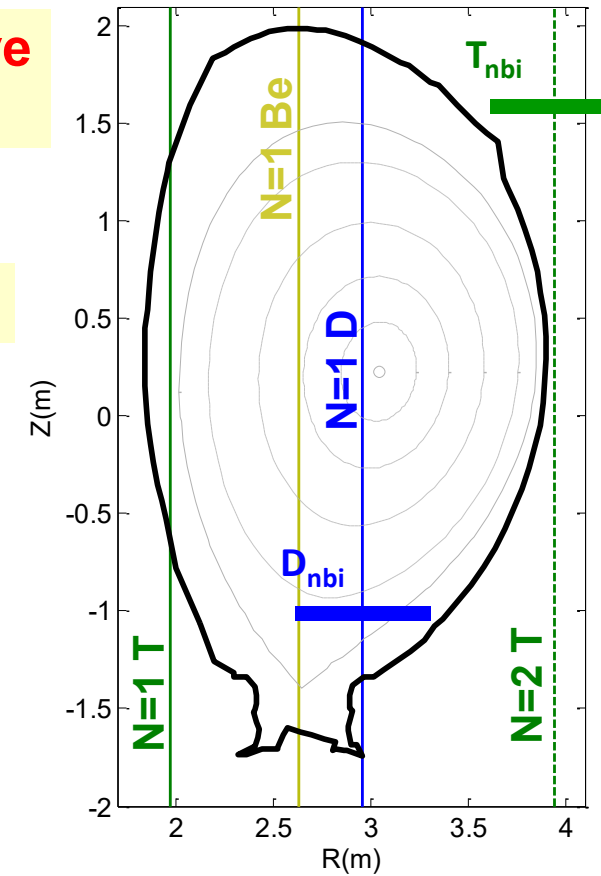
D. Van Eester et al., IAEA 2017

N=1 D (N=1 Be)

$B_0=3.8\text{T} / f=29\text{MHz}$

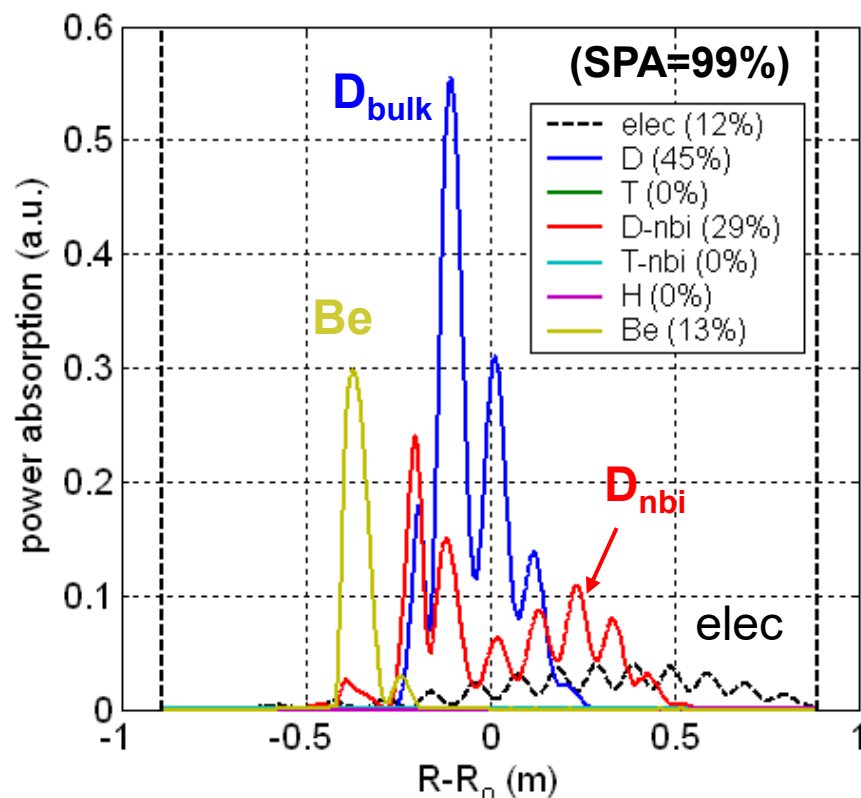
**ITER alternative
(T-rich)**

JET fusion record



$n_0=8e19/m^3$, $T_e/T_i=8/10\text{keV}$

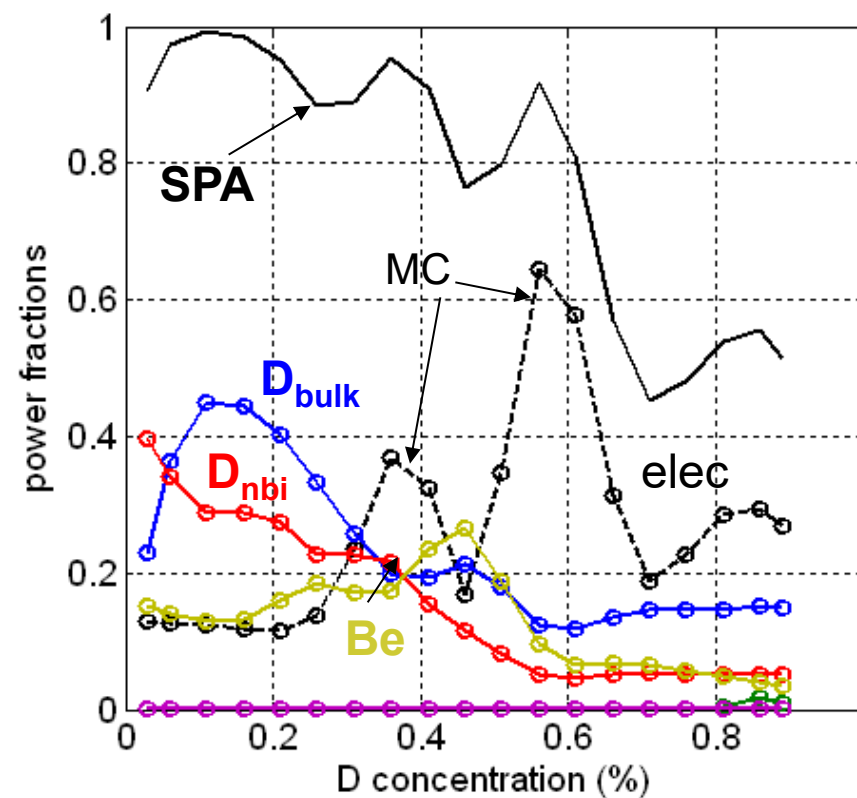
15%D:T-rich, 4%D_{nbi}, 0%T_{nbi}, 1%Be



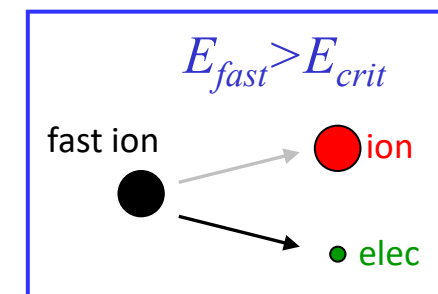
- Strong SPA
- Dominant N=1 D heating:
 $D_{\text{bulk}} = 45\%$; $D_{\text{nbi}}=30\%$
- Off-axis Be heating

$B_0=3.8\text{T}$ / $f=29\text{MHz}$

D:T scan (4%D_{nbi}, 0%T_{nbi}, 1%Be)



- Good SPA up to $X[D]=60\%$
- Dominant N=1 $D_{\text{bulk}} + D_{\text{nbi}}$ htg. for $X[D]<30\%$
- Some Be heating ($\sim 20\%$)



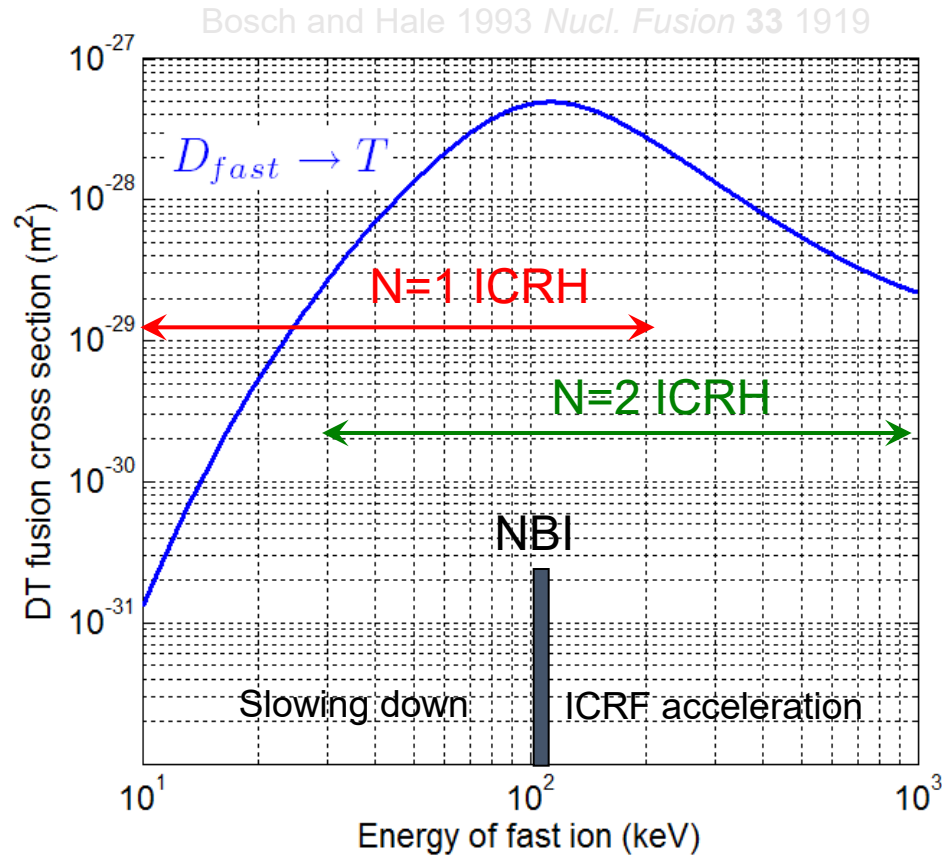
Thermonuclear reactions:

- Hot D and hot T ions ($T > 15\text{-}20\text{keV}$)
- α -heating, requires high performance (good confinement) plasmas
- Working point for steady-state nuclear fusion reactors (ITER, DEMO, CFETR, ...)

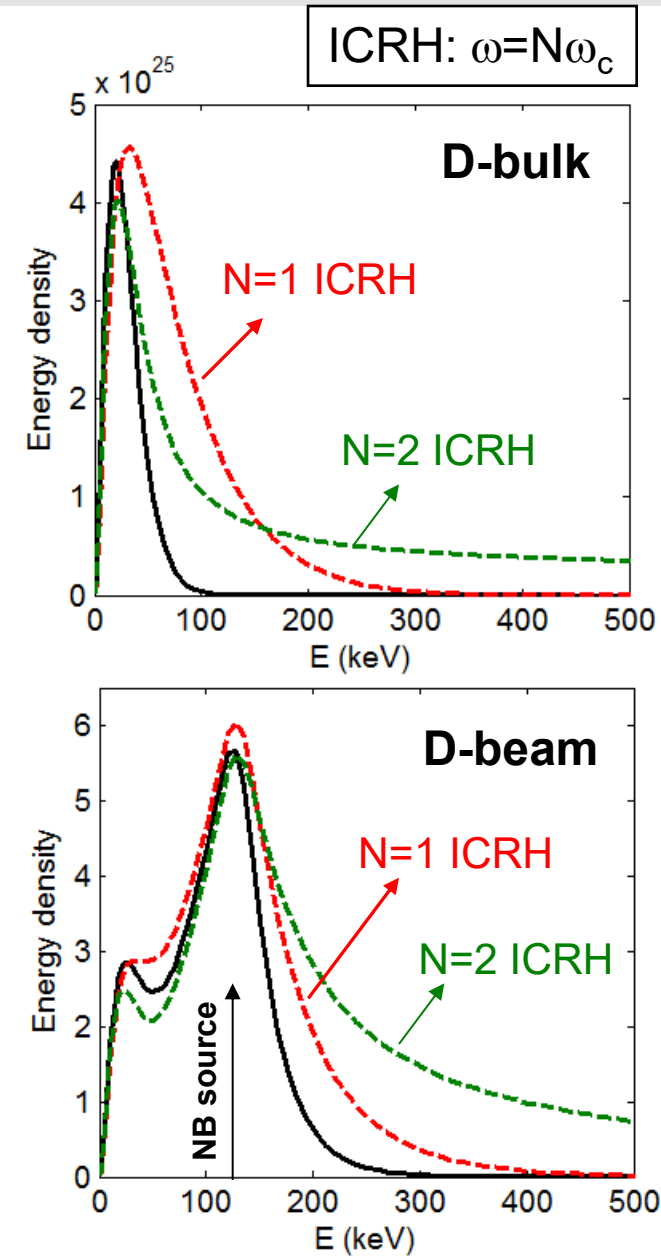
Beam-target reactions:

- Fast D (120keV) colliding with thermal T plasma or fast T (180keV) colliding with thermal D plasma
 - Achieved with NBI or ICRH on medium performance plasma
 - Suitable for devices aiming at generating large D-T neutron fluence for material studies (VNS)
- (about 50% of the JET fusion yield)

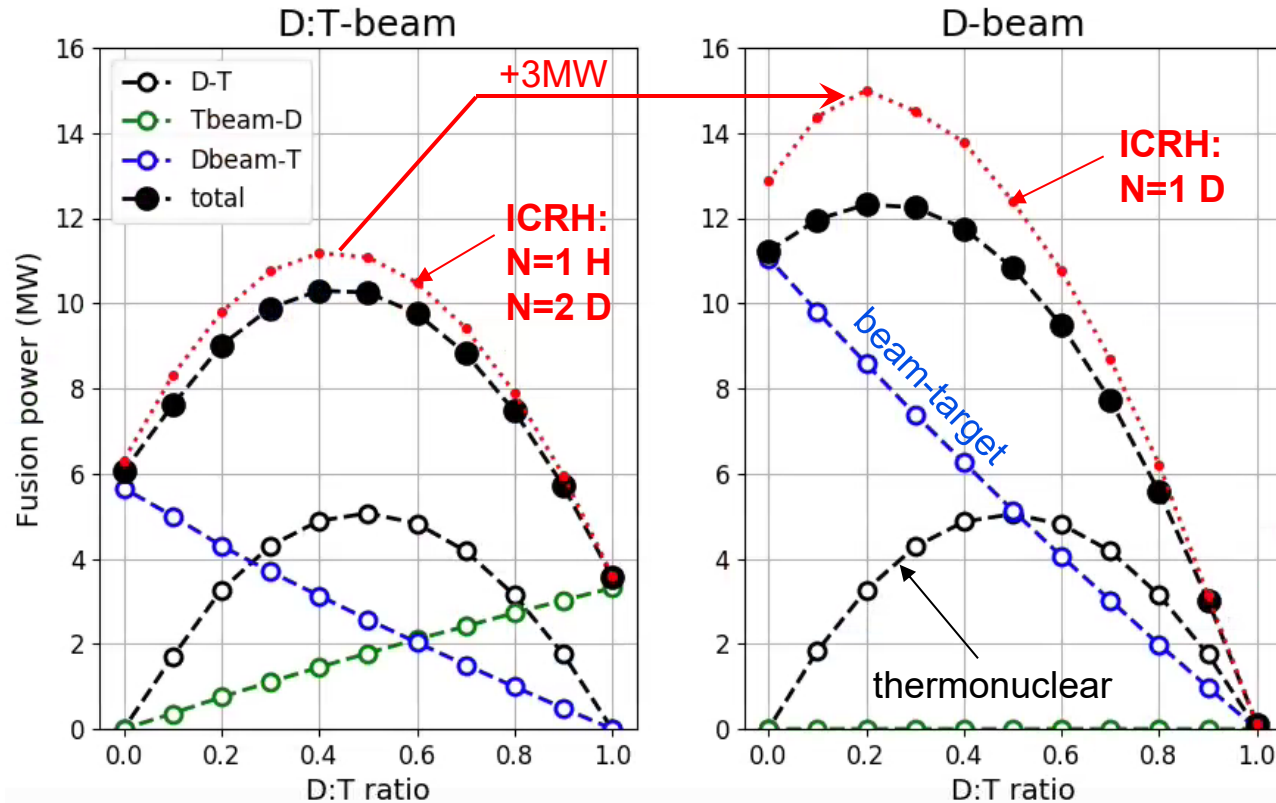
Beam target D-T fusion cross-section



- D-NBI has optimal energy for D-T reactions in JET (120keV)
- N=1 ICRH is preferable to tailor the Deuterium distribution for D-T reactions



Hybrid-like parameters: $n_{e0}=7.5 \times 10^{19}/\text{m}^3$, $T_{e0}=10\text{keV}$, $T_{i0}=12\text{keV}$, $P_{\text{NBI}}=30\text{MW}$ (120keV)



D:T beam:

- Max. fusion with balanced D:T ratio
- For D:T = 50:50
45% thermonuclear
55% beam-target

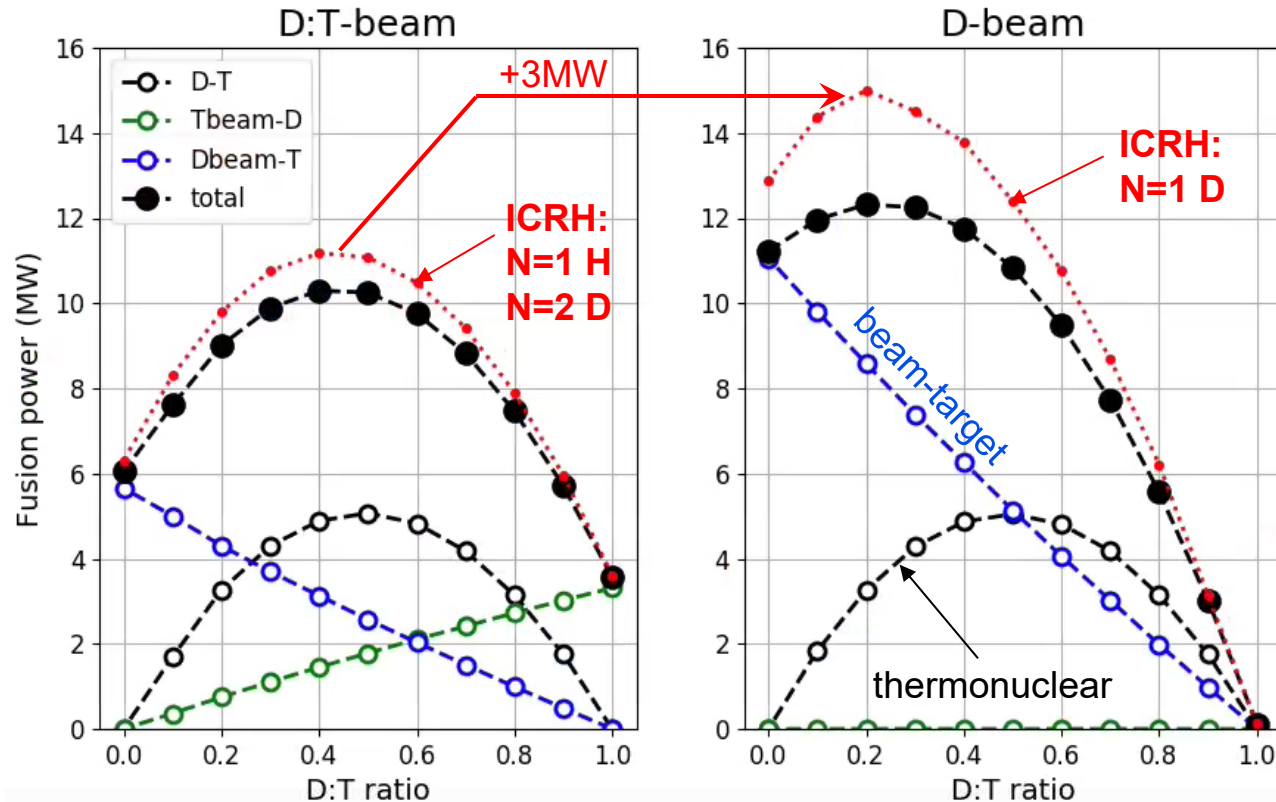
D-beam:

- Max. fusion with D:T ratio < 0.3
- With D:T = 20:80
25% thermonuclear
75% beam-target

- T-rich plasmas with D-nbi and N=1 D ICRH allows maximizing fusion power in JET ('beam'-target reactions)
- Expect about 3MW of fusion enhancement with 5MW ICRH

[Lerche et al., AIP2023]

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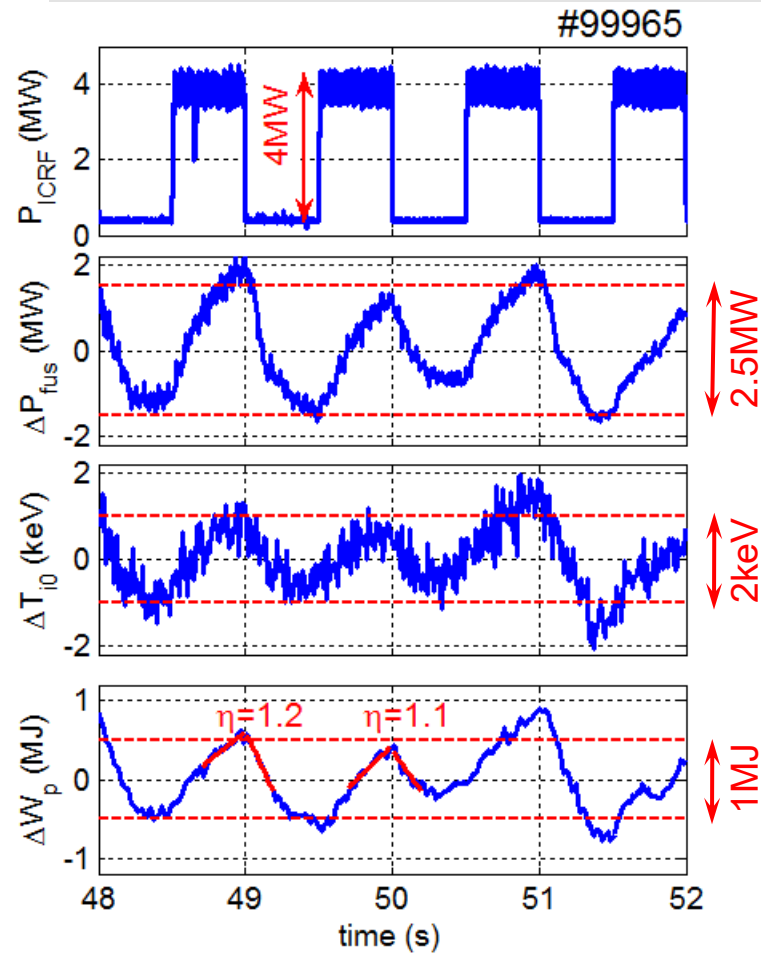
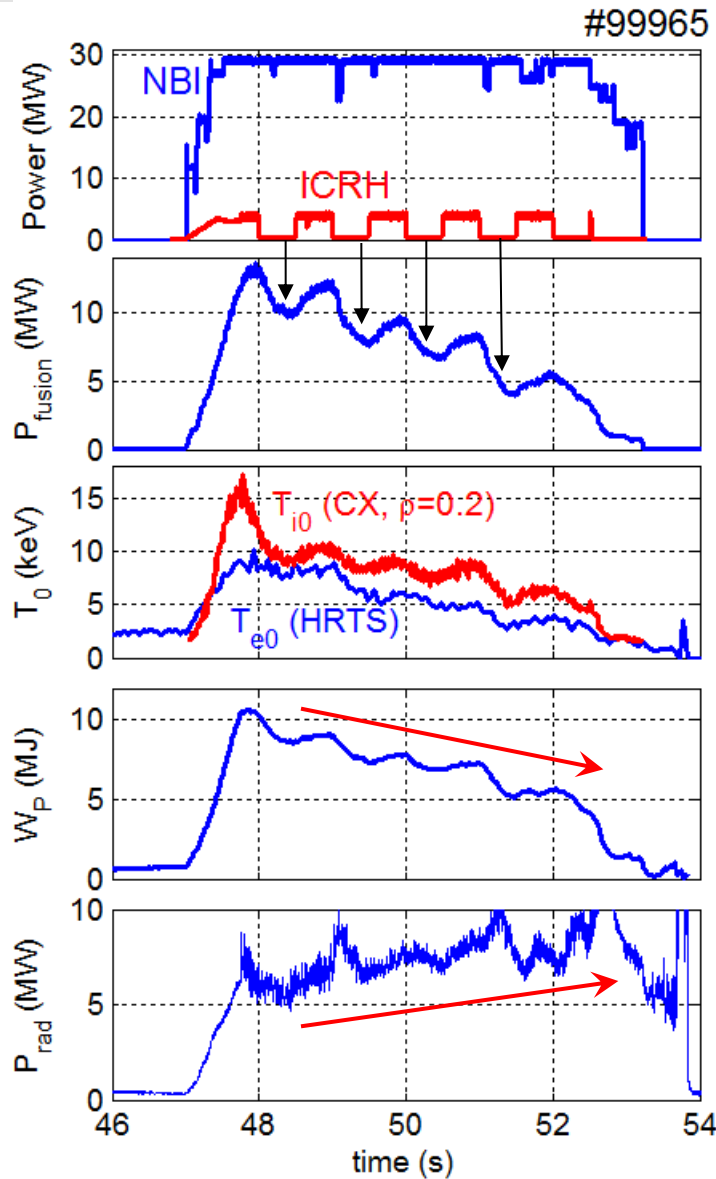
- T-rich plasmas with D-nbi and N=1 D ICRH allows maximizing fusion power in JET ('beam'-target reactions)

Done prior to the expts.

- Expect about 3MW of fusion enhancement with 5MW ICRH

[Lerche et al., AIP2023]

ICRH impact on D-T fusion power



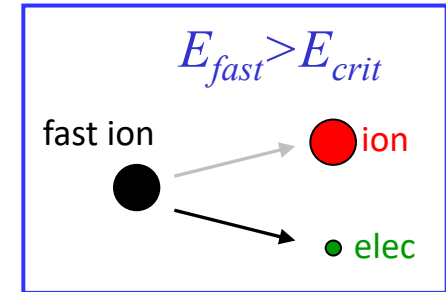
$$\Delta P_{\text{RF}} = 4\text{MW}$$

$$\Delta P_{\text{fus}} > 2.5\text{MW}$$

($Q_{\text{ICRH}} > 0.6$)

$$\Delta T_{i0} \sim 2\text{keV}$$

$$\Delta W_p \sim 1\text{MJ}$$



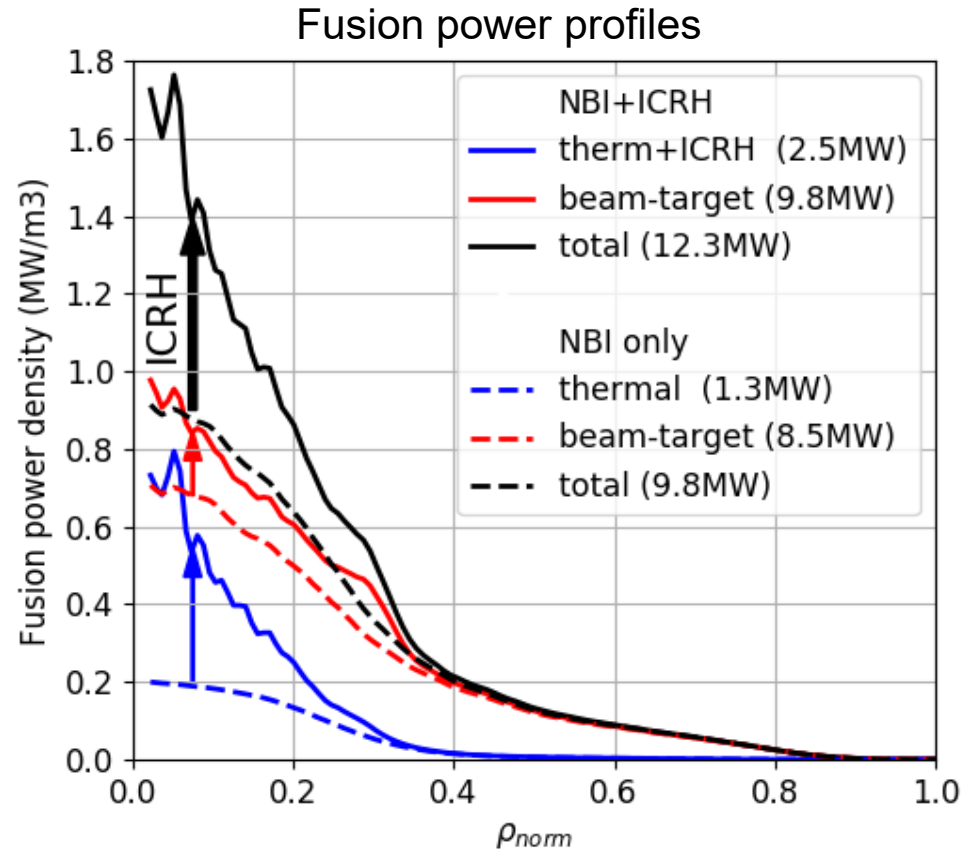
- Excellent RF wave absorption with N=1 D heating (break-in-slope)

[Mantica et al.,
IAEA-FEC 2023]

[Lerche et al., AIP2023]

- Fusion power strongly correlated with ICRH power waveform

Pulse #99971 (49s): All ion distributions are non-Maxwellian



$P_{\text{fus}} = 9.8\text{MW} \rightarrow 12.3\text{MW}$ (+2.5MW with 4MW ICRH)

thermonuclear: 20%
'beam'-target: 80%

Note: In the core ($\rho < 0.2$), the ratio 'thermal' / BT is larger (33 : 67)

ICRF fusion enhancement:

- $\eta_{\text{ICRF}} = 2.5/9.8 = 25\%$
- $Q_{\text{ICRF}} = 2.5/4.0 = 0.62$

Done after the expts.

- Beam-target reactions are dominant but the ICRH enhancement is stronger on the thermonuclear component in the plasma centre
- Predicted RF fusion enhancement is in very good agreement with experimental measurements (incl. neutron spectroscopy)

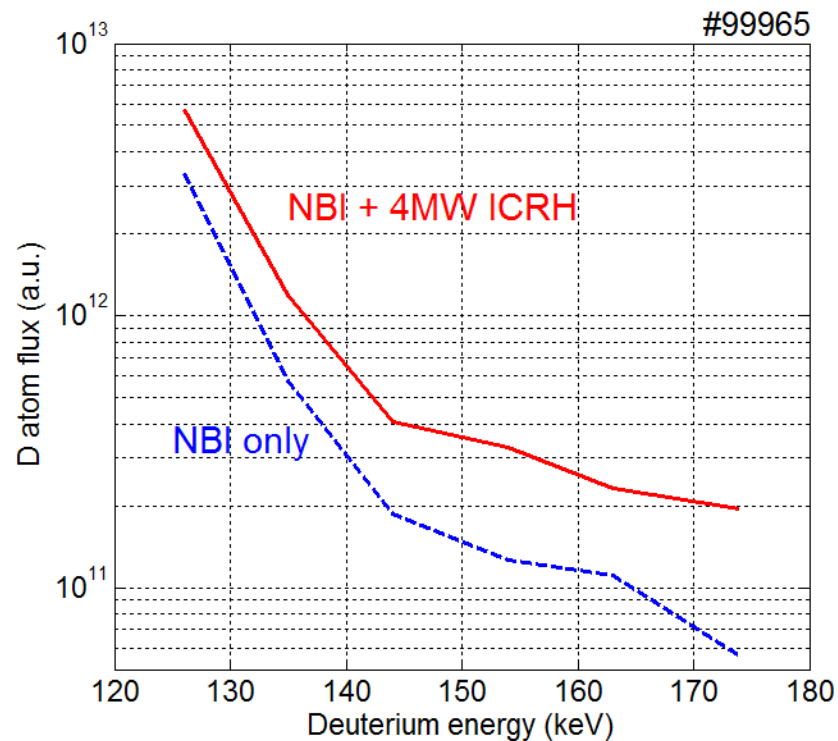
[Lerche et al., AIP2023]

[Nocente et al., NF2023]

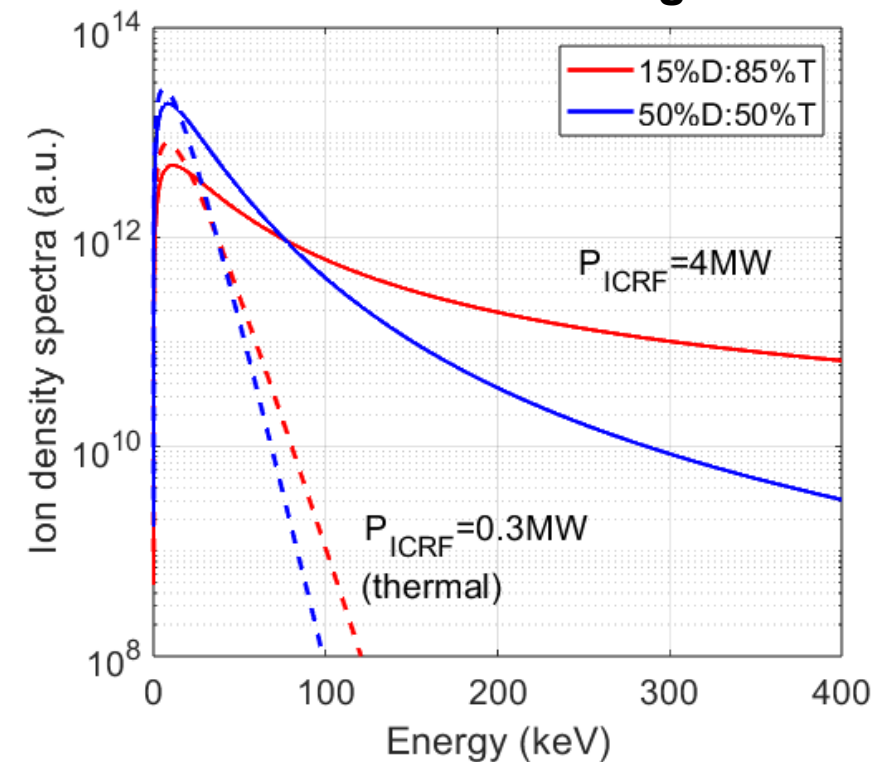
[Mantica et al., IAEA2023]

[Lerche et al., NF2026]

Neutral particle analyzer



ETS modelling

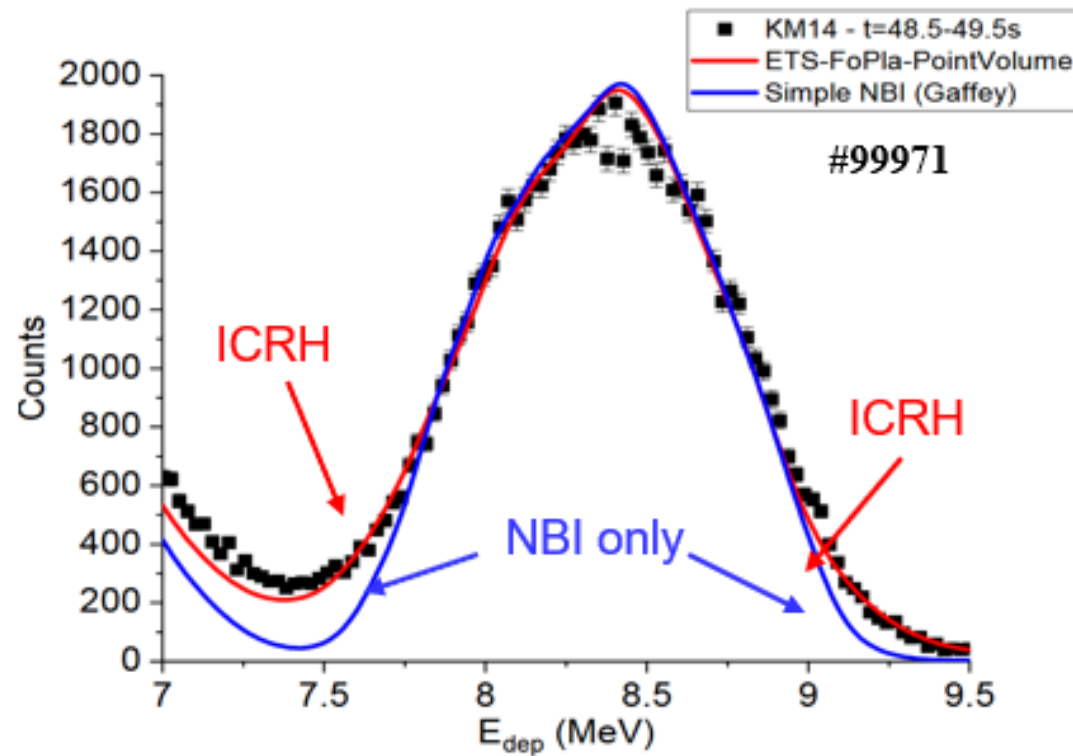


- Substantial increase of D_{fast} population in $E=120\text{-}170\text{keV}$ range
- Supported by ETS H&CD modelling

[Kiptily et al., IAEA-FEC 2023]

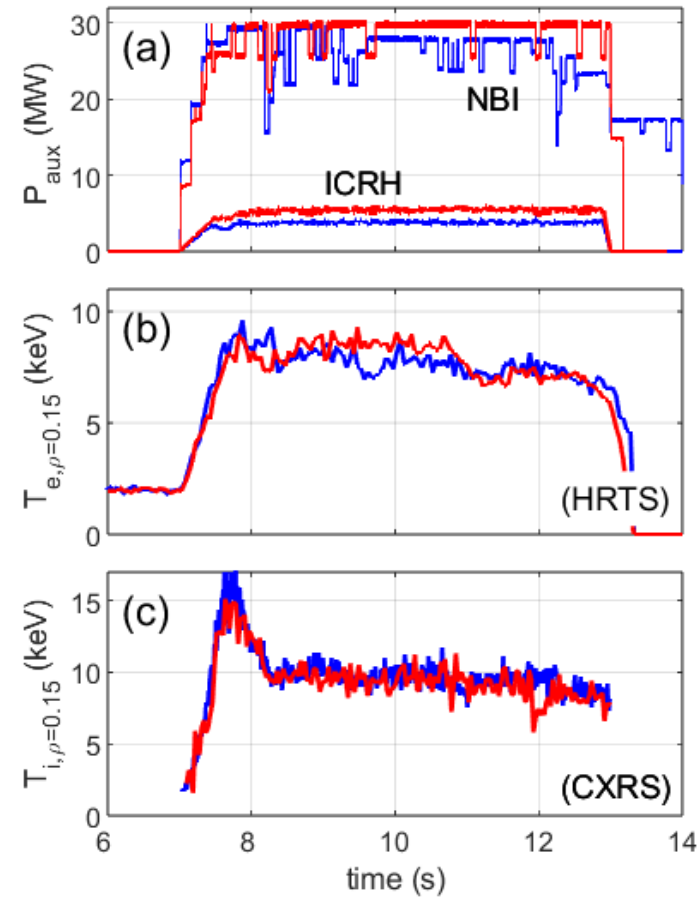
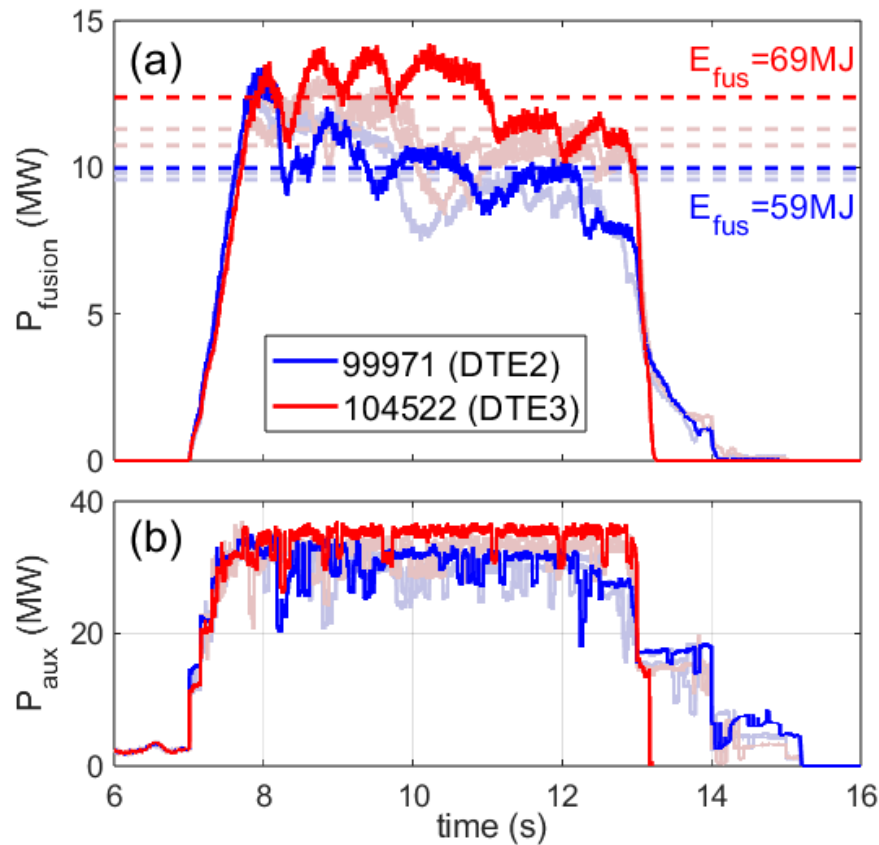
[Lerche et al., NF2026]

Diamond neutron spectrometer



- Neutron spectrometry suggests 20-25% thermal contribution to 14MeV neutrons (75-80% beam-target fusion)
- Spectral broadening of the neutron spectra is consistent with ICRH modelling

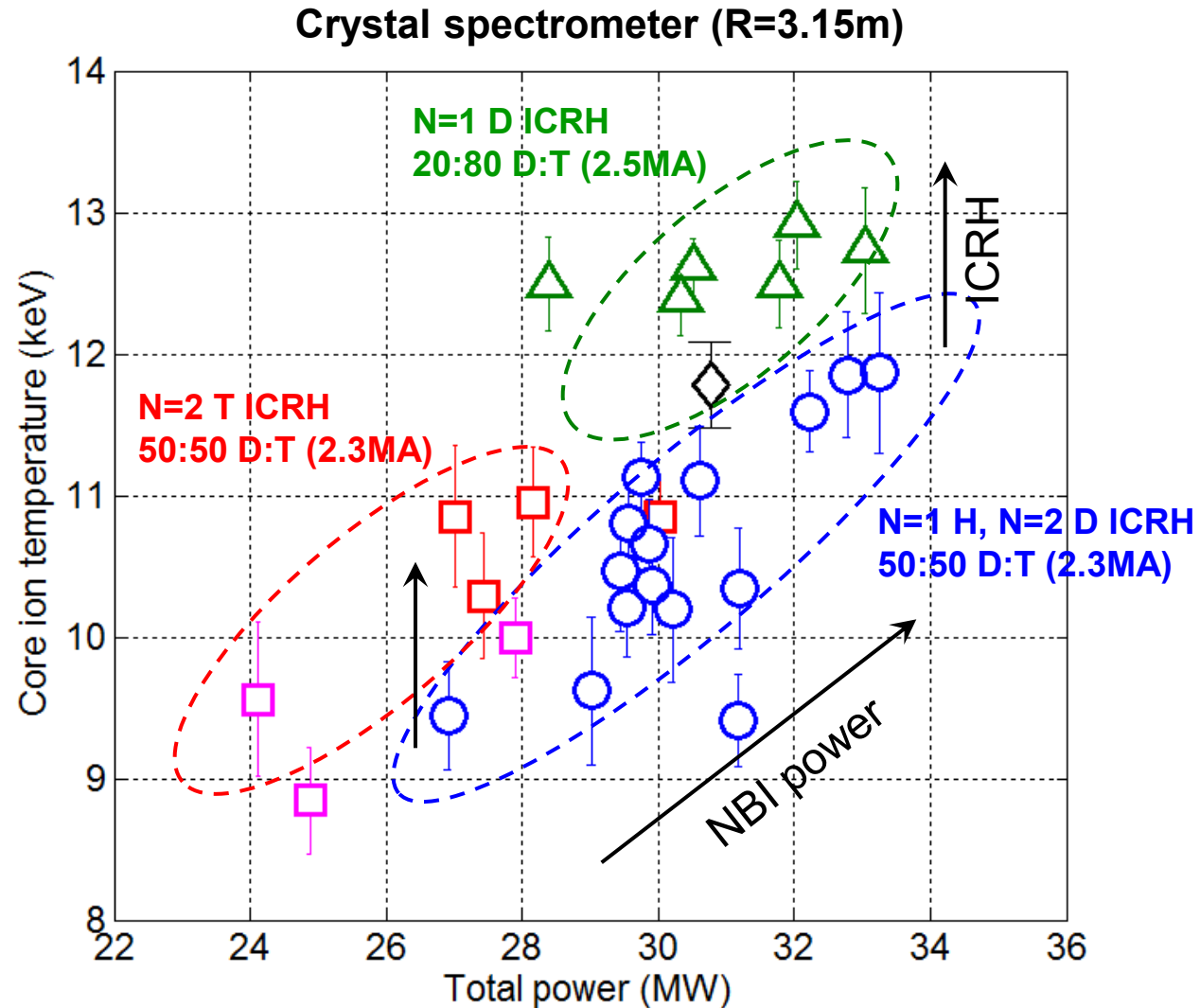
[Nocente et al., IAEA-FEC 2023] [Kiptily et al., IAEA-FEC 2023]



- Steady NBI power $\rightarrow$ Larger fusion yield
- Extra 1.5MW of ICRH $\rightarrow$ Larger fusion enhancement + stationarity (impurity screening)

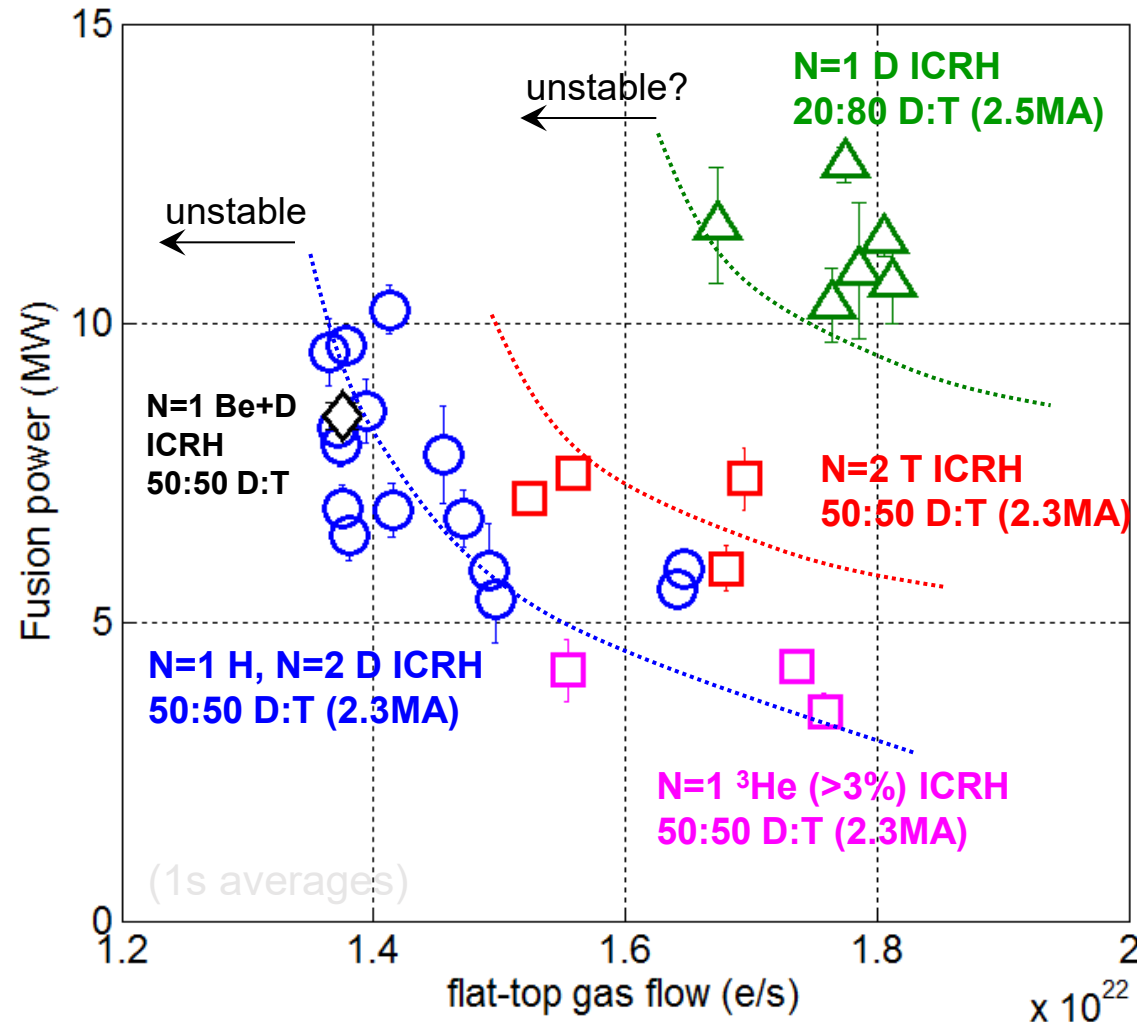
Core ion temperature vs input power

- Core ion temperature increases with total input power ($E_{\text{crit}} \sim 150\text{-}200\text{keV}$)
- ICRH scenarios with predominant ion heating feature higher core T_i for same input power



Overview: Fusion power vs. fuelling rate

- Increased fusion power as gas flow rate is reduced (larger pedestal t
- Improved P_{fus} with **N=2 T ICRH** heating at medium gas level (but lower NBI)
- Highest P_{fus} in T-rich plasmas with **N=1 D ICRH** despite higher gas injection,



unstable = Slow ELM's, imp. accumul.

Less core electron heating =
Higher gas flow threshold for
stationarity:

N=2 T ICRH:

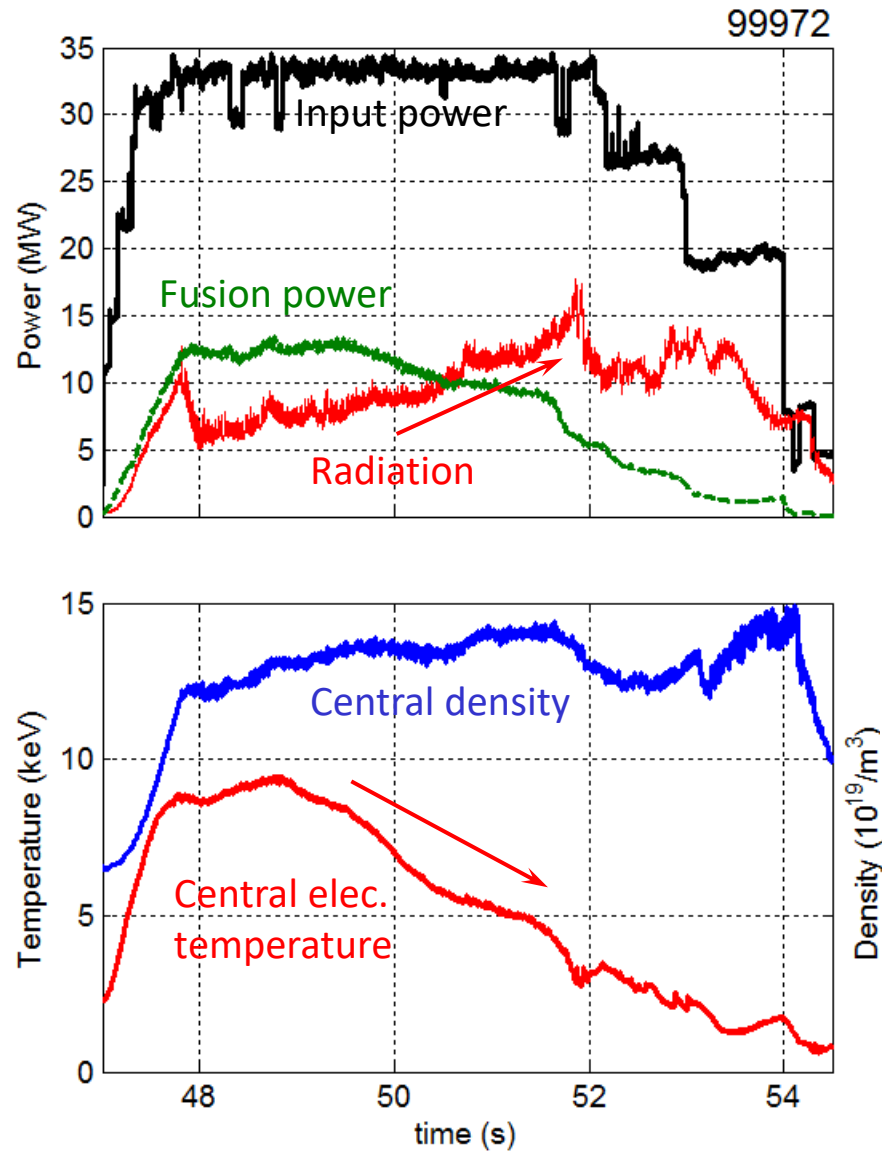
- Marginal core electron heating ?

N=1 D ICRH:

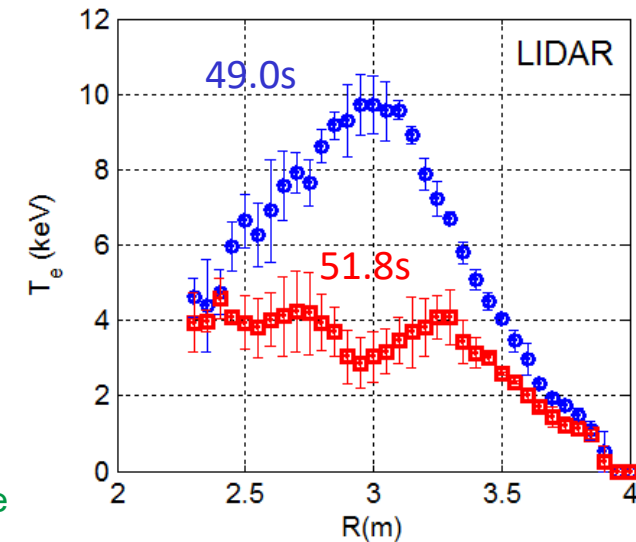
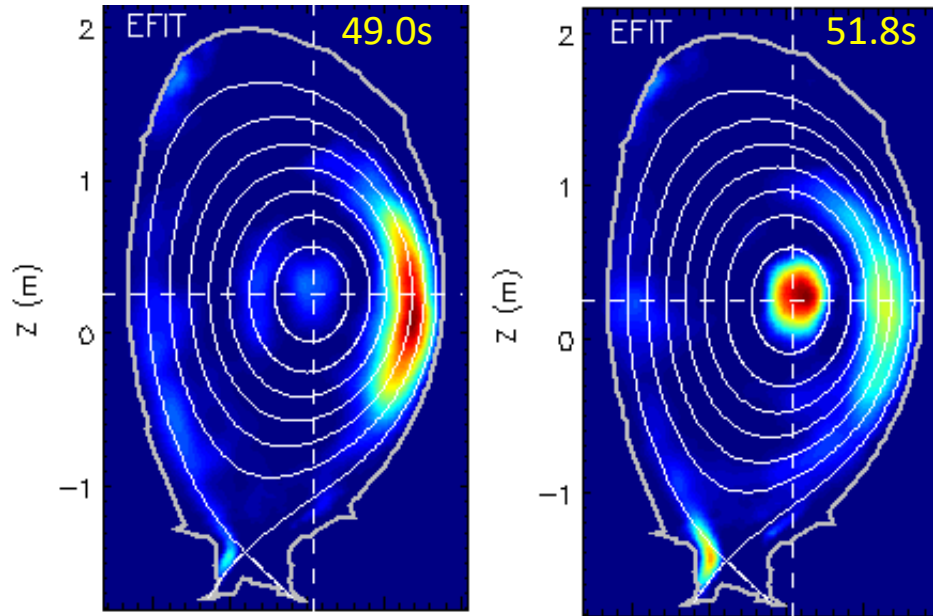
- Higher I_p (needs more gas)

- Marginal core electron heating?

Core impurity accumulation

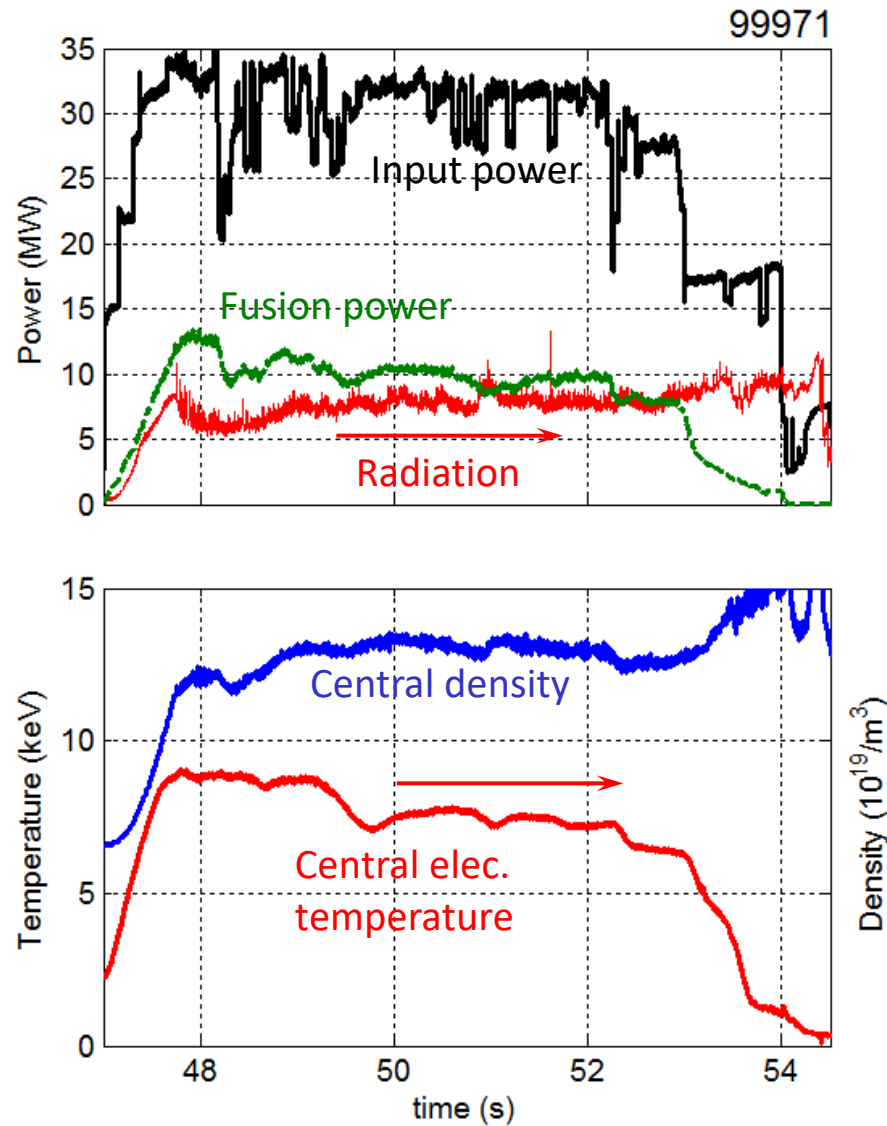


Radiation (bolometer tomography)

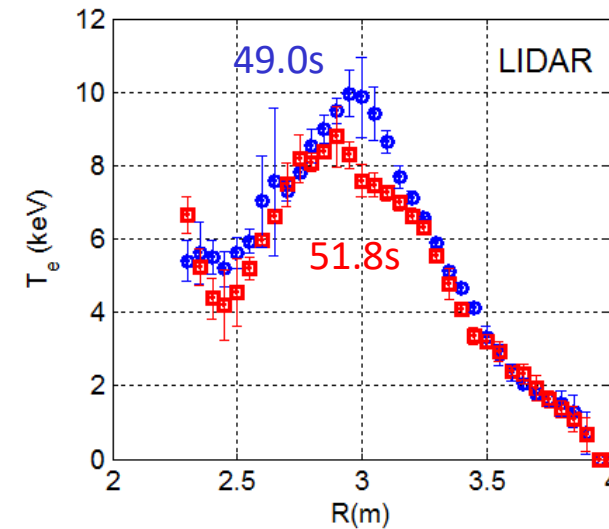
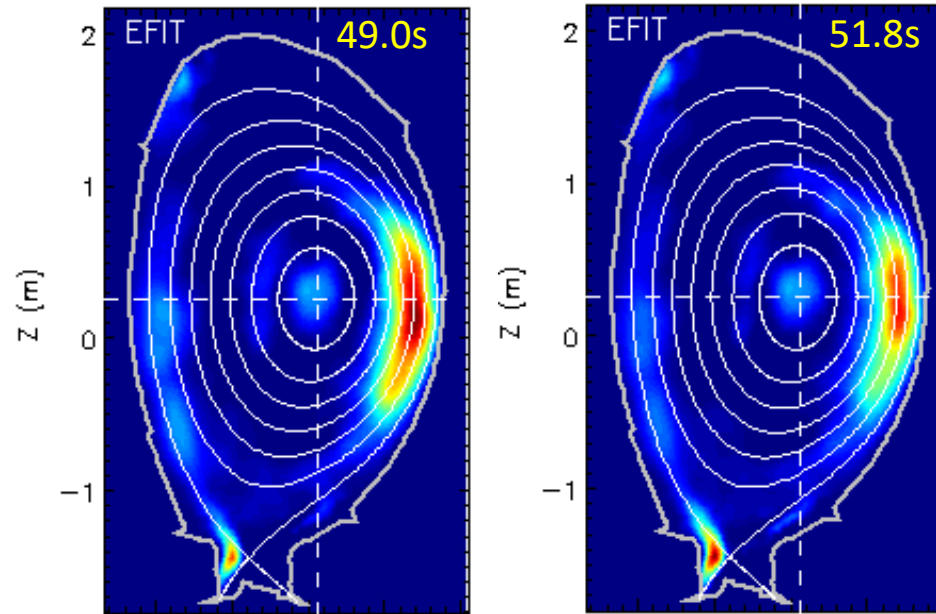


- Core radiation $\rightarrow$ lower $T_{e0} \rightarrow$ more radiation $\rightarrow$ hollow T_e

(No) Core impurity accumulation



Radiation (bolometer tomography)

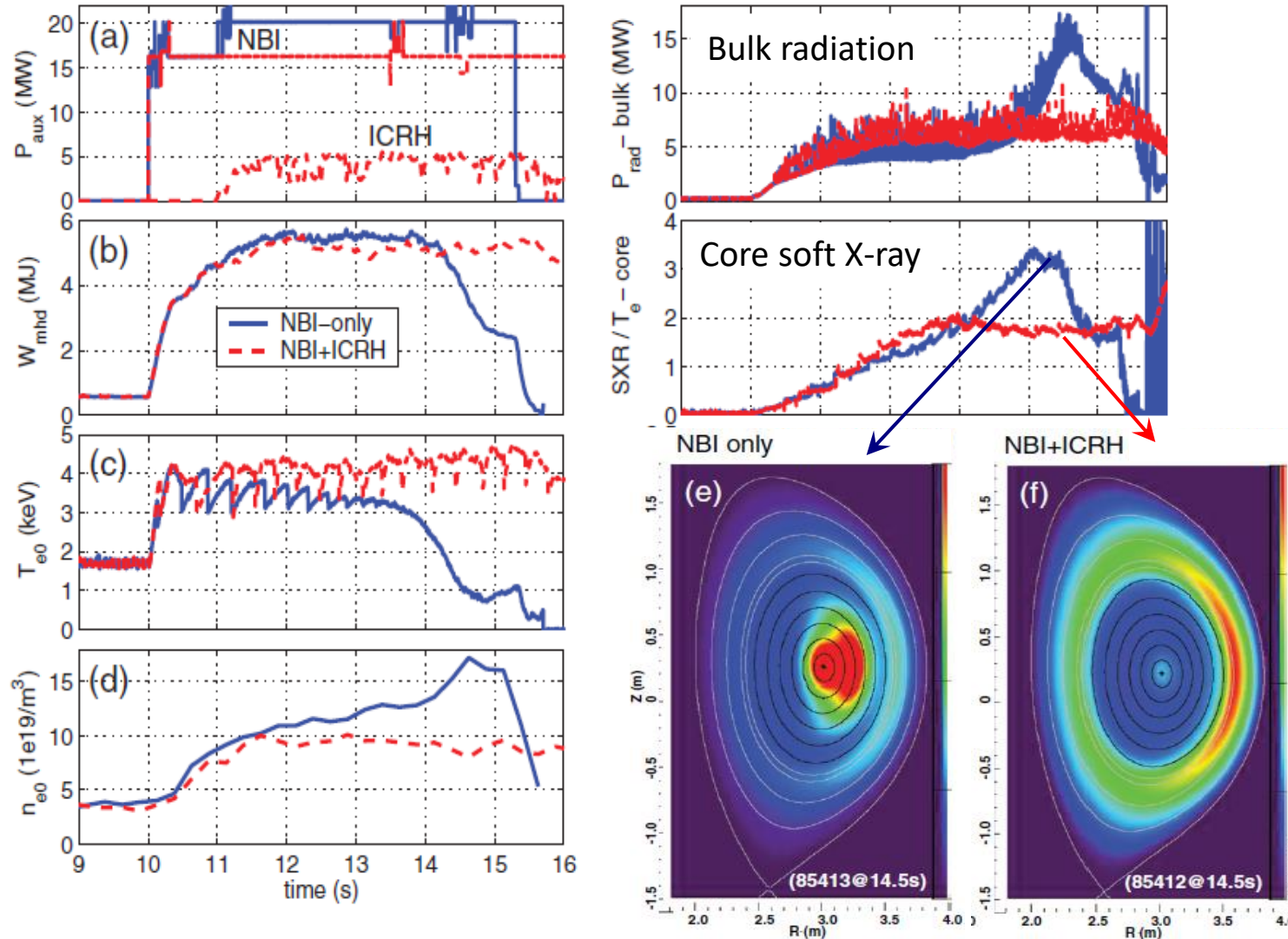


- Radiation roughly constant; kept in the outer part of the plasma $\rightarrow T_e$ profile stays peaked

Core impurity control using ICRH



- D-D Neon seeded baseline discharge (2.7T/2.5MA)

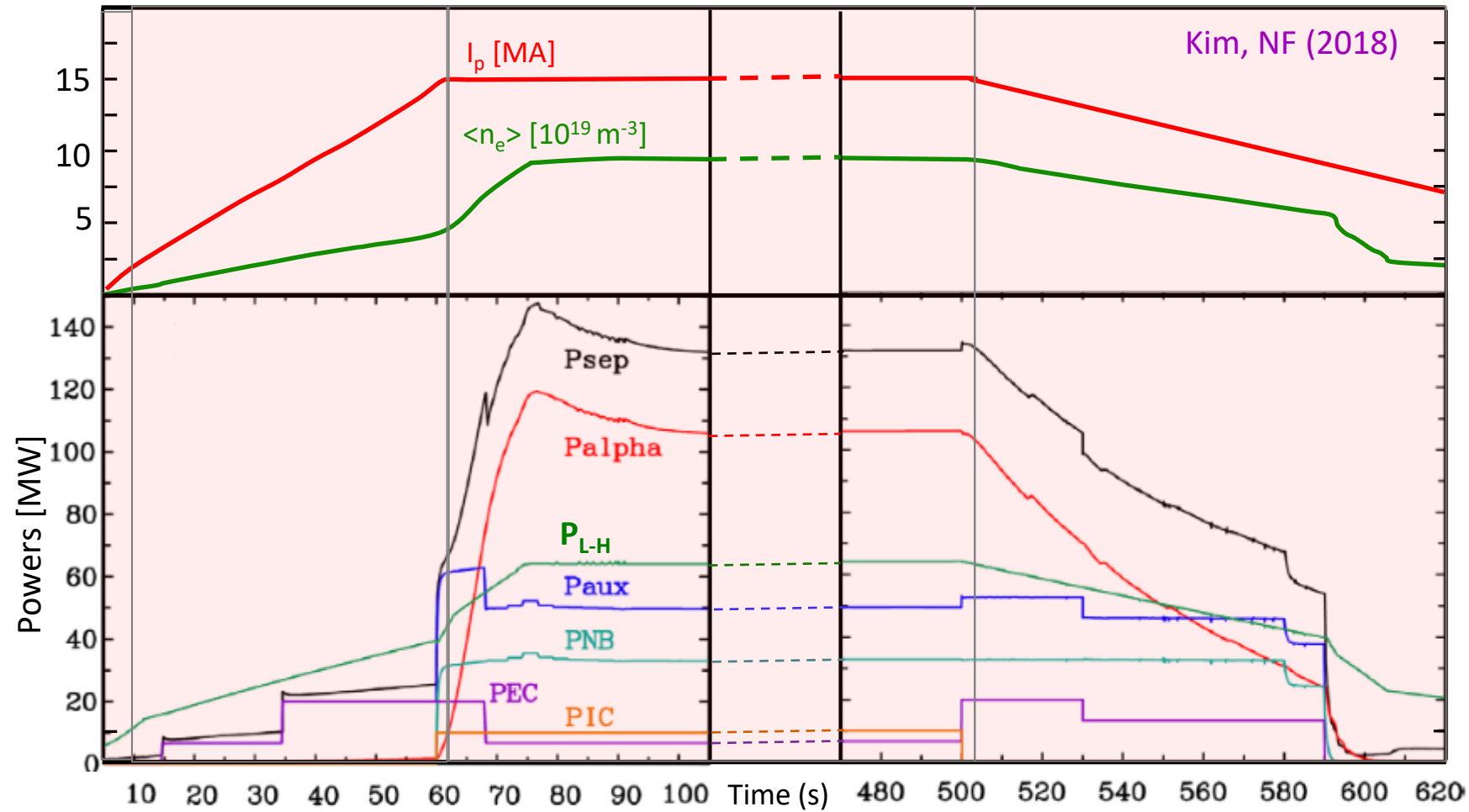


[Lerche, Nucl. Fusion 2016]

- Central ICRH is key for impurity control in high performance JET plasmas (elec. heating)

- Brief introduction to thermonuclear fusion
- Auxiliary heating systems in tokamaks
 - Introduction
 - Neutral beam injection (NBI)
 - Ion cyclotron heating (ICRH)
 - Electron cyclotron heating (ECRH)
- Overview of JET D-T fusion results
- The role of NBI on JET high performance D-T plasmas
 - Impact of NBI on H-mode access
 - Impact of NBI on flat-top performance
- Impact of ICRH on D-T plasmas & fusion performance
 - H minority heating examples
 - He³ minority heating examples
 - Fundamental Deuterium and DNBI heating (D-T fusion record)
 - ICRH for impurity control
- **Some ITER predictive D-T simulations**
 - Access to burn-through plasmas
 - Impact of ICRH power on fusion performance

1) Initiation 2) Ramp-up 3) Flattop 4) Termination



Courtesy of M. Schneider

1) Initiation

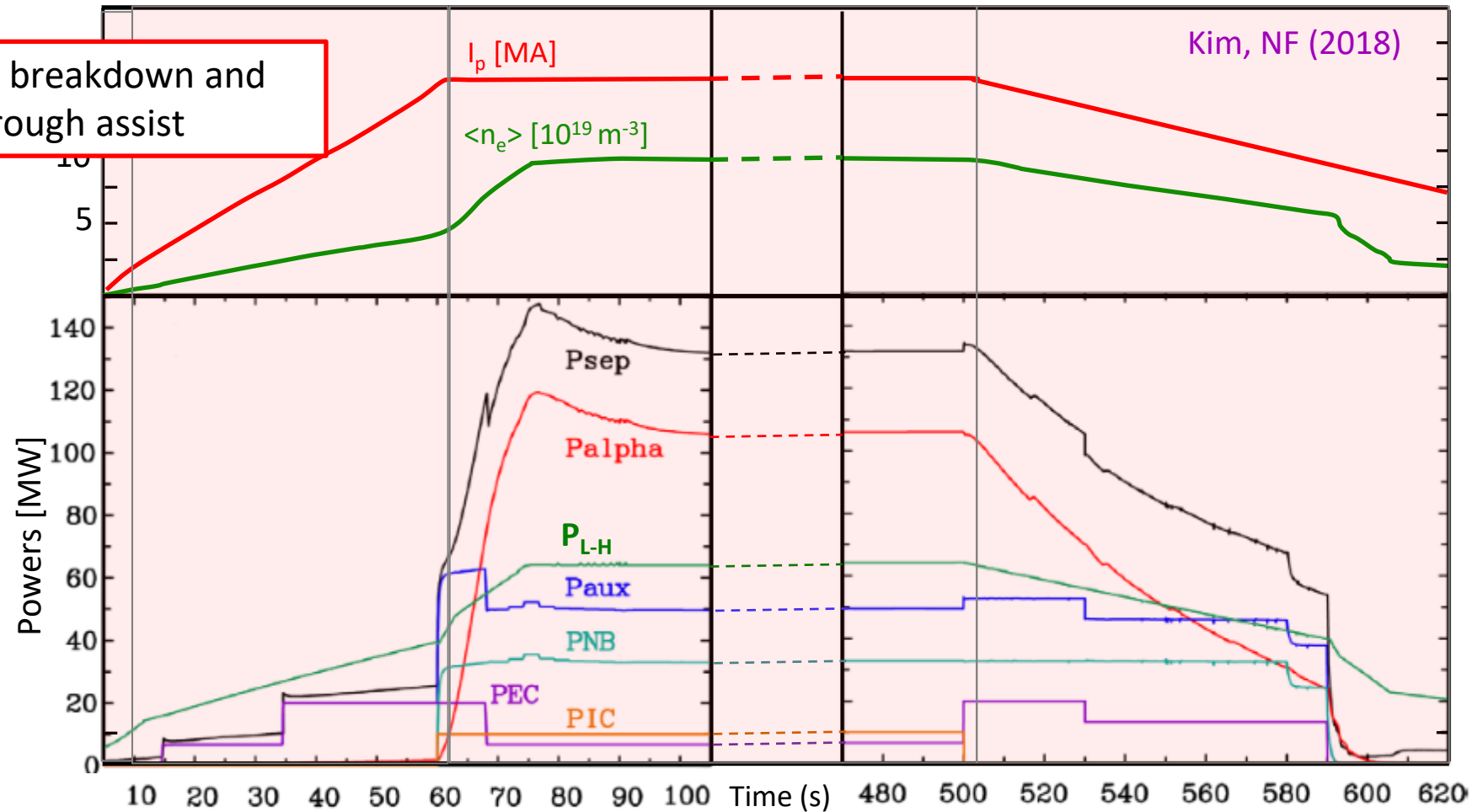
2) Ramp-up

3) Flattop

4) Termination

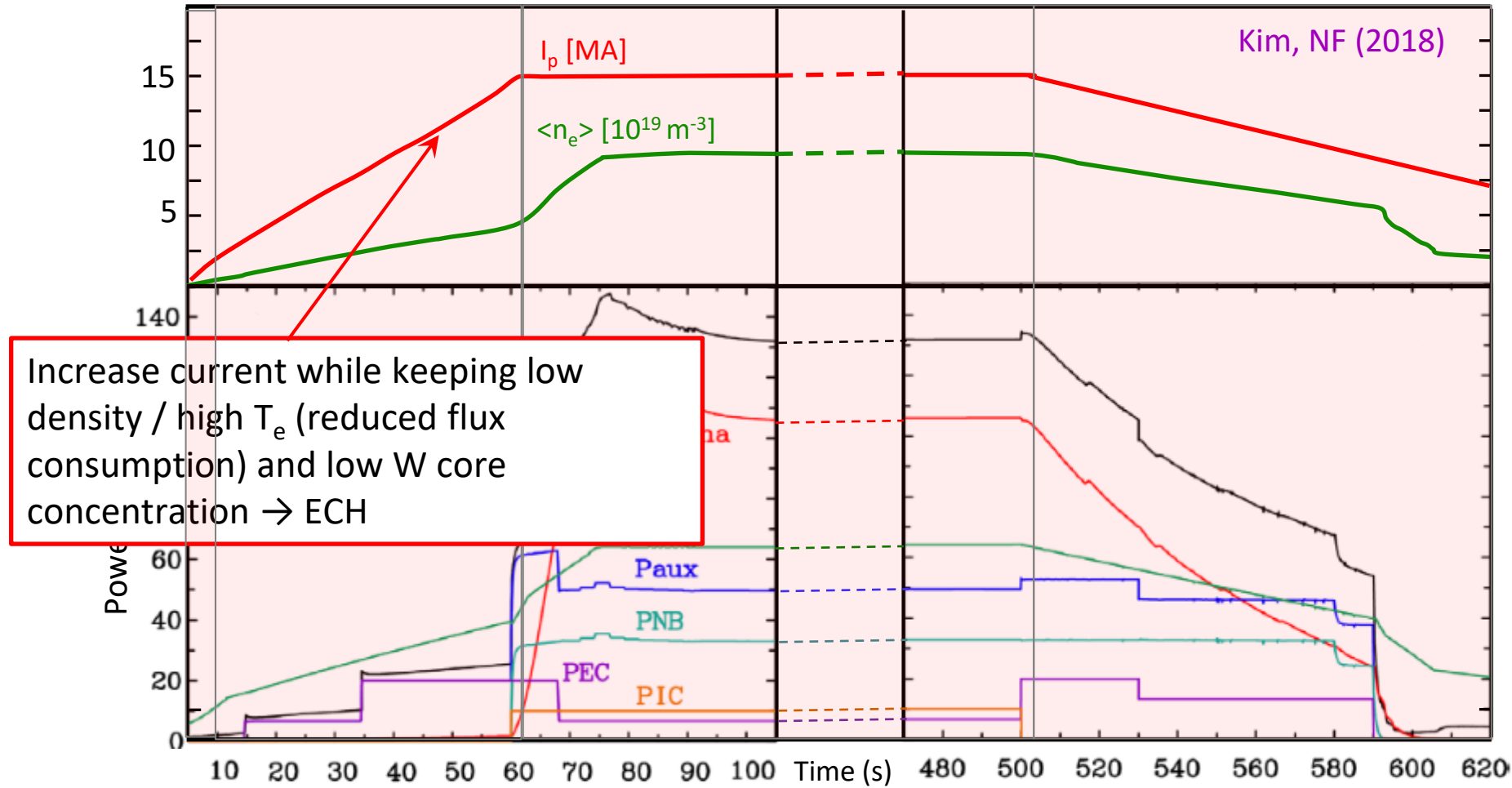
ECH for breakdown and burnthrough assist

Kim, NF (2018)



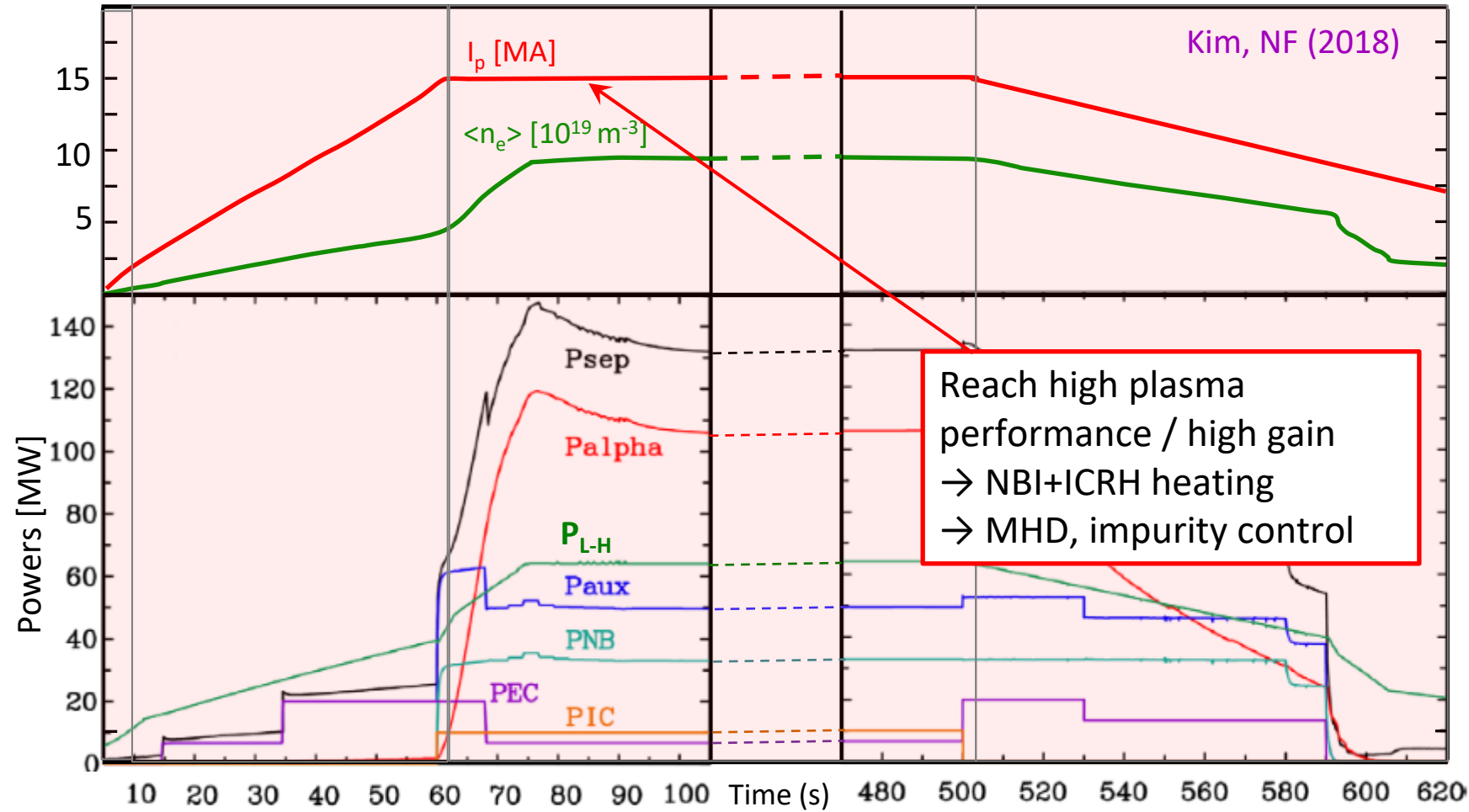
Courtesy of M. Schneider

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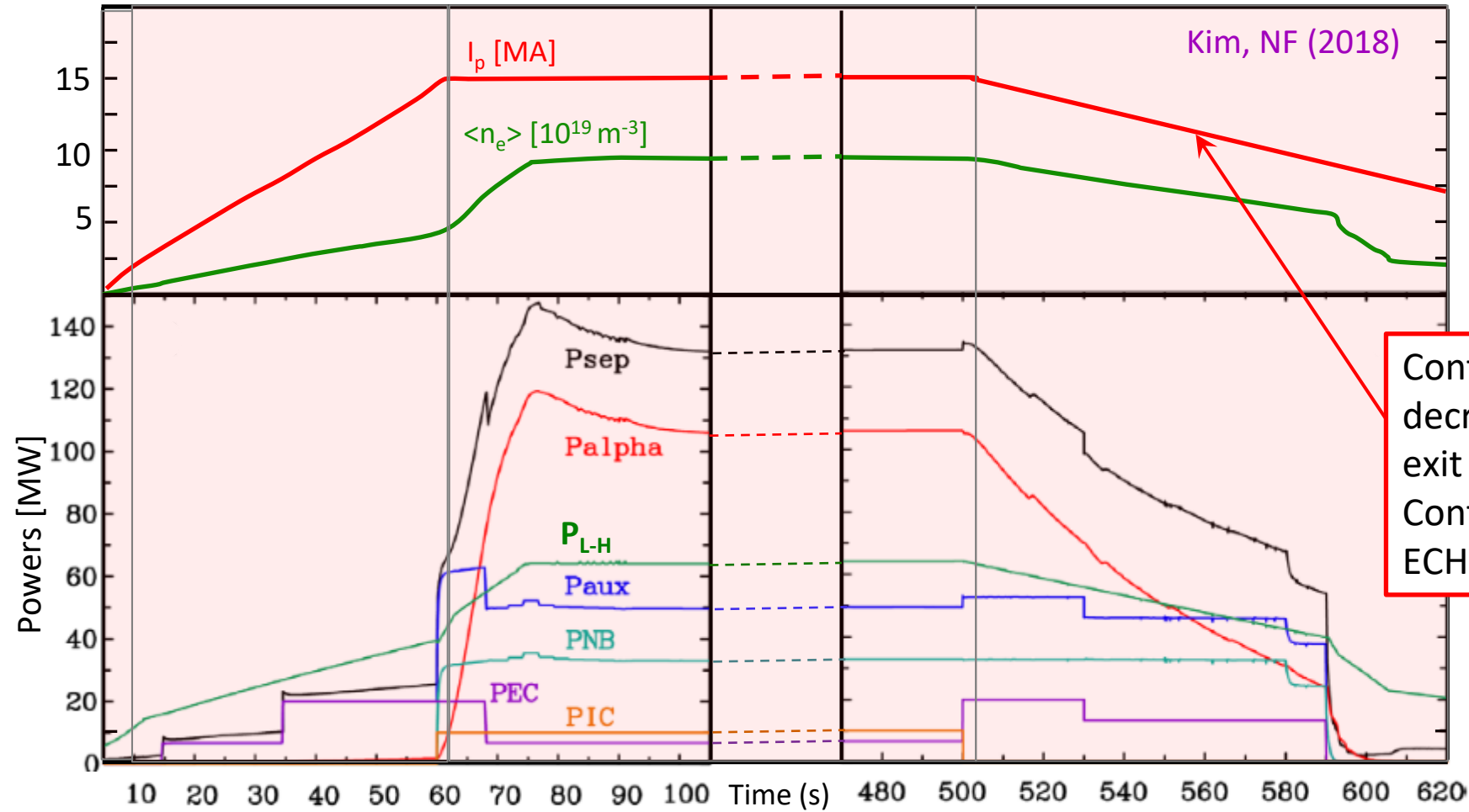
Courtesy of M. Schneider

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Courtesy of M. Schneider

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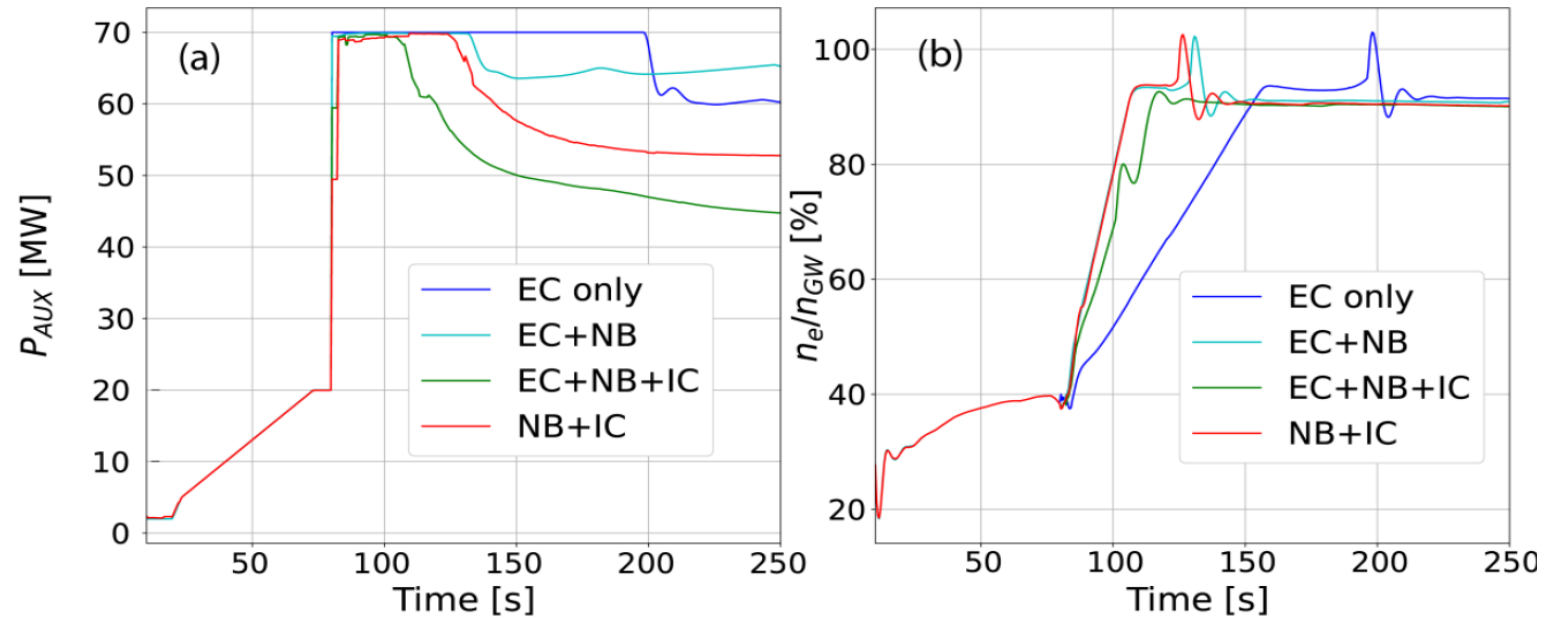


Control plasma energy decrease during H-mode exit to avoid wall contact
Control particle exhaust
ECH to avoid impurities

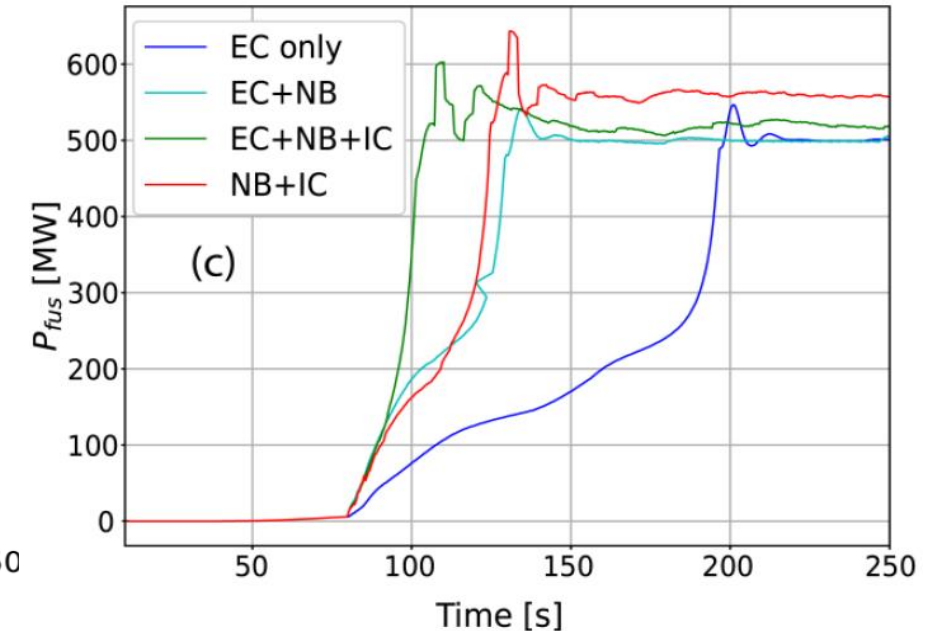
Courtesy of M. Schneider

- Build-up of alpha heating is essential to access burn plasma conditions
- Density ramp should not be too fast $\rightarrow$ Tailoring $P_{H\&CD}/\langle n_e \rangle$ is critical
- Too fast n_e ramp $\rightarrow$ Ti drops $\rightarrow$ Alpha heating drops $\rightarrow$ No H-mode access

ITER 15 MA 5.3 T DT $\max(P_{AUX})=70$ MW $\sim 0.9n_{GW}$ $f_{rad,core} = 30\%$



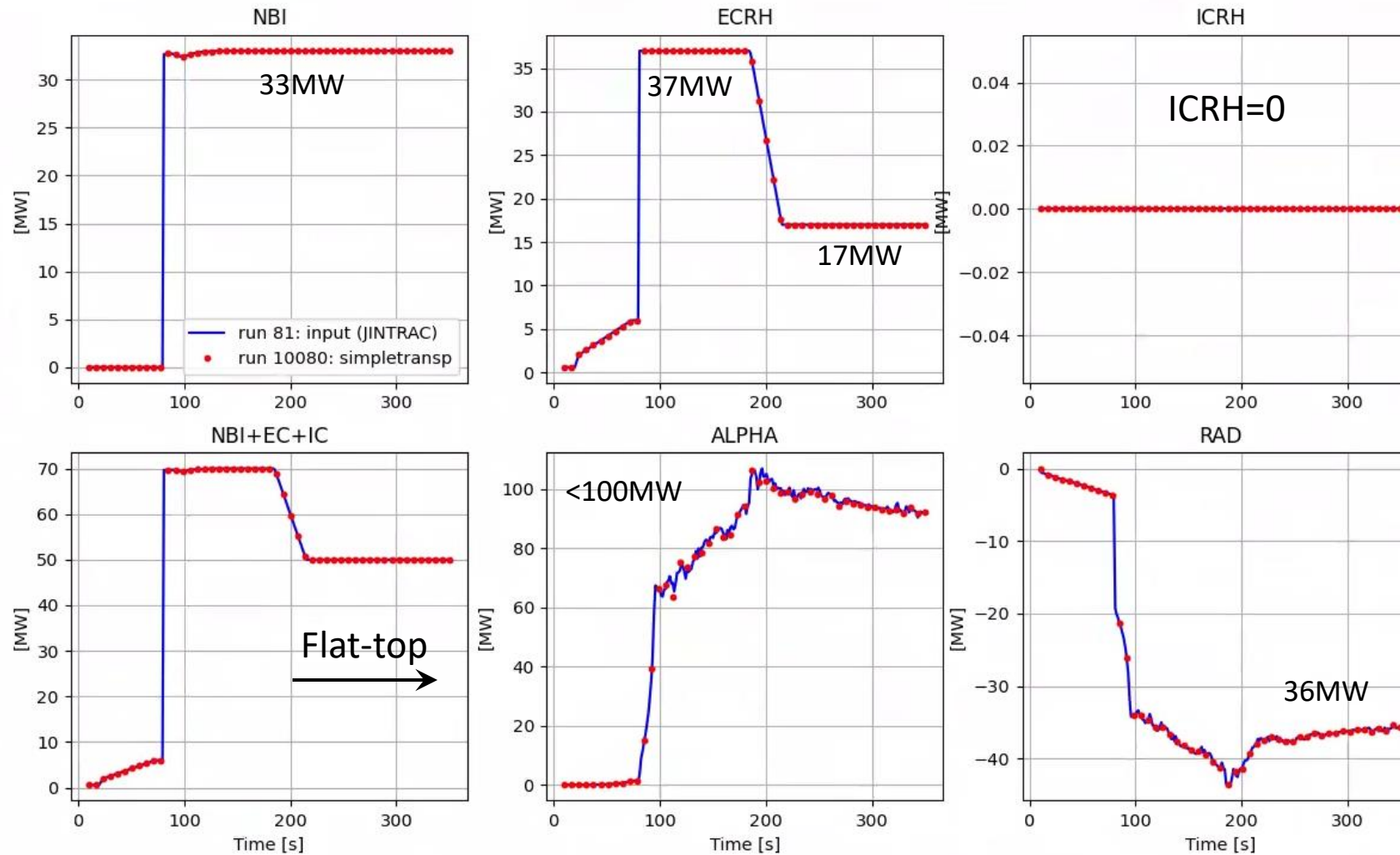
[Yoon IAEA FEC 2026]



- ECRH only needs slow density ramp for equipartition to take place (larger T_i) to ensure large fusion power in the flat-top phase
- NBI+ICH based scenarios allow for higher fusion gain (due to more ion heating)

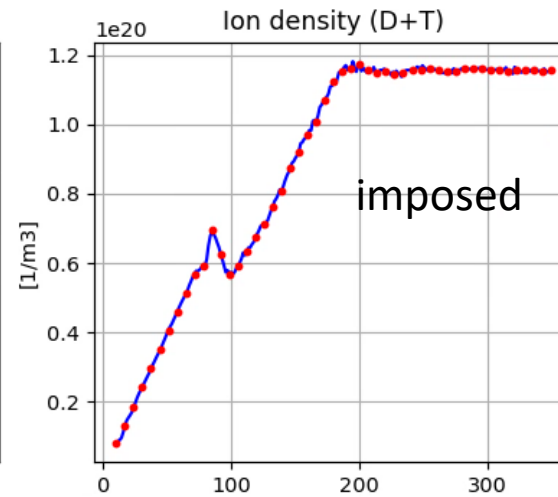
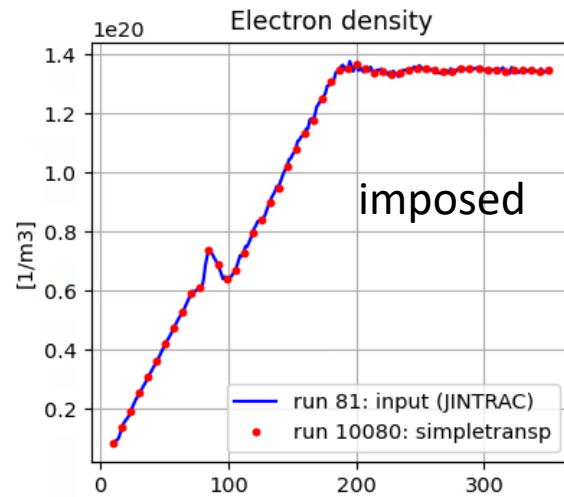
JINTRAC (run 80)

ETS H&CD (verification using JINTRAC transport coefficients)

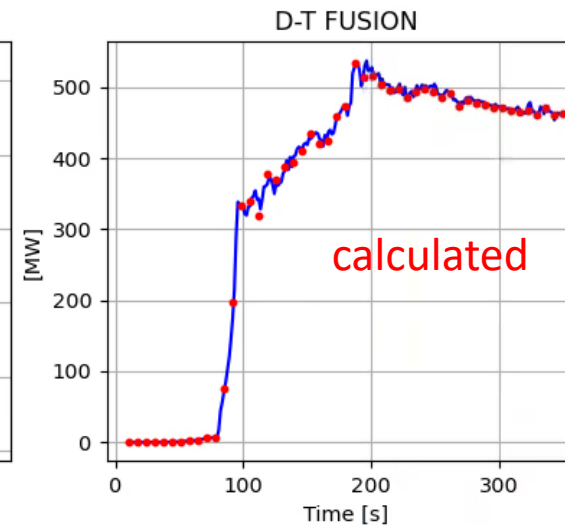
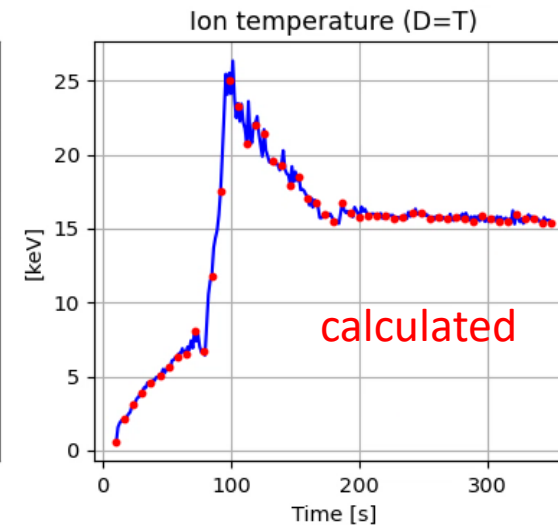
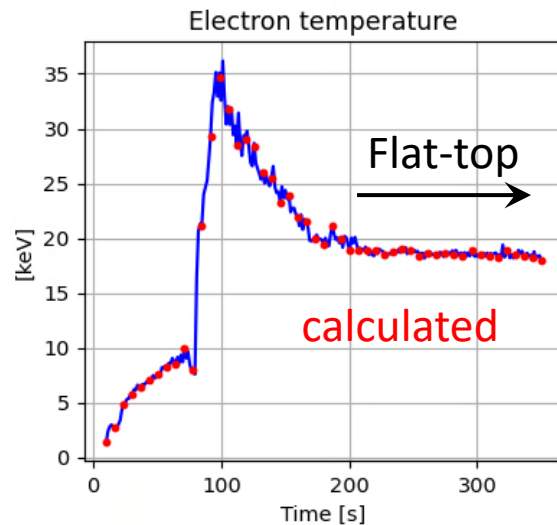


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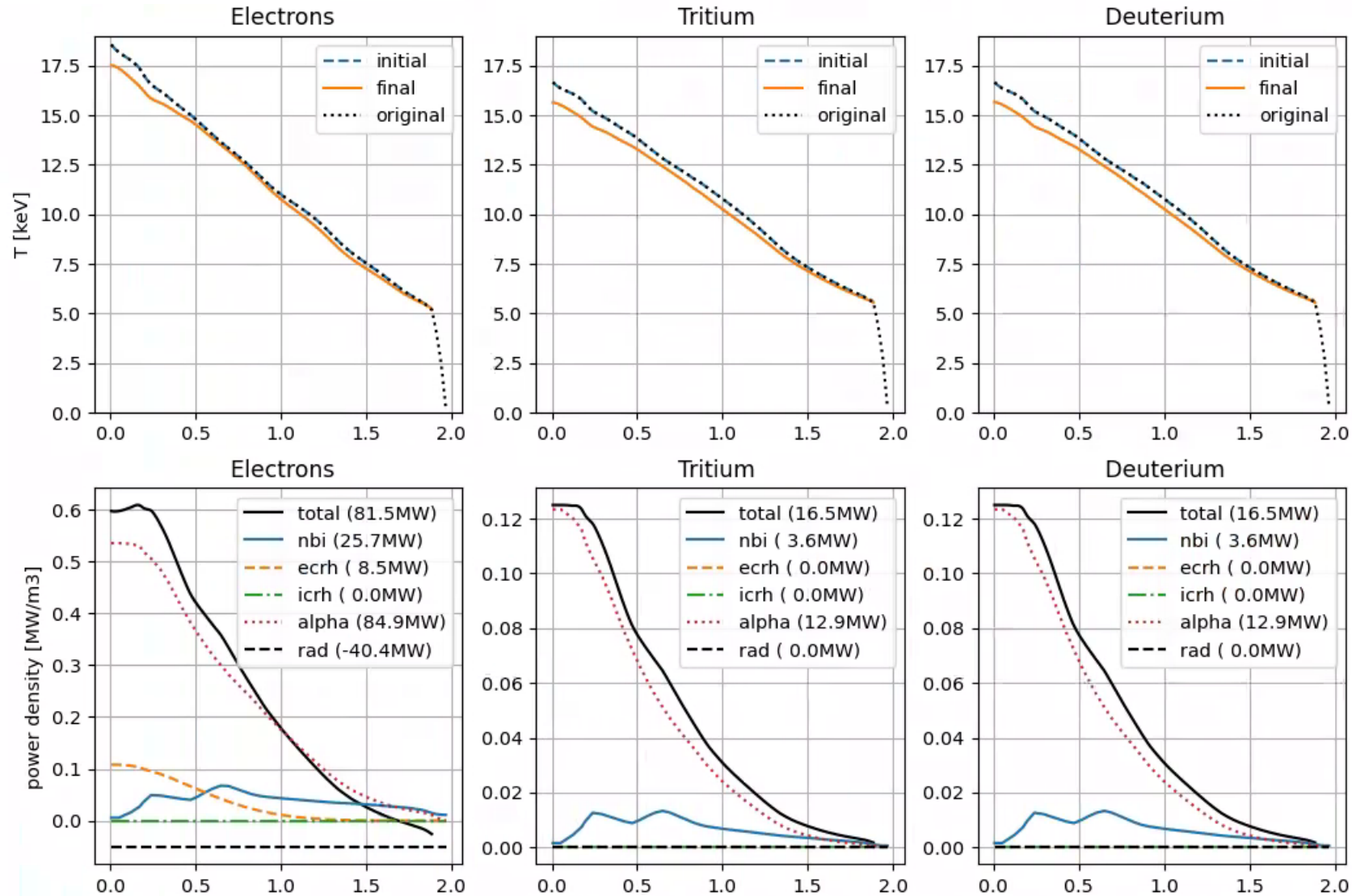
Perfect agreement
(by definition)



ITER modelling: $P_{icrh} = 0\text{MW}$



shot=53301, run_in=481, run_out=8481, time=300.0,
Bo=5.3T / Ip=15MA Pnbi=33.0MW, Pecrh= 8.5MW, Picrh= 0.0MW, Palpha=110.7MW, Prad=-40.4MW



$T_i < \text{reference}$

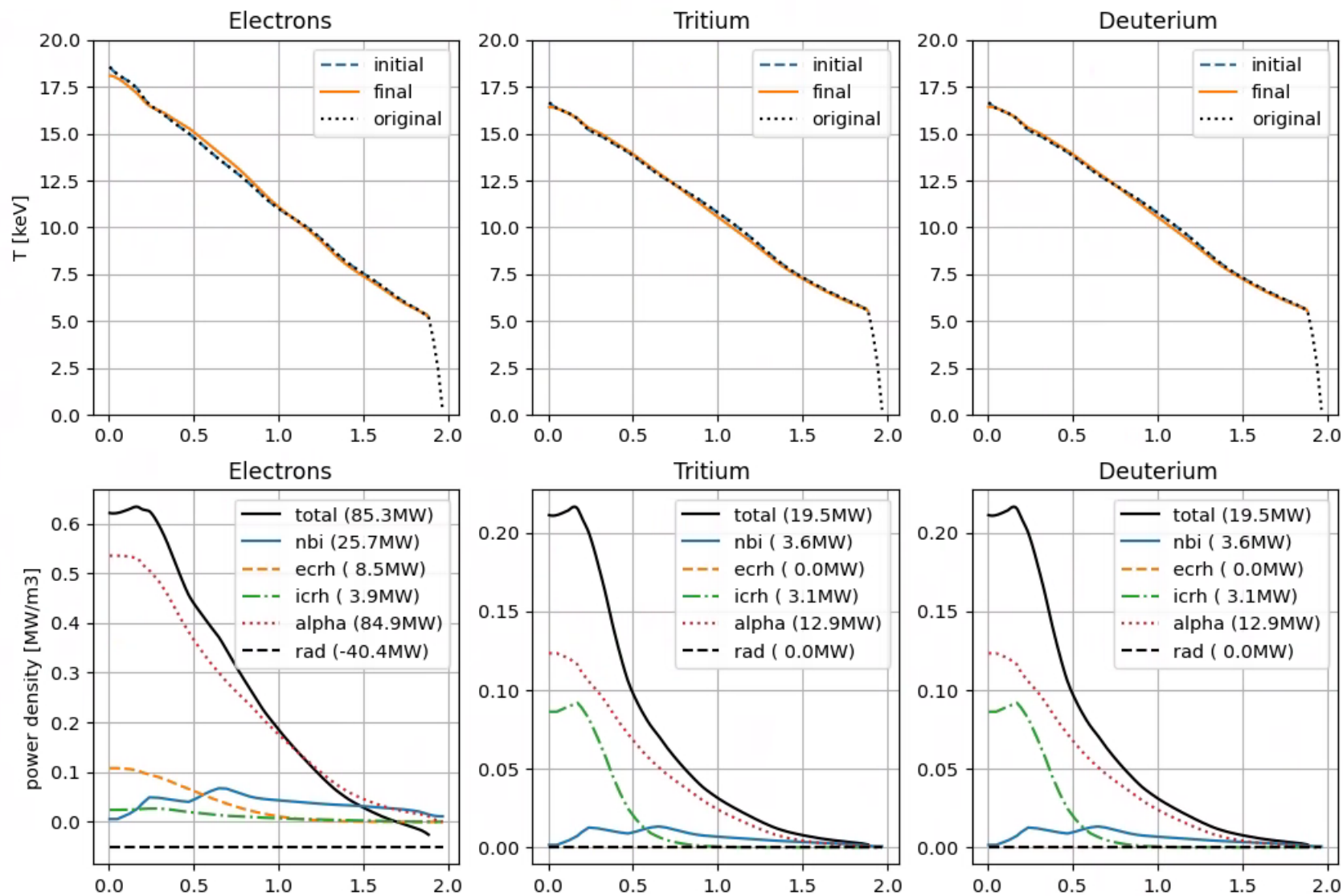


Very low ion heating

ITER modelling: $P_{icrh} = 10\text{MW}$ (reference)



shot=53301 , run_in=481 , run_out=8481 , time=300.0 ,
Bo=5.3T / Ip=15MA Pnbi=33.0MW, Pecrh= 8.5MW, Picrh=10.0MW, Palpha=110.7MW, Prad=-4C



$T_i = \text{reference}$

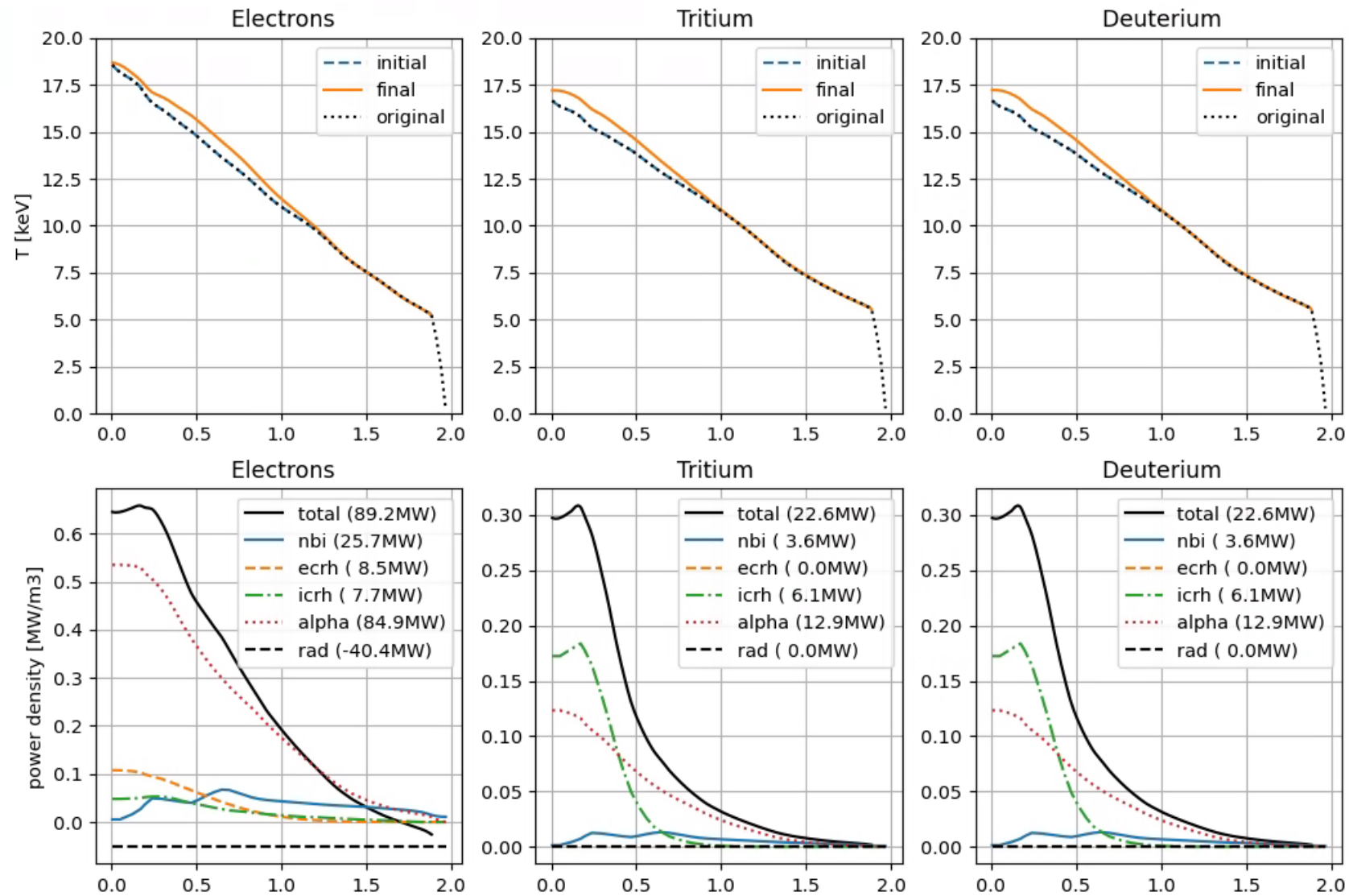


Some central ion heating with ICRH

ITER modelling: $P_{icrh} = 20\text{MW}$



shot=53301, run_in=481, run_out=8481, time=300.0,
Bo=5.3T / Ip=15MA Pnbi=33.0MW, Pecrh= 8.5MW, Picrh=20.0MW, Palpha=110.7MW, Prad=-40.4MW



$T_i > \text{reference}$



More central ion heating with ICRH

Example of non-iterative ICRH power scan (preliminary)



run 81: simpletransp ICRH=0

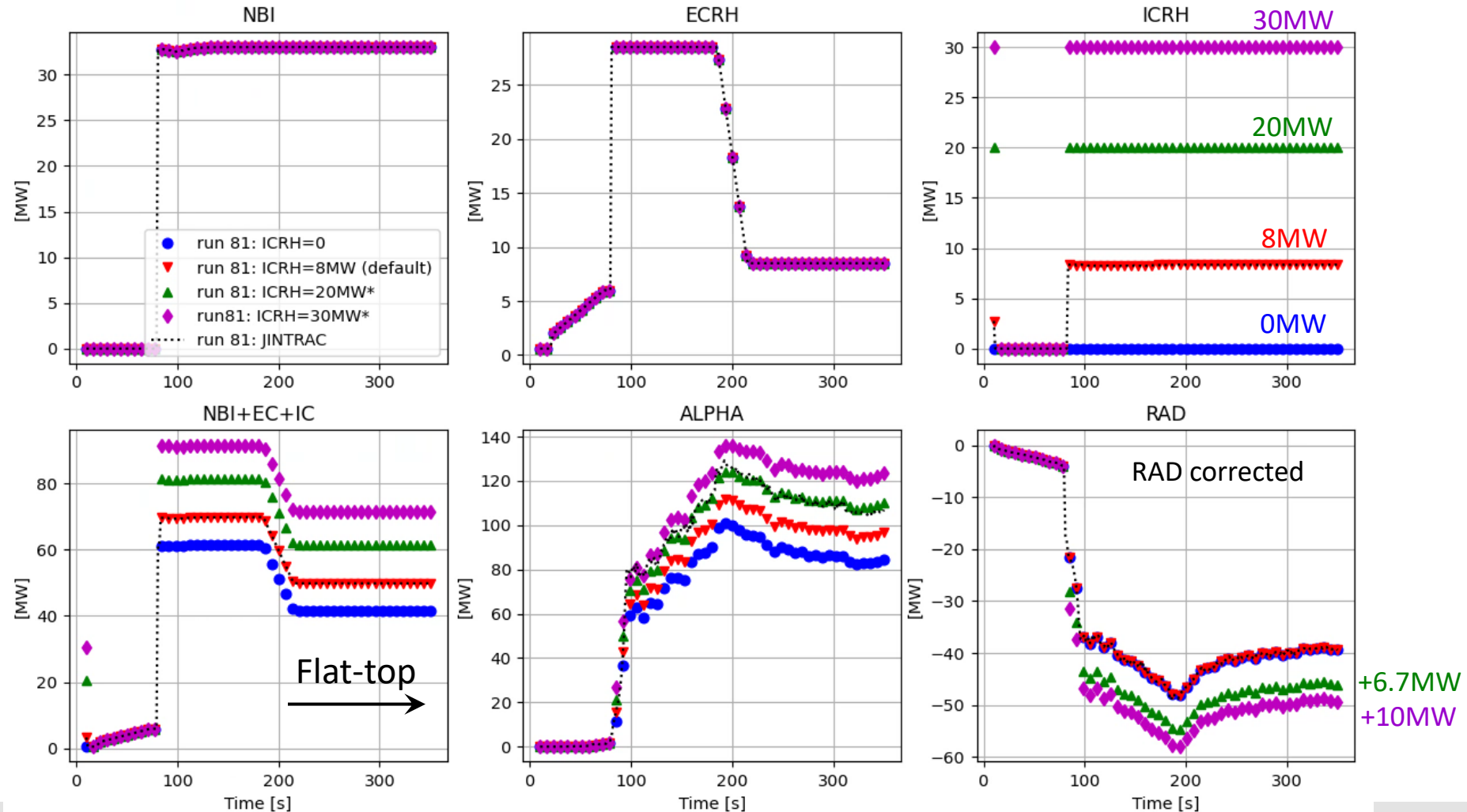
run 81: simpletransp ICRH=8MW (default)

run 81: simpletransp ICRH=20MW*

run 81: simpletransp ICRH=30MW*

(* P_{rad} increased by 33% P_{ICRH})

Fixed transport coefs!



Example of non-iterative ICRH power scan (preliminary)

run 81: simpletransp ICRH=0

run 81: simpletransp ICRH=8MW (default)

run 81: simpletransp ICRH=20MW*

run 81: simpletransp ICRH=30MW*

Fixed transport coefs!

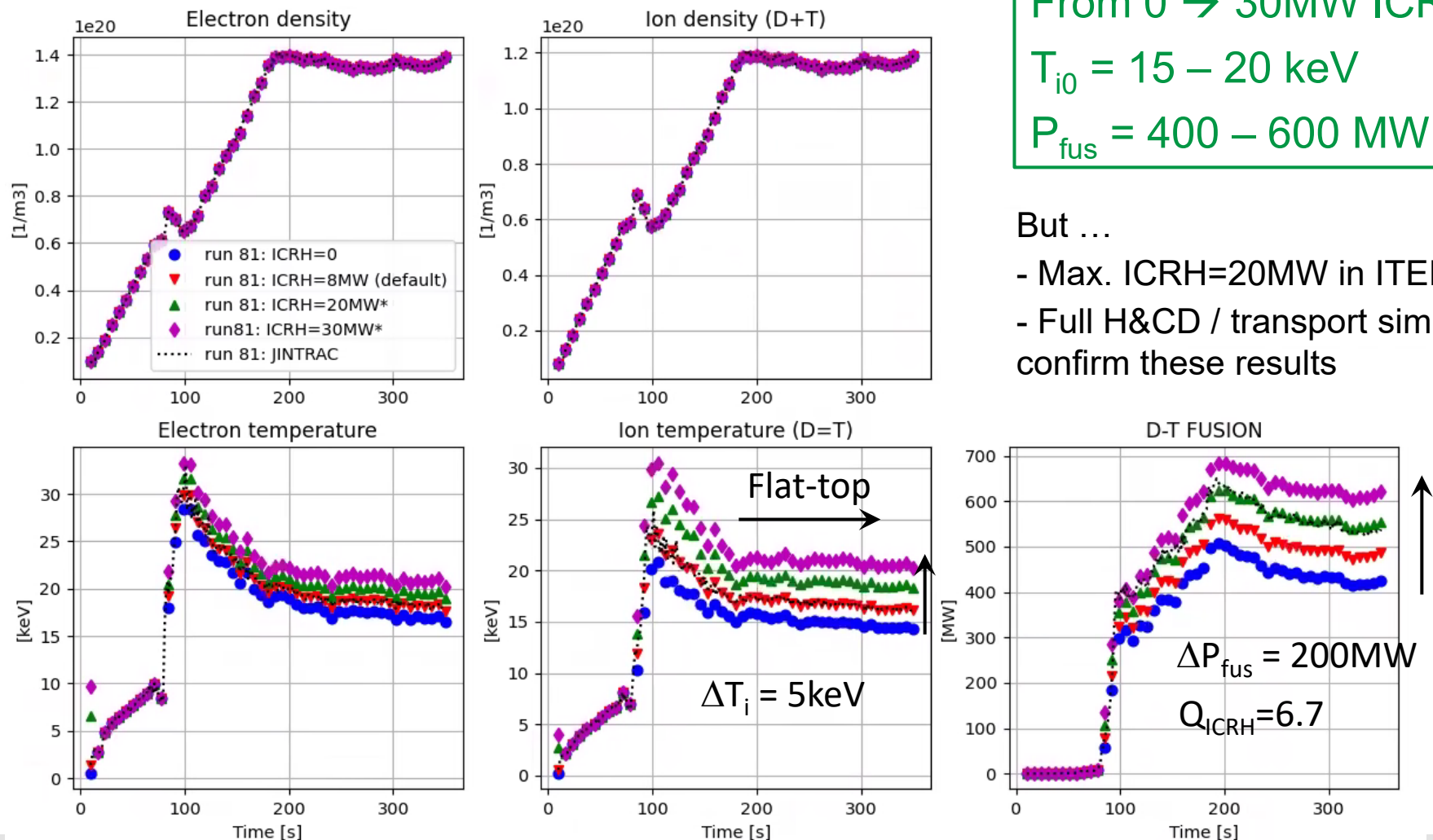
From 0 → 30MW ICRH:

$T_{i0} = 15 - 20 \text{ keV}$

$P_{\text{fus}} = 400 - 600 \text{ MW}$

But ...

- Max. ICRH=20MW in ITER (one antenna)
- Full H&CD / transport simulation needed to confirm these results



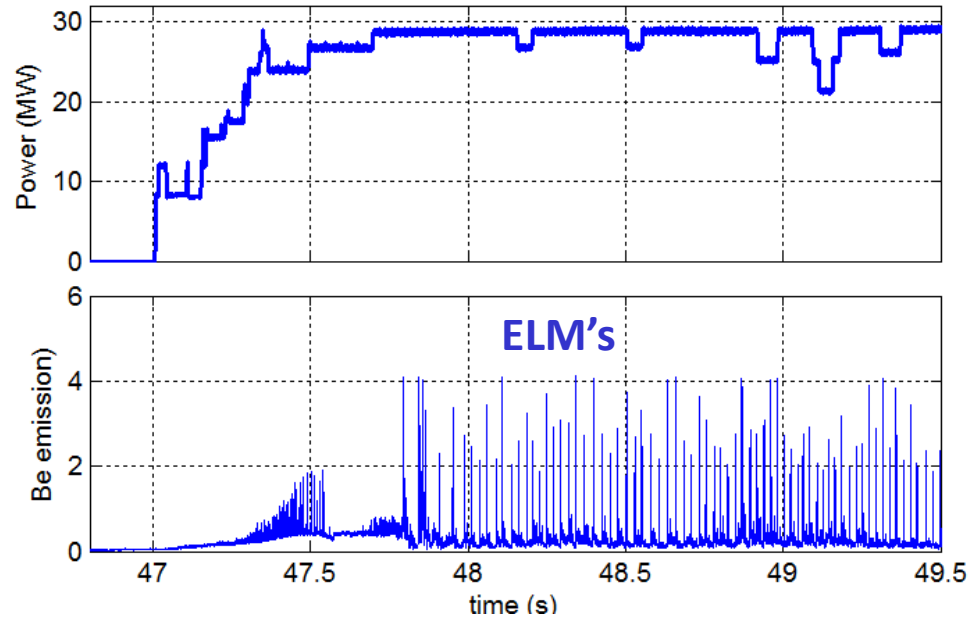
- Auxiliary heating power is key for achieving high performance discharges in tokamaks, and each system should be explored in its best role for given conditions
- Engineering: Periodic system maintenance and systematic conditioning is important for guaranteeing large power levels and reliability
- Physics: Each heating system should be tuned to provide the desired results for a given plasma scenario (based on prior modelling)
- Never put 'all your money' on a single system:

A combination of various heating systems ensures flexibility for achieving best plasma performance under different conditions (ion heating, electron heating, current-drive, MHD control, impurity control, ...)

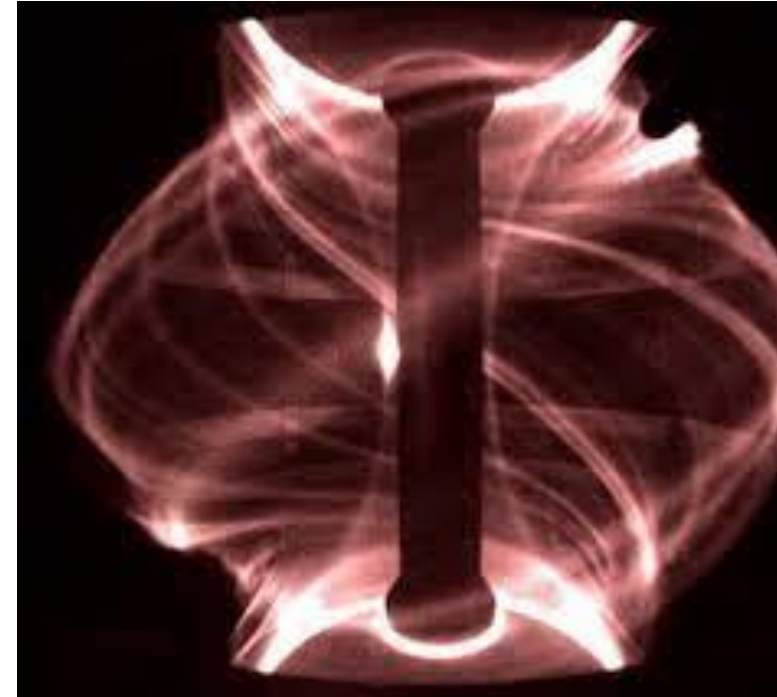


ELMs

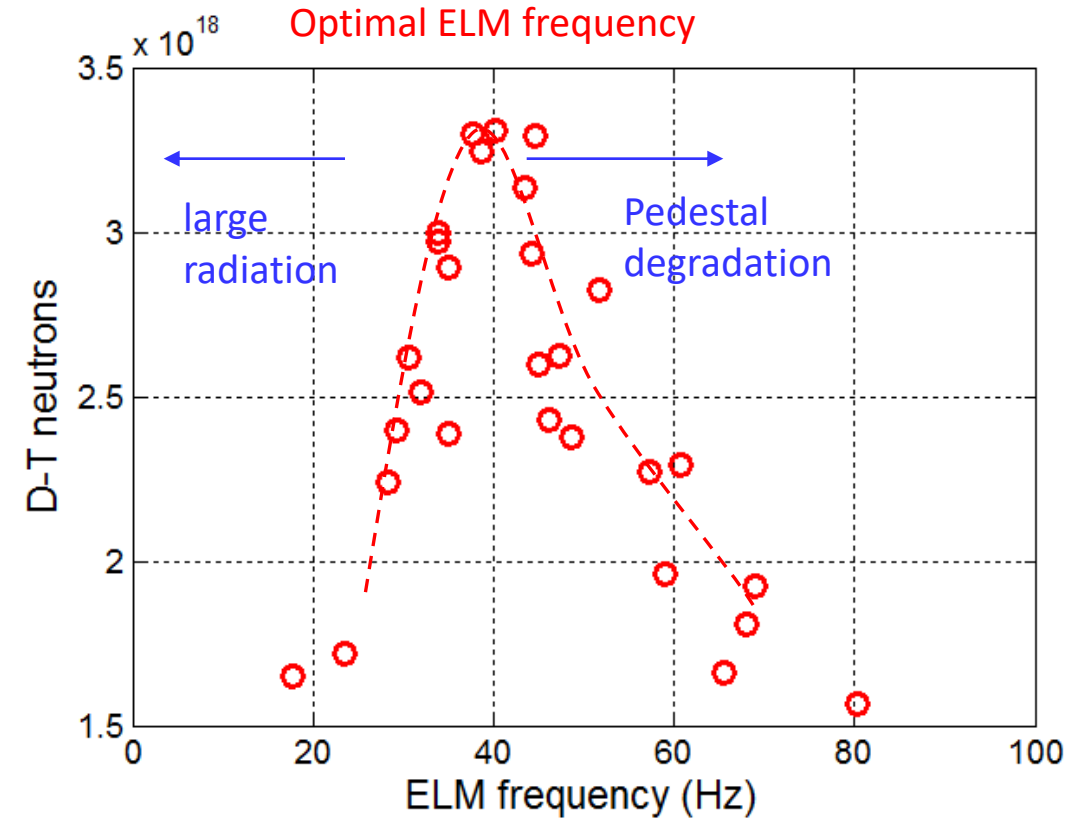
- **ELMs**: MHD instability in the plasma edge that periodically expulses particles and energy from the plasma core into the SOL



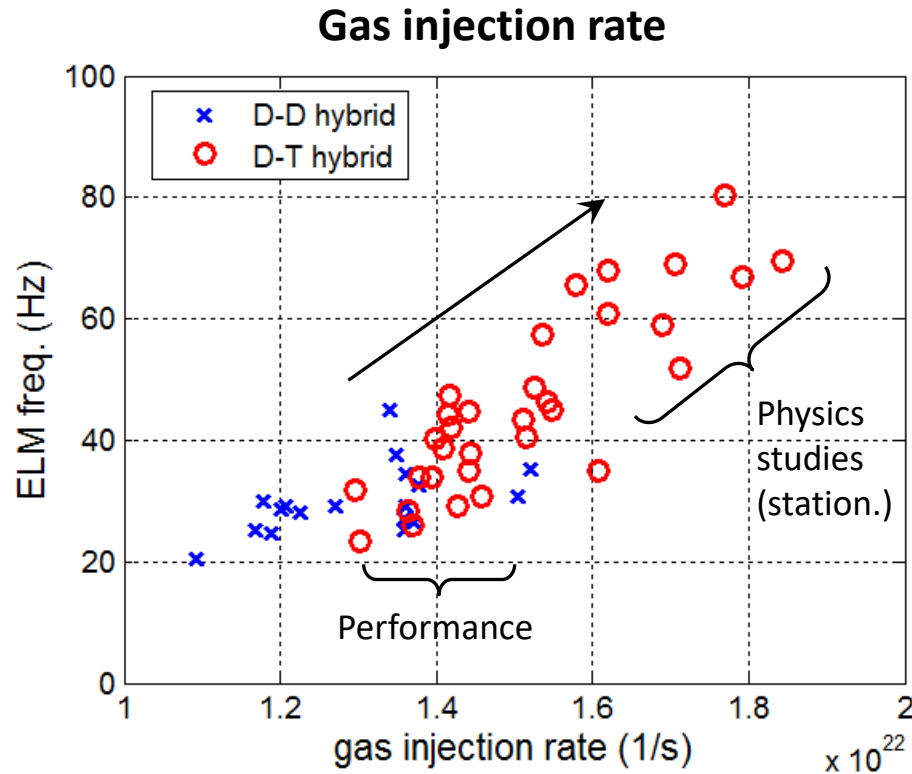
ELMs in MAST tokamak



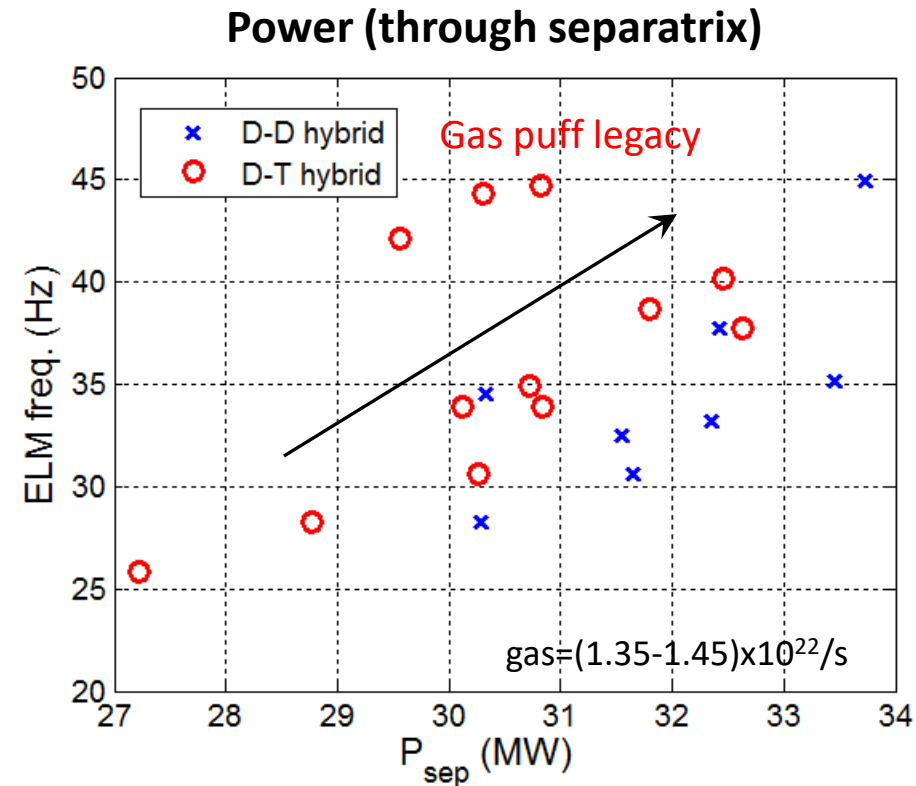
- ELMs play a key role in the plasma performance and stationarity
- Too slow ELMs = less efficient impurity flushing (radiation)
- Too fast ELMs = degradation of the pedestal and core performance



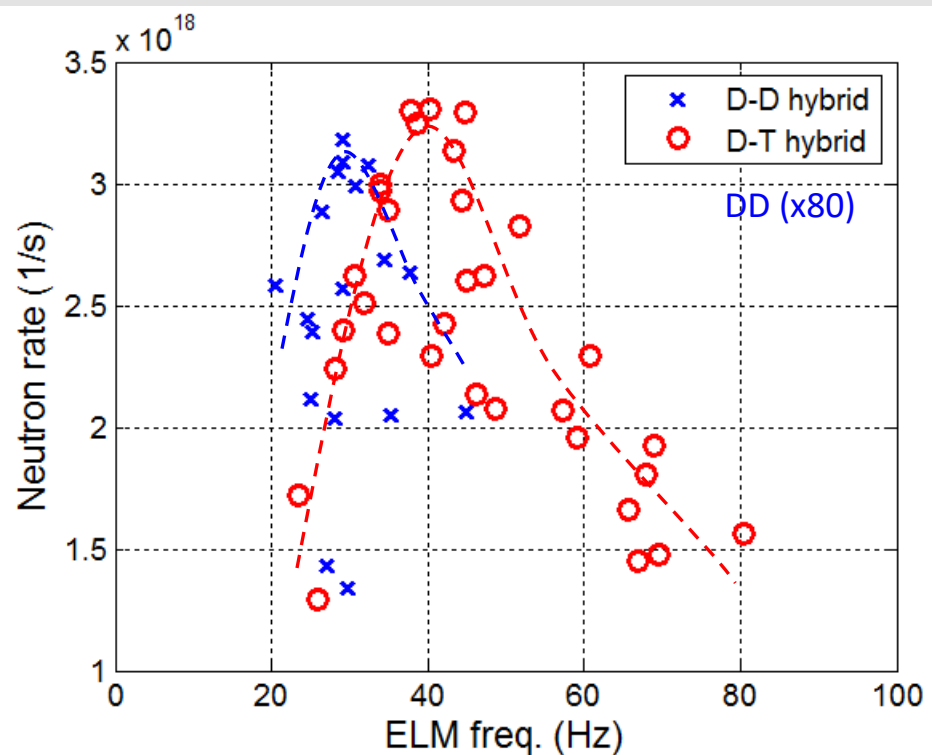
Important to control ELMs for good and stable plasma performance



- ELM frequency increases with gas injection
- Similar in D:D and D:T but low gas domain not easily reachable in D:T



- ELM frequency increases with P_{sep}
- Slightly lower frequency in D:D for given P_{sep} , partly due to lower gas but isotope effects can be at play

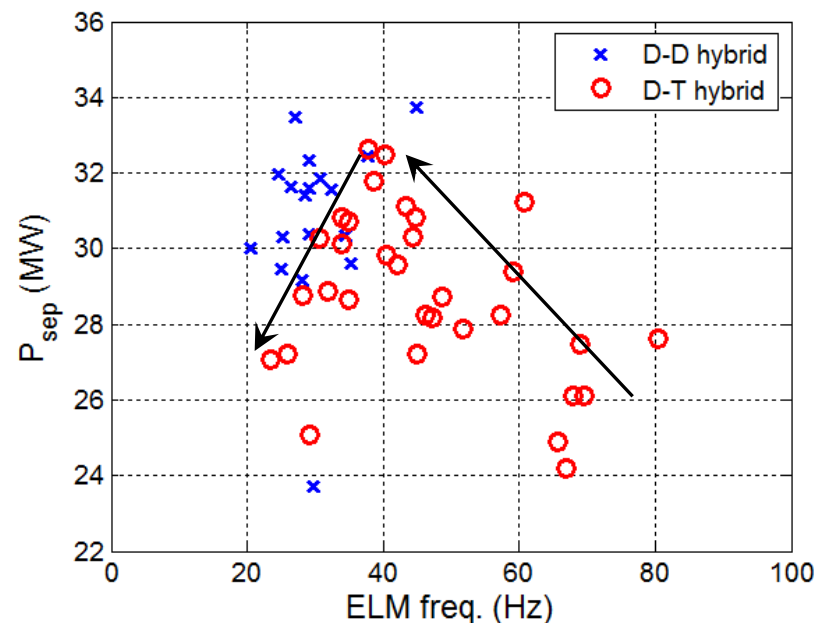
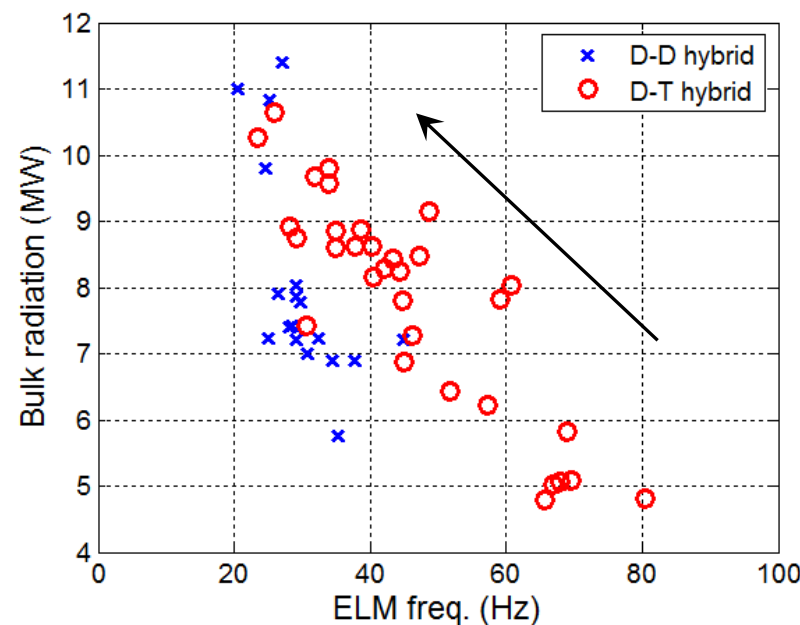


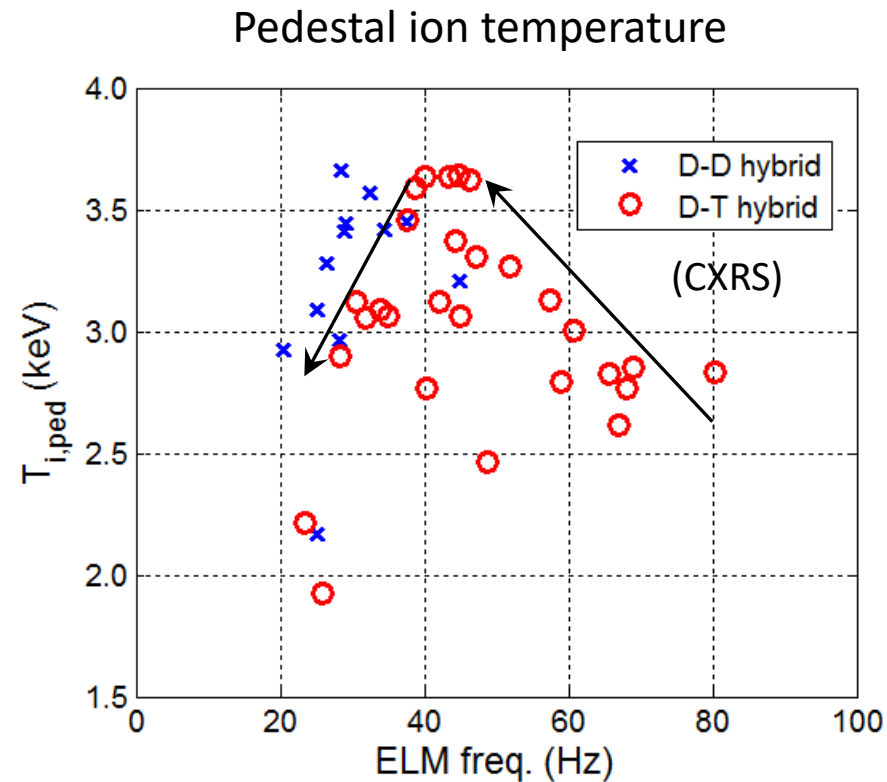
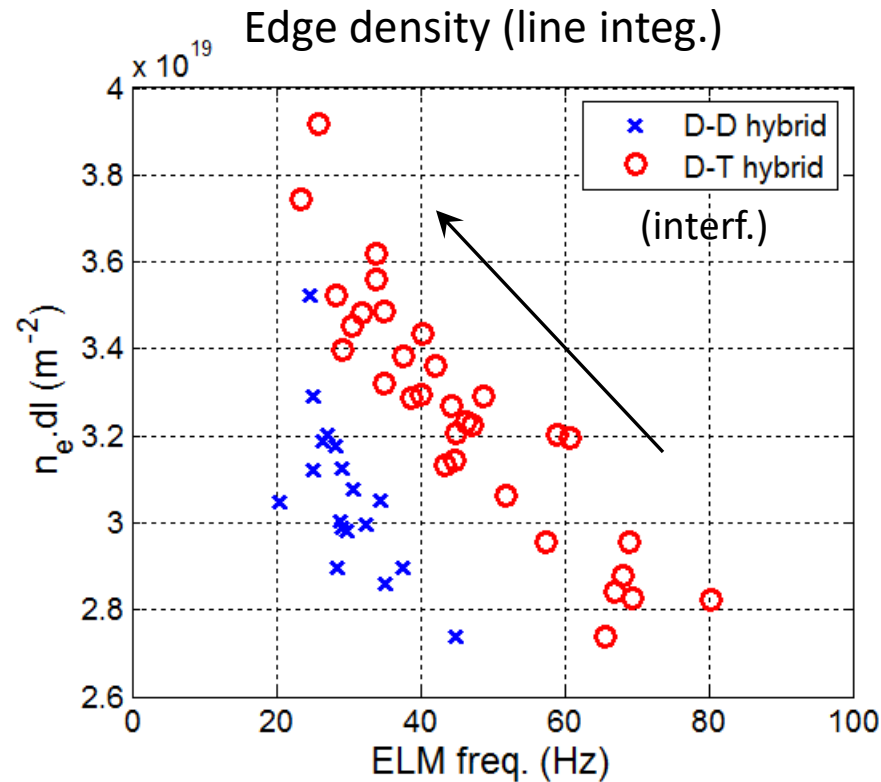
- Optimal for fusion performance vs f_{ELM} :

$f_{\text{ELM}} \sim 40\text{Hz}$ (D:T); $f_{\text{ELM}} \sim 30\text{Hz}$ (D:D)

- When ELM's get too slow, bulk radiation increases leading to lower core temperature but also lower P_{sep} (which makes things worse ...)

- D:D plasmas have lower radiation (larger P_{sep}) = better performance at lower f_{ELM}





- Pedestal density increases when ELM frequency is reduced
- Pedestal temperature shows a maximum in ELM frequency (as P_{sep});
If the ELM frequency gets too low, $T_{i,ped}$ degrades
- **D:D pulses** feature lower $n_{e,ped}$ at given ELM frequency, so can sustain high ion temperatures down to lower ELM frequencies ($\sim 30\text{Hz}$)

Plasma scenarios

Baseline scenario

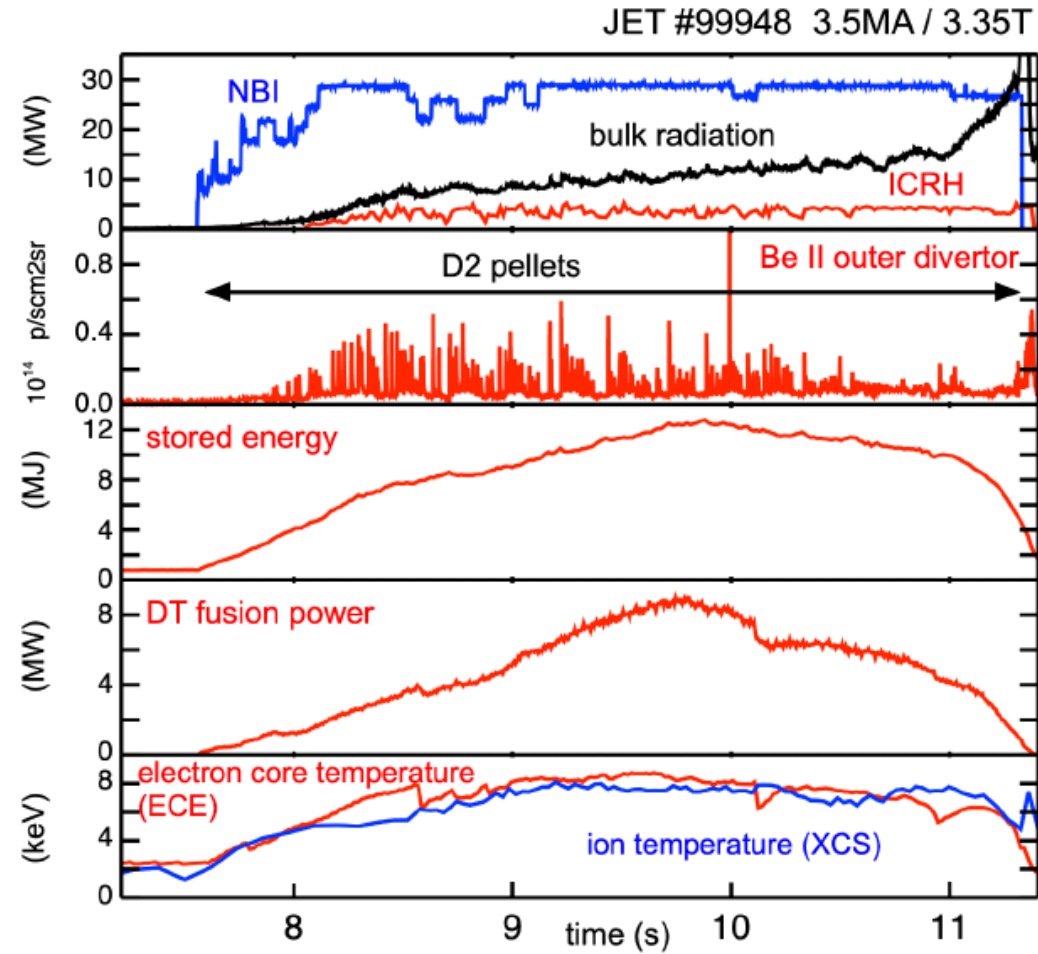
- Exploit confinement at high plasma current (>3MA)
- Fully diffused current profile
- Moderate β regime ($\beta_N \sim 2.0$):
(more robust to high order MHD)
- Medium/high core collisionality
- Difficult NBI penetration
(wide deposition)
- $T_i \sim T_e$ (equipartition)
- Needs max. power for high current
- Uses gas + pellets to control ELM's
- Neon seeded branch:
(divertor heat-load and ELM control)

Hybrid scenario

- Medium plasma current (<3MA)
- Less peaked current profile
(low shear in the core)
- Exploit confinement in high β regime
(more sensitive to MHD)
- Lower core collisionality
- Easy NBI penetration
(peaked deposition)
- $T_i > T_e$ (elec-ion decoupling)
- Needs max. power for high beta
- Uses gas only to control ELM's
- Tritium-rich branch:
(enhanced beam-target reactions)

$$\beta = \frac{p}{p_{\text{mag}}} = \frac{nk_B T}{B^2 / 2\mu_0} \longrightarrow \text{Plasma pressure (energy) / magnetic pressure}$$

- Main reference scenario for ITER
- Solid H-mode entry, efficient ELM control with pellet injection
- Slow evolution towards maximum performance (~2sec)
- High plasma stored energy (~12MJ)
- $T_i \sim T_e$ (high core collisionality)
- Peak fusion power = 8.3MW
- Stopped by too high radiation:
 - Continuous increase in radiation
 - Less frequent ELM's (impurity flushing less effective)
 - Off-axis radiation runaway leading to a plasma disruption



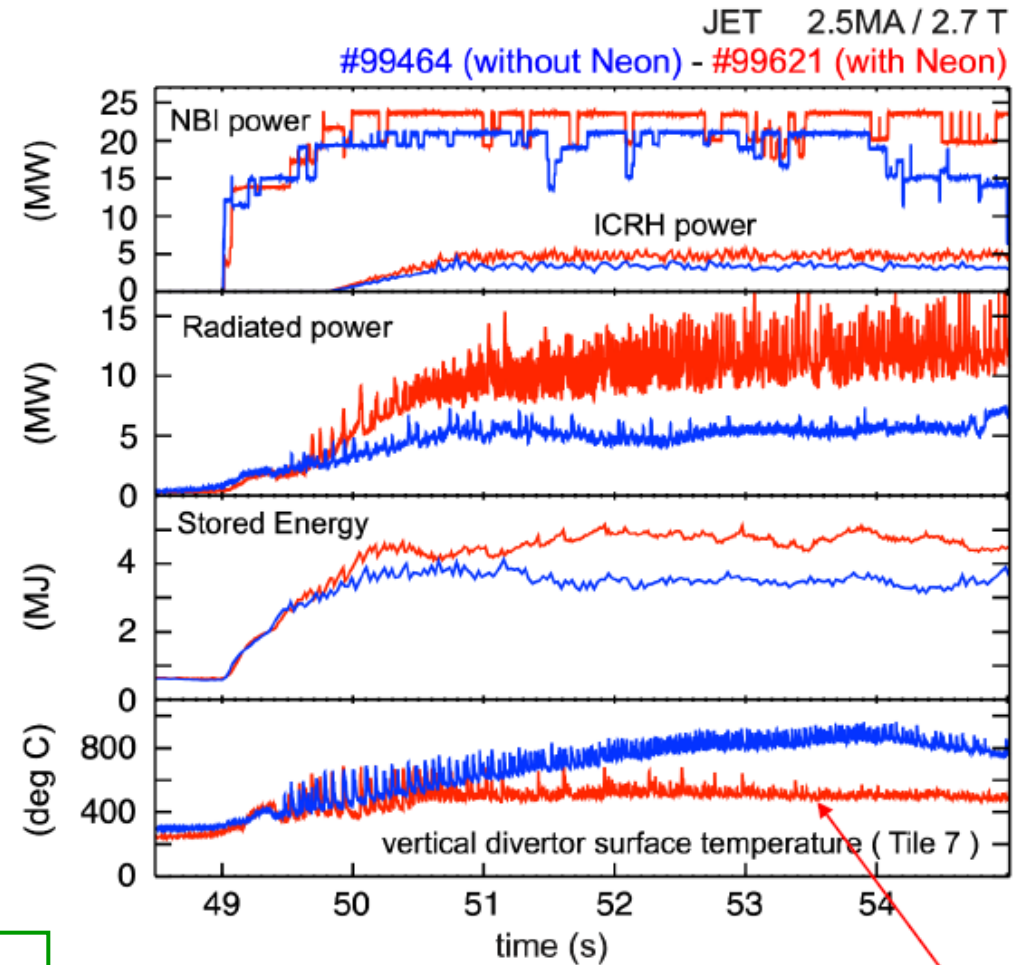
Not enough time with full NBI power and pellets for optimization in DTE2

[Garzotti et al, Nucl. Fusion 2019]

Integrated scenario with Neon seeding demonstrated for the first time in D-T:

- Well-controlled long pulse
- Good plasma energy confinement
- Large radiation fraction in the X-point region (not in the core)
- Divertor heat-load strongly reduced
- ELM's partially suppressed without impurity accumulation

Confirms Neon as promising extrinsic radiator for ITER and future reactors

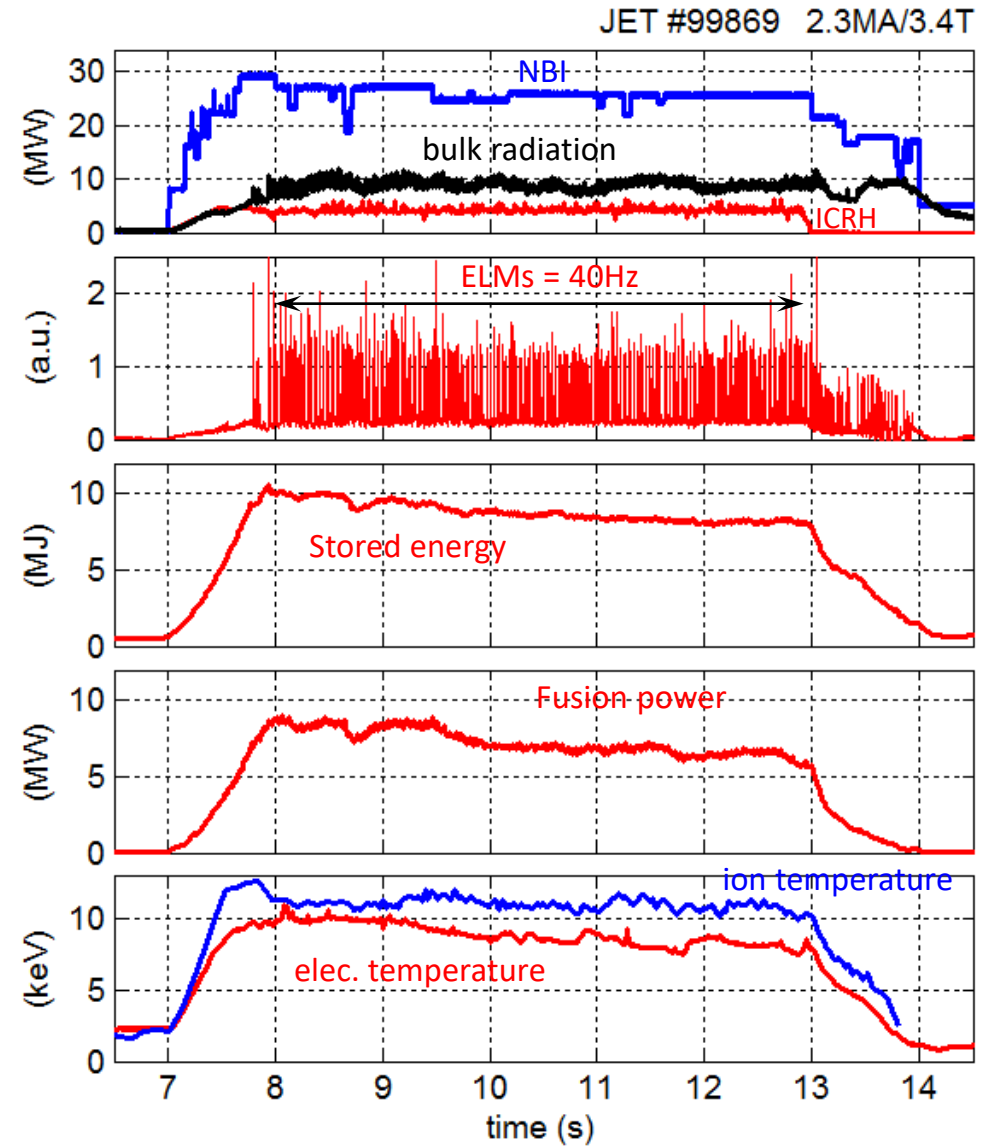


Low divertor temperature

[Giroud et al, Nucl. Fusion, Special Issue on DTE2 2023]

- Tested for the first time in D-T
- H-mode entry:
 - Edge impurity screening ($T_{i,ped}$)
- Flat-top:
 - ELM control with gas (imp. flushing)
 - Constant radiation throughout
- Fast evolution towards maximum performance ($\sim 1s$)
- $T_i > T_e$ (low core collisionality)
- Sustained fusion power for 5s
- Occasional performance degradation due to core impurity accumulation or MHD activity

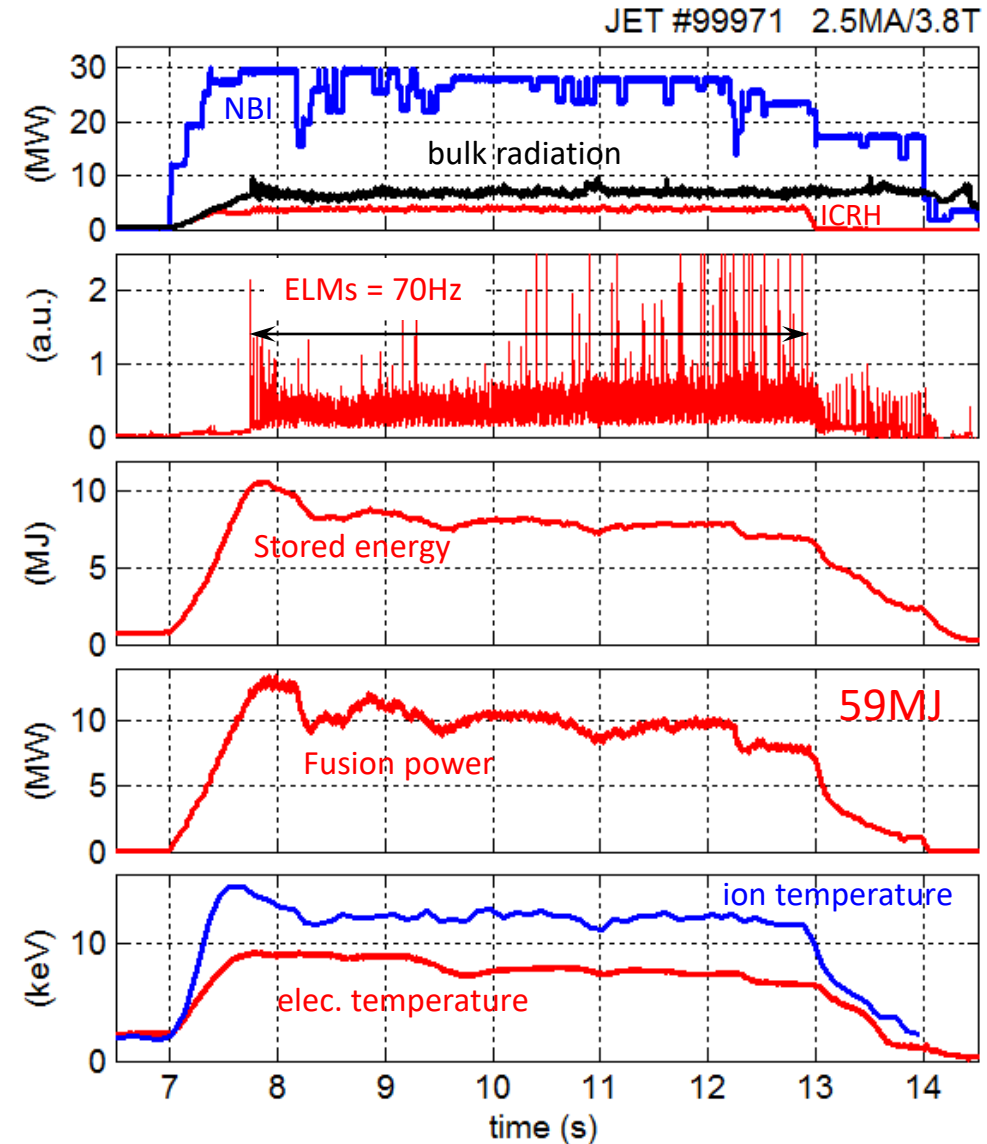
Alternative high performance scenario for ITER



[Hobirk et al, Nucl. Fusion, Special Issue on DTE2 2023]

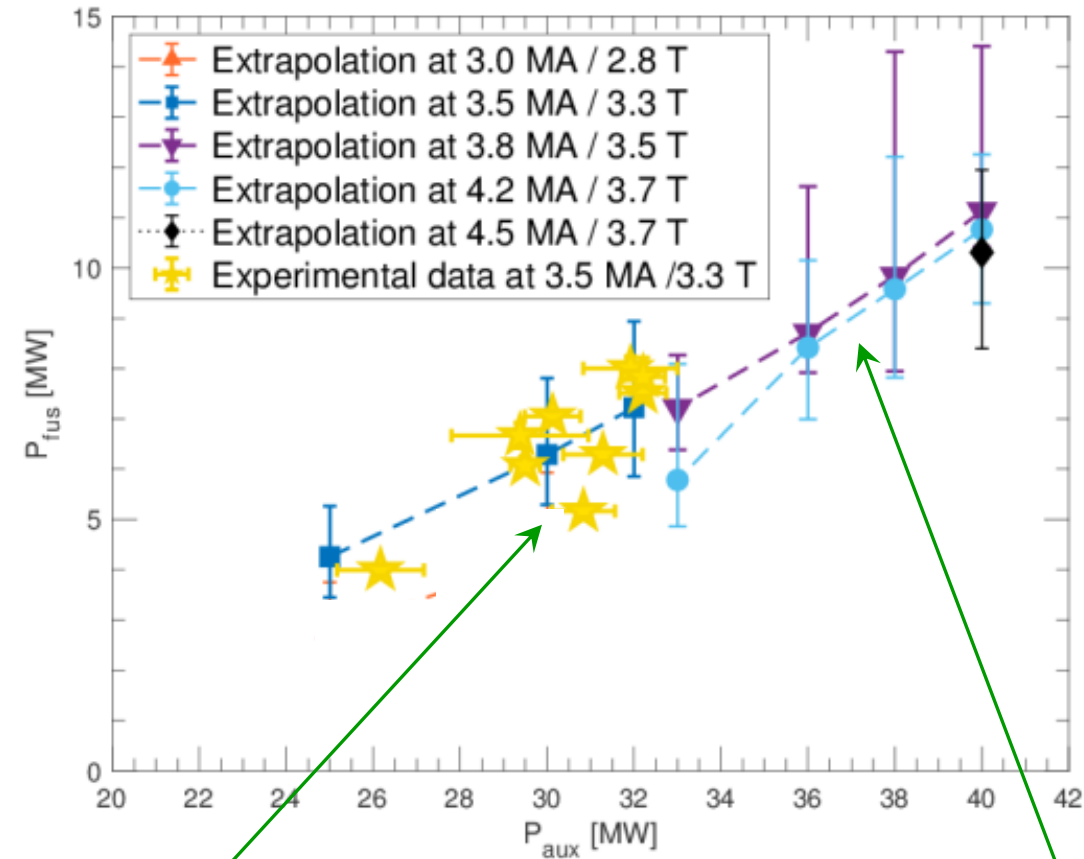
- Tested for the first time ever in H-mode
- Pure D-NBI and fast D ions (ICRH) in a T-rich plasma for enhancing beam-target fusion reactions
- H-mode entry:
 - Edge impurity screening ($T_{i,ped}$)
- Flat-top:
 - Fast and small ELM's (imp. flushing)
 - Constant radiation throughout
- $T_i \gg T_e$ (low core collisionality)
- Record fusion power and energy:
 - 10MW for 5sec, 12.5MW for 2sec
- Occasional performance degradation due to core impurity accumulation

Potential scenario for ITER ramp-up phase or for beam-target dominant fusion devices



[Maslov et al, Nucl. Fusion, Special Issue on DTE2 2023]

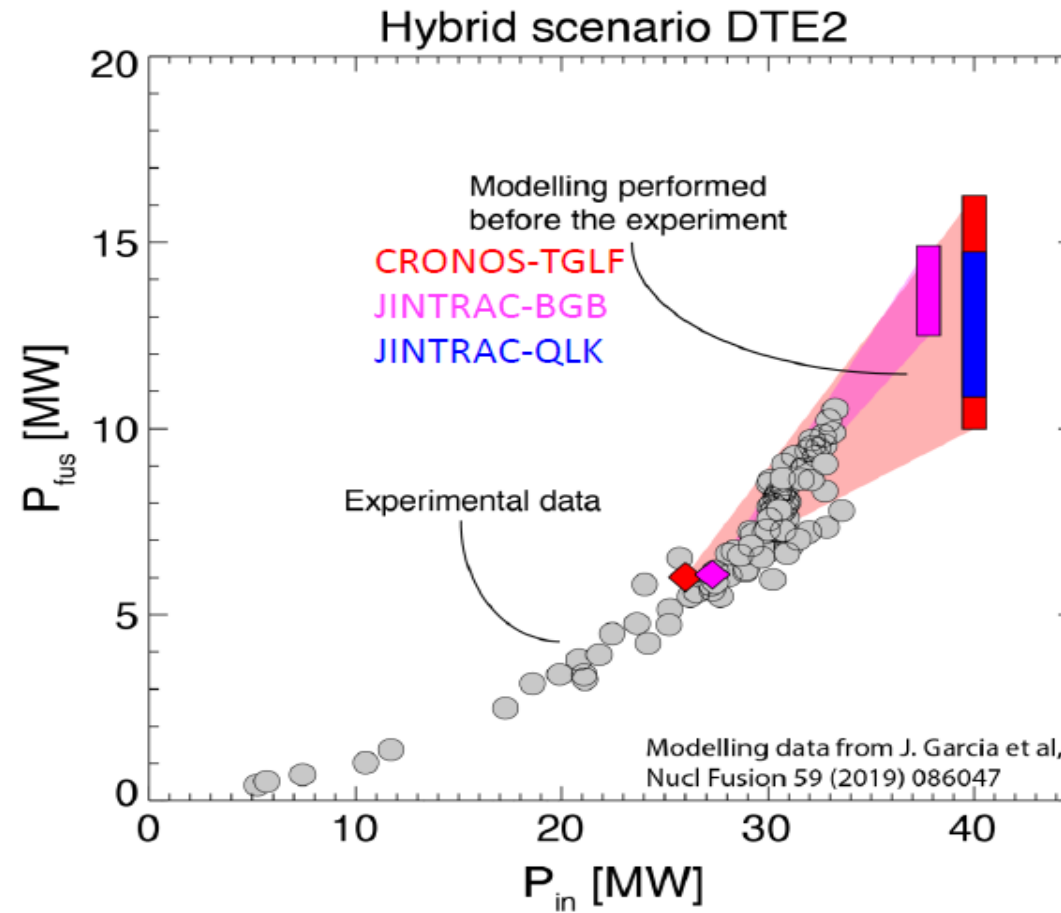
The Baseline scenario – theoretical predictions



Good agreement between experiments and theoretical predictions for $I_p=3.5\text{MA}$

Simulations confirm that higher power is needed to keep good performance at higher current

[Maggi et al, Nucl. Fusion, Special Issue on DTE2 2023]



Good agreement between experimental results and theoretical predictions with different models