

# Neutral Beam Injection

## Principles: A Physics Overview

Neutral beams in fusion plasmas · Beam–plasma interaction physics  
The neutral beam injector · NUBEAM & NuBDeC modeling · Application to CPD

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- 3.5 m pilot-device target
- 120 keV vs 400 keV, 100 MW
- Heating & NBCD results

# 01

## NBI in Fusion Plasmas

What a beam of fast neutrals does inside a tokamak

- Why we need auxiliary heating
- Atomic physics of beam stopping & penetration
- Fast-ion orbits, slowing down, and the critical energy
- Current drive, rotation, fueling — and how fast ions get lost



# Ohmic heating alone cannot reach fusion temperatures

- Ohmic heating density  $P = \eta j^2$  is built into every tokamak:
  - Spitzer resistivity  $\eta \propto Z_{\text{eff}} / T_e^{3/2} \rightarrow$  the hotter the plasma, the weaker the heating
  - current density  $j$  is capped by MHD stability (safety factor  $q \gtrsim 2$ )
- Result:  $T_e$  saturates at  $\sim 2\text{--}3$  keV — far below the  $10\text{--}20$  keV needed for D–T fusion.
- In an ignited reactor ( $V \approx 2000 \text{ m}^3$ ,  $T = 10$  keV): ohmic power  $\approx 6$  MW — negligible.
- Two workhorse solutions: neutral beam injection (this lecture) and RF waves.

## Neutral Beam Injection

Fast neutral atoms carry energy across the magnetic field, ionize, and heat the plasma by Coulomb collisions. Also drives current, torque, and fueling.

## RF heating (for contrast)

Electromagnetic waves at resonant frequencies (ECH / ICH / LHCD). Complementary physics — covered in a separate lecture.

# What NBI provides a tokamak

## Heating

Both electrons and ions — the split is set by  $E_b / E_c$  (coming up).

## Current drive

Tangential injection → circulating fast-ion current (NBCD); enables steady state & profile control.

## Torque & rotation

Momentum input drives toroidal rotation →  $E \times B$  shear, RWM and error-field resilience.

## Core fueling

Each captured neutral is also a particle source deep in the core.

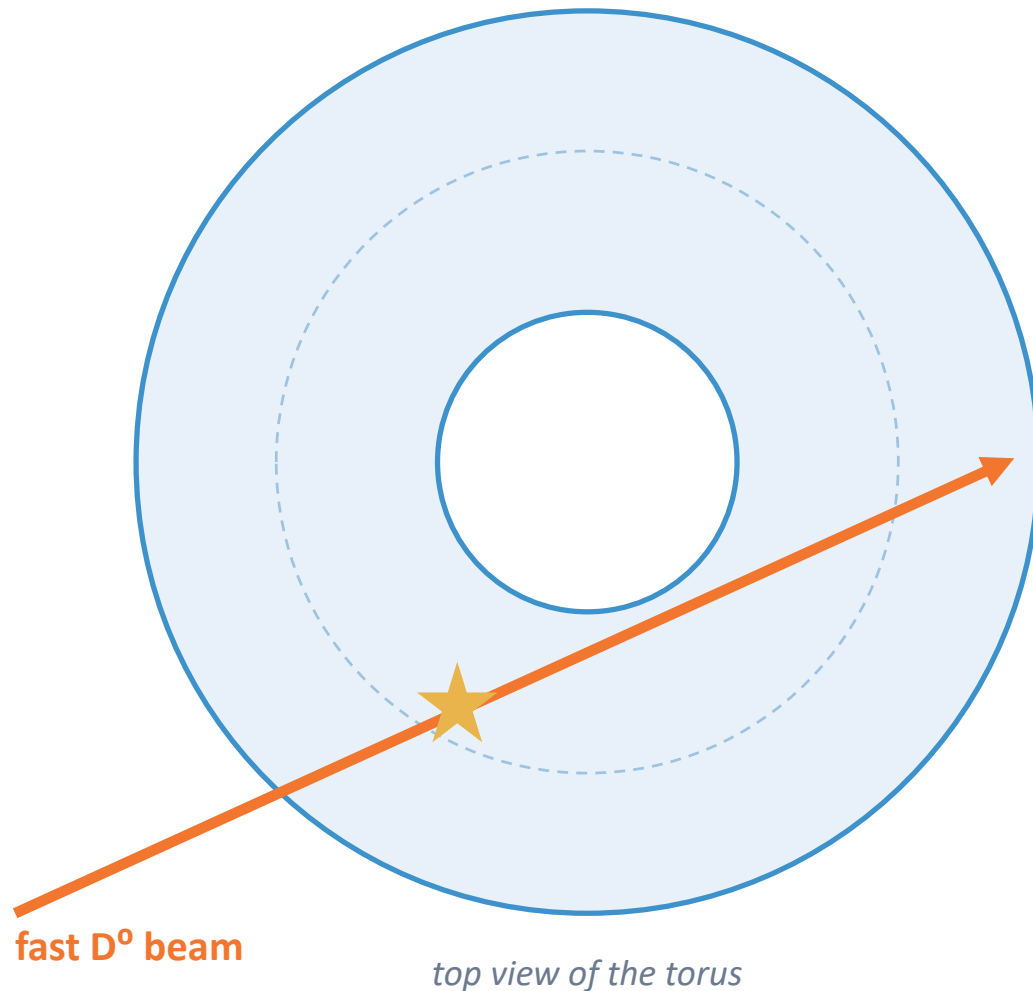
## Diagnostics & fast-ion physics

CXRS, MSE, FIDA ride on the beam; beam ions are a test bed for alpha-particle physics.

## Design tension

All roles share one set of NBI: beam energy, power, species, and injection geometry. Optimizing one role constrains the others — that is why we model.

# NBI in one picture: from neutral atom to plasma heat



1

## Inject fast neutral atoms

Unaffected by  $B$  — they fly in straight lines across the confining field.

2

## Ionization in the plasma

Charge exchange & impact ionization strip the electron → energetic ion, now magnetically confined.

3

## Fast-ion orbit

Set by energy, pitch angle, and birth point: passing or trapped (banana) orbits.

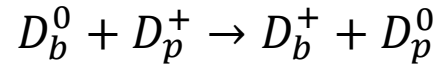
4

## Coulomb slowing down

Drag transfers the energy to plasma electrons and ions → heating, current, torque, particles.

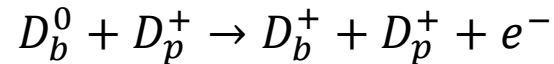
# How the plasma captures the beam: three atomic processes

## Charge exchange



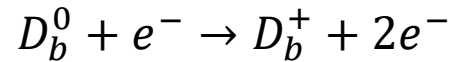
fast neutral steals nothing — it hands its electron duty over; dominant for D below ~90 keV

## Ionization by ions



hard collision knocks the electron off; dominant above ~90 keV

## Ionization by electrons



enters as  $\langle \sigma_e v_e \rangle / v_b$  since  $v_e \gg v_b$ ; smallest of the three

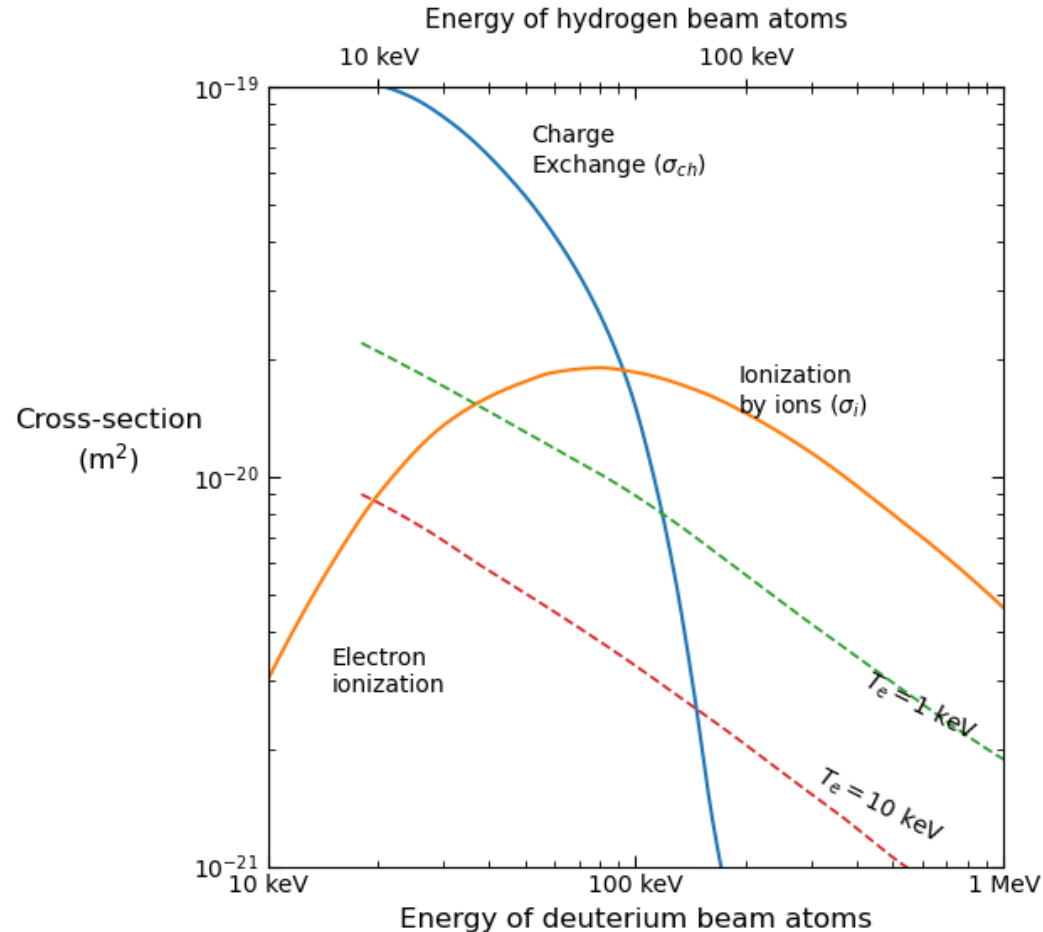
## • In a real plasma:

- stopping on impurities (Z-scaled data)
- CX / ionization on other slowing-down fast ions — matters when  $n_b / n_e \gtrsim 10\%$
- multi-step (excited-state) ionization at high  $n_e$  — “beam-stopping enhancement”
- Beam species note: H curves = D curves at twice the energy (same velocity).

$$d\Gamma/dx = -n_e (\sigma_{cx} + \sigma_i + \langle \sigma_e v_e \rangle / v_b) \Gamma$$

beam flux  $\Gamma = n_b v_b$  decays exponentially — the total cross section sets the decay length  $\lambda$

# Stopping cross sections



Recreated from analytic fits; after Wesson, Tokamaks Fig. 5.3.1

- Charge exchange rules below ~90 keV (D); ion impact takes over above.
- All processes weaken as  $E_b$  grows → faster beams fly further before capture.
- **Worked number (reactor-grade density):**

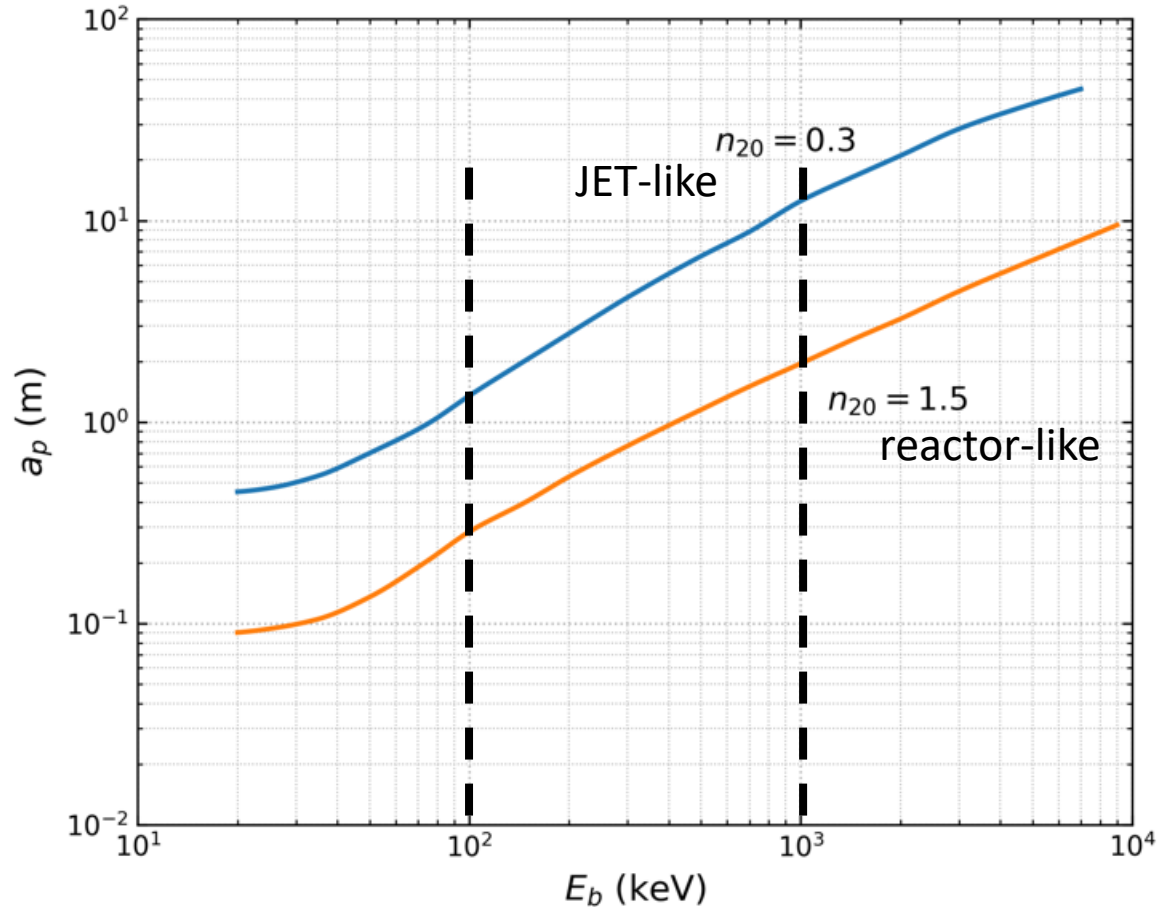
$$\lambda = 1 / (n_e \sigma) \approx 1 / (10^{20} \cdot 3 \times 10^{-20}) \approx 0.3 \text{ m}$$

at  $E_b = 100 \text{ keV}$ ,  $n_e = 10^{20} \text{ m}^{-3}$  — compare to a reactor minor radius  $a \approx 2 \text{ m}$

*A 100 keV beam is absorbed in the first 30 cm of a reactor plasma → edge heating only.*



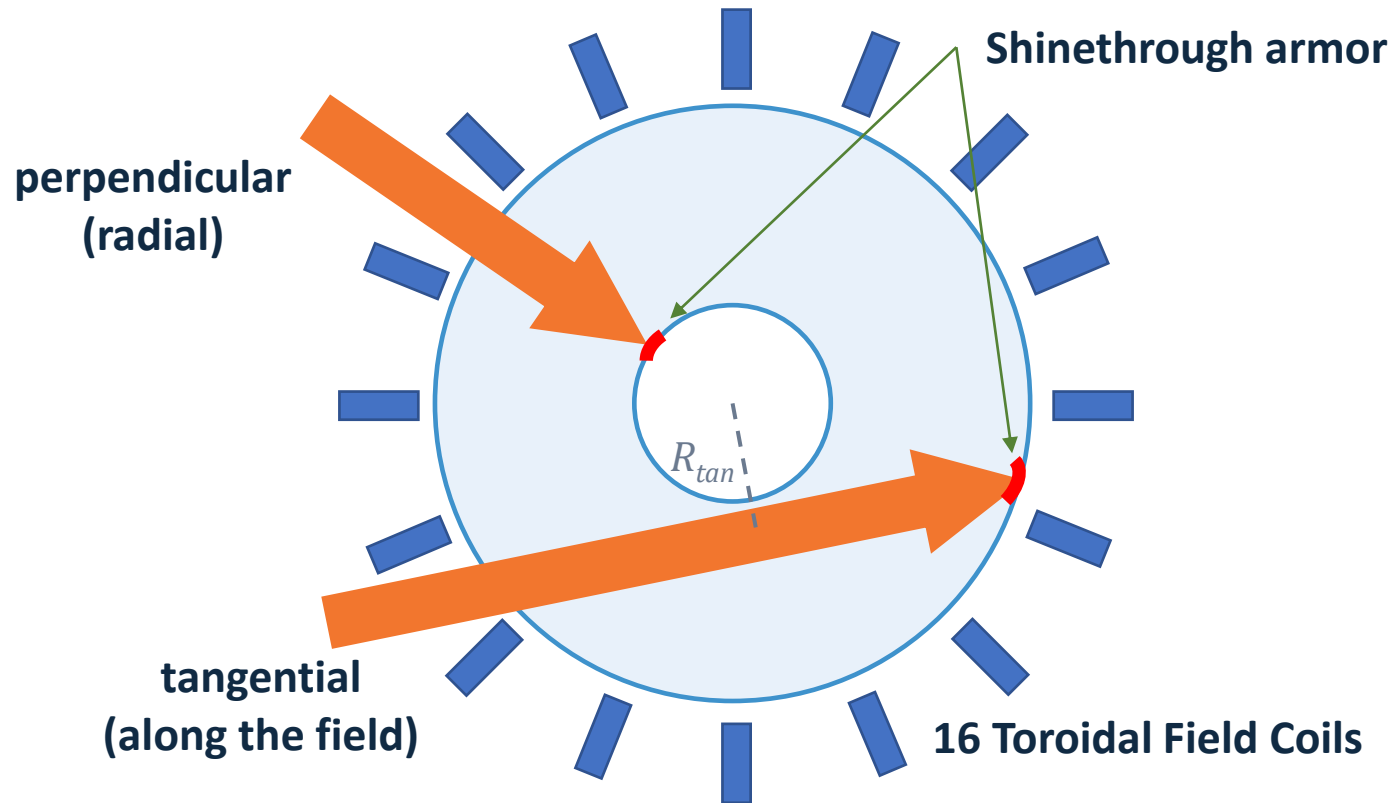
# Penetration sets the beam energy: $E_b = E_b(a, n)$



*Perpendicular injection,  $\sigma_{cx} + \sigma_i$  model; after Freidberg Fig. 15.4*

- Too weak absorption  $\rightarrow$  shine-through: beam power hits the far wall.
- Too strong absorption  $\rightarrow$  all the power be absorbed at the edge.
- JET-like ( $n_{20} = 0.3$ ,  $a = 1$  m):  $\sim 100$  keV suffices  $\rightarrow$  positive-ion NBI
- Reactor ( $n_{20} = 1.5$ ,  $a = 2$  m):  $\sim 0.8\text{--}1$  MeV  $\rightarrow$  negative-ion NBI (Part II).
- Tangential path is  $\sim 2.4\times$  longer at  $R/a = 2.5 \rightarrow$  even higher  $E_b$ .

# Perpendicular or tangential? The injection-angle compromise



## Perpendicular

- + short path, simple port access
- – mostly  $v_{\perp}$  at birth  $\rightarrow$  ripple-trapped loss
- – shine-through risk in small / thin plasmas

## Tangential

- + long absorption path, large  $v_{\parallel}$   $\rightarrow$  current drive, fewer trapped ions
- – tight access between TF coils, long ducts  $\rightarrow$  pumping load
- Real systems: a compromise angle; co- vs counter-injection w.r.t.  $I_p$

$R_{tan}$  = distance of closest approach of the beam line to the machine axis  
 $\rightarrow$  sets the birth pitch angle

# The moment of ionization fixes the fast ion's orbit

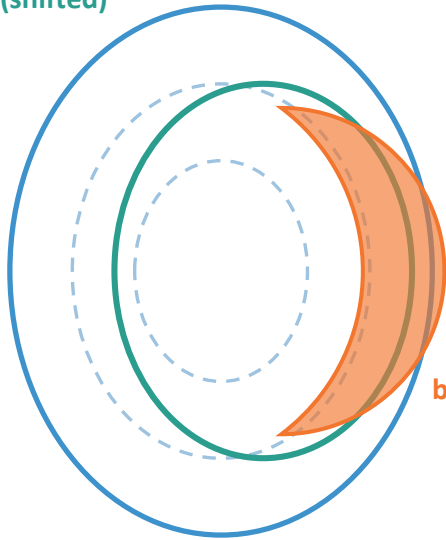
- At ionization, the ion follows the neutral velocity vector exactly
- Birth pitch:  $v_{\parallel} / v = R_{\text{tan}} / R_{\text{ion}}$  — pure geometry.
- (E, pitch, birth point)  $\rightarrow$  the entire collisionless orbit is determined.
- One beam = three beams: full, half, and third energy components ( $E_0$ ,  $E_0/2$ ,  $E_0/3$ ) from  $D^+$ ,  $D_2^+$ ,  $D_3^+$  in the source — the lower energies deposit further out.
- Toroidal rotation matters: deposition and slowing down happen in the rotating plasma frame

$$\text{pitch at birth: } v_{\parallel} / v = R_{\text{tan}} / R_{\text{ion}}$$

- shine-through — beam crossing the whole plasma unabsorbed
- deposition / birth profile  $H(\rho)$  — where fast ions are created
- pitch (angle) —  $v_{\parallel}/v$ , the orbit-defining ratio
- full / half / third energy — the three species-mix components
- co- / counter-injection — beam parallel / antiparallel to  $I_p$

# Passing and trapped fast ions

passing (shifted)



flux surfaces

poloidal cross-section (schematic)

banana (trapped)

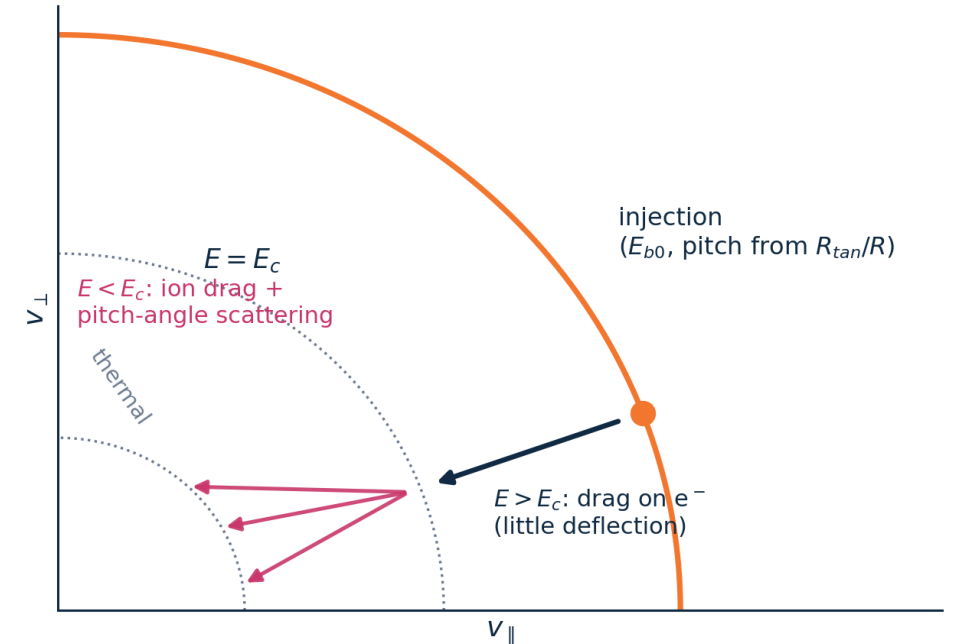
- Trapped if  $v_{\parallel}/v$  is small:  $|v_{\parallel}/v| \lesssim \sqrt{2\varepsilon}$ ,  $\varepsilon = r/R$  — mirror force of the  $1/R$  field.
- Orbits drift off the flux surface; banana width  $\Delta_b \sim q \rho_L / \sqrt{\varepsilon}$  — tens of cm for a 100 keV D ion in a medium tokamak.
- Wide edge orbits can cross the last closed flux surface  $\rightarrow$  prompt (first-orbit) loss onto plasma-facing components

$$E, \quad \mu = \frac{1}{2} \frac{m v_{\perp}^2}{B}, \quad P_{\phi} = m R v_{\phi} - q \psi$$

Energy, magnetic moment, canonical toroidal momentum  
the collisionless invariants — axisymmetry  $\Rightarrow P_{\phi}$  conserved: orbit and loss criteria

# Coulomb collisions: energy transfer

- **Velocity ordering for a beam ion:**  $v_{Ti} \ll v_b \ll v_{Te}$
- Collisions with electrons — a viscous background:
  - pure drag, negligible deflection ( $m_e \ll m_b$ ); energy flows to electrons
- Collisions with plasma ions — billiard balls:
  - drag and pitch-angle scattering (comparable masses); energy flows to ions
- Described by a Fokker–Planck collision operator: drag + pitch-angle scattering + energy diffusion



*Life in velocity space: drag first, scattering later (below  $E_c$ )*

# Ec — critical beam energy

$$E_c = 19 - 20T_e \quad D_b \rightarrow Dp,$$

- $E_b > E_c$  : electron drag wins  $\rightarrow$  energy flows to electrons (little scattering).
- $E_b < E_c$  : ion drag wins  $\rightarrow$  energy flows to ions + pitch-angle scattering.

$$P_i \propto \frac{1}{m_i} \sqrt{\frac{m_b}{E_b}}, \quad P_e \propto \frac{E_b}{T_e^{3/2}}, \quad P_i(E_c) = P_e(E_c)$$

$$\frac{dE_b}{dt} = \sum P = -\frac{2E_b}{\tau_{se}} \left( 1 + \frac{E_c}{E_b} \right)^{3/2}$$

one ODE describes the whole slowing-down history (Wesson eqn 5.4.10)

## KSTAR-like

$$E_b = 100 \text{ keV}, T_e = 3 \text{ keV}$$

$$E_c \approx 56 \text{ keV} \rightarrow E_b \approx 1.8 E_c: \text{ mixed electron/ion heating}$$

## ITER HNB

$$E_b = 1 \text{ MeV}, T_e = 20 \text{ keV}$$

$$E_c \approx 370 \text{ keV} \rightarrow \text{electron-dominated heating}$$

## CPD (Part IV!)

$$T_e = 25 \text{ keV} \rightarrow E_c \approx 500 \text{ keV}$$

120 & 400 keV beams are both below  $E_c \rightarrow$  ion heating dominates

# The slowing-down time: how long a fast ion lives

$$\tau_{se} \propto \frac{T_e^{3/2}}{n_e} \approx 0.023s \frac{(T_e[keV])^{3/2}}{n_{20}}, \text{ D beam}$$

Spitzer slowing-down time: e-folding of the energy against electron drag — hotter plasma → weaker drag

$$\tau_{th} = \left(\frac{\tau_{se}}{3}\right) \ln \left[ 1 + \left(\frac{E_{b0}}{E_c}\right)^{3/2} \right]$$

Characteristic time for thermalization:

integrate  $dE/dt = -(2E/\tau_{se})[1+(E_c/E)^{3/2}]$  from  $E_{b0}$  down to thermal (Wesson eqn 5.4.12)

- $W_{fast} \sim P_{NB} \tau_{se} \rightarrow$  the slowing time sets the fast-ion inventory, stored energy, and beam–target neutron rate.
- NBCD efficiency inherits the same scaling: each fast ion drives current for  $\sim \tau_{se} \rightarrow \eta_{CD} \propto T_e^{3/2}/n_e$

## KSTAR-like

$$T_e = 3 \text{ keV}, n_e = 5 \times 10^{19} \text{ m}^{-3}, E_{b0} = 100 \text{ keV}$$

$$\tau_{se} \approx 0.24 \text{ s}; E_{b0} \approx 1.8 E_c \rightarrow \tau_{th} = (\tau_{se}/3) \ln(3.4) \approx 0.10 \text{ s}$$

## CPD-like

$$T_e = 25 \text{ keV}, n_e = 2.4 \times 10^{20} \text{ m}^{-3}, E_{b0} = 400 \text{ keV}$$

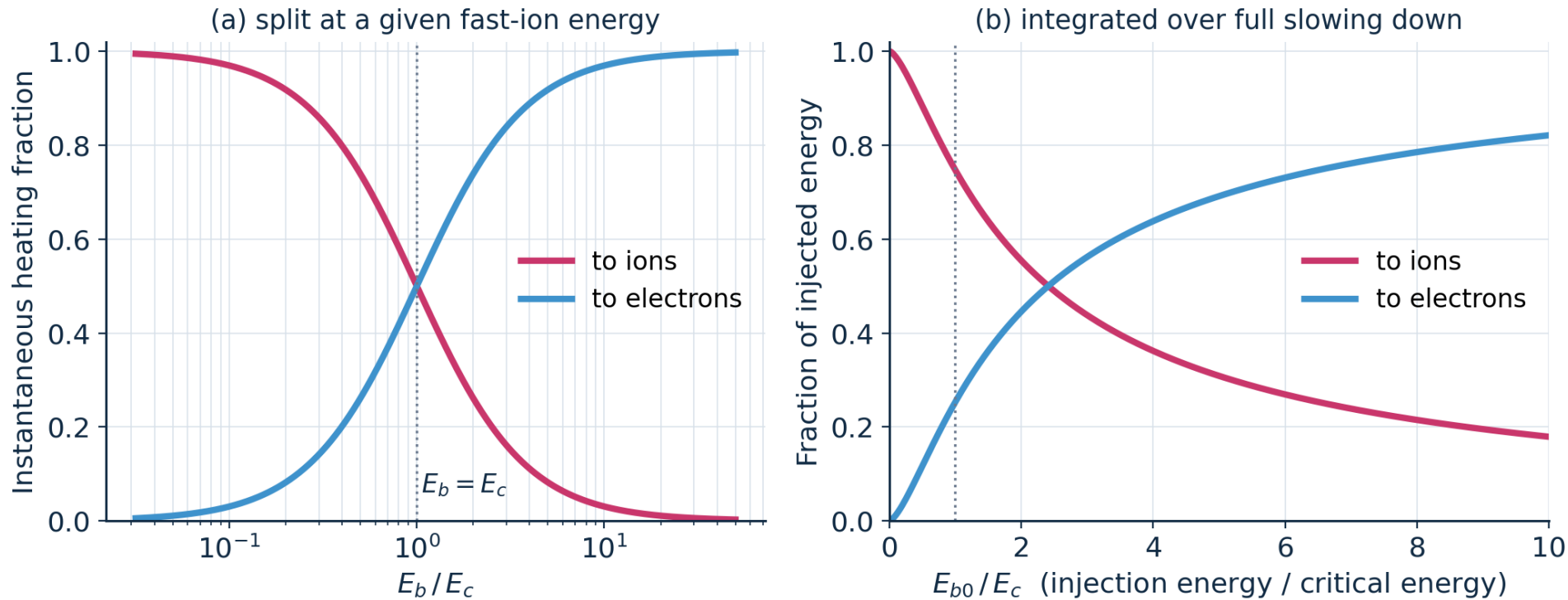
$$\tau_{se} \approx 0.023 \times 125 / 2.4 \approx 1.2 \text{ s}; E_{b0}/E_c \approx 0.8 \rightarrow \tau_{th} \approx 0.22 \text{ s}$$

## The pattern

$$T_e^{3/2} \text{ beats } n_e$$

reactor-grade plasmas hold their fast ions longer  
→ large  $W_{fast}$ , strong NBCD

# Who gets the energy? Electrons vs ions, quantitatively



## Electron first

well above  $E_c \rightarrow$   
electrons first,  
ions late in the  
slowing down

After Wesson Figs. 5.4.1–5.4.2:  $P_i/P \propto [1 + (E/E_c)^{3/2}]^{-1}$  and its slowing-down integral  $\varphi(E_{b0}/E_c)$



# Neutral beam current drive: stacking ions, shielding electrons

- Why: inductive current is pulsed → steady state needs non-inductive drive; tangential NBI gives heating + torque + current in one system
- Stacking: injected ion current accumulates lap after lap, until buildup = loss (slowing down on  $e^-$ /ions, or charge exchange). Each ion contributes to the plasma current for one  $\tau_{se}$

$$J_f = SeZ_f\tau_{se}v_{b0}F(u_c)$$

F from the 2-D slowing-down distribution (Fokker–Planck / Monte Carlo → NUBEAM);  
 $\tau_s \propto T_e^{3/2}/n_e$  enters here

$$\frac{I}{I_f} = 1 - \frac{Z_f}{Z_{eff}} + 1.46A(Z_{eff})\sqrt{\epsilon} \frac{Z_f}{Z_{eff}}$$

Wesson eqn 3.14.1 (banana regime,  $v_b \ll v_{Te}$ ):  $A = 1.67$  ( $Z_{eff} = 1$ ) →  $1.18$  ( $Z_{eff} = 4$ )

## Fast ion current

- 1 — the bare fast-ion current.
- $-Z_f/Z_{eff}$  — reverse electron current: dragged electrons drift with the beam;  $Z_f = Z_{eff} \rightarrow$  complete cancellation (Ohkawa).
- $+1.46 A \sqrt{\epsilon} Z_f/Z_{eff}$  — trapped electrons cannot carry the back-current → shielding incomplete; net current recovers toward the edge ( $\sqrt{\epsilon}$  grows).
- **Near-cancellation is possible → compute the electron response accurately, surface by surface.**

# NBCD efficiency — and the experimental results

$$\eta = \frac{J_f}{P_d}, P_d = \frac{1}{2} m_f v_{b0}^2 S$$

evaluate  $\rightarrow$  maximize  $\tau_{se}$  (high  $T_e$ , low  $n_e$ ) and pick  $E_b$  near  $E_c$  ( $u_c \approx 1$ )  
(Wesson §3.14)

- Maximize  $\tau_{se} \propto T_e^{3/2}/n_e$ : hot, low-density plasmas drive current best.
- Inject near  $E_c$  ... but in practice  $E_b$  is set by penetration, not by CD efficiency
- Profile control: driven-current profile = beam energy + deposition profile + injection angle; typical net efficiency: tens of kA/MW.
- **Experiments agree well with the theory — and the CPD scan (Part IV) shows ~35 kA/MW**

## TFTR

*0.34 MA driven @ 11.5 MW*

+0.5 MA bootstrap of 0.9 MA total  $\rightarrow$   
predominantly non-inductive

## DIII-D · JT-60U · JET

*0.35 / 0.5 MA fully non-inductive; JET 0.25 MA*

bootstrap + NBCD sustained the entire current at low density

## JT-60U N-NBI

*1 MA @ 3.75 MW, 360 keV*

negative-ion beam  $\rightarrow$  reactor-relevant efficiency;  
the bridge to Part II and to CPD

# Torque, fueling, and active diagnostics

## Torque → rotation

Momentum source  $\Sigma S m_b v_b = (\text{collisional} + \mathbf{J} \times \mathbf{B})$   
Rotation shear suppresses turbulence; stabilizes RWMs and error fields. Often the only torque source

## Core fueling

Each captured neutral = one core electron–ion pair. A calibrated, localized particle source — visible in density evolution.

## Beam–target fusion

Fast ions on thermal fuel dominate neutron rates in beam-heated D / D–T plasmas

## Active diagnostics

CXRS:  $T_i$  & rotation profiles. MSE: internal q-profile. FIDA / NPA: fast-ion distribution. BES: turbulence imaging. No beam → no measurement.

# Where fast ions go (other than heating plasma)

## Shine-through

Unabsorbed beam strikes the far wall. Sets the minimum target density for beam operation.

## Prompt (first-orbit) loss

Wide birth orbits cross the LCFS and hit PFCs within one transit → localized heat loads. (NuBDeC, Part III)

## TF ripple loss

Field ripple from discrete coils traps / stochastically ejects ions —  $\delta_s$  criterion, modeled in NUBEAM.

## Charge-exchange loss

Fast ion re-neutralizes and escapes across the field. Recapture also possible.

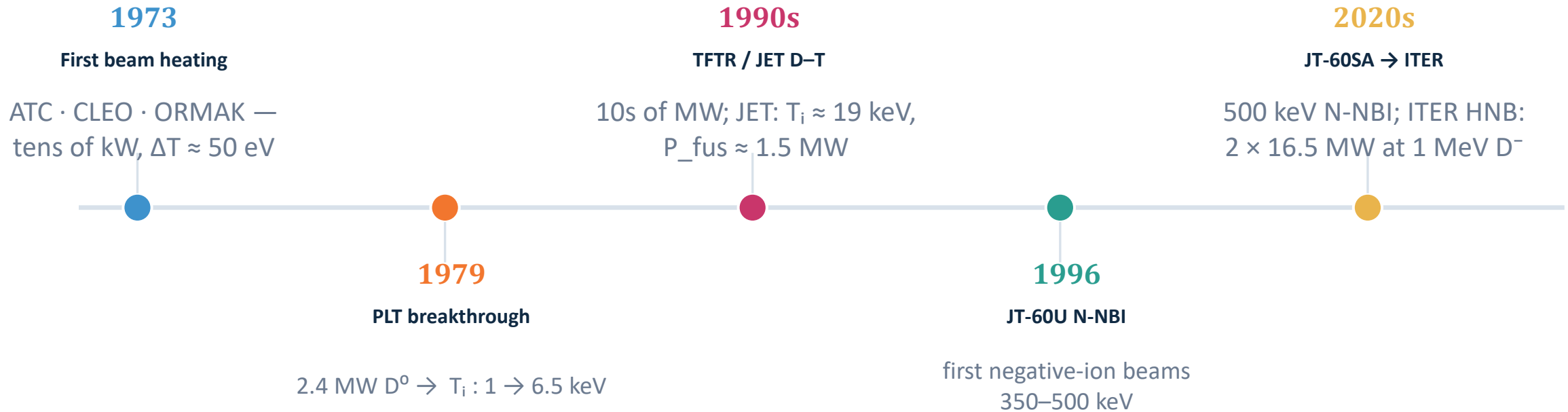
## MHD-induced

Sawteeth mix the core population (Kadomtsev); fishbones expel bursts; Alfvén eigenmodes transport fast ions.

## Why it matters

Lost power = lost heating and CD efficiency + MW/m<sup>2</sup>-scale loads on plasma-facing components.

# Five decades of NBI: from 50 eV to burning-plasma scale



# 02

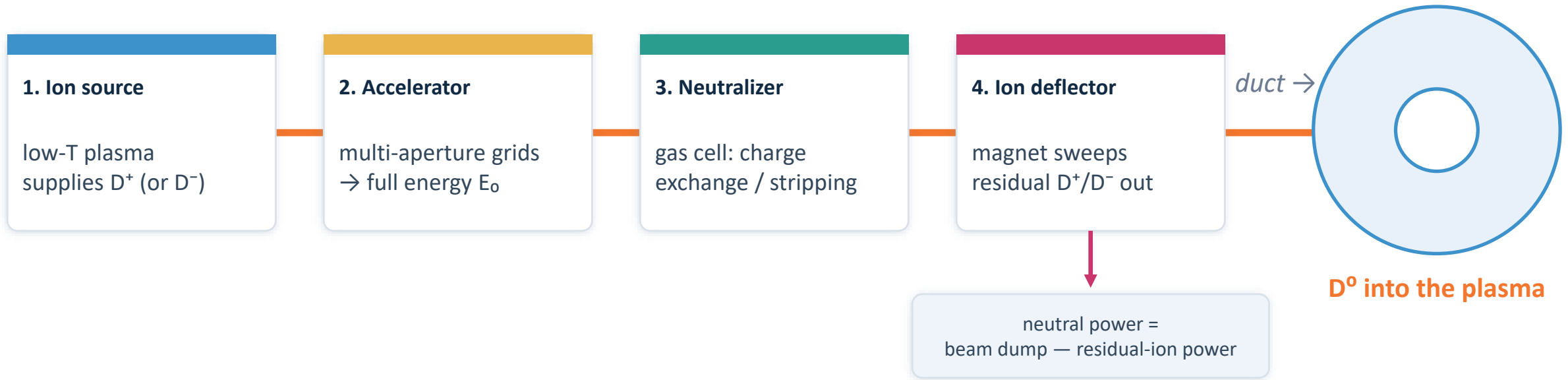
## The Neutral Beam Injector

How to transfer a megawatt flow of fast neutral atoms

- Beamline anatomy: source → accelerator → neutralizer → deflector
- Ion source physics and the species mix
- Why positive-ion neutralization collapses above ~100 keV
- Negative ions: the route to 1 MeV



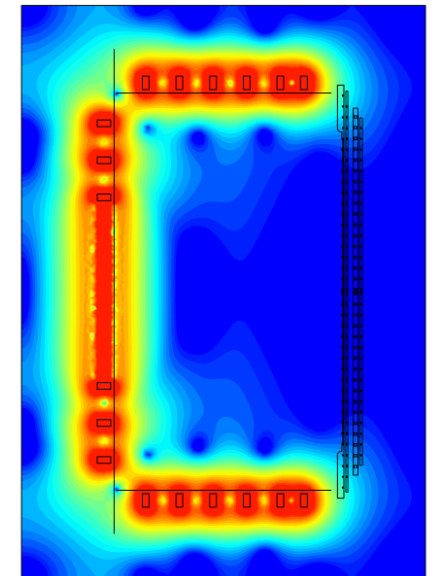
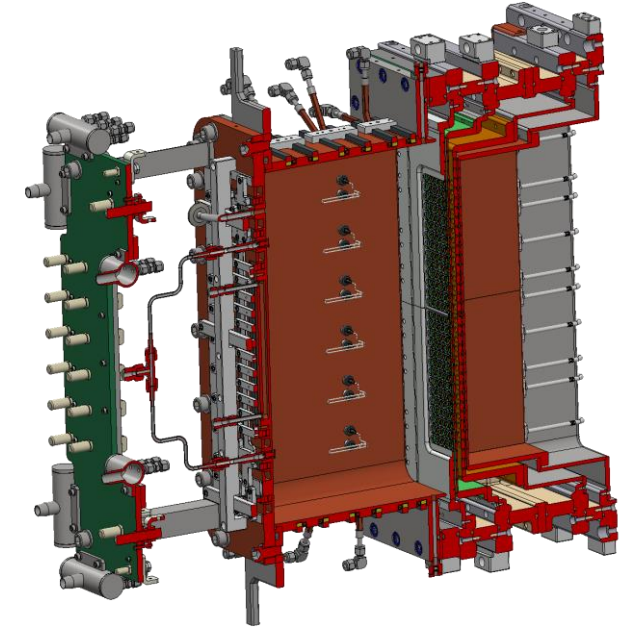
# Anatomy of a beamline: four stages plus a duct



- “Beamline” = everything outside the main vessel: source, grids, neutralizer, deflector + dump, valves, duct.
- The accelerator is where the electrical power is spent; the neutralizer is where the efficiency is decided.

# The ion source: a plasma to feed the accelerator

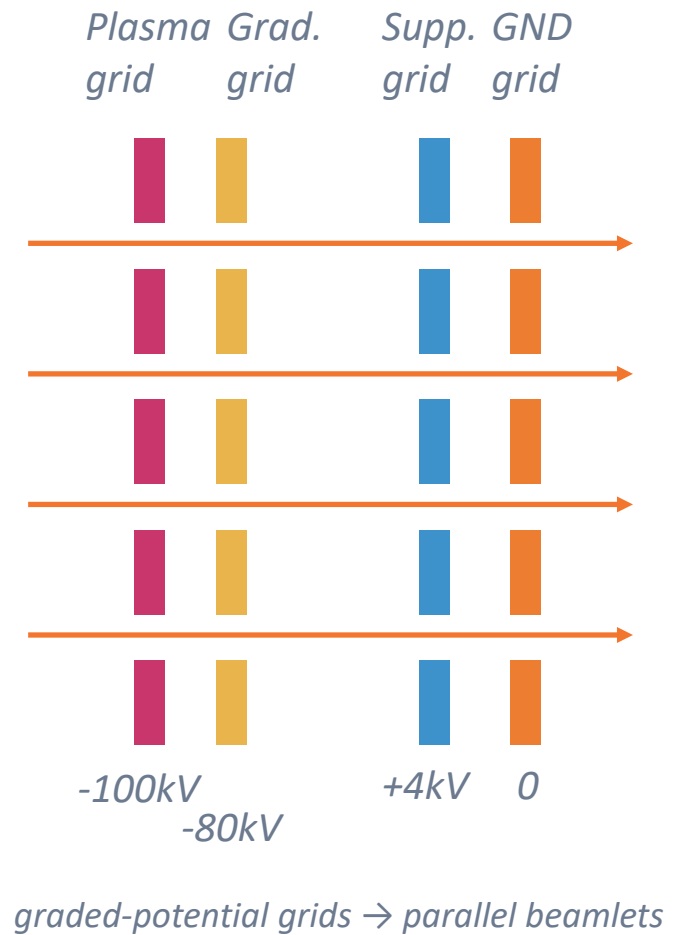
- Bucket / multicusp source: permanent-magnet cusp field confines a low-temperature plasma; the central extraction region stays nearly field-free.
- Driven by hot filaments — or RF excitation for long-pulse / steady state (ITER's choice).
- **The species-mix problem – Positive:**
  - the source makes  $D^+$ ,  $D_2^+$ ,  $D_3^+$  — all fall through the same voltage
  - molecules dissociate in the neutralizer  $\rightarrow$  neutrals at  $E_0/2$  and  $E_0/3$
  - lower-energy components penetrate poorly  $\rightarrow$  edge heating
  - Good sources reach 80–90 % atomic (full-energy) fraction
- **Electron co-extraction – Negative:**



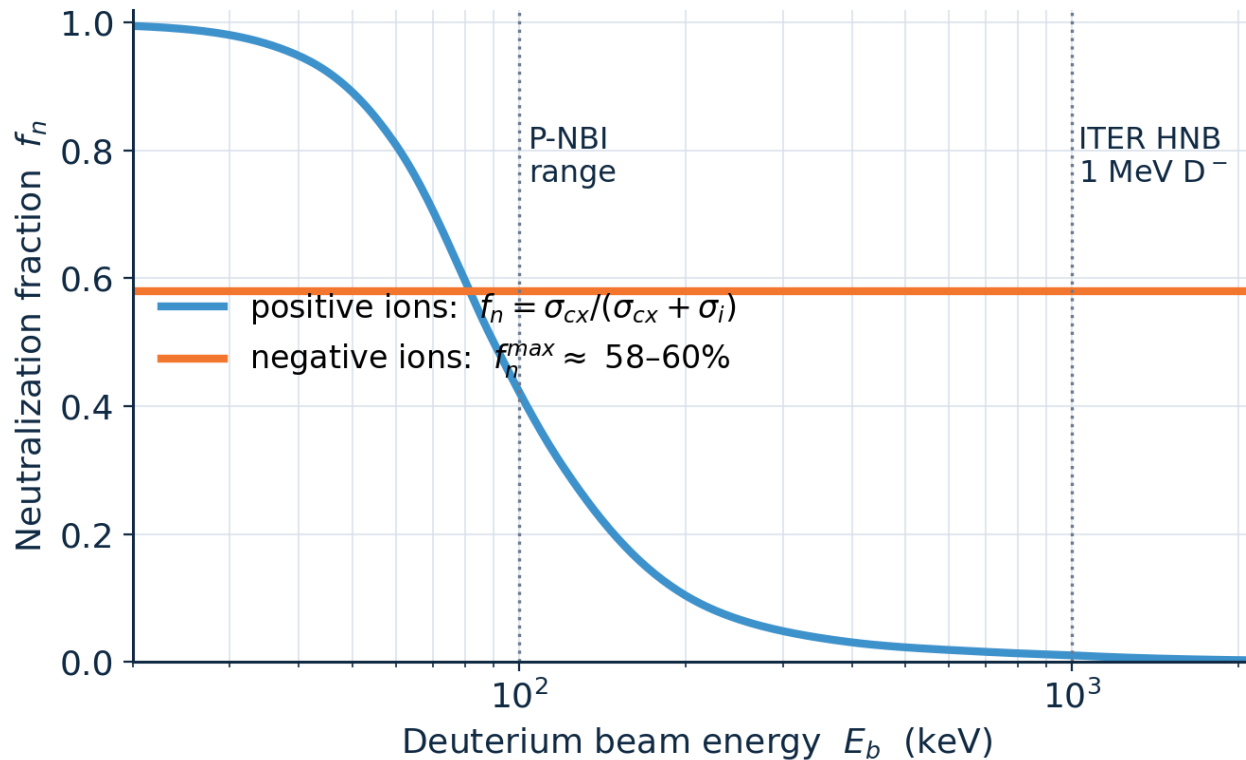


# The accelerator: electrostatic optics for a megawatt beam

- Multi-aperture grids (slots or circular holes) at graded potentials accelerate the ions to  $E_0$ ; this stage consumes most of the wall-plug power.
- Each aperture  $\rightarrow$  one beamlet, divergence as low as  $\sim 0.5^\circ$ ; hundreds of beamlets form the beam.
- Steering & focusing: aperture offsets between grids and geometric grid shaping aim every beamlet down the long duct.
- Space charge (perveance) limits the current per aperture — off-optimum operation increases beam divergence.
- Grids intercept beam power  $\rightarrow$  embedded cooling channels between apertures.
- At 1 MV (ITER HNB), holding the voltage is hard: vacuum insulation, breakdown conditioning.



# Positive-ion neutralization: an equilibrium



After Wesson Fig. 5.5.3 / Freidberg Fig. 15.5

- Two competing reactions in the gas cell:
  - $D^+ + D_2 \rightarrow D^0 + D_2^+$  (charge exchange,  $\sigma_{cx}$ )
  - $D^0 + D_2 \rightarrow D^+ + D_2 + e^-$  (re-ionization,  $\sigma_i$ )
- Thick target  $\rightarrow$  charge-state equilibrium:

$$f_n = \sigma_{cx} / (\sigma_{cx} + \sigma_i)$$

a pure ratio of cross sections — no engineering can improve it

- $\sigma_{cx}$  collapses above  $\sim 100$  keV/amu  $\rightarrow f_n < 1\%$  at 1 MeV.
- **Positive-ion NBI is therefore capped near  $E_0 \approx 100-120$  keV (D)**

# Negative ions: the weakly bound electron

- $D^-$  binds its extra electron by just 0.75 eV  $\rightarrow$  “stripping”  $D^- \rightarrow D^0$  stays efficient at any energy.
- But neutrals keep being ionized ( $D^0 \rightarrow D^+$ ) and nothing replenishes  $D^- \rightarrow$  the neutral yield rises, peaks, then decays along the cell:

$$\frac{dN^-}{dx} = -n\sigma_{-10}N^-, \quad \frac{dN^0}{dx} = n(\sigma_{10}N^- - \sigma_{01}N^0)$$

N: neutral density,  $\sigma_{-10}$ : stripping,  $\sigma_{01}$ : ionization  
 solve  $\rightarrow$  optimum target thickness  $nx_{\text{opt}}$  and peak fraction  $f_n^{\text{max}} = \delta^{\{\delta/(1-\delta)\}}$ ,  $\delta = \sigma_{01}/\sigma_{-10}$

- Measured fits (0.5–1 MeV):  $\sigma_{-10} \approx 1.04$ ,  $\sigma_{01} \approx 0.34 \times 10^{-20}/E(\text{MeV}) \text{ m}^2 \rightarrow \delta \approx 1/3$ , independent of E.
- $\Rightarrow$  **peak neutral fraction  $\approx 58\text{--}60\%$  — flat all the way to 1 MeV**
- The hard part moves to the source: volume + caesiated-surface  $D^-$  production, co-extracted electrons, Cs management, 1 MV holding.

## P-NBI vs N-NBI

| Species       | $D^+$                       | $D^-$                                               |
|---------------|-----------------------------|-----------------------------------------------------|
| Energy        | $\leq \sim 120 \text{ keV}$ | <b>0.2 – 1 MeV</b>                                  |
| $f_n$ @ 1 MeV | $< 1\%$                     | <b><math>\sim 60\%</math></b>                       |
| Source        | bucket / RF                 | <b>Cs surface-plasma</b>                            |
| Deployed      | most tokamaks (KSTAR...)    | <b>JT-60U/SA, LHD <math>\rightarrow</math> ITER</b> |

# The neutral beam injector

## A beamline is a factory

plasma source → grids ( $E_0$ ) → gas cell ( $D^0$ ) → residual ion dump

## Energy of positive ions limited

$f_n = \sigma_{cx}/(\sigma_{cx} + \sigma_i)$  is a fixed ratio → collapses above ~100 keV

## Negative ions scale

0.75 eV binding → ~60 % neutral yield even at 1 MeV → the reactor route (ITER HNB, JT-60SA ...).

*Next: putting it all together in silicon — NUBEAM and NuBDeC.*

# 03

## Modeling: NUBEAM & NuBDeC

Monte-Carlo fast ions — from beamline blueprint to heating profiles and wall loads

- Why NBI analysis needs a Monte-Carlo code
- NUBEAM: deposition  $\rightarrow$  orbits  $\rightarrow$  collisions  $\rightarrow$  profiles (Pankin et al. 2004)
- NuBDeC: prompt loss on real 3-D walls at KSTAR (Rhee et al. 2019)
- How the two codes complement each other



# Monte-Carlo code

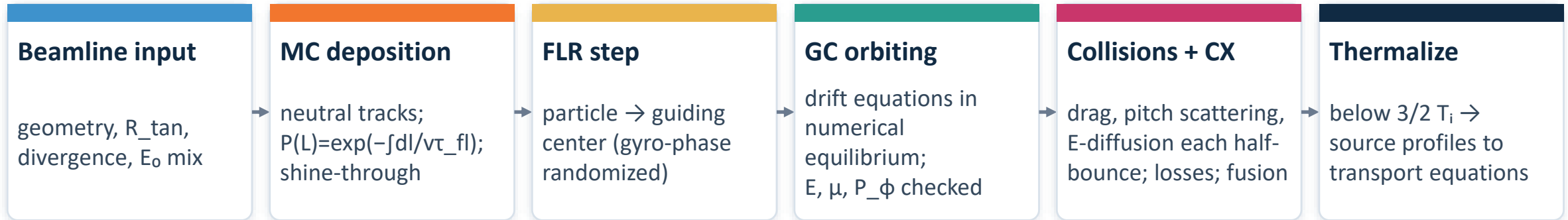
- **What the analytic Part-I picture ignored:**
  - real beamline geometry: tangency radius, source shape, divergence, focusing
  - three energy components × several beamlines
  - full atomic physics: impurities, beam–beam stopping, excited states
  - finite orbit width: deposition is orbit-averaged, not local
  - collisions evaluated along the orbit, in the rotating-plasma frame
  - time-dependent equilibrium and profiles

## What we need out (→ transport analysis)

- $P_e(\rho)$ ,  $P_i(\rho)$  — heating profiles
- $J_{NB}(\rho)$  — driven current
- torque density ( $\rho$ ) — rotation source
- $S_b(\rho)$  — particle / fueling source
- $n_{fast}$ ,  $W_{fast}$ ,  $f(E, v_{||}/v, \rho, \theta)$  — fast-ion content
- beam–target & beam–beam neutron rates
- shine-through, orbit, CX, ripple losses

# NUBEAM: (Pankin et al., CPC 2004)

- Monte-Carlo fast-ion module of TRANSP (PPPL), modularized to the NTCC library — callable from any transport code
- Handles beam ions and fusion products ( $\alpha$ , T,  $^3\text{He}$ ) with the same machinery
- Fast ions = a few  $10^3$ – $10^4$  weighted markers per species; ions below  $3/2 T_i$  are handed to the thermal plasma as a source.
- Validated for decades — e.g. reproduces JET's measured D–T neutron emission profiles.



*one NUBEAM timestep — repeated self-consistently as the plasma evolves*

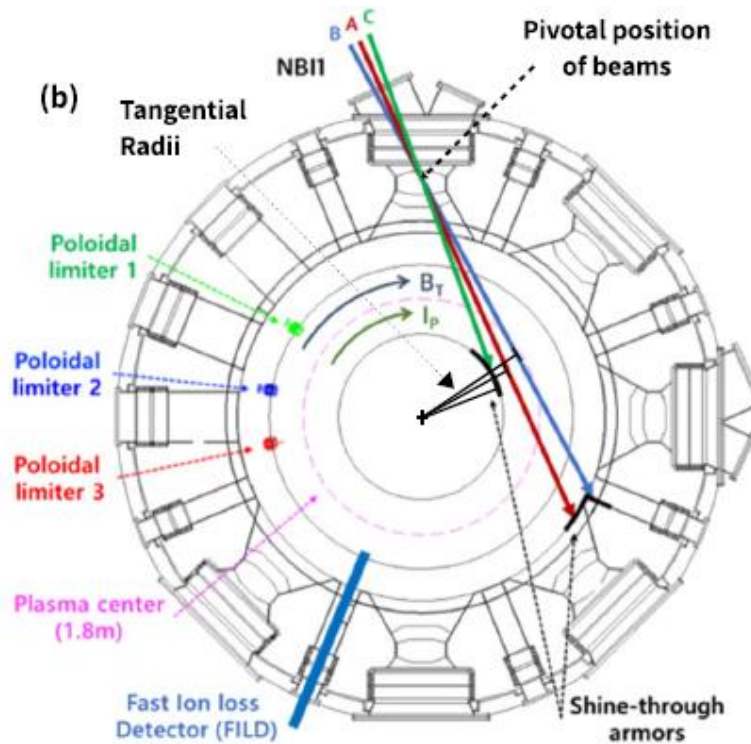
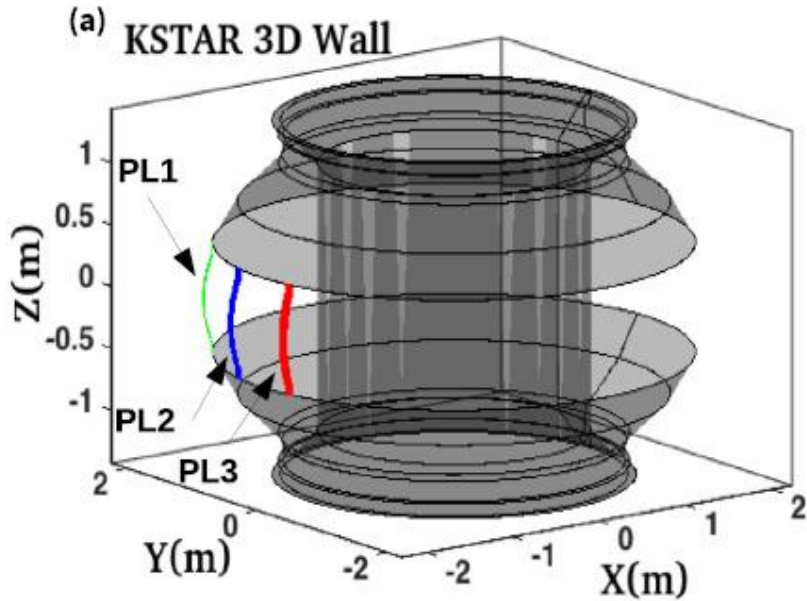
Deposition uses the full stopping physics of Part I: e-impact, thermal-ion CX & impact, impurities, other fast ions, excited-state correction.

# NuBDeC: when the interesting physics is outside the LCFS

- The problem (KSTAR, high- $\beta_p$  long pulse,  $I_p \approx 400$  kA): poloidal limiters on the LFS midplane overheat — suspected NBI prompt orbit loss.
- **But NUBEAM / ORBIT stop at the LCFS → they cannot say where lost ions land.**
- NuBDeC = deposition + orbit following out to the real 3-D wall:
  - ① ionization module: Gaussian-beamlet sampling; ionize when  $\exp(-\int n\sigma ds) \leq \text{random } k$ ; Janev–Suzuki stopping fits; benchmarked vs OFMC
  - ② orbit module: guiding-center drift eqs. ( $\nabla B$ , curvature,  $E \times B$ ), RK4 in  $(R, \phi, Z)$ ;  $\psi(R, Z)$  cubic splines; wall-strike test vs PFC model incl. 3 poloidal limiters + FILD
- Quality:  $E$  and  $P_\phi$  conserved to  $< 1\%$  over 10 ms; collisionless 1 ms runs ( $\ll \tau_{se}$ ) capture prompt loss.



# NuBDeC: KSTAR case

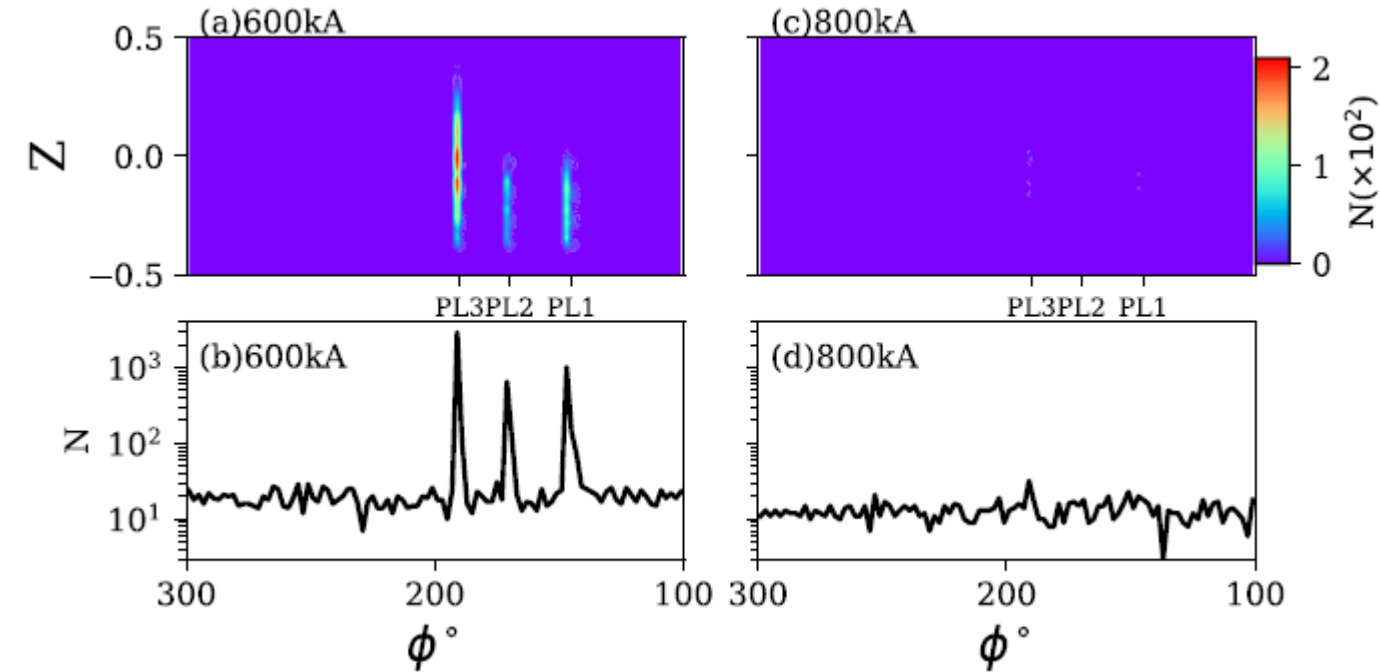


*after Rhee et al. 2019, Fig. 1*

## Run at a glance

- $E_b = 95$  keV (full energy only)
- 3 sources: NBI1-A/B/C,  $R_{\text{tan}} = 1.486 / 1.72 / 1.245$  m
- $6 \times 10^5$  markers, 1 ms, collisionless
- H-mode-like  $n_e$ ,  $T_e$  profiles for stopping
- wall: full KSTAR PFC set + PL1–PL3

# Prompt loss decoded: HFS birth + curvature drift → limiter strike



after Rhee et al. 2019, Fig. 13

- 2.6 % of injected ions strike the poloidal limiters — 85 % of that on PL3 alone.
- 65 % come from NBI1-C, the most perpendicular source ( $R_{\text{tan}} = 1.245$  m) — geometry from Part I at work.
- Mechanism: born deep on the HFS → curvature drift downward → exit at the LFS midplane → limiter strike.
- **Mitigation, read off the diagram: raise  $I_p$  or shift the outer boundary inward →  $L_{\text{PFC}}$  closes. Verified by scans.**

# NUBEAM and NuBDeC answer different questions — use both

|                   | NUBEAM                                             | NuBDeC                                                    |
|-------------------|----------------------------------------------------|-----------------------------------------------------------|
| Question answered | How much heat / current / torque, where, and when? | Which ions are promptly lost, and where do they land?     |
| Domain            | inside the LCFS (magnetic coordinates)             | out to the real 3-D wall, incl. limiters & probes         |
| Collisions        | full: drag, scattering, E-diffusion, CX, fusion    | collisionless ( $t \ll \tau_{se}$ ) — prompt physics only |
| Time base         | time-dependent, follows the discharge (TRANSP)     | snapshot: ~1 ms after injection                           |
| Typical use       | interpretive & predictive transport analysis       | PFC heat-load design, loss mitigation, FILD analysis      |

*Same Part-I physics in both; complementary missions. Now let's use NUBEAM on a real design question.*

# 04

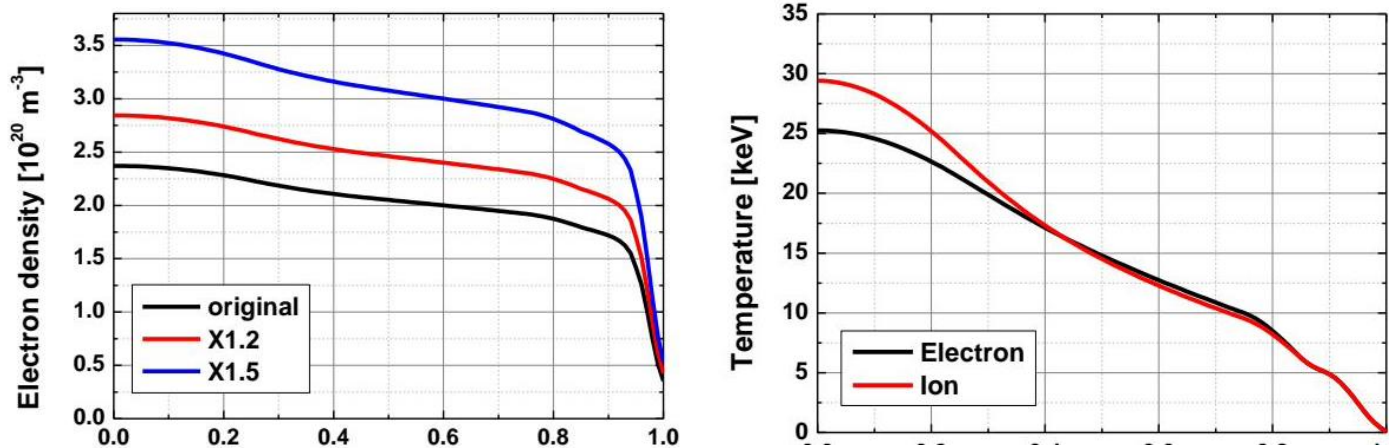
## Application: NBI for CPD

A NUBEAM design scan — 120 keV vs 400 keV at 100 MW

- The CPD target plasma (FUSE,  $P_{\text{fus}} = 500$  MW class)
- Tangency-radius scan from perpendicular to tangential
- Heating split and driven current



# The CPD target plasma: a penetration challenge



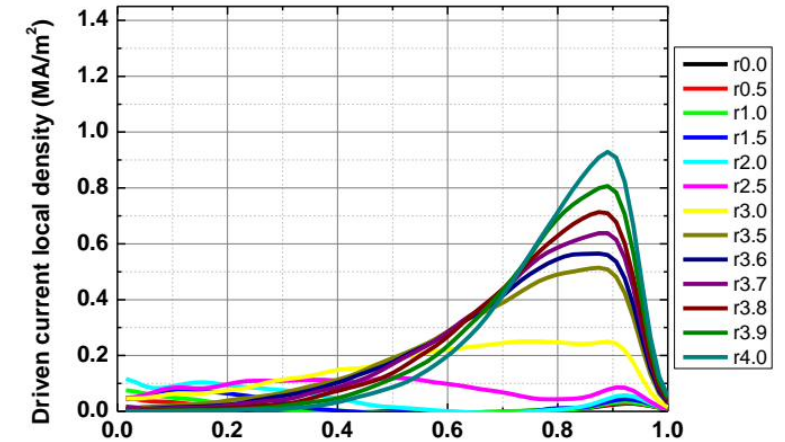
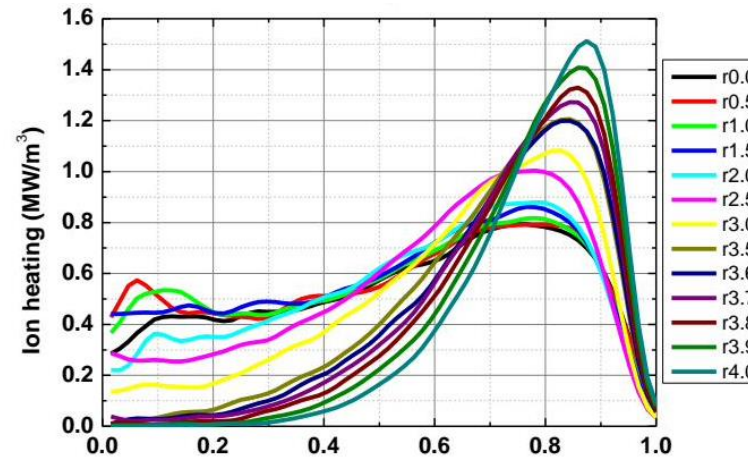
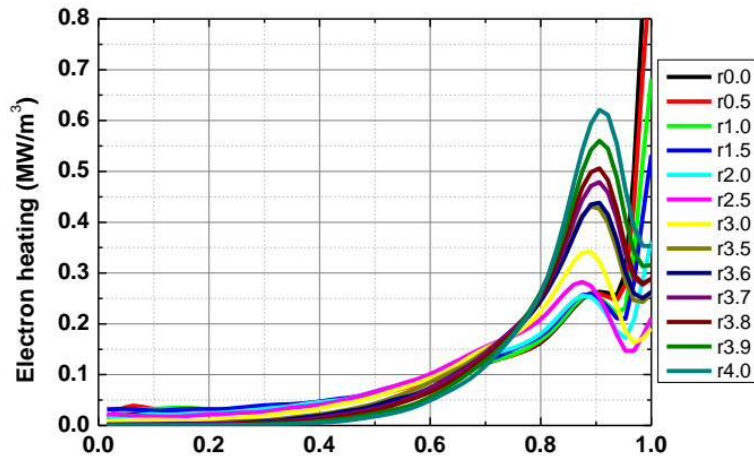
CPD reference profiles:  $n_e$  and  $T_e$ ,  $T_i$  (FUSE-generated target; KFE NUBEAM CPD study, 2025)

## Part-I predictions — before we look at the results

- penetration: reactor-class  $na \rightarrow 120 \text{ keV}$  gives  $\lambda \sim 10 \text{ cm} \rightarrow$  edge deposition
- heating split:  $E_c \approx 20 \text{ T}_e \approx 500 \text{ keV} \rightarrow$  both beams below  $E_c \rightarrow$  ion-dominated heating

- $R \approx 3.5 \text{ m}$  compact pilot device, 16 TF coils.
- FUSE-generated target plasma for  $P_{\text{fus}} = 500 \text{ MW}$  class.
- $n_{e0} = 2.4 \times 10^{20} \text{ m}^{-3}$
- $T_{e0} = 25 \text{ keV}$ ,  $T_{i0} = 29 \text{ keV}$
- Density and temperature both reactor-grade — this is the hardest kind of plasma for a beam to enter.
- NUBEAM scan:  $R_{\text{tan}} = 0 \rightarrow 4.0 \text{ m}$  (perpendicular  $\rightarrow$  tangential),  $P_{\text{inj}} = 100 \text{ MW}$  fixed.
- Two beam energies: 120 keV (P-NBI class) vs 400 keV (N-NBI class).

# 120 keV: the beam never reaches the core



120 keV, 100 MW — electron heating, ion heating, and driven current density vs  $\rho$  across the  $R_t$  scan (KFE, 2025)

## Pinned at the edge

All deposition sits at  $\rho \approx 0.8$ – $0.9$  — for every tangency radius in the scan.

## Part I, verified

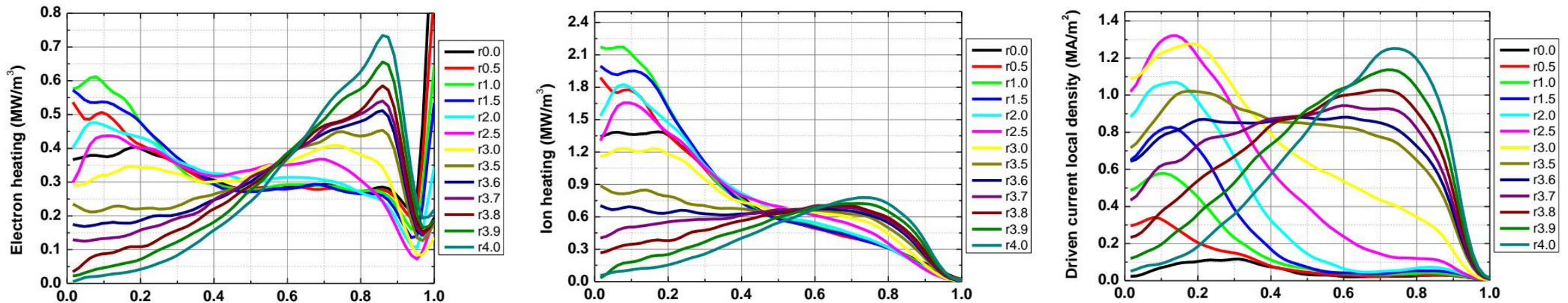
$\lambda(120 \text{ keV}, 2.4 \times 10^{20} \text{ m}^{-3}) \sim \text{cm-scale}$ : the edge eats the beam, exactly as estimated.

## No current-profile control

Driven current is small and edge-localized. Geometry cannot rescue an under-energized beam.



# 400 keV: penetration restored — geometry starts to matter



400 keV, 100 MW — electron heating, ion heating, and driven current density vs  $\rho$  across the  $R_t$  scan (KFE, 2025)

## Core unlocked

Heating profiles now fill  $0 \leq \rho \leq 1$ ; ion heating core-peaked ( $E_b < E_c \approx 500$  keV — as predicted).

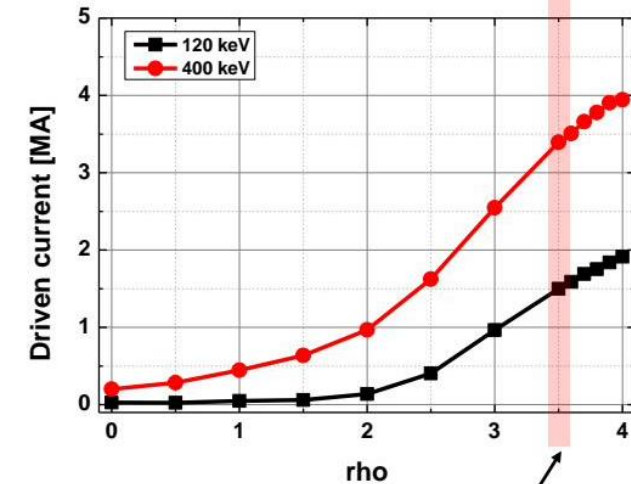
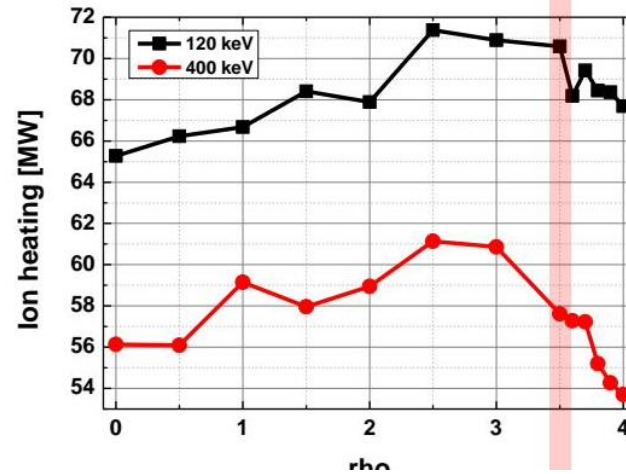
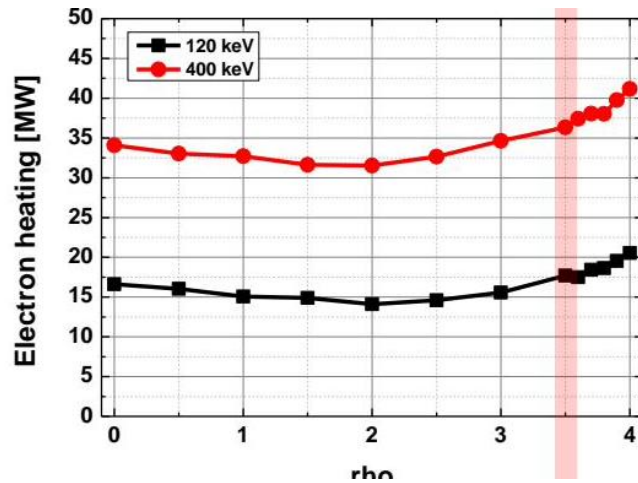
## $R_{\text{tan}}$ is now a knob

Deposition location responds to the tangency radius  $\rightarrow$  a genuine profile-control actuator.

## Serious current drive

Driven current density reaches  $\sim \text{MA/m}^2$  scale, steerable from core to mid-radius.

# The bottom line: ~35 kA/MW

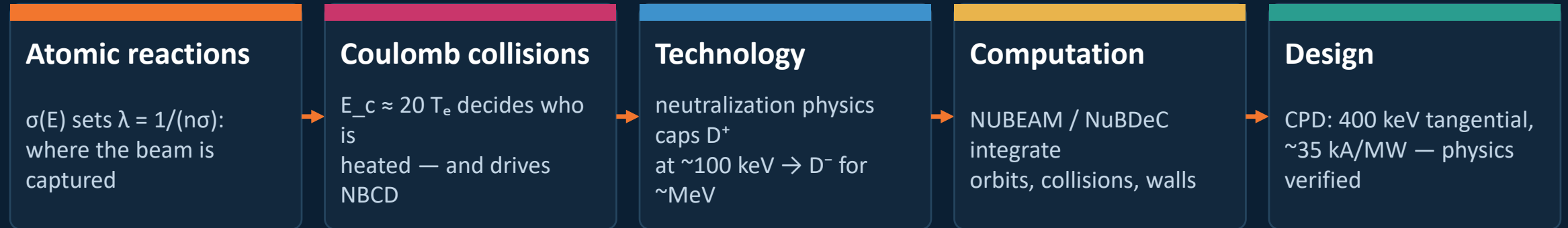


Volume-integrated electron heating, ion heating, and driven current vs  $R_t$ ; NBCD efficiency  $\approx 35$  kA/MW at the marked point (KFE, 2025)

- Ion heating dominates at both energies ( $\approx 55$ – $72$  MW of 100 MW):  $E_b < E_c \approx 500$  keV — the Part-I critical-energy prediction, confirmed.
- Driven current: 120 keV drives  $\sim 2$  MA ; 400 keV climbs to  $\sim 4$  MA-class at large  $R_{\text{tan}}$   $\rightarrow$  NBCD efficiency  $\approx 35$  kA/MW at the design point, squarely in the Part-I range.
- Design answer: CPD needs N-NBI-class energy + tangential injection



# NBI



# References and further reading

**J. Wesson, Tokamaks, 3rd ed., Oxford (2004) — §§5.3–5.5**

Beam stopping, heating, slowing-down distribution, beam production.

**J. Freidberg, Plasma Physics and Fusion Energy, Cambridge (2007) — §15.3**

Penetration  $E_b(a)$  and positive/negative-ion neutralization, worked simply.

**A. Pankin, D. McCune, R. Andre, G. Bateman, A. Kritz, Comput. Phys. Commun. 159 (2004) 157**

The NUBEAM module: physics content, Monte-Carlo methods, NTCC modularization.

**T. Rhee et al., Phys. Plasmas 26, 112504 (2019)**

NuBDeC: NBI prompt loss at KSTAR poloidal limiters;  $(P_\phi, \mu)$  loss criterion.

**S. Hong (KFE), NUBEAM CPD study: 120 vs 400 keV, 2025 (internal)**

Part-IV figures:  $R_t$  scan, heating split, NBCD efficiency  $\approx 35$  kA/MW.

**Further: R. Janev et al. (atomic data); S. Suzuki et al., PPCF 40 (1998) — beam-stopping fits**

The stopping cross-section fits used inside NUBEAM / NuBDeC.

감사합니다 — 谢谢大家 — *thank you*